

Reporting Issuers

Default

When a reporting issuer is noted in default, standardized codes (a number and, if applicable a letter, described in the legend below) will be appear in the column 'Nature of Default'.

Every effort is made to ensure the accuracy of this list. A reporting issuer that does not appear on this list or that has inappropriately been noted in default should contact the Alberta Securities Commission (ASC) promptly.

A reporting issuer's management or insiders may be subject to a Management Cease Trade Order, but that order will NOT be shown on the list.

Legend

1. The reporting issuer has failed to file the following continuous disclosure document prescribed by Alberta securities laws:
 - (a) annual financial statements;
 - (b) an interim financial report;
 - (c) an annual or interim management's discussion and analysis (MD&A) or an annual or interim management report of fund performance (MRFP);
 - (d) an annual information form; (AIF);
 - (e) a certification of annual or interim filings under National Instrument 52-109 *Certification of Disclosure in Issuers' Annual and Interim Filings* (NI 52-109);
 - (f) proxy materials or a required information circular;
 - (g) an issuer profile supplement on the System for Electronic Disclosure By Insiders (SEDI);
 - (h) a material change report;
 - (i) a written update as required after filing a confidential report of a material change;
 - (j) a business acquisition report;
 - (k) the annual oil and gas disclosure prescribed by National Instrument 51-101 *Standards of Disclosure for Oil and Gas Activities* (NI 51-101) or a technical report for a mineral project required under National Instrument 43-101 *Standards of Disclosure for Mineral Projects* (NI 43-101);
 - (l) a mandatory news release;
 - (m) corporate governance disclosure as required by National Instrument 58-101 *Disclosure of Corporate Governance Practices*;
 - (n) audit committee disclosure as required by National Instrument 52-110 *Audit Committees*; or
 - (o) disclosure in a reporting issuer's MD&A relating to disclosure controls and procedures and/or internal control over financial reporting and their effectiveness that is referred to in a certificate filed under NI 52-109.
2. The reporting issuer's continuous disclosure does not comply with Alberta securities laws because:
 - (a) financial statements of the reporting issuer, or the auditor's report accompanying the financial statements, do not comply with the requirements of National Instrument 51-102 *Continuous Disclosure Obligations* (NI 51-102), National Instrument 81-106 *Investment Fund Continuous Disclosure* (NI 81-106) or National Instrument 52-107 *Acceptable Accounting Principles and Auditing Standards*;
 - (b) the reporting issuer has acknowledged that its financial statements, or the auditor's report accompanying the financial statements, may no longer be relied upon;

- (c) the reporting issuer's AIF, MD&A, MRFP, information circular, or a business acquisition report does not contain information for each of the items required by NI 51-102 or NI 81-106; or
 - (d) the reporting issuer's technical disclosure or other reports do not comply with the disclosure requirements of NI 43-101 or NI 51-101;
3. The reporting issuer has failed to pay a fee required by Alberta securities laws.
 4. The reporting issuer has failed to comply with a requirement related to continuous disclosure not referred to in 1 to 3, above.

Cease Trade Order

If a reporting issuer is subject to a cease trade order issued by the ASC or the Executive Director under section 33.1 of the *Securities Act* (Alberta) (Act) or by the ASC under section 198(1) or (2) of the Act, the existence of that order will be disclosed beside the name of the reporting issuer as "ASC CTO".

If a reporting issuer is subject to a cease trade order issued by another securities regulatory authority in Canada that applies in Alberta as a result of section 198.1 of the Act, and prohibits all trading of the securities of that issuer, the existence of that order will be disclosed beside the name of the reporting issuer as "Reciprocal CTO".

Securities that are subject to an ASC CTO or a Reciprocal CTO cannot be traded in Alberta.

To determine if a person or company is the subject of a management cease trade order issued by the ASC, please refer to the "Proceedings, Decisions & Orders – Issuers" section on the ASC website (at www.albertasecurities.com).

Additional information may be obtained by:

- reviewing the filings made by the reporting issuer on SEDAR (at www.sedar.com);
- contacting the reporting issuer directly;
- reviewing the Commission website; or
- reviewing the national cease trade order database located on the CSA website (at www.csa-acvm.ca).



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Name	Cease Traded	Nature of Default
01 COMMUNIQUE LABORATORY INC.		
0941092 B.C. LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
1011704 BC LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
1011705 BC LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
1011707 BC LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
1011709 BC LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
1014379 B.C. LTD.		
1020645 B.C. LTD.	Reciprocal CTO	1a, 1b, 1c, 1e, 3
1020648 B.C. LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
1020650 B.C. LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
1020651 B.C. LTD.	Reciprocal CTO	1a, 1b, 1c, 1e, 3
1024954 B.C. LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
1040436 BC LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
1040440 BC LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
10557510 CANADA CORP.		
10557536 CANADA CORP.		
1080854 ONTARIO LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
1132876 B.C. LTD.		
1143990 BC LTD.	Reciprocal CTO	1a, 1c, 1e
1169032 BC LTD.		
1169071 BC LTD.		
1169077 BC LTD.		
1169082 BC LTD.		
12 EXPLORATION INC.		
1603546 ALBERTA LTD.	ASC CTO	1a, 1b, 1c, 1e
1608557 ONTARIO INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
1832 AM INVESTMENT GRADE CANADIAN CORPORATE BOND POOL		
1832 AM U.S. \$ INVESTMENT GRADE U.S. CORPORATE BOND POOL		
1911 GOLD CORPORATION		
1933 INDUSTRIES INC		
1987 NO 2 MINTAX MINERAL LIMITED PARTNERSHIP	ASC CTO	
2242749 ONTARIO LIMITED	Reciprocal CTO	1a, 1b, 1c, 1d, 1e
2583262 ONTARIO INC.		
27 RED CAPITAL INC.	Reciprocal CTO	1a, 1b, 1c, 1e
2980304 CANADA INC.	ASC CTO	1a, 1b, 1c, 1e
3 SIXTY RISK SOLUTIONS LTD.		
360NETWORKS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
37 CAPITAL INC.		
3DX INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4

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Name	Cease Traded	Nature of Default
3MV ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k, 3
4 TOUCHDOWNS CAPITAL INC.		3
407 INTERNATIONAL INC.		
4404980 CANADA INC.	ASC CTO	1a, 1b, 1c, 1e
4504020 CANADA INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
48NORTH CANNABIS CORP.		
49 NORTH RESOURCES INC.		
4FRONT VENTURES CORP.		
523976 ALBERTA LTD.	ASC CTO	1a, 1b, 1c, 1e
55 NORTH MINING INC.		
5N PLUS INC.		
66 RESOURCES CORP.		
7918534 CANADA INC.		
855 Burrard Capital Limited Partnership No. 3	ASC CTO	1a, 1b, 1c, 1e
9 CAPITAL CORP.		
9342-8530 QUÉBEC INC.	Reciprocal CTO	1a, 1b, 1c, 1e
A MAN CALLED INTREPID	ASC CTO	1a, 1b, 1c, 1e
A&W REVENUE ROYALTIES INCOME FUND		
A.E. VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
A.H.A. AUTOMOTIVE TECHNOLOGIES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
A.I.S. RESOURCES LIMITED		
A.O.G. AIR SUPPORT INC.	ASC CTO	1a, 1b, 1c, 1e
A2Z TECHNOLOGIES CANADA CORP.		
AAVANGEN INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ABACAN RESOURCE CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
ABACUS HEALTH PRODUCTS, INC.		
ABACUS MINING & EXPLORATION CORPORATION		
ABACUS SOFTWARE GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ABATTIS BIOCEUTICALS CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
ABBAY EXPLORATION INC.	ASC CTO	1a, 1b, 1c, 1e
ABBY, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g, 1k
ABCOURT MINES INC.		
ABDA INTERNATIONAL HOLDINGS CORP.	ASC CTO	1a, 1b, 1c, 1e
ABEN RESOURCES LTD.		
ABERDEEN ASIA-PACIFIC INCOME INVESTMENT COMPANY LIMITED		1d
ABERDEEN INTERNATIONAL INC.		
ABERMIN CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ABIGAIL CAPITAL CORPORATION		
ABINGTON RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k

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ABITIBI MINING CORP.	ASC CTO	1a, 1b, 1c, 1e
ABITIBI ROYALTIES INC.		
ABORIGINAL GLOBAL INVESTMENT FUND	ASC CTO	1a, 1b, 1c
ABRAPLATA RESOURCE CORP.		
ABSOLUTE SOFTWARE CORPORATION		
ABSTRACT ENTERPRISES CORP.	ASC CTO	1a, 1b, 1c, 1e
ABT CAPITAL MARKETS INC.		
ABZU GOLD LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
AC ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e
ACACIA CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ACADEMY METALS INC.		
ACADIAN CORE INTERNATIONAL EQUITY FUND		
ACADIAN ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
ACADIAN TIMBER CORP.		
ACASTA ENTERPRISES INC.		
ACASTI PHARMA INC.		
ACCELERATE ABSOLUTE RETURN HEDGE FUND		
ACCELERATE ARBITRAGE FUND		
ACCELERATE ENHANCED CANADIAN BENCHMARK ALTERNATIVE FUND		
ACCELERATE MARKET NEUTRAL YIELD FUND		
ACCELERATE MULTI-STRATEGY ALTERNATIVE ALLOCATION FUND		
ACCELERATE PRIVATE EQUITY ALPHA FUND		
ACCELEWARE LTD.		
ACCESS INTERNATIONAL EDUCATION LTD	ASC CTO	1a, 1b, 1c, 1e
ACCORD FINANCIAL CORP.		
ACE AVIATION HOLDINGS INC.		
ACE/SECURITY LAMINATES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ACENETX INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ACEPHARM INC.	ASC CTO	1a, 1b, 1c, 1e
ACER CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
ACERUS PHARMACEUTICALS CORPORATION		
ACGT DNA TECHNOLOGIES CORPORATION		
ACKROO INC.		
ACORN ENERGY, INC.		1a, 1c, 1d, 1e, 1g
ACREAGE HOLDINGS, INC.		
ACTION ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
ACTIVENERGY INCOME FUND		
ACUIYADS HOLDINGS INC.		
ACUMA INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e

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ADAGIO INVESTMENTS INC.	ASC CTO	1a, 1b, 1c, 1e
ADALTIS INC.	ASC CTO	1a, 1b, 1c, 1e
ADAMA TECHNOLOGIES CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
ADAMERA MINERALS CORP.		
ADASTRA LABS HOLDINGS LTD.		
ADCORE INC.		
ADDA RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
ADDED CAPITAL INC.		3
ADDWEST MINERALS INTERNATIONAL, LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
ADECKA FACTORS INC.	ASC CTO	1a, 1b, 1c, 1e
ADELINA RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
ADEX MINING INC.		
ADF GROUP INC.		
ADI TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
ADL VENTURES INC.		
ADMIRAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ADOBE SYSTEMS INCORPORATED		
ADVANCE GOLD CORP.		
ADVANCED EDUCATION SAVINGS PLAN		
ADVANCED EXPLORATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
ADVANCED FOLIO FUND		
ADVANCED MICRO DEVICES, INC.		1g
ADVANCED NEW FRONTIER OIL & GAS RECOVERY INC.	Reciprocal CTO	1a, 1b, 1c, 1e
ADVANCED PROTEOME THERAPEUTICS CORPORATION		
ADVANCED PULTRUSION TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
ADVANTAGE LINK INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ADVANTAGE LITHIUM CORP.		
ADVANTAGE OIL & GAS LTD.		
ADVANTAGEWON OIL CORP.		
ADVANTEDGE INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ADVANTEX MARKETING INTERNATIONAL INC.	Reciprocal CTO	1a, 1b, 1c, 1e
ADVANTEXCEL.COM COMMUNICATIONS CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
ADVANS PHARMA CORP. LIMITED		
ADVECTUS LIFE SCIENCES INC.	ASC CTO	1a, 1b, 1c, 1e
ADVENT-AWI HOLDINGS INC.		
ADVENTURE ELECTRONICS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
ADVENTUS MINING CORPORATION		
ADWALL CAPITAL CORP	ASC CTO	1a, 1b, 1c, 1e, 1g
ADYA INC.		

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Name	Cease Traded	Nature of Default
AECON GROUP INC.		
AEGIS INVESTMENT MANAGEMENT (GOLF), INC.	ASC CTO	1a, 1b, 1c, 1e
AEQUUS PHARMACEUTICALS INC.		
AERO ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e
AEROCAST INC.	ASC CTO	1a, 1b, 1c, 1e
AETERNA ZENTARIS INC.		
AEX GOLD INC.		
AF1 CAPITAL CORP.		
AFFINITY METALS CORP.		
AFFINOR GROWERS INC.		
AFG FLAMEGUARD LTD.	ASC CTO	1a, 1b, 1c, 1e
AFITEX FINANCIAL SERVICES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
AFM HOSPITALITY CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
AFRICA ENERGY CORP.		
AFRICA OIL CORP.		
AFRICAN COPPER PLC	Reciprocal CTO	1a, 1b
AFRICAN GEMSTONES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
AFRICAN GOLD GROUP, INC.		
AFRI-CAN MARINE MINERALS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
AFRICAN METALS CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e
AFRICAN MINERALS LIMITED	ASC CTO	
AFRICAN SELECTION MINING CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
AFRICAN SKY COMMUNICATIONS INCORPORATED	ASC CTO	1a, 1b, 1c, 1e
AFTERMATH SILVER LTD.		
AG GROWTH INTERNATIONAL INC.		
AGASSIZ RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
AGAU RESOURCES, INC.		
AGF AMERICAN GROWTH CLASS		
AGF AMERICAN GROWTH FUND		
AGF ASIAN GROWTH CLASS		
AGF CANADIAN GROWTH EQUITY CLASS		
AGF CANADIAN LARGE CAP DIVIDEND CLASS		
AGF CANADIAN LARGE CAP DIVIDEND FUND		
AGF CANADIAN MONEY MARKET FUND		
AGF CANADIAN SMALL CAP FUND		
AGF CHINA FOCUS CLASS		
AGF DIVERSIFIED INCOME CLASS		
AGF DIVERSIFIED INCOME FUND		
AGF ELEMENTS BALANCED PORTFOLIO		

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AGF ELEMENTS BALANCED PORTFOLIO CLASS		
AGF ELEMENTS CONSERVATIVE PORTFOLIO		
AGF ELEMENTS CONSERVATIVE PORTFOLIO CLASS		
AGF ELEMENTS GLOBAL PORTFOLIO		
AGF ELEMENTS GLOBAL PORTFOLIO CLASS		
AGF ELEMENTS GROWTH PORTFOLIO		
AGF ELEMENTS GROWTH PORTFOLIO CLASS		
AGF ELEMENTS YIELD PORTFOLIO		
AGF ELEMENTS YIELD PORTFOLIO CLASS		
AGF EMERGING MARKETS BALANCED FUND		
AGF EMERGING MARKETS BOND FUND		
AGF EMERGING MARKETS CLASS		
AGF EMERGING MARKETS FUND		
AGF EQUITY INCOME FOCUS FUND		
AGF EUROPEAN EQUITY CLASS		
AGF EUROPEAN EQUITY FUND		
AGF FIXED INCOME PLUS CLASS		
AGF FIXED INCOME PLUS FUND		
AGF FLOATING RATE INCOME FUND		
AGF GLOBAL BOND FUND		
AGF GLOBAL CONVERTIBLE BOND FUND		
AGF GLOBAL DIVIDEND CLASS		
AGF GLOBAL DIVIDEND FUND		
AGF GLOBAL EQUITY CLASS		
AGF GLOBAL EQUITY FUND		
AGF GLOBAL REAL ASSETS CLASS		
AGF GLOBAL REAL ASSETS FUND		
AGF GLOBAL SELECT FUND		
AGF GLOBAL STRATEGIC BALANCED FUND		
AGF GLOBAL SUSTAINABLE GROWTH EQUITY FUND		
AGF HIGH YIELD BOND FUND		
AGF INCOME FOCUS FUND		
AGF MANAGEMENT LIMITED		
AGF SHORT-TERM INCOME CLASS		
AGF STRATEGIC INCOME FUND		
AGF TACTICAL FUND		
AGF TACTICAL INCOME FUND		
AGF TOTAL RETURN BOND CLASS		
AGF TOTAL RETURN BOND FUND		

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AGF U.S. SMALL-MID CAP FUND		
AGFIQ CANADIAN EQUITY ETF		
AGFIQ DIVIDEND INCOME FUND		
AGFIQ EMERGING MARKETS EQUITY ETF		
AGFIQ GLOBAL BALANCED ETF PORTFOLIO		
AGFIQ GLOBAL EQUITY ETF PORTFOLIO		
AGFIQ GLOBAL ESG FACTORS ETF		
AGFIQ GLOBAL INCOME ETF PORTFOLIO		
AGFIQ GLOBAL INFRASTRUCTURE ETF		
AGFIQ GLOBAL MULTI-SECTOR BOND ETF		
AGFIQ INTERNATIONAL EQUITY ETF		
AGFIQ U.S. SECTOR CLASS		
AGFIQ US EQUITY ETF		
AGFIQ US LONG/SHORT DIVIDEND INCOME CAD-HEDGED ETF		
AGFIQ US LONG/SHORT DIVIDEND INCOME CAD-HEDGED FUND		
AGFIQ US MARKET NEUTRAL ANTI-BETA CAD-HEDGED ETF		
AGGRESSIVE FOLIO FUND		
AGILITY HEALTH, INC.	Reciprocal CTO	1a, 1b, 1c, 1e
AGJUNCTION INC.		
AGNICO EAGLE MINES LIMITED		
AGRAFLORA ORGANICS INTERNATIONAL INC.		
AGRI TECH FARMS INTERNATIONAL INC.		
AGRICANN SOLUTIONS CORP.		
AGRIMINCO CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
AGRITEC SYSTEMS, INC.	ASC CTO	1a, 1b, 1c, 1e
AGUIA RESOURCES LIMITED		
AGUILA AMERICAN GOLD LIMITED		
AH CAPITAL CORP.	Reciprocal CTO	1a, 1b, 1c, 1e, 3
AIC MINES LIMITED		3
AIM3 VENTURES INC.		
AIM4 VENTURES INC.		
AIMGLOBAL TECHNOLOGIES COMPANY INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
AIMIA INC.		
AIML RESOURCES INC.		
AIR CANADA		
AIR NIAGARA EXPRESS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
AIRALTA INC.	ASC CTO	1a, 1b, 1c, 1e
AIRBOMB.COM INC.	ASC CTO	1a, 1b, 1c, 1e
AIRBOSS OF AMERICA CORP.		

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AIREDALE FINANCIAL CORP.	ASC CTO	1a, 1b, 1c, 1e
AIRGEN CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
AIRIQ INC.		
AIRLINE TRAINING INTERNATIONAL LTD.	ASC CTO	1a, 1b, 1c, 1e
AIRWORKS MEDIA INCORPORATED	ASC CTO	1a, 1b, 1c, 1e, 1g
AIVIV VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
AJ PERRON GOLD CORP.	ASC CTO	1a, 1b, 1c, 1e
AKADEMIA ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e
AKELA PHARMA INC.	ASC CTO	1a, 1b, 1c, 1e
AKELEY UNIT TRUST		
AKITA DRILLING LTD.		
AKROKERI-ASHANTI GOLD MINES INC.	ASC CTO	1a, 1b, 1c, 1e
AKUMIN INC.		
A-LABS CAPITAL I CORP.		
A-LABS CAPITAL II CORP.		
A-LABS CAPITAL IV CORP.		
A-LABS CAPITAL V CORP.		
ALACER GOLD CORP.		
ALADIN INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
ALAMOS GOLD INC.		
ALANTRA VENTURE CORP.	ASC CTO	1a, 1b, 1c, 1e
ALARIC I INVESTMENT CORP.		1a, 1b, 1c, 1e, 1g
ALARIS ROYALTY CORP.		
ALASKA HYDRO CORPORATION		
ALASKAGOLD MINES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ALAVA VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ALBARTON TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
ALBERTA GOLD EXPLORATION CORPORATION THE	ASC CTO	1a, 1b, 1c, 1e
ALBERTA NOBLE METALS LTD.	ASC CTO	
ALBERTA RESOURCE CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ALBERTA WASTE RESOURCES AND ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
ALBERTECH INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
ALBURY RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
ALCANNA INC.		
ALCHEMIST MINING INCORPORATED	Reciprocal CTO	1b, 1c, 1e
ALDEBARAN RESOURCES INC.		
ALDERON IRON ORE CORP.		
ALEAFIA HEALTH INC.		
ALERT B&C CORPORATION	ASC CTO	1a, 1b, 1c, 1e

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Name	Cease Traded	Nature of Default
ALEXCO RESOURCE CORP.		
ALEXIS FINANCIAL INC.	Reciprocal CTO	1b, 1c, 1e
ALGAE BIOSCIENCES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ALGEAR DEVELOPMENT CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ALGERNON PHARMACEUTICALS INC.		
ALGO GROUP INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
ALGOLD RESOURCES LTD.		
ALGOMA CENTRAL CORPORATION		
ALGONQUIN FIXED INCOME 2.0 FUND		
ALGONQUIN POWER & UTILITIES CORP.		
ALHAMBRA RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
ALIANZA MINERALS LTD.		
ALIMENTATION COUCHE-TARD INC.		1b, 1c, 1e
ALIO GOLD INC.		
ALL - CANADIAN COMPOUND FUND		1a, 1b, 1c, 1d
ALL - CANADIAN RESOURCES CORPORATION		1a, 1b, 1c, 1d
ALL EQUITY FUND		
ALL IN WEST! CAPITAL CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e
ALLANTE RESOURCES LTD.		
ALLBANC SPLIT CORP. II		
ALLEGHENY MINES CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
ALLEGIANT GOLD LTD.		
ALLEN BERG RACING LTD.	ASC CTO	1a, 1b, 1c, 1e
ALLIANCE GROWERS CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
ALLIANCE MINING CORP.		
ALLIANCE PIPELINE LIMITED PARTNERSHIP		
ALLIANCES ARTQUEST INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
ALLICAN RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
ALLIED CANADIAN FUND	ASC CTO	1a, 1b, 1c
ALLIED DIVIDEND FUND	ASC CTO	1a, 1b, 1c
ALLIED EQUITIES LTD.	ASC CTO	1a, 1b, 1c, 1e
ALLIED HOTEL PROPERTIES INC.		
ALLIED INCOME FUND	ASC CTO	1a, 1b, 1c
ALLIED INTERNATIONAL FUND	ASC CTO	1a, 1b, 1c
ALLIED MONEY FUND	ASC CTO	1a, 1b, 1c
ALLIED PROPERTIES REAL ESTATE INVESTMENT TRUST		
ALLNET SECOM INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ALMADEN MINERALS LTD.		
ALMADEX MINERALS LTD.		

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ALMONTY INDUSTRIES INC.		
ALORO MINING CORP.		
ALPAKA RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
ALPHA GROUP INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
ALPHA LITHIUM CORPORATION		
ALPHA PEAK LEISURE INC.		
ALPHADELTA CANADIAN GROWTH OF DIVIDEND INCOME CLASS		
ALPHADELTA CANADIAN MOMENTUM EQUITY CLASS		
ALPHADELTA GROWTH OF DIVIDEND INCOME CLASS		
ALPHADELTA TACTICAL GROWTH CLASS		
ALPHAMIN RESOURCES CORP.		
ALPHANCO VENTURE CORP.		
ALPHANET TELECOM INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
ALPHINAT INC.		1a, 1b, 1c
ALSTOM		
ALSTON ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
ALTA TERRA VENTURES CORP.	ASC CTO	1a, 1b, 1c, 1e
ALTAGAS LTD.		
ALTAGEM RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ALTAI RESOURCES INC.		
ALTAIR RESOURCES INC.		
ALTA-KOR INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ALTALINK, L.P.		
ALTAMIRA GOLD CORP.		
ALTAN NEVADA MINERALS LIMITED		
ALTAN RIO MINERALS LIMITED		
ALTAUR GOLD EXPLORATIONS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
ALTEK POWER CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ALTERNATE HEALTH CORP.		
ALTERNATIVE ENERGY PARTNERS, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
ALTERRUS SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
ALTIMA RESOURCES LTD.		
ALTIPLANO METALS INC.		
ALTIVUS MINERALS CORPORATION		
ALTO VENTURES LTD.		
ALTUM RESOURCE CORP.		
ALTURA ENERGY INC.		
ALTURAS MINERALS CORP.		
ALTUS GROUP LIMITED		

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ALTUS STRATEGIES PLC		
ALUDRA INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ALVOPETRO ENERGY LTD.		
ALX RESOURCES CORP.		
AM RESOURCES CORP.		
AMADEUS INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
AMANTA RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
AMAR VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
AMARC RESOURCES LTD.		
AMARILLO GOLD CORPORATION		
AMBLIN TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
AMBRILIA BIOPHARMA INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
AMCAN MINERALS LIMITED	ASC CTO	1a, 1b, 1c, 1e
AMERCOEUR ENERGY CANADA LIMITED	ASC CTO	1a, 1b, 1c, 1e
AMERICAN AGRI-TECHNOLOGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
AMERICAN AIRES INC.		
AMERICAN BATTERY METALS CORP.		
AMERICAN BIOFUELS INC.		
AMERICAN CREEK RESOURCES LTD.		
AMERICAN CUMO MINING CORPORATION	Reciprocal CTO	
AMERICAN ECO CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
AMERICAN ENVIRONMENTAL ENTERPRISES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
AMERICAN HELIUM INC.		
AMERICAN HEMP VENTURES, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
AMERICAN HERITAGE INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
AMERICAN HOTEL INCOME PROPERTIES REIT LP		
AMERICAN LITHIUM CORP.		
AMERICAN MANGANESE INC.		
AMERICAN NATURAL ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
AMERICAN PACIFIC MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
AMERICAN PACIFIC MINING CORP.		
AMERICAN RESOURCE CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
AMERICAN SANDS ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
AMERICAN TRANSPORTATION TELEVISION NETWORK INC.	ASC CTO	1a, 1b, 1c, 1e
AMERICAN URANIUM MINING CORPORATION		
AMERICAN WILD WOODLAND GINSENG CORPORATION	ASC CTO	1a, 1b, 1c, 1e
AMERICAN WIRELESS CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
AMERICAS GOLD AND SILVER CORPORATION		
AMERIDIAN VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g

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AMERIGO RESOURCES LTD		
AMEX EXPLORATION INC.		
AMG BIOENERGY RESOURCES HOLDINGS LTD.	ASC CTO	1a, 1b, 1c, 1e
AMILOT CAPITAL INC.		
AMINT INVESTMENTS LTD.	ASC CTO	1a, 1b, 1c, 1e
AMP GERMAN CANNABIS GROUP INC.		
AMS HOMECARE INC.	ASC CTO	1a, 1b, 1c, 1e
AMSECO EXPLORATION LTD.		
AMSTOCK FUND	ASC CTO	1a, 1b, 1c
AMSWISS SCIENTIFIC INC.	ASC CTO	1a, 1b, 1c, 1e
AMT INTERNATIONAL MINING CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
AMTEC PETROLEUM CORPORATION	ASC CTO	1a, 1b, 1c, 1e
AMTRONICS ENTERPRISES LTD.	ASC CTO	1a, 1b, 1c, 1e
AMV CAPITAL CORPORATION		
AMWOLF CAPITAL CORP.		
ANACONDA MINING INC.		
ANACOTT RESOURCES CORP.		
ANALYTICAL SOFTWARE INC.	ASC CTO	1a, 1b, 1c, 1e
ANALYTIXINSIGHT INC.		
ANB CANADA INC.		
ANC CAPITAL VENTURES INC.		
ANCOM ATM INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
ANCONIA RESOURCES CORP.		
ANDEANGOLD LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
ANDIAMO CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
ANDLAUER HEALTHCARE GROUP INC.		
ANDOVER MINING CORP.	ASC CTO	1a, 1b, 1c, 1e
ANDREW PELLER LIMITED		
ANFIELD ENERGY INC.		
ANGEL GOLD CORP.		
ANGKOR RESOURCES CORP.		
ANGLO-ANDEAN EXPLORATIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ANGLO-BOMARC MINES LTD. (N.P.L.)	Reciprocal CTO	1a, 1b, 1c, 1e
ANGUS MINING INC.	ASC CTO	1a, 1b, 1c, 1e
ANGUS VENTURES INC.		
ANI PHARMACEUTICALS, INC.		
ANIMACIS TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ANNIDIS CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e
ANQUIRO VENTURES LTD.		

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ANTALIS VENTURES CORP.		
ANTARES VENTURES INC.		
ANTERA VENTURES I CORP.		
ANTERRA ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
ANTIBE THERAPEUTICS INC.		
ANTIOQUIA GOLD INC.		
ANTLER GOLD INC.		
ANTLER HILL MINING LTD.		
ANTORO RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
ANVIL RANGE MINING CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
ANYOX METALS LIMITED	ASC CTO	1a, 1b, 1c, 1e
APAC TELECOMMUNICATIONS CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
APEX RESOURCES INC.		
APHELION CAPITAL CORP.		
APHRIA INC.		
APIVA VENTURES LIMITED	ASC CTO	1a, 1b, 1c, 1e
APOLO III ACQUISITION CORP.		
APPIA ENERGY CORP.		
APPILI THERAPEUTICS INC.		
APPLAUSE CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
APPRECIATED MEDIA HOLDINGS INC.		
APPULSE CORPORATION		
APS SERVICES INC.	ASC CTO	1a, 1b, 1c, 1e
APT SYSTEMS, INC	ASC CTO	1d, 1g, 3
APTERYX IMAGING INC.		
APTOSE BIOSCIENCES INC.		
AQUA PURA TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
AQUALITY HOLDINGS INTERNATIONAL LIMITED	ASC CTO	1a, 1b, 1c, 1e
AQUA-PURE VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
AQUARIUS AI INC.	Reciprocal CTO	
AQUARIUS RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
AQUARIUS SURGICAL TECHNOLOGIES INC.		
AQUASOL INTERNATIONAL GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
AQUATEX CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
AQUEREN CAPITAL CORP.		
AQUILA ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e
AQUILA RESOURCES INC.		
AQUITAIN SHORES APARTMENT PROJECT - PHASE I	ASC CTO	1a, 1b, 1c, 1e
AQUITAIN SHORES APARTMENT PROJECT - PHASE II	ASC CTO	1a, 1b, 1c, 1e

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ARBOR GREENS LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
ARBOR METALS CORP.		
ARBOUR ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
ARBUTUS BIOPHARMA CORPORATION		
ARC RESOURCES LTD.		
ARCAMATRIX CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
ARCH BIOPARTNERS INC.		
ARCHON MINERALS LIMITED		
ARCLAND RESOURCES INC.	Reciprocal CTO	1a, 1c, 1e
ARCPACIFIC RESOURCES CORP.		
ARCTIC GLACIER INCOME FUND		1a, 1b, 1c, 1e
ARCTIC HUNTER ENERGY INC.		
ARCTIC STAR EXPLORATION CORP.		
ARCUS DEVELOPMENT GROUP INC.		
ARCWEST EXPLORATION INC.		
ARDENT VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
AREHADA MINING LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e
ARENA GOLD RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
ARENA MINERALS INC.		
ARES STRATEGIC MINING INC.		
AREV NANOTEC BRANDS INC.		
ARGENT ENERGY TRUST	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
ARGENTINA LITHIUM & ENERGY CORP.		
ARGENTUM SILVER CORP.		
ARGEX TITANIUM INC.		
ARGO GOLD INC.		
ARGONAUT EXPLORATION INC.	ASC CTO	1a, 1b, 1c, 1e
ARGONAUT GOLD INC.		
ARGOSY ENERGY INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
ARGOSY MINERALS LIMITED	ASC CTO	1a, 3
ARGUS RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
ARGYLL ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ARHT MEDIA INC.		
ARIAN RESOURCES CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
ARIANNE PHOSPHATE INC.		
ARIMETCO INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
ARIS CANADA LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
ARISE TECHNOLOGIES CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
ARISTOTLE - IG U.S. SMALL CAP EQUITY POOL		

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ARITZIA INC.		
ARIUS3D CORP.	ASC CTO	1a, 1b, 1c, 1e
ARIZONA JOJOBA INC.	ASC CTO	1a, 1b, 1c, 1e
ARIZONA METALS CORP.		
ARIZONA SILVER EXPLORATION INC.		
ARK LA TEX INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
ARKADIA CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
ARKTOS RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
ARLINGTON PLACE	ASC CTO	1a, 1b, 1c, 1e
ARLINGTON RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ARMADA DATA CORPORATION		
ARMADA GOLD CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
ARMADA MERCANTILE LTD.		
ARMADILLO RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
ARMOR MINERALS INC.		
ARMSTRONG CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
AROWAY ENERGY INC.	Reciprocal CTO	1a, 1b, 1c, 1e, 1k
ARROW CANADIAN ADVANTAGE ALTERNATIVE CLASS		
ARROW EXPLORATION CORP.		
ARROW GLOBAL ADVANTAGE ALTERNATIVE CLASS		
ART OF ANIMATION GALLERIES LTD., THE	ASC CTO	1a, 1b, 1c, 1e
ARTEMIS GOLD INC.		
ARTEVO CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ARTIS REAL ESTATE INVESTMENT TRUST		
ARTISAN ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
ARURA PHARMA INC	ASC CTO	1a, 1b, 1c, 1e
ARV CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
ASANKO GOLD INC.		
ASANTE GOLD CORPORATION		
ASBESTOS CORPORATION LIMITED		
ASCALADE COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
ASCENDANT RESOURCES INC.		
ASCENT INDUSTRIES CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
ASCOT RESOURCES LTD.		
ASEAN HOLDINGS INC.	ASC CTO	1a, 1b, 1c, 1e
ASHANTI SANKOFA INC.		
ASHLAR FINANCIAL SERVICES CORP	ASC CTO	1a, 1b, 1c, 1e, 1g
ASIA BIO-CHEM GROUP CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
ASIA CANNABIS CORP.		

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ASIA NOW RESOURCES CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
ASIA PACKAGING GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
ASIA SAPPHIRES LIMITED	ASC CTO	1a, 1b, 1c, 1e
ASIABASEMETALS INC.		
ASIAN MINERAL RESOURCES LIMITED		3
ASIAN TELEVISION NETWORK INTERNATIONAL LIMITED		
ASP123.COM SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ASPEN GROUP RESOURCES CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
ASPEN VENTURE CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
ASSET MANAGEMENT SOFTWARE SYSTEMS CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
ASSOCIATED JOJOBA CDN 85 2 LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e, 1g
ASSOCIATED JOJOBA CDN 85-3 LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e, 1g
ASSOCIATED JOJOBA CDN 86-1 LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e, 1g
ASSOCIATED JOJOBA CDN 87-1 LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e, 1g
ASSOCIATES OIL & GAS CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
ASSURE HOLDINGS CORP.		
ASTAWARE TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
ASTON BAY HOLDINGS LTD.		
ASTRON CONNECT INC.		
ATAC RESOURCES LTD.		
ATACAMA RESOURCES INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
ATALAYA MINING PLC		
ATBIS CANADIAN EQUITY POOL		
ATBIS FIXED INCOME POOL		
ATBIS INTERNATIONAL EQUITY POOL		
ATBIS U.S. EQUITY POOL		
ATC TECHNOLOGIES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ATCAN CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
ATCO LTD.		
ATEBA RESOURCES INC.		
ATEX RESOURCES INC.		
ATHABASCA MINERALS INC.		
ATHABASCA OIL CORPORATION		
ATI AIRTEST TECHNOLOGIES INC.		
ATICO MINING CORPORATION		
ATIKIN INVESTMENT INC.		
ATIKWA RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
ATLANTA GOLD INC.	Reciprocal CTO	1a, 1b, 1c, 1e
ATLANTIC CITY U S A	ASC CTO	1a, 1b, 1c, 1e

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ATLANTIC INDUSTRIAL MINERALS INCORPORATED		
ATLANTIC POWER CORPORATION		
ATLANTIC POWER LIMITED PARTNERSHIP		
ATLANTIC POWER PREFERRED EQUITY LTD.		
ATLANTIC SYSTEMS GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ATLANTIS SUBMARINES (INTERNATIONAL HOLDINGS), INC.		
ATLAS ENGINEERED PRODUCTS LTD.		
ATLAS STAR CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
ATNA RESOURCES LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
ATON RESOURCES INC.		
ATORO CAPITAL CORP.		
ATRIUM MORTGAGE INVESTMENT CORPORATION		
ATS AUTOMATION TOOLING SYSTEMS INC.		
ATW TECH INC.		
AUBREY ESTATES LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
AUDAX GAS & OIL LTD.	ASC CTO	1a, 1b, 1c, 1e
AUDIT RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
AUMENTO CAPITAL VII CORPORATION		
AURA MINERALS INC.		
AURA RESOURCES INC.		
AURALITE INVESTMENTS INC.		
AURAMEX RESOURCE CORP.		
AURANIA RESOURCES LTD.		
AURCANA CORPORATION		
AURCREST GOLD INC.		
AURELIAN DEVELOPERS LTD.	ASC CTO	1a, 1b, 1c, 1e
AURELIUS MINERALS INC.		
AUREX ENERGY CORP.		
AURIENT RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
AURINIA PHARMACEUTICALS INC.		
AURION RESOURCES LTD.		
AURORA CANNABIS INC.		
AURORA ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e
AURORA ROYALTIES INC.		
AURORA SOLAR TECHNOLOGIES INC.		
AURORA SPINE CORPORATION		
AURUN MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
AURWEST RESOURCES CORPORATION		
AURYN RESOURCES INC.		

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Name	Cease Traded	Nature of Default
AUSAM ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
AUSTIN RESOURCES LTD.		
AUSTON CAPITAL CORP.		
AUSTPRO ENERGY CORPORATION		
AUSTRAK INTERNATIONAL (CANADA) CORPORATION	ASC CTO	1a, 1b, 1c, 1e
AUSTRAL GOLD LIMITED		
AUSTRAL PACIFIC ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
AUSTRALIAN REIT INCOME FUND		
AUSTRALIS CAPITAL INC.		
AUTOBYTE TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
AUTOCANADA INC.		
AUTOMOTIVE FINCO CORP.		
AUTOMOTIVE PROPERTIES REAL ESTATE INVESTMENT TRUST		
AUTOTRADECENTER CANADA LTD.	ASC CTO	1a, 1b, 1c, 1e
AUXICO RESOURCES CANADA INC.		
AUXLY CANNABIS GROUP INC.		
AVALON ADVANCED MATERIALS INC.		
AVALON WORKS CORP.		
AVANTE LOGIXX INC.		
AVANTE TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
AVANTI ENERGY INC.		1k
AVARONE METALS INC.		
AVATAR ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
AVCORP INDUSTRIES INC.		
AVICANNA INC.		
AVIDIAN GOLD CORP.		
AVIDUS MANAGEMENT GROUP INC.	Reciprocal CTO	1a, 1b, 1c, 1e
AVINDA VIDEO INCORPORATED	ASC CTO	1a, 1b, 1c, 1e
AVINO SILVER & GOLD MINES LTD.		
AVITAR, INC.	ASC CTO	
AVIVAGEN INC.		
AVL VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
AVONHURST CAPITAL CORP.		
AVRICORE HEALTH INC.		
AVRUPA MINERALS LTD.		
AWALE RESOURCES LIMITED		
AWARE LEARNING TECHNOLOGIES CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
AXIOM ALL EQUITY PORTFOLIO		
AXIOM BALANCED GROWTH PORTFOLIO		

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AXIOM BALANCED INCOME PORTFOLIO		
AXIOM CANADIAN GROWTH PORTFOLIO		
AXIOM DIVERSIFIED MONTHLY INCOME PORTFOLIO		
AXIOM FOREIGN GROWTH PORTFOLIO		
AXIOM GLOBAL GROWTH PORTFOLIO		
AXIOM LONG-TERM GROWTH PORTFOLIO		
AXION VENTURES INC.		
AXIOS MOBILE ASSETS CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
AXIOTRON CORP.	ASC CTO	1a, 1b, 1c, 1e
AXIS AUTO FINANCE INC.		
AXIS.PORT INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
AXMIN INC.		
AXXENT INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
AYLEN CAPITAL INC.		
AYR STRATEGIES INC.		
AZABACHE ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
AZARGA METALS CORP.		
AZARGA URANIUM CORP.		
AZCAR TECHNOLOGIES INCORPORATED	ASC CTO	1a, 1b, 1c, 1d, 1e
AZEMA SCIENCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
AZIMUT EXPLORATION INC.		
AZINCOURT ENERGY CORP.		
AZTEC MINERALS CORP.		
AZTECA GOLD CORP.	ASC CTO	1a, 1b, 1c, 1e
AZTECH INNOVATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
AZTEK RESOURCE DEVELOPMENT INC.	ASC CTO	1a, 1b, 1c, 1e
AZUCAR MINERALS LTD.		
AZURE DYNAMICS CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
B.E.S.T. TOTAL RETURN FUND INC.		
B.G.M. DIVERSIFIED ENERGY INC	ASC CTO	1a, 1b, 1c, 1e, 1g
B.Y.G. NATURAL RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
B2GOLD CORP.		
BABYLON TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
BAC CANADA FINANCE COMPANY		
BACKSTAGEPLAY INC.		
BACTECH ENVIRONMENTAL CORPORATION		
BADGER DAYLIGHTING LTD.		
BALANCED 60/40 FUND		
BALANCED FOLIO FUND		

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BALANCED FUND		
BALANCED INCOME PORTFOLIO		
BALANCED MONTHLY INCOME FUND		
BALD EAGLE GOLF CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
BALLARD POWER SYSTEMS INC.		
BALMORAL RESOURCES LTD.		
BALTIC I ACQUISITION CORP.		
BALTO RESOURCES LTD.		
BAM BAM RESOURCES CORP.		
BANFF RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
BANGI INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
BANK OF AMERICA CORPORATION		
BANK OF MONTREAL		
BANK OF NOVA SCOTIA, THE		
BANKERS COBALT CORP.		
BANKERS PETROLEUMS (1975) LTD.	ASC CTO	1a, 1b, 1c, 1e
BANKS ISLAND GOLD LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
BANNER MINING CORPORATION	ASC CTO	1a, 1b, 1c, 1e
BANNERMAN RESOURCES LIMITED		
BANNOCKBURN RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
BANYAN CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
BANYAN GOLD CORP.		
BARCLAYS BANK PLC		3
BARD VENTURES LTD.		
BARKER MINERALS LTD.	Reciprocal CTO	1a, 1b, 1c, 1e, 3
BARKSDALE RESOURCES CORP.		
BAROLO VENTURES CORP.		
BAROMETER DISCIPLINED LEADERSHIP BALANCED FUND		
BAROMETER DISCIPLINED LEADERSHIP EQUITY FUND		
BAROMETER DISCIPLINED LEADERSHIP TACTICAL INCOME GROWTH FUND		
BAROYECA GOLD & SILVER INC.		
BARRANTAGH SMALL CAP CANADIAN EQUITY FUND		
BARRIAN MINING CORP.		
BARRICK GOLD CORPORATION		
BARRIER MINING CORP.	ASC CTO	1a, 1b, 1c, 1e
BARRON HUNTER HARGRAVE STRATEGIC RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
BARSELE MINERALS CORP.		
BARTIZAN CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1g
BARTON BAY RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g

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BARTON CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
BASE OF THE BRIDGE TECHNOLOGY LTD.	ASC CTO	1a, 1b, 1c, 1e
BASIC U.S. REIT, INC.	ASC CTO	1a, 1b, 1c, 1e
BASSETT MEDIA GROUP CORP.	ASC CTO	1a, 1b, 1c, 1e
BATERO GOLD CORP.		
BATTERY & WIRELESS SOLUTIONS INC.	ASC CTO	1a, 1b, 1c, 1e
BATTERY ROAD CAPITAL CORP.		
BATTERY TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
BAUSCH HEALTH COMPANIES INC.		
BAY TALENT GROUP INC.		
BAYAURA MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
BAYHORSE SILVER INC.		
BAYLIN TECHNOLOGIES INC.		
BAYMOUNT INCORPORATED		
BAYSHORE PETROLEUM CORP.		
BAYTEX ENERGY CORP.		
BB1 ACQUISITION CORP.		
BC MOLY LTD.		
BCE INC.		
BCE PLACE FINANCE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
BCM RESOURCES CORPORATION		
BCS TECHNOLOGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
BE RESOURCES INC.		
BEACON ACQUISITION PARTNERS INC.	ASC CTO	1a, 1b, 1c, 1e
BEACON RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
BEAMSCOPE CANADA INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
BEAR CREEK MINING CORPORATION		
BEARCAT EXPLORATIONS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
BEARCLAW CAPITAL CORP.		
BEARING LITHIUM CORP.		
BEAU PRE EXPLORATIONS LTD	ASC CTO	1a, 1b, 1c, 1e
BEAUCE GOLD FIELDS INC.		
BECKETT TECHNOLOGIES CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
BEDFORD ARMS	ASC CTO	1a, 1b, 1c, 1e
BEE VECTORING TECHNOLOGIES INTERNATIONAL INC.		
BELEAVE INC.	Reciprocal CTO	
BELFAST PETROLEUM INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
BELGRAVIA HARTFORD CAPITAL INC.		
BELL CANADA		

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BELL COPPER CORPORATION		
BELLATRIX EXPLORATION LTD.		
BELLRINGER RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
BELLS AND UTILITIES	ASC CTO	1a, 1b, 1c, 1e
BELLUS HEALTH INC.		
BELMONT PARK	ASC CTO	1a, 1b, 1c, 1e
BELMONT RESOURCES INC.		
BELO SUN MINING CORP.		
BELVEDERE HEIGHTS APARTMENTS LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
BEMETALS CORP.		
BENCHMARK BOTANICS INC.		
BENCHMARK METALS INC.		
BENGAL ENERGY LTD.		
BENTON RESOURCES INC.		
BENZ ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
BENZ MINING CORP.		
BERKLEY RENEWABLES INC.	Reciprocal CTO	1a, 1b, 1c, 1e, 1k
BERKWOOD RESOURCES LTD.		
BESPOKE CAPITAL ACQUISITION CORP.		
BESRA GOLD INC.		1d
BESSOR MINERALS INC.		
BESTAR INTERNATIONAL GROUP LTD.	ASC CTO	1a, 1b, 1c, 1e
BETACOM CORPORATION INC.	ASC CTO	1a, 1b, 1c, 1e
BETAPRO CANADIAN GOLD MINERS -2X DAILY BEAR ETF		
BETAPRO CANADIAN GOLD MINERS 2X DAILY BULL ETF		
BETAPRO CRUDE OIL -2X DAILY BEAR ETF		
BETAPRO CRUDE OIL 2X DAILY BULL ETF		
BETAPRO GOLD BULLION -2X DAILY BEAR ETF		
BETAPRO GOLD BULLION 2X DAILY BULL ETF		
BETAPRO MARIJUANA COMPANIES 2X DAILY BULL ETF		
BETAPRO MARIJUANA COMPANIES INVERSE ETF		
BETAPRO NASDAQ-100® -2X DAILY BEAR ETF		
BETAPRO NASDAQ-100® 2X DAILY BULL ETF		
BETAPRO NATURAL GAS -2X DAILY BEAR ETF		
BETAPRO NATURAL GAS 2X DAILY BULL ETF		
BETAPRO S&P 500 VIX SHORT-TERM FUTURES ETF		
BETAPRO S&P 500® -2X DAILY BEAR ETF		
BETAPRO S&P 500® 2X DAILY BULL ETF		
BETAPRO S&P 500® DAILY INVERSE ETF		

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BETAPRO S&P/TSX 60 -2X DAILY BEAR ETF		
BETAPRO S&P/TSX 60 2X DAILY BULL ETF		
BETAPRO S&P/TSX 60 DAILY INVERSE ETF		
BETAPRO S&P/TSX CAPPED ENERGY -2X DAILY BEAR ETF		
BETAPRO S&P/TSX CAPPED ENERGY 2X DAILY BULL ETF		
BETAPRO S&P/TSX CAPPED FINANCIALS -2X DAILY BEAR ETF		
BETAPRO S&P/TSX CAPPED FINANCIALS 2X DAILY BULL ETF		
BETAPRO SILVER -2X DAILY BEAR ETF		
BETAPRO SILVER 2X DAILY BULL ETF		
BETTERU EDUCATION CORP.		3
BETTS COVE MINERALS LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
BEUTEL GOODMAN AMERICAN EQUITY FUND		
BEUTEL GOODMAN BALANCED FUND		
BEUTEL GOODMAN CANADIAN DIVIDEND FUND		
BEUTEL GOODMAN CANADIAN EQUITY FUND		
BEUTEL GOODMAN CORE PLUS BOND FUND		
BEUTEL GOODMAN FUNDAMENTAL CANADIAN EQUITY FUND		
BEUTEL GOODMAN GLOBAL DIVIDEND FUND		
BEUTEL GOODMAN GLOBAL EQUITY FUND		
BEUTEL GOODMAN INCOME FUND		
BEUTEL GOODMAN INTERNATIONAL EQUITY FUND		
BEUTEL GOODMAN LONG TERM BOND FUND		
BEUTEL GOODMAN MONEY MARKET FUND		
BEUTEL GOODMAN NORTH AMERICAN FOCUSED EQUITY FUND		
BEUTEL GOODMAN SHORT TERM BOND FUND		
BEUTEL GOODMAN SMALL CAP FUND		
BEUTEL GOODMAN TOTAL WORLD EQUITY FUND		
BEUTEL GOODMAN WORLD FOCUS EQUITY FUND		
BEWHERE HOLDINGS INC.		
BEXAR VENTURES INC.		
BF REALTY HOLDINGS LIMITED	ASC CTO	1a, 1b, 1c, 1e
BFS ENTERTAINMENT & MULTIMEDIA LIMITED	Reciprocal CTO	1a, 1b, 1c, 1e
BHANG INC.		
BHK MINING CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
BIG DOUGIE CAPITAL CORP.		
BIG PHARMA SPLIT CORP.		
BIG PICTURE TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
BIG ROCK BREWERY INC.		
BIG SKY PETROLEUM CORPORATION		1k

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BIGG DIGITAL ASSETS INC.		
BIGHORN DEVELOPMENT CORPORATION	ASC CTO	1a, 1b, 1c, 1e
BIGKNOWLEDGE ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e
BINARY TECHNOLOGY FUNDING INC.	ASC CTO	1a, 1b, 1c, 1e
BINOPTIC INTERNATIONAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
BIOASIS TECHNOLOGIES INC.		
BIOCURE TECHNOLOGY INC.		
BIOENVELOP TECHNOLOGIES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
BIOEXX SPECIALTY PROTEINS LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
BIOHEP TECHNOLOGIES LTD.		
BIOLARGO, INC.		1g, 3, 4
BIOLIX CORPORATION	ASC CTO	1a, 1b, 1c, 1e
BIOMARK DIAGNOSTICS INC.		
BIOMAX TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
BIOME GROW INC.		
BIONEUTRA GLOBAL CORPORATION		
BIONEUTRA INTERNATIONAL, LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
BIOPHAGE PHARMA INC.	ASC CTO	1a, 1b, 1c, 1e
BIOREM INC.		
BIOSIGN TECHNOLOGIES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
BIOSYENT INC.		
BIOSYNTECH, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
BIOTANIKA HEALTH GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
BIOTECH HOLDINGS LTD		1a, 1b, 1c, 1e, 3
BIOTECHNA ENVIRONMENTAL (2000) CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
BIOXEL PHARMA INC.	ASC CTO	1a, 1b, 1c, 1e
BIP INVESTMENT CORPORATION		
BIRCH LAKE ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
BIRCH MOUNTAIN RESOURCES LTD.		1a, 1b, 1c, 1d, 1e
BIRCHCLIFF ENERGY LTD.		
BIRD CONSTRUCTION INC.		
BITTERROOT RESOURCES LTD.		
BLACK CREEK GLOBAL BALANCED CORPORATE CLASS		
BLACK CREEK GLOBAL BALANCED FUND		
BLACK CREEK GLOBAL LEADERS CORPORATE CLASS		
BLACK CREEK GLOBAL LEADERS FUND		
BLACK CREEK INTERNATIONAL EQUITY CORPORATE CLASS		
BLACK CREEK INTERNATIONAL EQUITY FUND		
BLACK DIAMOND DISTRIBUTORS CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g

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BLACK DIAMOND GROUP LIMITED		
BLACK DRAGON GOLD CORP.		
BLACK GOLD OIL & GAS LTD.	ASC CTO	1b
BLACK IRON INC.		
BLACK ISLE RESOURCES CORPORATION		
BLACK LION CAPITAL CORP.		
BLACK MAMMOTH METALS CORPORATION		
BLACK TUSK ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
BLACKBERRY LIMITED		
BLACKHAWK GROWTH CORP.		
BLACKHEATH RESOURCES INC.		
BLACKLINE SAFETY CORP.		
BLACKPOOL ENERGY LIMITED	ASC CTO	1a, 1b, 1c, 1e
BLACKROCK - IG ACTIVE ALLOCATION POOL I		
BLACKROCK - IG ACTIVE ALLOCATION POOL II		
BLACKROCK - IG ACTIVE ALLOCATION POOL III		
BLACKROCK - IG INTERNATIONAL EQUITY POOL		
BLACKROCK ALL BOND PORTFOLIO		
BLACKROCK BALANCED PORTFOLIO		
BLACKROCK CONSERVATIVE PORTFOLIO		
BLACKROCK DEFENSIVE PORTFOLIO		
BLACKROCK DIVERSIFIED MONTHLY INCOME PORTFOLIO		
BLACKROCK GOLD CORP.		
BLACKROCK GROWTH PORTFOLIO		
BLACKROCK MAXGROWTH PORTFOLIO		
BLACKSTEEL ENERGY INC.		
BLAKE RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
BLIND CREEK RESOURCES LTD.		
BLIZZARD FINANCE CORP.		
BLOCK ONE CAPITAL INC.		
BLOCKCHAIN EVOLUTION INC		
BLOCKCHAIN FOUNDRY INC.		
BLOCKCHAIN TECHNOLOGIES ETF		
BLOCKCHAINK2 CORP.		
BLOCKMINT TECHNOLOGIES INC.		
BLOCTRANS TECHNOLOGIES INC.		
BLOK TECHNOLOGIES INC.	Reciprocal CTO	
BLOOM CANADA DIVIDEND FUND		
BLOOM SELECT INCOME FUND		

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BLOOM U.S. INCOME & GROWTH FUND		
BLUE HILL CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
BLUE HORIZON INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1k, 2a, 3
BLUE LAGOON RESOURCES INC.		
BLUE MOON ZINC CORP.		
BLUE NOTE MINING INC.	ASC CTO	1a, 1b, 1c, 1e
BLUE RHINO CAPITAL CORP.		
BLUE RIBBON INCOME FUND		
BLUE RIVER RESOURCES LTD.		
BLUE SKY ENERGY INC.		
BLUE SKY URANIUM CORP.		
BLUE STAR GOLD CORP.		
BLUE ZEN MEMORIAL PARKS INC.	ASC CTO	1a, 1b, 1c, 1e
BLUEBAY \$U.S. GLOBAL CONVERTIBLE BOND CLASS (CANADA)		
BLUEBAY \$U.S. GLOBAL CONVERTIBLE BOND FUND (CANADA)		
BLUEBAY EMERGING MARKETS BOND FUND (CANADA)		
BLUEBAY EMERGING MARKETS CORPORATE BOND FUND		
BLUEBAY EMERGING MARKETS LOCAL CURRENCY BOND FUND (CANADA)		
BLUEBAY EUROPEAN HIGH YIELD BOND FUND (CANADA)		
BLUEBAY GLOBAL CONVERTIBLE BOND CLASS (CANADA)		
BLUEBAY GLOBAL CONVERTIBLE BOND FUND (CANADA)		
BLUEBAY GLOBAL INVESTMENT GRADE CORPORATE BOND FUND (CANADA)		
BLUEBAY GLOBAL MONTHLY INCOME BOND FUND		
BLUEBAY GLOBAL SOVEREIGN BOND FUND (CANADA)		
BLUEBERRIES MEDICAL CORP.		
BLUEBIRD BATTERY METALS INC.		
BLUEPOINT DATA, INC.	ASC CTO	1a, 1b, 1c, 1e
BLUEROCK VENTURES CORP.		
BLUERUSH INC.		
BLUESCOUT TECHNOLOGIES LTD.	ASC CTO	1a, 1b, 1c, 1e
BLUESKY DIGITAL ASSETS CORP.		
BLUESTAR BATTERY SYSTEMS INTERNATIONAL CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
BLUESTONE RESOURCES INC.		
BLUEWATER ACQUISITION CORP.		
BLUEWATER PARK APARTMENT PROJECT		
BLUFOREST INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 2a
BLUKNIGHT AQUAFARMS INC.		
BLUMETRIC ENVIRONMENTAL INC.		

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Name	Cease Traded	Nature of Default
BLUTIP POWER TECHNOLOGIES LTD.	ASC CTO	1a, 1b, 1c, 1e
BMD ENTERPRISES LTD.	ASC CTO	1a, 1b, 1c, 1e
BMG BULLIONFUND		
BMG GOLD BULLIONFUND		
BMG SILVER BULLIONFUND		
BMGB CAPITAL CORP.		
BMO AGGREGATE BOND INDEX ETF		
BMO ASCENT BALANCED PORTFOLIO		
BMO ASCENT CONSERVATIVE PORTFOLIO		
BMO ASCENT EQUITY GROWTH PORTFOLIO		
BMO ASCENT GROWTH PORTFOLIO		
BMO ASCENT INCOME PORTFOLIO		
BMO ASIAN GROWTH AND INCOME CLASS		
BMO ASIAN GROWTH AND INCOME FUND		
BMO ASSET ALLOCATION FUND		
BMO BALANCED ESG ETF		
BMO BALANCED ETF		
BMO BALANCED ETF PORTFOLIO		
BMO BALANCED ETF PORTFOLIO CLASS		
BMO BBB CORPORATE BOND INDEX ETF		
BMO BOND FUND		
BMO CANADIAN DIVIDEND ETF		
BMO CANADIAN EQUITY CLASS		
BMO CANADIAN EQUITY ETF FUND		
BMO CANADIAN EQUITY FUND		
BMO CANADIAN HIGH DIVIDEND COVERED CALL ETF		
BMO CANADIAN LARGE CAP EQUITY FUND		
BMO CANADIAN MBS INDEX ETF		
BMO CANADIAN SMALL CAP EQUITY FUND		
BMO CANADIAN STOCK SELECTION FUND		
BMO CAPITAL TRUST		
BMO CHINA EQUITY INDEX ETF		
BMO CONCENTRATED GLOBAL BALANCED FUND		
BMO CONCENTRATED GLOBAL EQUITY FUND		
BMO CONCENTRATED U.S. EQUITY FUND		
BMO CONSERVATIVE ETF		
BMO CONSERVATIVE ETF PORTFOLIO		
BMO CORE BOND FUND		
BMO CORE PLUS BOND FUND		

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BMO CORPORATE BOND INDEX ETF		
BMO COVERED CALL CANADA HIGH DIVIDEND ETF FUND		
BMO COVERED CALL CANADIAN BANKS ETF		
BMO COVERED CALL CANADIAN BANKS ETF FUND		
BMO COVERED CALL DOW JONES INDUSTRIAL AVERAGE HEDGED TO CAD ETF		
BMO COVERED CALL EUROPE HIGH DIVIDEND ETF FUND		
BMO COVERED CALL U.S. HIGH DIVIDEND ETF FUND		
BMO COVERED CALL US BANKS ETF		
BMO COVERED CALL UTILITIES ETF		
BMO CROSSOVER BOND FUND		
BMO DISCOUNT BOND INDEX ETF		
BMO DIVERSIFIED INCOME PORTFOLIO		
BMO DIVIDEND CLASS		
BMO DIVIDEND FUND		
BMO DOW JONES INDUSTRIAL AVERAGE HEDGED TO CAD INDEX ETF		
BMO EMERGING MARKETS BOND FUND		
BMO EMERGING MARKETS BOND HEDGED TO CAD INDEX ETF		
BMO EMERGING MARKETS FUND		
BMO ENTERPRISE FUND		
BMO EQUAL WEIGHT BANKS INDEX ETF		
BMO EQUAL WEIGHT GLOBAL BASE METALS HEDGED TO CAD INDEX ETF		
BMO EQUAL WEIGHT GLOBAL GOLD INDEX ETF		
BMO EQUAL WEIGHT INDUSTRIALS INDEX ETF		
BMO EQUAL WEIGHT OIL & GAS INDEX ETF		
BMO EQUAL WEIGHT REITS INDEX ETF		
BMO EQUAL WEIGHT US BANKS HEDGED TO CAD INDEX ETF		
BMO EQUAL WEIGHT US BANKS INDEX ETF		
BMO EQUAL WEIGHT US HEALTH CARE HEDGED TO CAD INDEX ETF		
BMO EQUAL WEIGHT US HEALTH CARE INDEX ETF		
BMO EQUAL WEIGHT UTILITIES INDEX ETF		
BMO EQUITY GROWTH ETF PORTFOLIO		
BMO EQUITY GROWTH ETF PORTFOLIO CLASS		
BMO ESG CORPORATE BOND INDEX ETF		
BMO ESG US CORPORATE BOND HEDGED TO CAD INDEX ETF		
BMO EUROPE HIGH DIVIDEND COVERED CALL ETF		
BMO EUROPE HIGH DIVIDEND COVERED CALL HEDGED TO CAD ETF		
BMO EUROPEAN FUND		
BMO FIXED INCOME ETF PORTFOLIO		

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BMO FLOATING RATE HIGH YIELD ETF		
BMO FLOATING RATE INCOME FUND		
BMO FUNDSELECT BALANCED PORTFOLIO		
BMO FUNDSELECT EQUITY GROWTH PORTFOLIO		
BMO FUNDSELECT GROWTH PORTFOLIO		
BMO FUNDSELECT INCOME PORTFOLIO		
BMO GLOBAL BALANCED FUND		
BMO GLOBAL COMMUNICATIONS INDEX ETF		
BMO GLOBAL CONSUMER DISCRETIONARY HEDGED TO CAD INDEX ETF		
BMO GLOBAL CONSUMER STAPLES HEDGED TO CAD INDEX ETF		
BMO GLOBAL DIVIDEND CLASS		
BMO GLOBAL DIVIDEND FUND		
BMO GLOBAL ENERGY CLASS		
BMO GLOBAL EQUITY CLASS		
BMO GLOBAL EQUITY FUND		
BMO GLOBAL GROWTH & INCOME FUND		
BMO GLOBAL HIGH DIVIDEND COVERED CALL ETF		
BMO GLOBAL INFRASTRUCTURE FUND		
BMO GLOBAL INFRASTRUCTURE INDEX ETF		
BMO GLOBAL LOW VOLATILITY ETF CLASS		
BMO GLOBAL MONTHLY INCOME FUND		
BMO GLOBAL MULTI-SECTOR BOND FUND		
BMO GLOBAL SMALL CAP FUND		
BMO GLOBAL STRATEGIC BOND FUND		
BMO GLOBAL WATER SOLUTIONS TACTIC FUND		
BMO GOVERNMENT BOND INDEX ETF		
BMO GREATER CHINA CLASS		
BMO GROWTH & INCOME FUND		
BMO GROWTH ETF		
BMO GROWTH ETF PORTFOLIO		
BMO GROWTH ETF PORTFOLIO CLASS		
BMO GROWTH OPPORTUNITIES FUND		
BMO HIGH QUALITY CORPORATE BOND INDEX ETF		
BMO HIGH YIELD US CORPORATE BOND HEDGED TO CAD INDEX ETF		
BMO HIGH YIELD US CORPORATE BOND INDEX ETF		
BMO INCOME ETF PORTFOLIO		
BMO INCOME ETF PORTFOLIO CLASS		
BMO INDIA EQUITY INDEX ETF		
BMO INTERNATIONAL DIVIDEND ETF		

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BMO INTERNATIONAL DIVIDEND HEDGED TO CAD ETF		
BMO INTERNATIONAL EQUITY ETF FUND		
BMO INTERNATIONAL EQUITY FUND		
BMO INTERNATIONAL VALUE CLASS		
BMO INTERNATIONAL VALUE FUND		
BMO JAPAN FUND		
BMO JUNIOR GAS INDEX ETF		
BMO JUNIOR GOLD INDEX ETF		
BMO JUNIOR OIL INDEX ETF		
BMO LADDERED PREFERRED SHARE INDEX ETF		
BMO LIFESTAGE PLUS 2020 FUND		
BMO LIFESTAGE PLUS 2022 FUND		
BMO LIFESTAGE PLUS 2025 FUND		
BMO LIFESTAGE PLUS 2026 FUND		
BMO LIFESTAGE PLUS 2030 FUND		
BMO LONG CORPORATE BOND INDEX ETF		
BMO LONG FEDERAL BOND INDEX ETF		
BMO LONG PROVINCIAL BOND INDEX ETF		
BMO LONG-TERM US TREASURY BOND INDEX ETF		
BMO LOW VOLATILITY CANADIAN EQUITY ETF		
BMO LOW VOLATILITY CANADIAN EQUITY ETF FUND		
BMO LOW VOLATILITY EMERGING MARKETS EQUITY ETF		
BMO LOW VOLATILITY INTERNATIONAL EQUITY ETF		
BMO LOW VOLATILITY INTERNATIONAL EQUITY HEDGED TO CAD ETF		
BMO LOW VOLATILITY US EQUITY ETF		
BMO LOW VOLATILITY US EQUITY HEDGED TO CAD ETF		
BMO MID CORPORATE BOND INDEX ETF		
BMO MID FEDERAL BOND INDEX ETF		
BMO MID PROVINCIAL BOND INDEX ETF		
BMO MID-TERM US IG CORPORATE BOND HEDGED TO CAD INDEX ETF		
BMO MID-TERM US IG CORPORATE BOND INDEX ETF		
BMO MID-TERM US TREASURY BOND INDEX ETF		
BMO MONEY MARKET FUND		
BMO MONTHLY DIVIDEND FUND LTD.		
BMO MONTHLY HIGH INCOME FUND II		
BMO MONTHLY INCOME ETF		
BMO MONTHLY INCOME FUND		
BMO MORTGAGE AND SHORT-TERM INCOME FUND		
BMO MSCI ALL COUNTRY WORLD HIGH QUALITY INDEX ETF		

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BMO MSCI CANADA ESG LEADERS INDEX ETF		
BMO MSCI CANADA VALUE INDEX ETF		
BMO MSCI EAFE ESG LEADERS INDEX ETF		
BMO MSCI EAFE HEDGED TO CAD INDEX ETF		
BMO MSCI EAFE INDEX ETF		
BMO MSCI EAFE VALUE INDEX ETF		
BMO MSCI EMERGING MARKETS INDEX ETF		
BMO MSCI EUROPE HIGH QUALITY HEDGED TO CAD INDEX ETF		
BMO MSCI GLOBAL ESG LEADERS INDEX ETF		
BMO MSCI USA ESG LEADERS INDEX ETF		
BMO MSCI USA HIGH QUALITY INDEX ETF		
BMO MSCI USA VALUE INDEX ETF		
BMO MULTI-FACTOR EQUITY FUND		
BMO NASDAQ 100 EQUITY HEDGED TO CAD INDEX ETF		
BMO NASDAQ 100 EQUITY INDEX ETF		
BMO NORTH AMERICAN DIVIDEND FUND		
BMO PINEBRIDGE PREFERRED SECURITIES TACTIC FUND		
BMO PRECIOUS METALS FUND		
BMO PREFERRED SHARE FUND		
BMO PREMIUM YIELD ETF		
BMO PRIVATE CANADIAN CORE EQUITY PORTFOLIO		
BMO PRIVATE CANADIAN CORPORATE BOND PORTFOLIO		
BMO PRIVATE CANADIAN INCOME EQUITY PORTFOLIO		
BMO PRIVATE CANADIAN MID-TERM BOND PORTFOLIO		
BMO PRIVATE CANADIAN MONEY MARKET PORTFOLIO		
BMO PRIVATE CANADIAN SHORT-TERM BOND PORTFOLIO		
BMO PRIVATE CANADIAN SPECIAL EQUITY PORTFOLIO		
BMO PRIVATE DIVERSIFIED YIELD PORTFOLIO		
BMO PRIVATE EMERGING MARKETS EQUITY PORTFOLIO		
BMO PRIVATE INTERNATIONAL EQUITY PORTFOLIO		
BMO PRIVATE U.S. EQUITY PORTFOLIO		
BMO PRIVATE U.S. GROWTH EQUITY PORTFOLIO		
BMO PRIVATE U.S. SPECIAL EQUITY PORTFOLIO		
BMO REAL RETURN BOND INDEX ETF		
BMO RESOURCE FUND		
BMO RETIREMENT BALANCED PORTFOLIO		
BMO RETIREMENT CONSERVATIVE PORTFOLIO		
BMO RETIREMENT INCOME PORTFOLIO		
BMO RISK REDUCTION EQUITY FUND		

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BMO RISK REDUCTION FIXED INCOME FUND		
BMO S&P 500 HEDGED TO CAD INDEX ETF		
BMO S&P 500 INDEX ETF		
BMO S&P US MID CAP INDEX ETF		
BMO S&P US SMALL CAP INDEX ETF		
BMO S&P/TSX CAPPED COMPOSITE INDEX ETF		
BMO SELECTCLASS BALANCED PORTFOLIO		
BMO SELECTCLASS EQUITY GROWTH PORTFOLIO		
BMO SELECTCLASS GROWTH PORTFOLIO		
BMO SELECTCLASS INCOME PORTFOLIO		
BMO SELECTTRUST BALANCED PORTFOLIO		
BMO SELECTTRUST CONSERVATIVE PORTFOLIO		
BMO SELECTTRUST EQUITY GROWTH PORTFOLIO		
BMO SELECTTRUST FIXED INCOME PORTFOLIO		
BMO SELECTTRUST GROWTH PORTFOLIO		
BMO SELECTTRUST INCOME PORTFOLIO		
BMO SHORT CORPORATE BOND INDEX ETF		
BMO SHORT FEDERAL BOND INDEX ETF		
BMO SHORT PROVINCIAL BOND INDEX ETF		
BMO SHORT-TERM BOND INDEX ETF		
BMO SHORT-TERM US IG CORPORATE BOND HEDGED TO CAD INDEX ETF		
BMO SHORT-TERM US TREASURY BOND INDEX ETF		
BMO SIA FOCUSED CANADIAN EQUITY FUND		
BMO SIA FOCUSED NORTH AMERICAN EQUITY FUND		
BMO SUSTAINABLE OPPORTUNITIES GLOBAL EQUITY FUND		
BMO TACTICAL BALANCED ETF FUND		
BMO TACTICAL DIVIDEND ETF FUND		
BMO TACTICAL GLOBAL ASSET ALLOCATION ETF FUND		
BMO TACTICAL GLOBAL BOND ETF FUND		
BMO TACTICAL GLOBAL EQUITY ETF FUND		
BMO TACTICAL GLOBAL GROWTH ETF FUND		
BMO TARGET EDUCATION 2020 PORTFOLIO		
BMO TARGET EDUCATION 2025 PORTFOLIO		
BMO TARGET EDUCATION 2030 PORTFOLIO		
BMO TARGET EDUCATION 2035 PORTFOLIO		
BMO TARGET EDUCATION INCOME PORTFOLIO		
BMO U.S. DIVIDEND FUND		
BMO U.S. DOLLAR BALANCED FUND		
BMO U.S. DOLLAR DIVIDEND FUND		

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BMO U.S. DOLLAR EQUITY INDEX FUND		
BMO U.S. DOLLAR MONEY MARKET FUND		
BMO U.S. DOLLAR MONTHLY INCOME FUND		
BMO U.S. EQUITY CLASS		
BMO U.S. EQUITY ETF FUND		
BMO U.S. EQUITY FUND		
BMO U.S. EQUITY PLUS FUND		
BMO U.S. HIGH YIELD BOND FUND		
BMO U.S. SMALL CAP FUND		
BMO ULTRA SHORT-TERM BOND ETF		
BMO ULTRA SHORT-TERM US BOND ETF		
BMO US DIVIDEND ETF		
BMO US DIVIDEND HEDGED TO CAD ETF		
BMO US HIGH DIVIDEND COVERED CALL ETF		
BMO US HIGH DIVIDEND COVERED CALL HEDGED TO CAD ETF		
BMO US PREFERRED SHARE HEDGED TO CAD INDEX ETF		
BMO US PREFERRED SHARE INDEX ETF		
BMO US PUT WRITE ETF		
BMO US PUT WRITE HEDGED TO CAD ETF		
BMO WOMEN IN LEADERSHIP FUND		
BMO WORLD BOND FUND		
BMR GOLD CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
BNET MEDIA GROUP INC.		1g
BNK PETROLEUM INC.		
BNP RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
BNS SPLIT CORP.		
BNS SPLIT CORP. II		
BNY MELLON LARGE CAP SECURITIES FUND, INC.		
BOARDWALK REAL ESTATE INVESTMENT TRUST		
BOARDWALK REIT LIMITED PARTNERSHIP		
BOARDWALKTECH SOFTWARE CORP.		
BOLD CAPITAL ENTERPRISES LTD.		
BOLD STROKE VENTURES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
BOLD VENTURES INC.		
BOLT METALS CORP.		
BOMAX RESOURCE CORP.	ASC CTO	1a, 1b, 1c, 1e
BOMBARDIER INC.		
BONAVENTURE RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
BONAVENTURE TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e

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BONAVISTA ENERGY CORPORATION		
BOND RESOURCES INC.		
BONKERS VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
BONTERRA ENERGY CORP.		
BONTERRA RESOURCES INC.		
BOOKFORTRAVEL.COM INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
BOOMER FINANCIAL INC.		
BOOMERANG OIL, INC.	Reciprocal CTO	1a, 1b, 1c, 1e
BOOST CAPITAL CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
BORALEX INC.		
BORDER PETROLEUM LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1k
BOREAL METALS CORP.		
BOREALIS EXPLORATION LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
BOREALIS INFRASTRUCTURE TRUST		
BOSS MINERALS INC.		
BOSTON PIZZA ROYALTIES INCOME FUND		
BOUNDARY GOLD AND COPPER MINING LTD.		
BOW RIVER EXPLORATION LTD.	ASC CTO	1a, 1b, 1c, 1e
BOW TIE ACQUISITIONS CORP.	ASC CTO	1a, 1b, 1c, 1e
BOYD GROUP SERVICES INC.		
BOYUAN CONSTRUCTION GROUP, INC.		
BPLI HOLDINGS INC.		
BQE WATER INC.		
BRACHIUM CAPITAL CORP.		
BRACKNELL CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
BRAGG GAMING GROUP INC.		
BRAILLE ENERGY SYSTEMS INC.		
BRAINGRID LIMITED		
BRAINHUNTER INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
BRAINIUM TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
BRAKE CHECK CANADA INC.	ASC CTO	1a, 1b, 1c, 1e
BRAMALEA INC.	ASC CTO	1a, 1b, 1c, 1e
BRAMAR INDUSTRIES CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
BRAMPTON BRICK LIMITED		
BRANA OIL & GAS LTD.	ASC CTO	1a, 1b, 1c, 1e
BRAND LEADERS INCOME FUND		
BRAND MARVEL WORLDWIDE CONSUMER PRODUCTS CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e
BRAND X LIFESTYLE CORP.		
BRANDENBURG ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k

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BRANDES CANADIAN EQUITY FUND		
BRANDES CANADIAN MONEY MARKET FUND		
BRANDES CORPORATE FOCUS BOND FUND		
BRANDES EMERGING MARKETS VALUE FUND		
BRANDES GLOBAL EQUITY FUND		
BRANDES GLOBAL OPPORTUNITIES FUND		
BRANDES GLOBAL SMALL CAP EQUITY FUND		
BRANDES INTERNATIONAL EQUITY FUND		
BRANDES U.S. EQUITY FUND		
BRANDGAMZ MARKETING INC	ASC CTO	1a, 1b, 1c, 1e
BRANDON ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
BRASILCA MINING CORPORATION	ASC CTO	1a, 1b, 1c, 1e
BRATTLE STREET INVESTMENT CORP.		
BRAUCH DATABASE SYSTEMS, INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
BRAVADA GOLD CORPORATION		
BRAVEHEART RESOURCES INC.		
BRAVERN VENTURES LTD.		
BRAVO RESOURCE PARTNERS LTD.	ASC CTO	1a, 1b, 1c, 1e
BRAZILIAN RESOURCES, INC.	ASC CTO	1a, 1b, 1c, 1e
BREAKING POINT DEVELOPMENTS INC.	ASC CTO	1a, 1b, 1c, 1e
BRE-X MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
BRIACELL THERAPEUTICS CORP.		
BRIANA BIO-TECH INC.	ASC CTO	1a, 1b, 1c, 1e
BRI-CHEM CORP.		
BRIDGE WAY	ASC CTO	1a, 1b, 1c, 1e
BRIDGEHOUSE CANADIAN BOND FUND		
BRIDGEMARQ REAL ESTATE SERVICES INC.		
BRIDGEPOINT INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
BRIDGES ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e
BRIGADIER GOLD LIMITED		
BRIGHT PLAN		
BRIGHT STAR VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e
BRIGHTER MINDS MEDIA INC.	ASC CTO	1a, 1b, 1c, 1e
BRIGHTON GARDENS OF BOYNTON BEACH LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
BRIKO ENERGY CORP.		
BRISBANE CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
BRISTOL GATE CONCENTRATED CANADIAN EQUITY ETF		
BRISTOL GATE CONCENTRATED US EQUITY ETF		
BRITISH COLUMBIA FERRY SERVICES INC.		

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BRITISH CONTROLLED OILFIELDS LTD.		1a, 1b, 1c, 1d
BRIXTON ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
BRIXTON METALS CORPORATION		
BROADBAND LEARNING CORPORATION	ASC CTO	1a, 1b, 1c, 1e
BROCKTON VENTURES INC.		
BROMLEY . MARR ECOS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
BROMPTON EUROPEAN DIVIDEND GROWTH ETF		
BROMPTON FLAHERTY & CRUMRINE INVESTMENT GRADE PREFERRED ETF		
BROMPTON GLOBAL DIVIDEND GROWTH ETF		
BROMPTON GLOBAL HEALTHCARE INCOME & GROWTH ETF		
BROMPTON LIFECO SPLIT CORP.		
BROMPTON NORTH AMERICAN FINANCIALS DIVIDEND ETF		
BROMPTON OIL SPLIT CORP.		
BROMPTON SPLIT BANC CORP.		
BROMPTON TECH LEADERS INCOME ETF		
BROOKFIELD ASSET MANAGEMENT INC.		
BROOKFIELD BUSINESS PARTNERS L.P.		
BROOKFIELD FINANCE INC.		
BROOKFIELD FINANCE LLC		
BROOKFIELD GLOBAL INFRASTRUCTURE SECURITIES INCOME FUND		
BROOKFIELD INFRASTRUCTURE CORPORATION		
BROOKFIELD INFRASTRUCTURE FINANCE LIMITED		
BROOKFIELD INFRASTRUCTURE FINANCE LLC		
BROOKFIELD INFRASTRUCTURE FINANCE PTY LTD		
BROOKFIELD INFRASTRUCTURE FINANCE ULC		
BROOKFIELD INFRASTRUCTURE PARTNERS EXCHANGE LP		
BROOKFIELD INFRASTRUCTURE PARTNERS L.P.		
BROOKFIELD INFRASTRUCTURE PREFERRED EQUITY INC.		
BROOKFIELD INVESTMENTS CORPORATION		
BROOKFIELD OFFICE PROPERTIES EXCHANGE LP		
BROOKFIELD OFFICE PROPERTIES INC.		
BROOKFIELD PROPERTY FINANCE ULC		
BROOKFIELD PROPERTY PARTNERS L.P.		
BROOKFIELD PROPERTY PREFERRED EQUITY INC.		
BROOKFIELD PROPERTY SPLIT CORP.		
BROOKFIELD RENEWABLE PARTNERS L.P.		
BROOKFIELD RENEWABLE PARTNERS ULC		
BROOKFIELD RENEWABLE POWER PREFERRED EQUITY INC.		
BROOKFIELD SELECT OPPORTUNITIES INCOME FUND		

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Name	Cease Traded	Nature of Default
BROOKSBAB ENTERPRISES INC.		
BROWNING COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
BROWNS CREEK GOLD N L	ASC CTO	1a, 1b, 1c, 1e
BRO-X MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
BRP INC.		
BRS RESOURCES LTD.	Reciprocal CTO	1a, 1b, 1c, 1e, 1k
BRUNSWICK OIL N L	ASC CTO	1a, 1b, 1c, 1e
BRUNSWICK RESOURCES INC.		
BSR REAL ESTATE INVESTMENT TRUST		
BTB REAL ESTATE INVESTMENT TRUST		
BTU METALS CORP.		
BTV GAMES INC.	ASC CTO	1a, 1b, 1c, 1e
BUCCANEER GOLD CORP.		
BUCHANS RESOURCES LIMITED		
BUCHANS WILEYS EXPLORATION INC		
BUCKHAVEN CAPITAL CORP.		
BUCKING HORSE ENERGY INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
BUCKINGHAM INTERNATIONAL HOLDINGS LTD.	ASC CTO	1a, 1b, 1c, 1e
BUDDHA RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
BUFFALO COAL CORP.		
BUFFALO GOLD LTD.	ASC CTO	1a, 1b, 1c, 1e
BUHLER INDUSTRIES INC.		
BUILDERS CAPITAL MORTGAGE CORP.		
BULLION GOLD RESOURCES CORP.		
BURCON NUTRASCIENCE CORPORATION		
BURNSTONE VENTURES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
BUSHMAN RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
BUSINESS SYSTEMS INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
BUTTE ENERGY INC.		
BUZBUZ CAPITAL CORP.		
BUZZ CAPITAL 2 INC.		
BUZZ CAPITAL INC.		
BWR EXPLORATION INC.		
BY DESIGN	ASC CTO	1a, 1b, 1c, 1e
BYRNA TECHNOLOGIES, INC.		
C A T COMPUTER ASSISTED TRAINING SOFTWARE INC.	ASC CTO	1a, 1b, 1c, 1e
C INTERNATIONAL INCOME FUND	ASC CTO	1a, 1b, 1c, 1e
C&T STRIPS PROGRAM OF CIBC WORLD MARKETS INC.		
C.E.M. CENTRY ELECTRONICS MONITORING CORPORATION	ASC CTO	1a, 1b, 1c, 1e

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C.F.P.C. (1990) LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
C.M.A. CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
C21 INVESTMENTS INC.		
CABIA GOLDHILLS INC.	ASC CTO	1a, 1b, 1c, 1e
CABLETEL COMMUNICATIONS CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e
CABO DRILLING CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
CABRAL GOLD INC.		
CACHE EXPLORATION INC.		
CACHET COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
CADILLAC VENTURES INC.		
CADRE RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
CAE INC.		
CAELAN CAPITAL INC		
CAFE SERENDIPITY HOLDINGS, INC.	ASC CTO	1a, 1b, 1c, 1e
CAIR CUSTOM AIR FREIGHT INC.	ASC CTO	1a, 1b, 1c, 1e
CAIRO RESOURCES INC.		
CAITERRA INTERNATIONAL ENERGY CORPORATION		
CALAVERAS RESOURCE CORP.		
CALCAP INVESTMENTS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
CALDAS GOLD CORP.		
CALDERA RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
CALDWELL BALANCED FUND		
CALDWELL CANADIAN VALUE MOMENTUM FUND		
CALDWELL U.S. DIVIDEND ADVANTAGE FUND		
CALFRAC WELL SERVICES LTD.		
CALGROUP GRAPHICS CORPORATION LTD.	ASC CTO	1a, 1b, 1c, 1e
CALIAN GROUP LTD.		
CALIBER MINERALS INC.		
CALIBRE ENERGY INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CALIBRE MINING CORP.		
CALIFFI CAPITAL CORP.		
CALIFORNIA GOLD MINING INC.		
CALIFORNIA NANOTECHNOLOGIES CORP.		
CALLINEX MINES INC.		
CALLITAS HEALTH INC.	Reciprocal CTO	1a, 1b, 1c, 1e
CALMENA ENERGY SERVICES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
CALSTAR OIL & GAS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
CALTEC INVESTMENTS INC.	ASC CTO	1a, 1b, 1c, 1e
CALTO INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e

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CALYX VENTURES INC.		3
CAM TURF CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CAMARICO INVESTMENT GROUP LTD.		
CAMBERLY ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
CAMBIUM LIMITED PARTNERSHIP NO. TWO	ASC CTO	1a, 1b, 1c, 1e
CAMBRIDGE ASSET ALLOCATION CORPORATE CLASS		
CAMBRIDGE ASSET ALLOCATION FUND		
CAMBRIDGE BOND FUND		
CAMBRIDGE CANADIAN DIVIDEND CORPORATE CLASS		
CAMBRIDGE CANADIAN DIVIDEND FUND		
CAMBRIDGE CANADIAN EQUITY CORPORATE CLASS		
CAMBRIDGE CANADIAN EQUITY FUND		
CAMBRIDGE CANADIAN LONG-TERM BOND POOL		
CAMBRIDGE CANADIAN SHORT-TERM BOND POOL		
CAMBRIDGE COLLEGES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CAMBRIDGE ENVIRONMENTAL SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CAMBRIDGE GLOBAL DIVIDEND CLASS		
CAMBRIDGE GLOBAL DIVIDEND CORPORATE CLASS		
CAMBRIDGE GLOBAL DIVIDEND FUND		
CAMBRIDGE GLOBAL EQUITY CORPORATE CLASS		
CAMBRIDGE GLOBAL EQUITY FUND		
CAMBRIDGE GLOBAL HIGH INCOME FUND		
CAMBRIDGE GLOBAL SMALLER COMPANIES CORPORATE CLASS		
CAMBRIDGE GLOBAL SMALLER COMPANIES FUND		
CAMBRIDGE MONTHLY INCOME CORPORATE CLASS		
CAMBRIDGE MONTHLY INCOME FUND		
CAMBRIDGE PURE CANADIAN EQUITY CORPORATE CLASS		
CAMBRIDGE PURE CANADIAN EQUITY FUND		
CAMBRIDGE PUT WRITE POOL		
CAMBRIDGE SQUARE NORTH	ASC CTO	1a, 1b, 1c, 1e
CAMBRIDGE SQUARE SOUTH	ASC CTO	1a, 1b, 1c, 1e
CAMBRIDGE STOCK SELECTION FUND		
CAMBRIDGE U.S. DIVIDEND FUND		
CAMBRIDGE U.S. DIVIDEND REGISTERED FUND		
CAMBRIDGE U.S. DIVIDEND US\$ FUND		
CAMECO CORPORATION		
CAMEO INDUSTRIES CORP.		
CAMINO MINERALS CORPORATION		
CAMMAC GROUP INC.	ASC CTO	1a, 1b, 1c, 1e

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CAMPBELL RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
CAMROVA RESOURCES INC.		
CANACCORD GENUITY GROUP INC.		
CANACCORD GENUITY GROWTH II CORP.		
CANACOL ENERGY LTD.		
CANADA LIFE PATHWAYS INTERNATIONAL EQUITY FUND		
CANADA 3000 INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CANADA CARBON INC.		
CANADA COAL INC.		
CANADA COBALT WORKS INC.		
CANADA ENERGY PARTNERS INC.	Reciprocal CTO	
CANADA GOOSE HOLDINGS INC.		
CANADA HOUSE WELLNESS GROUP INC.		
CANADA IRON INC.	ASC CTO	1a, 1b, 1c, 1e
CANADA JETLINES LTD.		
CANADA LEASE FINANCING LTD.	ASC CTO	1a, 1b, 1c, 1e
CANADA LIFE CAPITAL TRUST		
CANADA LIFE PATHWAYS CANADIAN CONCENTRATED EQUITY FUND		
CANADA LIFE PATHWAYS CANADIAN EQUITY FUND		
CANADA LIFE PATHWAYS CORE BOND FUND		
CANADA LIFE PATHWAYS CORE PLUS BOND FUND		
CANADA LIFE PATHWAYS EMERGING MARKETS EQUITY FUND		
CANADA LIFE PATHWAYS EMERGING MARKETS LARGE CAP EQUITY FUND		
CANADA LIFE PATHWAYS GLOBAL CORE PLUS BOND FUND		
CANADA LIFE PATHWAYS GLOBAL MULTI SECTOR BOND FUND		
CANADA LIFE PATHWAYS GLOBAL TACTICAL FUND		
CANADA LIFE PATHWAYS INTERNATIONAL CONCENTRATED EQUITY FUND		
CANADA LIFE PATHWAYS MONEY MARKET FUND		
CANADA LIFE PATHWAYS U.S. CONCENTRATED EQUITY FUND		
CANADA LIFE PATHWAYS U.S. EQUITY FUND		
CANADA NICKEL COMPANY INC.		
CANADA ONE MINING CORP.		
CANADA RARE EARTH CORP.		
CANADA RENEWABLE BIOENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e
CANADABIS CAPITAL INC.		
CANADIAN AGRA FOODS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CANADIAN AIRLINES CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CANADIAN APARTMENT PROPERTIES REAL ESTATE INVESTMENT TRUST		

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CANADIAN BANC CORP.		
CANADIAN BARRANCA CORPORATION LTD.	ASC CTO	1a, 1b, 1c, 1e
CANADIAN COMMERCIAL BANK	ASC CTO	1a, 1b, 1c, 1e
CANADIAN CREDIT CARD TRUST II		
CANADIAN CRUDE OIL INDEX ETF		
CANADIAN DATA LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
CANADIAN DESTINATION PROPERTIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CANADIAN DIVIDEND CLASS (LAKETON)		
CANADIAN DOLLAR CASH MANAGEMENT FUND		
CANADIAN EAGLE EXPLORATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
CANADIAN EQUITY ALPHA CORPORATE CLASS		
CANADIAN EQUITY ALPHA POOL		
CANADIAN EQUITY CLASS (LAKETON)		
CANADIAN EQUITY FUND		
CANADIAN EQUITY FUND (LAKETON)		
CANADIAN EQUITY GROWTH CORPORATE CLASS		
CANADIAN EQUITY GROWTH POOL		
CANADIAN EQUITY SMALL CAP CORPORATE CLASS		
CANADIAN EQUITY SMALL CAP POOL		
CANADIAN EQUITY VALUE CORPORATE CLASS		
CANADIAN EQUITY VALUE POOL		
CANADIAN FIBER TECHNOLOGIES FUND	ASC CTO	1a, 1b, 1c
CANADIAN FIBER TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
CANADIAN FIXED INCOME CORPORATE CLASS		
CANADIAN FIXED INCOME FUND		
CANADIAN FIXED INCOME POOL		
CANADIAN GENERAL INVESTMENTS, LIMITED		
CANADIAN GRIZZLY BEAR MINES INC.	ASC CTO	1a, 1b, 1c, 1e
CANADIAN GROWTH CLASS (GWLIM)		
CANADIAN GROWTH FUND (GWLIM)		
CANADIAN HIGH INCOME EQUITY FUND		
CANADIAN IMPERIAL BANK OF COMMERCE		
CANADIAN IMPERIAL VENTURE CORP.		
CANADIAN INTERCONTINENTAL EQUITIES LIMITED	ASC CTO	1a, 1b, 1c, 1e
CANADIAN INTERNATIONAL CENTRE COM 1986	ASC CTO	1a, 1b, 1c, 1e
CANADIAN INTERNATIONAL PHARMA CORP.		
CANADIAN INVESTMENT GRADE PREFERRED SHARE FUND		
CANADIAN KINETICS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CANADIAN LIFE COMPANIES SPLIT CORP.		

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CANADIAN LOW VOLATILITY CLASS (LONDON CAPITAL)		
CANADIAN MANOIR INDUSTRIES LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1k
CANADIAN METALS INC.		
CANADIAN NATIONAL RAILWAY COMPANY		
CANADIAN NATURAL RESOURCES LIMITED		
CANADIAN NEWNORTH RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e
CANADIAN OIL RECOVERY & REMEDIATION ENTERPRISES LTD.		
CANADIAN OREBODIES INC.		
CANADIAN OVERSEAS PETROLEUM LIMITED		
CANADIAN PACIFIC RAILWAY COMPANY		
CANADIAN PACIFIC RAILWAY LIMITED		
CANADIAN PALLADIUM RESOURCES INC.		
CANADIAN PLATINUM REFINERIES INC.	ASC CTO	1a, 1b, 1c, 1e
CANADIAN PONDERAY ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e
CANADIAN PREMIUM SAND INC.		
CANADIAN PROFESSIONAL MUNITIONS LTD.	ASC CTO	1a, 1b, 1c, 1e
CANADIAN QUANTUM ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
CANADIAN SCHOLARSHIP TRUST FAMILY SAVINGS PLAN		
CANADIAN SCHOLARSHIP TRUST FOUNDERS' PLAN		
CANADIAN SCHOLARSHIP TRUST GROUP SAVINGS PLAN		
CANADIAN SCHOLARSHIP TRUST INDIVIDUAL SAVINGS PLAN		
CANADIAN SILVER HUNTER INC.		1a, 1b, 1c, 1e
CANADIAN SMALL COMPANY EQUITY FUND		
CANADIAN SPIRIT RESOURCES INC.		
CANADIAN SPOONER INDUSTRIES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CANADIAN SPOONER RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
CANADIAN STEVIA CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CANADIAN TIRE CORPORATION, LIMITED		
CANADIAN UTILITIES & TELECOM INCOME FUND		
CANADIAN UTILITIES LIMITED		
CANADIAN VALUE CLASS (FGP)		
CANADIAN WESTERN BANK		
CANADIANA GENETICS INC.	ASC CTO	1a, 1b, 1c, 1e
CANADIAN-STAR INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
CANAF INVESTMENTS INC.		
CANAFARMA HEMP PRODUCTS CORP.		
CANALANDS RESOURCES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CANALASKA URANIUM LTD.		
CANAM COAL CORP.	ASC CTO	1a, 1b, 1c, 1e

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CANAMERICA PRECIOUS METALS INC.	ASC CTO	1a, 1b, 1c, 1e
CANAMEX GOLD CORP.	Reciprocal CTO	
CANARC RESOURCE CORP.		
CANARD RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
CANASIL RESOURCES INC.		
CAN-CAL RESOURCES LTD.	ASC CTO	1b, 1c, 1e
CAN-CHIN ENTERTAINMENT GROUP CO. LTD.	ASC CTO	1a, 1b, 1c, 1e
CANCOM LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
CANCORP TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
CANCRETE ENVIRONMENTAL SOLUTIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CANDAO ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e
CANDELARIA MINING CORP.		
CANDENTE COPPER CORP.		
CANDENTE GOLD CORP.		
CANDOL DEVELOPMENTS LTD.	ASC CTO	1a, 1b, 1c, 1e
CANEX METALS INC.		
CANFOR CORPORATION		
CANFOR PULP PRODUCTS INC.		
CANICKEL MINING LIMITED		1a
CANLAN ICE SPORTS CORP.		
CANLAND HEALTH CORP.		
CANMARK INTERNATIONAL RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
CAN-MED TECHNOLOGY INC.	ASC CTO	1a, 1b, 1c, 1e
CANMINE RESOURCES CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CANNA 8 INVESTMENT TRUST		
CANNABIS GROWTH OPPORTUNITY CORPORATION		
CANNABIS ONE HOLDINGS INC.		
CANNABIS STRATEGIC VENTURES	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CANNABIX TECHNOLOGIES INC.		
CANNAONE TECHNOLOGIES INC.		1a, 1b, 1c, 1e
CANNAPHARMARX, INC.		
CANN-IS CAPITAL CORP.		
CANNSUN MEDHEL BIOSCIENCE LTD.		
CANNTAB THERAPEUTICS LIMITED		
CANNTRUST HOLDINGS INC.	Reciprocal CTO	1a, 1b, 1c, 1d, 1e
CANOE ASSET ALLOCATION CLASS		
CANOE BOND ADVANTAGE CLASS		
CANOE BOND ADVANTAGE FUND		
CANOE CANADIAN SMALL MID CAP CLASS		

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CANOE CREDIT OPPORTUNITIES CLASS		
CANOE CREDIT OPPORTUNITIES FUND		
CANOE DEFENSIVE GLOBAL BALANCED FUND		
CANOE DEFENSIVE GLOBAL EQUITY FUND		
CANOE DEFENSIVE INTERNATIONAL EQUITY FUND		
CANOE DEFENSIVE U.S. EQUITY CLASS		
CANOE EIT INCOME FUND		
CANOE ENERGY CLASS		
CANOE ENERGY INCOME CLASS		
CANOE ENHANCED INCOME CLASS		
CANOE ENHANCED INCOME FUND		
CANOE EQUITY CLASS		
CANOE GLOBAL ALL CAP CLASS		
CANOE GLOBAL EQUITY FUND		
CANOE GLOBAL INCOME CLASS		
CANOE GLOBAL INCOME FUND		
CANOE MINING VENTURES CORP.		
CANOE NORTH AMERICAN MONTHLY INCOME CLASS		
CANOE PREMIUM INCOME FUND		
CANOE TRUST FUND		
CANOPY GROWTH CORPORATION		
CANOPY RIVERS INC.		
CANORO RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k, 3
CANPAC INVESTMENTS CORP.		
CANPAC NATIONAL PACKAGING LTD.	ASC CTO	1a, 1b, 1c, 1e
CANQUEST RESOURCE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CANSHORE EXPLORATION LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
CANSIB ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CANSO CREDIT INCOME FUND		
CANSO SELECT OPPORTUNITIES CORPORATION		
CANSORTIUM INC.		
CANSTAR RESOURCES INC.		
CANSTOCK FUND	ASC CTO	1a, 1b, 1c
CANTERRA MINERALS CORPORATION		
CANTEX MINE DEVELOPMENT CORP.		
CANUC RESOURCES CORPORATION		
CANWEL BUILDING MATERIALS GROUP LTD.		
CANYON CREEK FOOD COMPANY LTD.		
CAPA SOFTWARE PUBLISHING CORPORATION	ASC CTO	1a, 1b, 1c, 1e

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CAPBERTA ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e
CAPCO RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
CAPEQ CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CAPHA PHARMACEUTICALS INC.		
CAPITAL DESJARDINS INC.		
CAPITAL DGMC INC.	Reciprocal CTO	1a, 1b, 1c, 1e
CAPITAL DYNAMICS LIMITED	ASC CTO	1a, 1b, 1c, 1e
CAPITAL GROUP CANADIAN CORE PLUS FIXED INCOME FUND (CANADA)		
CAPITAL GROUP CANADIAN FOCUSED EQUITY FUND (CANADA)		
CAPITAL GROUP CAPITAL INCOME BUILDER (CANADA)		
CAPITAL GROUP EMERGING MARKETS TOTAL OPPORTUNITIES FUND (CANADA)		
CAPITAL GROUP GLOBAL BALANCED FUND (CANADA)		
CAPITAL GROUP GLOBAL EQUITY FUND (CANADA)		
CAPITAL GROUP INTERNATIONAL EQUITY FUND (CANADA)		
CAPITAL GROUP MONTHLY INCOME PORTFOLIO (CANADA)		
CAPITAL GROUP U.S. EQUITY FUND (CANADA)		
CAPITAL GROUP WORLD BOND FUND (CANADA)		
CAPITAL POWER CORPORATION		
CAPITAL PRO-EGAUX INC.	Reciprocal CTO	1a, 1b, 1c, 1e
CAPITAL SLM 300 INC.	ASC CTO	1a, 1b, 1c, 1e
CAPRATE COMMERCIAL PROPERTIES INC.	ASC CTO	1a, 1b, 1c, 1e
CAPRICE BUSINESS DEVELOPMENT CANADA INC.		
CAPRICORN BUSINESS ACQUISITIONS INC.		
CAPSTONE INFRASTRUCTURE CORPORATION		
CAPSTONE MINING CORP.		
CAPSULE TECHNOLOGY GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
CAPTECH MULTICOM INC.	ASC CTO	1a, 1b, 1c, 1e
CAPTIVA VERDE INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
CAPTIVA VERDE LAND CORP.		
CAPTOR CAPITAL CORP.		
CARAVAN TRAILER LODGES OF ALBERTA LIMITED	ASC CTO	1a, 1b, 1c, 1e
CARBITE GOLF INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CARBIZ INC.	ASC CTO	1a, 1b, 1c, 1e
CARDERO RESOURCE CORP.		
CARDIFF CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CARDINAL CAPITAL PARTNERS INC.		
CARDINAL ENERGY LTD.		
CARDINAL FACTOR CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g

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Name	Cease Traded	Nature of Default
CARDINAL RESOURCES LIMITED		
CARDIOCOMM SOLUTIONS, INC.		
CARDIOL THERAPEUTICS INC.		
CARDS II TRUST		1a, 1b
CARGOJET INC.		
CARIBBEAN DIVERSIFIED INVESTMENTS, INC.	ASC CTO	1a, 1b, 1c, 1e
CARIBBEAN UTILITIES COMPANY, LTD.		
CARIBOO GOLD FIELDS LTD.	ASC CTO	1a, 1b, 1c, 1e
CARIBOO ROSE RESOURCES LTD.		
CARL DATA SOLUTIONS INC.		
CARLETON RESOURCES CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
CARLIN GOLD CORPORATION		
CARLYLE COMMODITIES CORP.		
CARLYLE ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e
CARMANAH RESOURCES LTD	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CARNIVAL RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
CARPITA CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CARRIAGE LANE ESTATES	ASC CTO	1a, 1b, 1c, 1e
CARRIE ARRAN RESOURCES INC.		
CARRINGTON ACQUISITION CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
CARTAWAY RESOURCES CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
CARTHEW BAY TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
CARTIER IRON CORPORATION		
CARTIER RESOURCES INC.		
CARUBE COPPER CORP.		
CASA MINERALS INC.		
CASAMIRO RESOURCE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CASCADE RESOURCES LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
CASCADERO COPPER CORPORATION		
CASCADES INC.		
CASCADIA BLOCKCHAIN GROUP CORP.		
CASH CANADA GROUP LTD.	ASC CTO	1a, 1b, 1c, 1e
CASH MANAGEMENT CLASS		
CASH MANAGEMENT POOL		
CASSIUS VENTURES LTD.		
CASSOWARY CAPITAL CORPORATION LIMITED		
CASTLE PEAK MINING LTD.		
CASTLEBAR CAPITAL CORP.		
CASTLECAP CAPITAL INC.		

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CASWAN ENVIRONMENTAL SERVICES INC.	ASC CTO	1a, 1b, 1c, 1e
CAT STRATEGIC METALS CORPORATION	Reciprocal CTO	
CATALINA GOLD CORP.		3
CATCHMARK TIMBER TRUST, INC.		1g
CATEAR RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
CATERPILLAR FINANCIAL SERVICES LIMITED		
CATHAY ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CATHAY FOREST PRODUCTS CORP.	ASC CTO	1a, 1b, 1c, 1e
CATHAY OVERSEAS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CATHEDRAL ENERGY SERVICES LTD.		
CAVALIER ENERGY LIMITED	ASC CTO	1a, 1b, 1c, 1e
CAVALLO WINE GROUP INTERNATIONAL INC.	Reciprocal CTO	1a, 1b, 1c, 1e
CAYENNE CAPITAL CORP.		
CAYENNE GOLD MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
CB2 INSIGHTS INC.		
CBD GLOBAL SCIENCES INC.		
CBL ACQUISITION CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CBLT INC.		
CBM ASIA DEVELOPMENT CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
CC&L ALTERNATIVE CANADIAN EQUITY FUND		
CC&L ALTERNATIVE GLOBAL EQUITY FUND		
CC&L ALTERNATIVE INCOME FUND		
CC&L CORE INCOME AND GROWTH FUND		
CC&L DIVERSIFIED INCOME PORTFOLIO		
CC&L EQUITY INCOME AND GROWTH FUND		
CC&L GLOBAL ALPHA FUND		
CC&L HIGH YIELD BOND FUND		
CCL INDUSTRIES INC.		
C-COM SATELLITE SYSTEMS INC.		
CDA INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
CDC CORPORATION	ASC CTO	1g
CDN JADE MINE RESOURCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
CDNET CANADA INC.	ASC CTO	1a, 1b, 1c, 1e
CE BRANDS INC.		
CEAPRO INC.		
CEDAR CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CEDARMINE RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
CED-OR CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CELESTE MINING CORP.	ASC CTO	1a, 1b, 1c, 1e

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CELESTICA INC.		
CELLBROADCAST GROUP INC.		
CELLCUBE ENERGY STORAGE SYSTEMS INC.	Reciprocal CTO	1a, 1b, 1c, 1e
CELLSTOP SYSTEMS INC.		
CELTIC MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1e
CELTIC TIGER MINERALS EXPLORATION INC.	ASC CTO	1a, 1b, 1c, 1e
CEMATRIX CORPORATION		
CENOSIS INC.	ASC CTO	1a, 1b, 1c, 1e
CENOVUS ENERGY INC.		
CENPRO TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CENTAMIN PLC		
CENTAURUS ENERGY INC.		
CENTERRA GOLD INC.		
CENTOR ENERGY, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CENTRAL 1 CREDIT UNION		
CENTRAL ASIA GOLDFIELDS CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
CENTRAL GUARANTY CANADIAN MONEY MARKET FUND	ASC CTO	1a, 1b, 1c
CENTRAL GUARANTY TRUST COMPANY	ASC CTO	1a, 1b, 1c, 1e
CENTRAL GUARANTY TRUSTCO LIMITED	ASC CTO	1a, 1b, 1c, 1e
CENTRAL INDUSTRIES CORPORATION INC.	ASC CTO	1a, 1b, 1c, 1e
CENTRAL IRON ORE LIMITED		3
CENTRAL TIMMINS EXPLORATION CORP.		
CENTREPOINT TOWERS EAST	ASC CTO	1a, 1b, 1c, 1e
CENTRIC HEALTH CORPORATION		
CENTURION MINERALS LTD.		
CENTURY ENERGY LTD.		1k
CENTURY GLOBAL COMMODITIES CORPORATION		
CENTURY METALS INC.		
CEPEDA MINERALS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CEPHALON RESOURCE CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
CEQUENCE ENERGY LTD.		
CERCAL MINERALS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CERES ACQUISITION CORP.		
CERES GLOBAL AG CORP.		
CERIDIAN HCM HOLDING INC.		
CERRO DE PASCO RESOURCES INC.		
CERRO GRANDE MINING CORPORATION		
CERRO MINING CORP.		
CERTIVE SOLUTIONS INC.		

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CERVUS EQUIPMENT CORPORATION		
CES ENERGY SOLUTIONS CORP.		
CEVAXS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CEYLON GRAPHITE CORP.		
CF ENERGY CORP.		
CGI INC.		
CGI MUTUALIZATION CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CGX ENERGY INC.		
CHACKMORE UNIT TRUST		
CHAI CHA NA MINING INC.	ASC CTO	1a, 1b, 1c, 1e
CHAKANA COPPER CORP.		
CHAMPAGNE CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
CHAMPIGNON BRANDS INC.		
CHAMPION BEAR RESOURCES LTD.		
CHAMPION IRON LIMITED		
CHANGYU MEDTECH LTD.	ASC CTO	1a, 1b, 1c, 1e
CHANNEL RIDGE ESTATE HOLDINGS INC.	ASC CTO	1a, 1b, 1c, 1e
CHAR TECHNOLOGIES LTD.		
CHARAN INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
CHARLESTON RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
CHARLOTTE RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
CHARLOTTE'S WEB HOLDINGS, INC.		
CHARTWELL MEWS	ASC CTO	1a, 1b, 1c, 1e
CHARTWELL RETIREMENT RESIDENCES		
CHASE RESOURCE CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CHATEAU JANEVILLE APARTMENT PROJECT		
CHATHAM ROCK PHOSPHATE LIMITED		
CHAUVCO RESOURCES INTERNATIONAL LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CHC STUDENT HOUSING CORP.		
CHEETAH CANYON RESOURCES CORP.	Reciprocal CTO	1a, 1b, 1c, 1e, 1k
CHELSEA MERCANTILE BANCORP LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
CHELSEA OIL AND GAS LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
CHEMESIS INTERNATIONAL INC.		
CHEMISTREE TECHNOLOGY INC.		
CHEMOKINE THERAPEUTICS CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e
CHEMTRADE LOGISTICS INCOME FUND		
CHERRY STREET CAPITAL INC.		
CHESAPEAKE GOLD CORP.		
CHESSWOOD GROUP LIMITED		

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CHESSWOOD HOLDINGS LTD.	ASC CTO	1d
CHEYENNE ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
CHIBOUG COPPER CORPORATION LIMITED	ASC CTO	1a, 1b, 1c, 1e
CHIBOUGAMAU INDEPENDENT MINES INC.		
CHICHI FINANCIAL INC.		
CHIEFTAIN METALS CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
CHILEAN METALS INC.		
CHINA AMC - IG CHINA EQUITY POOL		
CHINA COAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
CHINA DIAMOND CORP.	ASC CTO	1a, 1b, 1c, 1e
CHINA EDUCATION RESOURCES INC.		
CHINA GOLD INTERNATIONAL RESOURCES CORP. LTD.		
CHINA GOLDCORP LTD.		
CHINA KELI ELECTRIC COMPANY LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
CHINA WIND POWER INTERNATIONAL CORP.	ASC CTO	1a, 1b, 1c, 1e
CHINAPINTZA MINING CORP.		
CHINOOK ENERGY INC.		
CHIP MORTGAGE TRUST		
CHITRCHATR COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
CHOICE PROPERTIES REAL ESTATE INVESTMENT TRUST		
CHOOM HOLDINGS INC.		
CHORUS AVIATION INC.		
CHORUS II 100 EQUITY GROWTH PORTFOLIO		
CHORUS II AGGRESSIVE GROWTH PORTFOLIO		
CHORUS II BALANCED LOW VOLATILITY PORTFOLIO		
CHORUS II CONSERVATIVE LOW VOLATILITY PORTFOLIO		
CHORUS II GROWTH PORTFOLIO		
CHORUS II MAXIMUM GROWTH PORTFOLIO		
CHORUS II MODERATE LOW VOLATILITY PORTFOLIO		
CHOU ASIA FUND		
CHOU ASSOCIATES FUND		
CHOU BOND FUND		
CHOU EUROPE FUND		
CHOU RRSP FUND		
CHRISTINA LAKE CANNABIS CORP.		
CHS RESOURCES INC.		
CHURCHILL 10 DEBENTURE CORP.		
CHURCHILL 10 REAL ESTATE LIMITED PARTNERSHIP		
CHURCHILL 11 DEBENTURE CORP.		

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CHURCHILL 11 REAL ESTATE LIMITED PARTNERSHIP		
CI AMERICAN MANAGERS CORPORATE CLASS		
CI AMERICAN SMALL COMPANIES CORPORATE CLASS		
CI AMERICAN SMALL COMPANIES FUND		
CI AMERICAN VALUE CORPORATE CLASS		
CI AMERICAN VALUE FUND		
CI CANADIAN DIVIDEND PRIVATE POOL		
CI CANADIAN EQUITY PRIVATE POOL		
CI CANADIAN FIXED INCOME PRIVATE POOL		
CI CANADIAN INVESTMENT CORPORATE CLASS		
CI CANADIAN INVESTMENT FUND		
CI CANADIAN SMALL/MID CAP FUND		
CI FINANCIAL CORP		
CI FIRST ASSET 1-5 YEAR LADDERED GOVERNMENT STRIP BOND INDEX ETF		
CI FIRST ASSET ACTIVE CANADIAN DIVIDEND ETF		
CI FIRST ASSET ACTIVE CREDIT ETF		
CI FIRST ASSET ACTIVE UTILITY & INFRASTRUCTURE ETF		
CI FIRST ASSET CANADIAN BUYBACK INDEX ETF		
CI FIRST ASSET CANADIAN CONVERTIBLE BOND ETF		
CI FIRST ASSET CANADIAN REIT ETF		
CI FIRST ASSET CANBANC INCOME CLASS ETF		
CI FIRST ASSET CORE CANADIAN EQUITY INCOME CLASS ETF		
CI FIRST ASSET ENERGY GIANTS COVERED CALL ETF		
CI FIRST ASSET ENHANCED GOVERNMENT BOND ETF		
CI FIRST ASSET ENHANCED SHORT DURATION BOND ETF		
CI FIRST ASSET EUROPEAN BANK ETF		
CI FIRST ASSET GLOBAL ASSET ALLOCATION ETF		
CI FIRST ASSET GLOBAL FINANCIAL SECTOR ETF		
CI FIRST ASSET GOLD+ GIANTS COVERED CALL ETF		
CI FIRST ASSET HEALTH CARE GIANTS COVERED CALL ETF		
CI FIRST ASSET HIGH INTEREST SAVINGS ETF		
CI FIRST ASSET INVESTMENT GRADE BOND ETF		
CI FIRST ASSET LONG DURATION FIXED INCOME ETF		
CI FIRST ASSET MORNINGSTAR CANADA DIVIDEND TARGET 30 INDEX ETF		
CI FIRST ASSET MORNINGSTAR CANADA MOMENTUM INDEX ETF		
CI FIRST ASSET MORNINGSTAR CANADA VALUE INDEX ETF		
CI FIRST ASSET MORNINGSTAR INTERNATIONAL MOMENTUM INDEX ETF		
CI FIRST ASSET MORNINGSTAR INTERNATIONAL VALUE INDEX ETF		

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CI FIRST ASSET MORNINGSTAR NATIONAL BANK QUÉBEC INDEX ETF		
CI FIRST ASSET MORNINGSTAR US DIVIDEND TARGET 50 INDEX ETF		
CI FIRST ASSET MORNINGSTAR US MOMENTUM INDEX ETF		
CI FIRST ASSET MORNINGSTAR US VALUE INDEX ETF		
CI FIRST ASSET MSCI CANADA LOW RISK WEIGHTED ETF		
CI FIRST ASSET MSCI CANADA QUALITY INDEX CLASS ETF		
CI FIRST ASSET MSCI EUROPE LOW RISK WEIGHTED ETF		
CI FIRST ASSET MSCI INTERNATIONAL LOW RISK WEIGHTED ETF		
CI FIRST ASSET MSCI USA LOW RISK WEIGHTED ETF		
CI FIRST ASSET MSCI WORLD ESG IMPACT ETF		
CI FIRST ASSET MSCI WORLD LOW RISK WEIGHTED ETF		
CI FIRST ASSET PREFERRED SHARE ETF		
CI FIRST ASSET SHORT TERM GOVERNMENT BOND INDEX CLASS ETF		
CI FIRST ASSET TECH GIANTS COVERED CALL ETF		
CI FIRST ASSET U.S. & CANADA LIFECO INCOME ETF		
CI FIRST ASSET U.S. BUYBACK INDEX ETF		
CI FIRST ASSET U.S. TRENDLEADERS INDEX ETF		
CI G5 20 2038 Q3 FUND		
CI G5 20 2038 Q4 FUND		
CI G5 20 2039 Q2 FUND		
CI G5 20 2039 Q3 FUND		
CI G5 20 2040 Q1 FUND		
CI G5 20 2040 Q4 FUND		
CI G5 20I 2035 Q1 FUND		
CI G5 20I 2035 Q2 FUND		
CI G5 20I 2036 Q1 FUND		
CI G5 20I 2036 Q2 FUND		
CI GLOBAL ASSET ALLOCATION PRIVATE POOL		
CI GLOBAL BALANCED YIELD PRIVATE POOL		
CI GLOBAL CONCENTRATED EQUITY PRIVATE POOL		
CI GLOBAL ENHANCED GOVERNMENT BOND PRIVATE POOL		
CI GLOBAL EQUITY ALPHA PRIVATE POOL		
CI GLOBAL EQUITY CORE PRIVATE POOL		
CI GLOBAL EQUITY MOMENTUM PRIVATE POOL		
CI GLOBAL HEALTH SCIENCES CORPORATE CLASS		
CI GLOBAL HIGH DIVIDEND ADVANTAGE CORPORATE CLASS		
CI GLOBAL HIGH DIVIDEND ADVANTAGE FUND		
CI GLOBAL HIGH YIELD CREDIT PRIVATE POOL		
CI GLOBAL INVESTMENT GRADE CREDIT PRIVATE POOL		

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CI GLOBAL SMALLER COMPANIES PRIVATE POOL		
CI GLOBAL STOCK SELECTION FUND		
CI GLOBAL UNCONSTRAINED BOND PRIVATE POOL		
CI GLOBAL VALUE BALANCED FUND		
CI GLOBAL VALUE CORPORATE CLASS		
CI GLOBAL VALUE FUND		
CI HIGH INTEREST SAVINGS FUND		
CI ICBCCS S&P CHINA 500 INDEX ETF		
CI INCOME FUND		
CI INDIA ALL CAP FUND		
CI INTERNATIONAL EQUITY ALPHA PRIVATE POOL		
CI INTERNATIONAL EQUITY GROWTH PRIVATE POOL		
CI INTERNATIONAL EQUITY VALUE PRIVATE POOL		
CI INTERNATIONAL VALUE CORPORATE CLASS		
CI INTERNATIONAL VALUE FUND		
CI INVESTMENT GRADE BOND FUND		
CI LAWRENCE PARK ALTERNATIVE INVESTMENT GRADE CREDIT ETF		
CI LAWRENCE PARK ALTERNATIVE INVESTMENT GRADE CREDIT FUND		
CI MARRET ALTERNATIVE ABSOLUTE RETURN BOND ETF		
CI MARRET ALTERNATIVE ABSOLUTE RETURN BOND FUND		
CI MONEY MARKET CLASS		
CI MONEY MARKET FUND		
CI MOSAIC BALANCED ETF PORTFOLIO		
CI MOSAIC BALANCED GROWTH ETF PORTFOLIO		
CI MOSAIC BALANCED INCOME ETF PORTFOLIO		
CI MOSAIC GROWTH ETF PORTFOLIO		
CI MOSAIC INCOME ETF PORTFOLIO		
CI MSCI WORLD ESG IMPACT FUND		
CI MUNRO ALTERNATIVE GLOBAL GROWTH ETF		
CI MUNRO ALTERNATIVE GLOBAL GROWTH FUND		
CI NORTH AMERICAN DIVIDEND FUND		
CI NORTH AMERICAN SMALL/MID CAP EQUITY PRIVATE POOL		
CI ONE GLOBAL EQUITY ETF		
CI ONE NORTH AMERICAN CORE PLUS BOND ETF		
CI SHORT-TERM CORPORATE CLASS		
CI SHORT-TERM US\$ CORPORATE CLASS		
CI U.S. EQUITY PRIVATE POOL		
CI U.S. INCOME US\$ POOL		
CI US MONEY MARKET FUND		

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CI VANTAGE MANAGED PAYOUT PORTFOLIO 1946 - 1948		
CI VANTAGE MANAGED PAYOUT PORTFOLIO 1949 - 1951		
CI VANTAGE MANAGED PAYOUT PORTFOLIO 1952 - 1954		
CI VANTAGE MANAGED PAYOUT PORTFOLIO 1955 - 1957		
CI VANTAGE MANAGED PAYOUT PORTFOLIO 1958 - 1960		
CI VANTAGE MANAGED RRSP/RRIF PAYOUT PORTFOLIO 1946 - 1948		
CI VANTAGE MANAGED RRSP/RRIF PAYOUT PORTFOLIO 1949 - 1951		
CI VANTAGE MANAGED RRSP/RRIF PAYOUT PORTFOLIO 1952 - 1954		
CI VANTAGE MANAGED RRSP/RRIF PAYOUT PORTFOLIO 1955 - 1957		
CI VANTAGE MANAGED RRSP/RRIF PAYOUT PORTFOLIO 1958 - 1960		
CI WISDOMTREE CANADA QUALITY DIVIDEND GROWTH INDEX ETF		
CI WISDOMTREE EMERGING MARKETS DIVIDEND INDEX ETF		
CI WISDOMTREE EUROPE HEDGED EQUITY INDEX ETF		
CI WISDOMTREE INTERNATIONAL QUALITY DIVIDEND GROWTH INDEX ETF		
CI WISDOMTREE INTERNATIONAL QUALITY DIVIDEND GROWTH VARIABLY HEDGED INDEX ETF		
CI WISDOMTREE JAPAN EQUITY INDEX ETF		
CI WISDOMTREE U.S. MIDCAP DIVIDEND INDEX ETF		
CI WISDOMTREE U.S. QUALITY DIVIDEND GROWTH INDEX ETF		
CI WISDOMTREE U.S. QUALITY DIVIDEND GROWTH VARIABLY HEDGED INDEX ETF		
CI YIELD ENHANCED CANADA AGGREGATE BOND INDEX ETF		
CI YIELD ENHANCED CANADA SHORT-TERM AGGREGATE BOND INDEX ETF		
CIBC ACTIVE INVESTMENT GRADE CORPORATE BOND ETF		
CIBC ACTIVE INVESTMENT GRADE FLOATING RATE BOND ETF		
CIBC ASIA PACIFIC FUND		
CIBC ASIA PACIFIC INDEX FUND		
CIBC BALANCED FUND		
CIBC BALANCED GROWTH PASSIVE PORTFOLIO		
CIBC BALANCED INDEX FUND		
CIBC BALANCED PASSIVE PORTFOLIO		
CIBC CANADIAN BOND FUND		
CIBC CANADIAN BOND INDEX FUND		
CIBC CANADIAN EQUITY FUND		
CIBC CANADIAN EQUITY VALUE FUND		
CIBC CANADIAN INDEX FUND		
CIBC CANADIAN REAL ESTATE FUND		
CIBC CANADIAN RESOURCES FUND		
CIBC CANADIAN SHORT-TERM BOND INDEX FUND		

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Name	Cease Traded	Nature of Default
CIBC CANADIAN SMALL-CAP FUND		
CIBC CANADIAN T-BILL FUND		
CIBC CAPITAL TRUST		
CIBC CONSERVATIVE FIXED INCOME POOL		
CIBC CONSERVATIVE PASSIVE PORTFOLIO		
CIBC CORE FIXED INCOME POOL		
CIBC CORE PLUS FIXED INCOME POOL		
CIBC DIVIDEND GROWTH FUND		
CIBC DIVIDEND INCOME FUND		
CIBC EMERGING MARKETS FUND		
CIBC EMERGING MARKETS INDEX FUND		
CIBC ENERGY FUND		
CIBC EUROPEAN EQUITY FUND		
CIBC EUROPEAN INDEX FUND		
CIBC FINANCIAL COMPANIES FUND		
CIBC FLEXIBLE YIELD ETF (CAD-HEDGED)		
CIBC GLOBAL BOND FUND		
CIBC GLOBAL BOND INDEX FUND		
CIBC GLOBAL EQUITY FUND		
CIBC GLOBAL MONTHLY INCOME FUND		
CIBC GLOBAL TECHNOLOGY FUND		
CIBC INTERNATIONAL EQUITY FUND		
CIBC INTERNATIONAL INDEX FUND		
CIBC INTERNATIONAL SMALL COMPANIES FUND		
CIBC LATIN AMERICAN FUND		
CIBC MANAGED AGGRESSIVE GROWTH PORTFOLIO		
CIBC MANAGED BALANCED GROWTH PORTFOLIO		
CIBC MANAGED BALANCED PORTFOLIO		
CIBC MANAGED GROWTH PORTFOLIO		
CIBC MANAGED INCOME PLUS PORTFOLIO		
CIBC MANAGED INCOME PORTFOLIO		
CIBC MANAGED MONTHLY INCOME BALANCED PORTFOLIO		
CIBC MONEY MARKET FUND		
CIBC MONTHLY INCOME FUND		
CIBC MULTI-ASSET ABSOLUTE RETURN STRATEGY		
CIBC MULTIFACTOR CANADIAN EQUITY ETF		
CIBC MULTIFACTOR U.S. EQUITY ETF		
CIBC NASDAQ INDEX FUND		
CIBC PRECIOUS METALS FUND		

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CIBC SHORT-TERM INCOME FUND		
CIBC SMART BALANCED GROWTH SOLUTION		
CIBC SMART BALANCED INCOME SOLUTION		
CIBC SMART BALANCED SOLUTION		
CIBC SMART GROWTH SOLUTION		
CIBC SMART INCOME SOLUTION		
CIBC U.S. BROAD MARKET INDEX FUND		
CIBC U.S. DOLLAR MANAGED BALANCED PORTFOLIO		
CIBC U.S. DOLLAR MANAGED GROWTH PORTFOLIO		
CIBC U.S. DOLLAR MANAGED INCOME PORTFOLIO		
CIBC U.S. DOLLAR MONEY MARKET FUND		
CIBC U.S. EQUITY FUND		
CIBC U.S. INDEX FUND		
CIBC U.S. SMALL COMPANIES FUND		
CIBT EDUCATION GROUP INC.		
CIC CAPITAL FUND LTD.	ASC CTO	1a, 1b, 1c, 1e
CIELO WASTE SOLUTIONS CORP.		
CIE-ENERGY PLY-FOIL CANADA INC.	ASC CTO	1a, 1b, 1c, 1e
CIM INTERNATIONAL GROUP INC.		
CIMATEC ENVIRONMENTAL ENGINEERING INC.	ASC CTO	1a, 1b, 1c, 1e
CINAPORT ACQUISITION CORP. III		
CINCINNATI ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CINEPLEX INC.		
CINRAM INTERNATIONAL LIMITED PARTNERSHIP	ASC CTO	1a, 1e, 1g, 3
CINTECH SOLUTIONS, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CIPHER PHARMACEUTICALS INC.		
CIRCA ENTERPRISES INC.		
CIS COMMAX HEDGE FUND	ASC CTO	1a, 1b, 1c
CIS GLOBAL TELECOMMUNICATIONS FUND	ASC CTO	1a, 1b, 1c
CITADEL INCOME FUND		
CITATION GROWTH CORP.		
CITRINE HOLDINGS LIMITED	ASC CTO	1a, 1b, 1c, 1e
CITY VIEW GREEN HOLDINGS INC.		
CJL CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
CLAREN ENERGY CORP.		1k
CLAREPINE DEVELOPMENT LTD.	ASC CTO	1a, 1b, 1c, 1e
CLAREPINE INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
CLAREVIEW GARDENS APARTMENT PROJECT	ASC CTO	1a, 1b, 1c, 1e
CLARION RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e

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CLARKE INC.		
CLARMIN EXPLORATIONS INC.		
CLAROCITY CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CLARUS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CLASS OF 1984	ASC CTO	1a, 1b, 1c, 1e
CLASSIC GOLD RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
CLAUDIO'S RESTAURANT GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CLAY MILL TECHNICAL SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
CLEAN AIR METALS INC.		
CLEAN HARBORS, INC.		
CLEAN POWER TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
CLEAN SEED CAPITAL GROUP LTD.		
CLEANFIELD ALTERNATIVE ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e
CLEAR BLUE TECHNOLOGIES INTERNATIONAL INC.		
CLEAR GOLD RESOURCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
CLEARFORD WATER SYSTEMS INC.		
CLEARPOINT SHORT TERM INCOME FUND		
CLEARSTREAM ENERGY SERVICES INC.		
CLEARVIEW RESOURCES LTD.		
CLEARWATER SEAFOODS INCORPORATED		
CLEARWATER TECHNOLOGIES, INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CLEGHORN MINERALS LTD.		
CLEMENT SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
CLIFFMONT RESOURCES LTD.		
CLIFFSIDE CAPITAL LTD.		
CLIFFWOOD CAPITAL CORP.		1g
CLIFTON MINING COMPANY	ASC CTO	1a, 1b, 1c, 1e, 1g
CLIO TOOLS.COM LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
CLIPPER APARTMENT PROJECT		
CLN VENTURES INC	ASC CTO	1a, 1b, 1c, 1e, 1g
CLOUD NINE EDUCATION GROUP LTD.		
CLS HOLDINGS USA, INC.		
CLUNY CAPITAL CORP.		
CLYDESDALE RESOURCES INC.		
CMC METALS LTD.		
CMP 2019 RESOURCE LIMITED PARTNERSHIP		
CMP 2020 RESOURCE LIMITED PARTNERSHIP		
CMX GOLD & SILVER CORP.		
CNH CAPITAL CANADA RECEIVABLES TRUST		

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CNH CAPITAL CANADA WHOLESALE TRUST		
CNOOC LIMITED		
CO2 GRO INC.		
CO2 SOLUTIONS INC.		1a, 1b, 1c, 1e
COACH HILL MANOR	ASC CTO	1a, 1b, 1c, 1e
COACHMAN HOUSE LIMITED PARTNERSHIP	ASC CTO	
COASTAL PACIFIC MINING CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
COASTAL PLAIN RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
COBALT BLOCKCHAIN INC.		
COBALT COAL LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
COBRA VENTURE CORPORATION		
COBRE MINING COMPANY INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
COCKFIELD BROWN INC.	ASC CTO	1a, 1b, 1c, 1e
CODEBASE VENTURES INC.		
COEUR MINING, INC.		
COGECO COMMUNICATIONS INC.		
COGECO INC		
COGNETIVITY NEUROSCIENCES LTD.		
COHBAR, INC.		
COIN HODL INC.		
COLABOR GROUP INC.		
COLD CREEK CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
COLEVILLE RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
COLIBRI RESOURCE CORPORATION		
COLLIERS INTERNATIONAL GROUP INC.		
COLLINGWOOD RESOURCES CORP.		
COLONIAL COAL INTERNATIONAL CORP.		
COLORADO RESOURCES LTD.		
COLOSSUS MINERALS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
COLSON CAPITAL CORP.		
COLT RESOURCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
COLUMBIA CARE INC.		
COLUMBUS ENERGY LIMITED		
COLUMBUS FUNDING CORPORATION	ASC CTO	1a, 1b, 1c, 1e
COLUMBUS GOLD CORP.		
COMET INDUSTRIES LTD.		
COMINAR REAL ESTATE INVESTMENT TRUST		
COMMAND PERFORMANCE NETWORK LTD.	ASC CTO	1a, 1b, 1c, 1e
COMMANDER RESOURCES LTD.		

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COMMERCE RESOURCES CORP.		
COMMERCIAL CONSOLIDATORS CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
COMMERCIAL OIL AND GAS LTD.	ASC CTO	1a, 1b, 1c, 1e
COMMON WEALTH CAPITAL LIMITED		
COMMUNICORP CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
COMPASS BALANCED GROWTH PORTFOLIO		
COMPASS BALANCED PORTFOLIO		
COMPASS CONSERVATIVE BALANCED PORTFOLIO		
COMPASS CONSERVATIVE PORTFOLIO		
COMPASS GOLD CORPORATION		
COMPASS GROWTH PORTFOLIO		
COMPASS MAXIMUM GROWTH PORTFOLIO		
COMPLIANCE ENERGY CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e
COMPOSITE ALLIANCE GROUP INC.		
COMPRESSARIO CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
COMPRESSION & ENCRYPTION TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
COMPUTER MODELLING GROUP LTD.		
COMSTOCK METALS LTD.		
COMTERM INC.	ASC CTO	1a, 1b, 1c, 1e
CONCERT INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
CONDOR PETROLEUM INC.		
CONDOR RESOURCES INC.		
CONFEDERATION LIFE INSURANCE COMPANY	ASC CTO	1a, 1b, 1c, 1e
CONFEDERATION MINERALS LTD.		
CONFIDATA RESOURCE CORP.	ASC CTO	1a, 1b, 1c, 1e
CONIC METALS CORP.		
CONIFEX TIMBER INC.		
CONJUCHEM BIOTECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
CONNAUGHT VENTURES INC.		
CONQUEST RESOURCES LIMITED		
CONSCIENCE CAPITAL INC.		
CONSERVATIVE FOLIO FUND		
CONSERVATIVE FUND		
CONSERVATIVE INCOME PORTFOLIO		
CONSERVATIVE MONTHLY INCOME FUND		
CONSOLIDATED AGARWAL RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
CONSOLIDATED ALCOR RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
CONSOLIDATED ASTON RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
CONSOLIDATED BAHN FOODS INC.	ASC CTO	1a, 1b, 1c, 1e

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CONSOLIDATED C B A CORPORATION LIMITED	ASC CTO	1a, 1b, 1c, 1e
CONSOLIDATED CARE POINT MEDICAL CENTRES LTD.	ASC CTO	1a, 1b, 1c, 1e
CONSOLIDATED ECOPROGRESS TECHNOLOGY INC.	ASC CTO	1a, 1b, 1c, 1e
CONSOLIDATED FIRSTFUND CAPITAL CORP.		
CONSOLIDATED HCI HOLDINGS CORPORATION		
CONSOLIDATED IMPERIAL RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
CONSOLIDATED NICHE PERIPHERALS INC.	ASC CTO	1a, 1b, 1c, 1e
CONSOLIDATED PLENTECH ELECTRONICS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
CONSOLIDATED POWER BATTERY CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CONSOLIDATED RAPID RIVER RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
CONSOLIDATED RICH CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
CONSOLIDATED SASHA TECHNOLOGY LTD.	ASC CTO	1a, 1b, 1c, 1e
CONSOLIDATED SILVER TUSK MINES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
CONSOLIDATED STONE INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
CONSOLIDATED TANAGER LIMITED		
CONSOLIDATED VAN ANDA GOLD LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CONSOLIDATED VAN-CITY MARBLE LTD.	ASC CTO	1a, 1b, 1c, 1e
CONSOLIDATED WOODJAM COPPER CORP.		
CONSOLIDATED YUKON MINERALS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CONSTANTINE METAL RESOURCES LTD.		
CONSTELLATION COPPER CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
CONSTELLATION SOFTWARE INC.		
CONTACT GOLD CORP.		
CONTACT IMAGE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CONTAGIOUS GAMING INC.		
CONTEC INNOVATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
CONTINENTAL ENERGY CORPORATION		
CONTINENTAL GOLD INC.		
CONTINENTAL HOME HEALTHCARE LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
CONTINENTAL RESEARCH EXPLORATION & DEVELOPMENT LTD.	ASC CTO	1a, 1b, 1c, 1e
CONVERGE TECHNOLOGY SOLUTIONS CORP.		
CONWAY RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
CO-OPERATORS GENERAL INSURANCE COMPANY		
COPACABANA CAPITAL LIMITED	ASC CTO	1a, 1b, 1c, 1e
COPLEX RESOURCES N.L.	ASC CTO	1a, 1b, 1c, 1e
COPPER FOX METALS INC.		
COPPER LAKE RESOURCES LTD.		
COPPER MESA MINING CORPORATION	ASC CTO	1a, 1b, 1c, 1e
COPPER MOUNTAIN MINING CORPORATION		

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COPPER NORTH MINING CORP.		
COPPER PASS MINES LTD.	ASC CTO	
COPPER POINT MINING CORP.	ASC CTO	1a, 1b, 1c, 1e
COPPER REEF MINING CORPORATION		
COPPERBANK RESOURCES CORP.		
CORAL GOLD RESOURCES LTD.		
CORBY SPIRIT AND WINE LIMITED		
CORDEX PETROLEUMS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CORDOBA MINERALS CORP.		
CORDY OILFIELD SERVICES INC.		
CORE BOND FUND (PORTICO)		
CORE CANADIAN DIVIDEND TRUST		
CORE GOLD INC.		
CORE ONE LABS INC.		
CORE PLUS BOND FUND (PORTICO)		
CORNERSTONE CAPITAL RESOURCES INC.		
CORNERSTONE INDUSTRIES INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
CORNICHE INDUSTRIES CORP.	ASC CTO	1a, 1b, 1c, 1e
CORO MINING CORP.		
CORONATION RESOURCES CANADA INC.	ASC CTO	1a, 1b, 1c, 1e
CORPORATE BOND FUND (PORTICO)		
CORPORATION NUVOLT INC.	Reciprocal CTO	1a, 1b, 1c, 1e
CORREVIO PHARMA CORP.		
CORSA COAL CORP.		
CORSUREX RESOURCE CORP.		
CORTEZ INTERNATIONAL LTD.	ASC CTO	1a, 1b, 1c, 1e
CORTUS METALS INC.		
CORUS ENTERTAINMENT INC.		
CORVEC RESEARCH & DVLP LTD PART	ASC CTO	1a, 1b, 1c, 1e
CORVUS GOLD INC.		
COSBOO INTERNATIONAL INC.	Reciprocal CTO	1a, 1b, 1c, 1e
COSIGO RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
COSTAS INC.	ASC CTO	1g
COTINGA PHARMACEUTICALS INC.		3
COUGAR OIL AND GAS CANADA INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k, 4
COUNSEL ALL EQUITY PORTFOLIO		
COUNSEL BALANCED PORTFOLIO		
COUNSEL CANADIAN CORE FIXED INCOME		
COUNSEL CANADIAN DIVIDEND		

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COUNSEL CANADIAN GROWTH		
COUNSEL CANADIAN VALUE		
COUNSEL CONSERVATIVE PORTFOLIO		
COUNSEL DEFENSIVE GLOBAL EQUITY		
COUNSEL FIXED INCOME		
COUNSEL GLOBAL DIVIDEND		
COUNSEL GLOBAL FIXED INCOME		
COUNSEL GLOBAL LOW VOLATILITY EQUITY		
COUNSEL GLOBAL REAL ESTATE		
COUNSEL GLOBAL SMALL CAP		
COUNSEL GLOBAL TREND STRATEGY		
COUNSEL GROWTH PORTFOLIO		
COUNSEL HIGH INCOME PORTFOLIO		
COUNSEL HIGH YIELD FIXED INCOME		
COUNSEL INTERNATIONAL GROWTH		
COUNSEL INTERNATIONAL VALUE		
COUNSEL MONEY MARKET		
COUNSEL MONTHLY INCOME PORTFOLIO		
COUNSEL NORTH AMERICAN HIGH YIELD BOND		
COUNSEL RETIREMENT ACCUMULATION PORTFOLIO		
COUNSEL RETIREMENT FOUNDATION PORTFOLIO		
COUNSEL RETIREMENT INCOME PORTFOLIO		
COUNSEL RETIREMENT PRESERVATION PORTFOLIO		
COUNSEL SHORT TERM BOND		
COUNSEL U.S. GROWTH		
COUNSEL U.S. VALUE		
COUNTERPATH CORPORATION		
COVALON TECHNOLOGIES LTD.		
COVINGTON FUND II INC.		
COVINGTON VENTURE FUND INC.		
COXHEATH GOLD HOLDINGS LIMITED	ASC CTO	1a, 1b, 1c, 1e
COYNEX DEVELOPMENT LIMITED	ASC CTO	1a, 1b, 1c, 1e
CPI CARD GROUP INC.		
CPI CROWN PROPERTIES INTERNATIONAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CPI PLASTICS GROUP LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e
CR CAPITAL CORP.		
CRA PHASE II LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
CRAIG WIRELESS SYSTEMS LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
CRAILAR TECHNOLOGIES INC.	Reciprocal CTO	1a, 1b, 1c, 1e

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CRC ROYALTY CORPORATION		
CREATIVE ENTERTAINMENT TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CREDENT CAPITAL CORP.		
CREDIT SUISSE AG		
CRESCENT POINT ENERGY CORP.		
CRESCITA THERAPEUTICS INC.		
CRESCO LABS INC.		
CREST RESOURCES INC.		
CRESTVIEW EXPLORATION INC.		
CRESVAL CAPITAL CORP.		
CREW ENERGY INC.		
CRH MEDICAL CORPORATION		
CRIMSON BIOENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e
CRITICAL ELEMENTS LITHIUM CORPORATION		
CROMBIE REAL ESTATE INVESTMENT TRUST		
CROMWELL RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1k
CRONOS GROUP INC.		
CROPS INC.		
CROSS RIVER VENTURES CORP.		
CROSSFIRE ENERGY SERVICES INC.	ASC CTO	1a, 1b, 1c, 1e
CROSSOVER	ASC CTO	1a, 1b, 1c, 1e
CROSSWINDS HOLDINGS INC.		
CROWN CAPITAL PARTNERS INC.		
CROWN CITY PICTURES, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
CROWN MINING CORP.		
CROWN POINT ENERGY INC.		
CROWNBRIDGE INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
CROWNIA HOLDINGS LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
CRS ELECTRONICS INC.	Reciprocal CTO	1a, 1b, 1c, 1e
CRS PREFERRED NT LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CRUZ COBALT CORP.		
CRUZSUR ENERGY CORP.		
CRYOPAK INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
CRYPTOLOGIC CORP.		
CRYPTOSTAR CORP.		
CRYSTAL BRIDGE ENTERPRISES INC.		
CRYSTAL GRAPHITE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CRYSTAL LAKE MINING CORPORATION		
CRYSTAL PEAK MINERALS INC.		

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CRYSTALLEX INTERNATIONAL CORPORATION		1a, 1b, 1c, 1e, 3
CST ADVANTAGE PLAN		
CT DEVELOPERS LTD.		
CT REAL ESTATE INVESTMENT TRUST		
CTB INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
CTC CROWN TECHNOLOGIES CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
CU INC.		
CUB ENERGY INC.		
CUBA CONSOLIDATED INC.	ASC CTO	1a, 1b, 1c, 1e
CUBACAN EXPLORATION INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CUBICFARM SYSTEMS CORP.		
CUDA OIL AND GAS INC.		
CUERVO RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
CULMINA VENTURES CORP.		
CUP RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
CURALEAF HOLDINGS, INC.		
CURRAGH INC.	ASC CTO	1a, 1b, 1c, 1e
CURRENCY EXCHANGE INTERNATIONAL, CORP.		
CURRENCYWORKS INC.		
CURRENT WATER TECHNOLOGIES INC.		
CURRIE ROSE RESOURCES INC.		
CURTAINS	ASC CTO	1a, 1b, 1c, 1e
CUSPIS CAPITAL LTD.		
CUSTOM CRYOGENIC GRINDING CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
CVF TECHNOLOGIES CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
CVR MEDICAL CORP.		
CWB CORE EQUITY FUND		
CWB ONYX BALANCED SOLUTION		
CWB ONYX CANADIAN EQUITY FUND		
CWB ONYX CONSERVATIVE SOLUTION		
CWB ONYX DIVERSIFIED INCOME FUND		
CWB ONYX GLOBAL EQUITY FUND		
CWB ONYX GROWTH SOLUTION		
CWC ENERGY SERVICES CORP.		
CY ORIENTAL HOLDINGS LTD.	ASC CTO	1a, 1b, 1c, 1e
CYBERCOM SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
CYBERLINKTECHNOLOGIES, INC.	ASC CTO	1a, 1b, 1c, 1e
CYBERSURF CORP.	ASC CTO	1a, 1b, 1c, 1e
CYCOMM INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g

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CYG OPPORTUNITIES INC.		
CYGAM ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
CYMAT TECHNOLOGIES LTD.		
CYMBRIA CORPORATION		
CYNTAR VENTURES INC.		
CYPHERPUNK HOLDINGS INC.		
CYPRESS DEVELOPMENT CORP.		
CYPRESS HILLS RESOURCE CORP.		
CYPRUM MINING CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e
CYTRIGEN INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
DAHAVA RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
DAIRY DELITE LTD.	ASC CTO	1a, 1b, 1c, 1e
DAJIN LITHIUM CORP.		
DALECO RESOURCES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
DALMAC ENERGY INC.	ASC CTO	1b, 1c, 1e
DAMARA GOLD CORP.		
DANCO INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
DANIER LEATHER INC.	Reciprocal CTO	1a, 1b, 1c, 1d, 1e
DARELLE ONLINE SOLUTIONS INC.		3
DAREN INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
DARFORD INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
DARWIN COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
DATA COMMUNICATIONS MANAGEMENT CORP.		
DATA DEPOSIT BOX INC.		
DATA FORTRESS SYSTEMS GROUP LTD.	ASC CTO	1a, 1b, 1c, 1e
DATA GROUP INVESTMENTS LTD.	ASC CTO	1a, 1b, 1c, 1e
DATA TRAX SYSTEMS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
DATABLE TECHNOLOGY CORPORATION		
DATAMETREX AI LIMITED		
DATASPAN TECHNOLOGY INC.	ASC CTO	1a, 1b, 1c, 1e
DATEC GROUP LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
DATINVEST INTERNATIONAL LTD.		
DATUM VENTURES INC.		
DAURA CAPITAL CORP.		
DAVID S. REID LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
DAVIE YARDS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
DAVIS DISTRIBUTING LIMITED	ASC CTO	1a, 1b, 1c, 1e
DAVNOR WATER TREATMENT TECHNOLOGIES LTD.	ASC CTO	1a, 1b, 1c, 1e
D-BOX TECHNOLOGIES INC.		

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DC ACQUISITION CORP.		
DEALNET CAPITAL CORP.		
DEANS KNIGHT INCOME CORPORATION		
DEATH BITE	ASC CTO	1a, 1b, 1c, 1e
DECADE RESOURCES LTD.		
DECEMBER 33 CAPITAL INC.		
DECIBEL CANNABIS COMPANY INC.		
DECISIVE DIVIDEND CORPORATION		
DEENA ENERGY INC	ASC CTO	1a, 1b, 1c, 1e, 1g
DEEP WELL OIL & GAS, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
DEEPMARKIT CORP.		
DEEPROCK MINERALS INC.		
DEEP-SOUTH RESOURCES INC.		
DEER HORN CAPITAL INC.		
DEER RIDGE VILLAGE LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
DEER VALLEY SHOPPING CENTRE LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e, 1g
DEFENSE METALS CORP.		
DEFENSE TECHNOLOGIES INTERNATIONAL, CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e
DEFIANCE SILVER CORP.		
DEL RIO INTERNATIONAL A RESOURCE AND TECHNOLOGY CORPORATION	ASC CTO	
DELICIOUS ALTERNATIVE DESSERTS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
DELMAY ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e
DELOORO RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
DELPHI ENERGY CORP.		
DELPHX CAPITAL MARKETS INC.		
DELTA 9 CANNABIS INC.		
DELTA NORTH TRANSPORTATION LTD.	ASC CTO	1a, 1b, 1c, 1e
DELTA PETROLEUM CORPORATION LTD.	ASC CTO	1a, 1b, 1c, 1e
DELTA RESOURCES LIMITED		
DELTA TM MONTREAL HOTEL AND COMPANY, LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
DELTA URANIUM INC.	ASC CTO	1a, 1b, 1c, 1e, 3
DENBURY RESOURCES INC.		
DENISON MINES CORP.		
DENNINGHOUSE INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
DENROY MANUFACTURING CORPORATION	ASC CTO	1a, 1b, 1c, 1e
DENTONIA RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
DESERT EAGLE RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
DESERT GOLD VENTURES INC.		
DESERT MOUNTAIN ENERGY CORP.		

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DESERVING HEALTH INTERNATIONAL CORP.		1g
DESJARDINS 1-5 YEAR LADDERED CANADIAN CORPORATE BOND INDEX ETF		
DESJARDINS 1-5 YEAR LADDERED CANADIAN GOVERNMENT BOND INDEX ETF		
DESJARDINS ALT LONG/SHORT EQUITY MARKET NEUTRAL ETF		
DESJARDINS AMERICAN EQUITY GROWTH CURRENCY NEUTRAL FUND		
DESJARDINS AMERICAN EQUITY GROWTH FUND		
DESJARDINS AMERICAN EQUITY VALUE FUND		
DESJARDINS CANADA MULTIFACTOR-CONTROLLED VOLATILITY ETF		
DESJARDINS CANADIAN BOND FUND		
DESJARDINS CANADIAN EQUITY FUND		
DESJARDINS CANADIAN EQUITY INCOME FUND		
DESJARDINS CANADIAN EQUITY VALUE FUND		
DESJARDINS CANADIAN PREFERRED SHARE FUND		
DESJARDINS CANADIAN PREFERRED SHARE INDEX ETF		
DESJARDINS CANADIAN SHORT TERM BOND INDEX ETF		
DESJARDINS CANADIAN SMALL CAP EQUITY FUND		
DESJARDINS CANADIAN UNIVERSE BOND INDEX ETF		
DESJARDINS DEVELOPED EX-USA EX-CANADA MULTIFACTOR-CONTROLLED VOLATILITY ETF		
DESJARDINS DIVIDEND GROWTH FUND		
DESJARDINS DIVIDEND INCOME FUND		
DESJARDINS EMERGING MARKETS BOND FUND		
DESJARDINS EMERGING MARKETS FUND		
DESJARDINS EMERGING MARKETS MULTIFACTOR-CONTROLLED VOLATILITY ETF		
DESJARDINS EMERGING MARKETS OPPORTUNITIES FUND		
DESJARDINS ENHANCED BOND FUND		
DESJARDINS FLOATING RATE INCOME FUND		
DESJARDINS GLOBAL BALANCED STRATEGIC INCOME FUND		
DESJARDINS GLOBAL BOND FUND		
DESJARDINS GLOBAL CORPORATE BOND FUND		
DESJARDINS GLOBAL DIVIDEND FUND		
DESJARDINS GLOBAL EQUITY FUND		
DESJARDINS GLOBAL EQUITY GROWTH FUND		
DESJARDINS GLOBAL HIGH YIELD BOND FUND		
DESJARDINS GLOBAL INFRASTRUCTURE FUND		
DESJARDINS GLOBAL SMALL CAP EQUITY FUND		
DESJARDINS GLOBAL TACTICAL BOND FUND		
DESJARDINS GLOBAL TOTAL RETURN BOND FUND		

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DESJARDINS IBRIX LOW VOLATILITY GLOBAL EQUITY FUND		
DESJARDINS LOW VOLATILITY EMERGING MARKETS FUND		
DESJARDINS MONEY MARKET FUND		
DESJARDINS OVERSEAS EQUITY FUND		
DESJARDINS OVERSEAS EQUITY GROWTH FUND		
DESJARDINS QUÉBEC BALANCED FUND		
DESJARDINS RI ACTIVE CANADIAN BOND - LOW CO2 ETF		
DESJARDINS RI CANADA - LOW CO2 INDEX ETF		
DESJARDINS RI CANADA MULTIFACTOR - LOW CO2 ETF		
DESJARDINS RI DEVELOPED EX-USA EX-CANADA - LOW CO2 INDEX ETF		
DESJARDINS RI DEVELOPED EX-USA EX-CANADA MULTIFACTOR - LOW CO2 ETF		
DESJARDINS RI EMERGING MARKETS - LOW CO2 INDEX ETF		
DESJARDINS RI EMERGING MARKETS MULTIFACTOR - LOW CO2 ETF		
DESJARDINS RI GLOBAL MULTIFACTOR - FOSSIL FUEL RESERVES FREE ETF		
DESJARDINS RI USA - LOW CO2 INDEX ETF		
DESJARDINS RI USA MULTIFACTOR - LOW CO2 ETF		
DESJARDINS SHORT-TERM INCOME FUND		
DESJARDINS SOCIETERRA AMERICAN EQUITY FUND		
DESJARDINS SOCIETERRA CANADIAN BOND FUND		
DESJARDINS SOCIETERRA CANADIAN EQUITY FUND		
DESJARDINS SOCIETERRA CLEANTECH FUND		
DESJARDINS SOCIETERRA DIVERSITY FUND		
DESJARDINS SOCIETERRA EMERGING MARKETS EQUITY FUND		
DESJARDINS SOCIETERRA ENVIRONMENT FUND		
DESJARDINS SOCIETERRA ENVIRONMENTAL BOND FUND		
DESJARDINS SOCIETERRA GLOBAL BOND FUND		
DESJARDINS SOCIETERRA INTERNATIONAL EQUITY FUND		
DESJARDINS SOCIETERRA POSITIVE CHANGE FUND		
DESJARDINS TACTICAL BALANCED FUND		
DESJARDINS USA MULTIFACTOR-CONTROLLED VOLATILITY ETF		
DESMARAIS ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
DESOURCE MINING CORP.	Reciprocal CTO	1b, 1c, 1e
DESTINY MEDIA TECHNOLOGIES INC.		
DETECH CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
DETECTOR EXPLORATION LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
DEUTSCHE BANK AKTIENGESELLSCHAFT		
DEVERON UAS CORP.		
DEVIN ENERGY CORPORATION		

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DEVINE ENTERTAINMENT CORPORATION	ASC CTO	1a, 1b, 1c, 1e
DEVONIAN HEALTH GROUP INC.		
DEVSTUDIOS INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
DEXX CORPORATION	ASC CTO	1a, 1b, 1c, 1e
DEXX ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e
DFA CANADIAN CORE EQUITY FUND		
DFA CANADIAN VECTOR EQUITY FUND		
DFA FIVE-YEAR GLOBAL FIXED INCOME FUND		
DFA GLOBAL 40EQ-60FI PORTFOLIO		
DFA GLOBAL 50EQ-50FI PORTFOLIO		
DFA GLOBAL 60EQ-40FI PORTFOLIO		
DFA GLOBAL 70EQ-30FI PORTFOLIO		
DFA GLOBAL 80EQ-20FI PORTFOLIO		
DFA GLOBAL EQUITY PORTFOLIO		
DFA GLOBAL FIXED INCOME PORTFOLIO		
DFA GLOBAL INVESTMENT GRADE FIXED INCOME FUND		
DFA GLOBAL REAL ESTATE SECURITIES FUND		
DFA GLOBAL TARGETED CREDIT FUND		
DFA INTERNATIONAL CORE EQUITY FUND		
DFA INTERNATIONAL VECTOR EQUITY FUND		
DFA U.S. CORE EQUITY FUND		
DFA U.S. VECTOR EQUITY FUND		
DFA WORLD EQUITY PORTFOLIO		
DIADEM RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
DIAGEM INC.	ASC CTO	1a, 1b, 1c, 1e
DIAGNOS INC.		
DIAMCOR MINING INC.		
DIAMEDICA THERAPEUTICS INC.		
DIAMOND ESTATES WINES & SPIRITS INC.		
DIAMOND FIELDS RESOURCES INC.		
DIAMOND HAWK MINING CORP.	ASC CTO	1a, 1b, 1c, 1e
DIAMOND RIDGE	ASC CTO	1a, 1b, 1c, 1e
DIANE MINES LIMITED	ASC CTO	1a, 1b, 1c, 1e
DIANOR RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
DIA TEC RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
DIGGER RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
DIGITAL ARTISANS GUILD INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
DIGITAL CONSUMER DIVIDEND FUND		
DIGITAL COURIER INTERNATIONAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g

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DIGITAL DUPLICATION INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
DIGITAL YOUTH NETWORK CORP.	ASC CTO	1a, 1b, 1c, 1e
DIMENSIONS WEST ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e
DINGMAN INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
DINNEREX LIMITED PARTNERSHIP VIII	ASC CTO	1a, 1b, 1c, 1e
DINNEREX NATIONAL III LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
DINNEREX NATIONAL IV LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
DINO ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
DION ENTERTAINMENT CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
DIONYMED BRANDS INC.	Reciprocal CTO	1b, 1c, 1e
DIOS EXPLORATION INC.		
DIRECT COMMUNICATION SOLUTIONS, INC.		
DIRTT ENVIRONMENTAL SOLUTIONS LTD.		
DISCOVERWARE INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
DISCOVERY 2019 SHORT DURATION LP		
DISCOVERY AIR INC.	Reciprocal CTO	1a, 1b, 1c, 1d, 1e
DISCOVERY HARBOUR RESOURCES CORP.		
DISCOVERY METALS CORP.		
DISCOVERY ONE INVESTMENT CORP.		
DISCOVERY QUAY BUILDING I LIM PART	ASC CTO	1a, 1b, 1c, 1e
DISCOVERY-CORP ENTERPRISES INC.		
DISENCO ENERGY PLC	ASC CTO	1a, 1b, 1c, 1e
DISPLAY DYNAMICS LTD.	ASC CTO	1a, 1b, 1c, 1e
DISTINCT INFRASTRUCTURE GROUP INC.	Reciprocal CTO	1a, 1b, 1c, 1e
DISTINCTION BALANCED CLASS		
DISTINCTION BOLD CLASS		
DISTINCTION CONSERVATIVE CLASS		
DISTINCTION GROWTH CLASS		
DISTINCTION PRUDENT CLASS		
DISTRICT COPPER CORP.		
DISTRICT METALS CORP.		
DITEM EXPLORATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
DIVCOM LIGHTING INC.	ASC CTO	1a, 1b, 1c, 1e
DIVERGENT ENERGY SERVICES CORP.		
DIVERSIFIED COSMETICS INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
DIVERSIFIED FIXED INCOME FOLIO FUND		
DIVERSIFIED INVESTMENT STRATEGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
DIVERSIFIED ROYALTY CORP.		
DIVESTCO INC.	ASC CTO	1a, 1b, 1c, 1e

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DIVIDEND 15 SPLIT CORP.		
DIVIDEND 15 SPLIT CORP. II		
DIVIDEND CLASS (GWLIM)		
DIVIDEND FUND (GWLIM)		
DIVIDEND GROWTH SPLIT CORP.		
DIVIDEND SELECT 15 CORP.		
DIVOT GOLF CORPORATION	ASC CTO	1a, 1b, 1c, 1e
DIXIE GOLD INC.		
DIXIE ROAD PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
DIZUN INTERNATIONAL ENTERPRISES INC.		
DLC HOLDINGS CORP.		
DLTA 21 BLOCKCHAIN CORP.		
DLV RESOURCES LTD.		
DMG BLOCKCHAIN SOLUTIONS INC.		
DMI TECHNOLOGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
DMP POWER GLOBAL GROWTH CLASS		
DMP RESOURCE CLASS		
DMP VALUE BALANCED CLASS		
DMR RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
DNI METALS INC.	Reciprocal CTO	1a, 1b, 1c, 1e
DOCEBO INC.		
DOCU-FAX INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
DOLLARAMA INC.		
DOLLY VARDEN SILVER CORPORATION		
DOMAN INDUSTRIES LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e
DOMINI SPORTSWEAR LTD.	ASC CTO	1a, 1b, 1c, 1e
DOMINION INTERNATIONAL INVESTMENTS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
DOMINION OIL INVESTMENTS LTD.	ASC CTO	1a, 1b, 1c, 1e
DOMTAR CORPORATION		
DONNELLEY FINANCIAL SOLUTIONS, INC.		
DORAL MINERAL INDUSTRIES LIMITED	ASC CTO	1a, 1b, 1c, 1e
DORE COPPER MINING CORP.		
DOREAL ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
DOREL INDUSTRIES INC.		
DORSET SQUARE THE	ASC CTO	1a, 1b, 1c, 1e
DOT RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
DOTODO URBAN LOGISTICS, INC.	Reciprocal CTO	1a, 1b, 1c, 1e
DOUBLEVIEW CAPITAL CORP.		
DOVECORP ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e

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DOVER ENTERPRISES LTD.	ASC CTO	1a, 1b, 1c, 1e
DOXA ENERGY LTD.		
DRAGON LEGEND ENTERTAINMENT (CANADA) INC.	Reciprocal CTO	1a, 1b, 1c, 1e
DRAGONFLY CAPITAL CORP.		
DRAGONWAVE INC.	Reciprocal CTO	1a, 1b, 1c, 1d, 1e
DRAKE ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
DREAM HARD ASSET ALTERNATIVES TRUST		
DREAM INDUSTRIAL REAL ESTATE INVESTMENT TRUST		
DREAM OFFICE REAL ESTATE INVESTMENT TRUST		
DREAM UNLIMITED CORP.		
DREAMTEC INC.	ASC CTO	1a, 1b, 1c, 1e
DRONE DELIVERY CANADA CORP.		
DRUMMOND VENTURES CORP.		
DRW ENVIRONMENTAL TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
DSI DATOTECH SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
DUBENSKI GOLD MINES LIMITED	ASC CTO	1a, 1b, 1c, 1e
DUCKHORN VENTURES LTD.		
DUNCAN PARK HOLDINGS CORPORATION		
DUNDEE CORPORATION		
DUNDEE ENERGY LIMITED	Reciprocal CTO	1a, 1b, 1c, 1e, 1k
DUNDEE GLOBAL RESOURCE CLASS		
DUNDEE PRECIOUS METALS INC.		
DUNDEE SUSTAINABLE TECHNOLOGIES INC.		
DUNES EXPLORATION LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
DUNLOP INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
DUNSMUIR RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
DURANGO RESOURCES INC.		
DURO METALS INC		
DXI ENERGY INC.		
DXSTORM.COM INC.		3
DYNA GOLD RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
DYNA HAUL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
DYNACERT INC.		
DYNACOR GOLD MINES INC.		
DYNAMAR ENERGY LIMITED	ASC CTO	1a, 1b, 1c, 1e
DYNAMIC ACTIVE CANADIAN DIVIDEND ETF		
DYNAMIC ACTIVE CANADIAN DIVIDEND FUND		
DYNAMIC ACTIVE CORE BOND PRIVATE POOL		
DYNAMIC ACTIVE CREDIT STRATEGIES PRIVATE POOL		

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DYNAMIC ACTIVE CROSSOVER BOND ETF		
DYNAMIC ACTIVE CROSSOVER BOND FUND		
DYNAMIC ACTIVE GLOBAL DIVIDEND ETF		
DYNAMIC ACTIVE GLOBAL DIVIDEND FUND		
DYNAMIC ACTIVE GLOBAL FINANCIAL SERVICES ETF		
DYNAMIC ACTIVE GLOBAL FINANCIAL SERVICES FUND		
DYNAMIC ACTIVE GLOBAL INFRASTRUCTURE ETF		
DYNAMIC ACTIVE INTERNATIONAL DIVIDEND ETF		
DYNAMIC ACTIVE INVESTMENT GRADE FLOATING RATE ETF		
DYNAMIC ACTIVE INVESTMENT GRADE FLOATING RATE FUND		
DYNAMIC ACTIVE PREFERRED SHARES ETF		
DYNAMIC ACTIVE PREFERRED SHARES FUND		
DYNAMIC ACTIVE TACTICAL BOND ETF		
DYNAMIC ACTIVE TACTICAL BOND FUND		
DYNAMIC ACTIVE U.S. DIVIDEND ETF		
DYNAMIC ACTIVE U.S. DIVIDEND FUND		
DYNAMIC ACTIVE U.S. MID-CAP ETF		
DYNAMIC ACTIVE U.S. MID-CAP FUND		
DYNAMIC ADVANTAGE BOND CLASS		
DYNAMIC ADVANTAGE BOND FUND		
DYNAMIC ALPHA PERFORMANCE II FUND		
DYNAMIC ALTERNATIVE MANAGED RISK PRIVATE POOL CLASS		
DYNAMIC ALTERNATIVE YIELD CLASS		
DYNAMIC ALTERNATIVE YIELD FUND		
DYNAMIC AMERICAN CLASS		
DYNAMIC AMERICAN FUND		
DYNAMIC ASIA PACIFIC EQUITY FUND		
DYNAMIC ASSET ALLOCATION PRIVATE POOL		
DYNAMIC BLUE CHIP BALANCED FUND		
DYNAMIC BLUE CHIP EQUITY FUND		
DYNAMIC CANADIAN BOND FUND		
DYNAMIC CANADIAN DIVIDEND FUND		
DYNAMIC CANADIAN EQUITY PRIVATE POOL CLASS		
DYNAMIC CANADIAN VALUE CLASS		
DYNAMIC CONSERVATIVE YIELD PRIVATE POOL		
DYNAMIC CONSERVATIVE YIELD PRIVATE POOL CLASS		
DYNAMIC CONSOLIDATED RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
DYNAMIC CORPORATE BOND STRATEGIES CLASS		
DYNAMIC CORPORATE BOND STRATEGIES FUND		

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DYNAMIC CREDIT ABSOLUTE RETURN II FUND		
DYNAMIC CREDIT SPECTRUM FUND		
DYNAMIC DIVERSIFIED REAL ASSET FUND		
DYNAMIC DIVIDEND ADVANTAGE CLASS		
DYNAMIC DIVIDEND ADVANTAGE FUND		
DYNAMIC DIVIDEND FUND		
DYNAMIC DIVIDEND INCOME CLASS		
DYNAMIC DIVIDEND INCOME FUND		
DYNAMIC DOLLAR-COST AVERAGING FUND		
DYNAMIC ENERGY INCOME FUND		
DYNAMIC EQUITY INCOME FUND		
DYNAMIC EUROPEAN EQUITY FUND		
DYNAMIC FINANCIAL SERVICES FUND		
DYNAMIC GLOBAL ALL-TERRAIN FUND		
DYNAMIC GLOBAL ASSET ALLOCATION CLASS		
DYNAMIC GLOBAL ASSET ALLOCATION FUND		
DYNAMIC GLOBAL BALANCED FUND		
DYNAMIC GLOBAL DISCOVERY CLASS		
DYNAMIC GLOBAL DISCOVERY FUND		
DYNAMIC GLOBAL DIVIDEND CLASS		
DYNAMIC GLOBAL DIVIDEND FUND		
DYNAMIC GLOBAL EQUITY FUND		
DYNAMIC GLOBAL EQUITY INCOME FUND		
DYNAMIC GLOBAL EQUITY PRIVATE POOL CLASS		
DYNAMIC GLOBAL INFRASTRUCTURE CLASS		
DYNAMIC GLOBAL INFRASTRUCTURE FUND		
DYNAMIC GLOBAL REAL ESTATE FUND		
DYNAMIC GLOBAL STRATEGIC YIELD FUND		
DYNAMIC GLOBAL YIELD PRIVATE POOL		
DYNAMIC GLOBAL YIELD PRIVATE POOL CLASS		
DYNAMIC HIGH YIELD BOND FUND		
DYNAMIC INTERNATIONAL DIVIDEND PRIVATE POOL		
DYNAMIC INTERNATIONAL EQUITY FUND		
DYNAMIC INVESTMENT GRADE FLOATING RATE FUND		
DYNAMIC LIQUID ALTERNATIVES PRIVATE POOL		
DYNAMIC MONEY MARKET CLASS		
DYNAMIC MONEY MARKET FUND		
DYNAMIC NORTH AMERICAN DIVIDEND PRIVATE POOL		
DYNAMIC POWER AMERICAN GROWTH CLASS		

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DYNAMIC POWER AMERICAN GROWTH FUND		
DYNAMIC POWER BALANCED FUND		
DYNAMIC POWER CANADIAN GROWTH FUND		
DYNAMIC POWER GLOBAL BALANCED CLASS		
DYNAMIC POWER GLOBAL GROWTH CLASS		
DYNAMIC POWER GLOBAL GROWTH FUND		
DYNAMIC POWER GLOBAL NAVIGATOR CLASS		
DYNAMIC POWER SMALL CAP FUND		
DYNAMIC PRECIOUS METALS FUND		
DYNAMIC PREFERRED YIELD CLASS		
DYNAMIC PREMIUM BOND PRIVATE POOL		
DYNAMIC PREMIUM BOND PRIVATE POOL CLASS		
DYNAMIC PREMIUM YIELD CLASS		
DYNAMIC PREMIUM YIELD FUND		
DYNAMIC PREMIUM YIELD PLUS FUND		
DYNAMIC REAL ESTATE & INFRASTRUCTURE INCOME II FUND		
DYNAMIC SHORT TERM BOND FUND		
DYNAMIC SMALL BUSINESS FUND		
DYNAMIC STRATEGIC ENERGY CLASS		
DYNAMIC STRATEGIC GOLD CLASS		
DYNAMIC STRATEGIC RESOURCE CLASS		
DYNAMIC STRATEGIC YIELD CLASS		
DYNAMIC STRATEGIC YIELD FUND		
DYNAMIC TACTICAL BOND PRIVATE POOL		
DYNAMIC TOTAL RETURN BOND CLASS		
DYNAMIC TOTAL RETURN BOND FUND		
DYNAMIC U.S. BALANCED CLASS		
DYNAMIC U.S. DIVIDEND ADVANTAGE FUND		
DYNAMIC U.S. EQUITY INCOME FUND		
DYNAMIC U.S. EQUITY PRIVATE POOL CLASS		
DYNAMIC U.S. MONTHLY INCOME FUND		
DYNAMIC U.S. SECTOR FOCUS CLASS		
DYNAMIC U.S. STRATEGIC YIELD FUND		
DYNAMIC VALUE BALANCED CLASS		
DYNAMIC VALUE BALANCED FUND		
DYNAMIC VALUE FUND OF CANADA		
DYNAMICEDGE BALANCED CLASS PORTFOLIO		
DYNAMICEDGE BALANCED GROWTH CLASS PORTFOLIO		
DYNAMICEDGE BALANCED GROWTH PORTFOLIO		

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DYNAMICEDGE BALANCED INCOME PORTFOLIO		
DYNAMICEDGE BALANCED PORTFOLIO		
DYNAMICEDGE CONSERVATIVE CLASS PORTFOLIO		
DYNAMICEDGE DEFENSIVE PORTFOLIO		
DYNAMICEDGE EQUITY CLASS PORTFOLIO		
DYNAMICEDGE EQUITY PORTFOLIO		
DYNAMICEDGE GROWTH CLASS PORTFOLIO		
DYNAMICEDGE GROWTH PORTFOLIO		
DYNAMIX CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
DYNAMO CAPITAL CORP.		
DYNASTAR INC.	ASC CTO	1a, 1b, 1c, 1e
DYNASTY GOLD CORP.		
DYNEX PETROLEUM LTD.	ASC CTO	1a, 1b, 1c, 1e
E SPLIT CORP.		
E TRADE FINANCIAL CORPORATION		
E.S.I. ENVIRONMENTAL SENSORS INC.		
E3 METALS CORP.		
E36 CAPITAL CORP.		
EAGLE BLOCKCHAIN ENTERPRISES INC.		
EAGLE CREDIT CARD TRUST		
EAGLE ENERGY INC.		
EAGLE GRAPHITE INCORPORATED		
EAGLE I CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
EAGLE PLAINS RESOURCES LTD.		
EARL RESOURCES LIMITED		
EARLYRAIN INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
EARTH ALIVE CLEAN TECHNOLOGIES INC.		
EARTHRENEW INC.		
EARTHSTONE ENERGY, INC.		
EARTHWHILE DEVELOPMENTS INC.	ASC CTO	1a, 1b, 1c, 1e
EARTHWORKS INDUSTRIES INC.		
EAST AFRICA METALS INC.		
EAST ASIA MINERALS CORPORATION		
EAST COAST ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e
EAST COAST INVESTMENT GRADE INCOME FUND		
EAST WEST PETROLEUM CORP.		
EASTCOAL INC.		
EASTERN PLATINUM LIMITED		
EASTERN ZINC CORP.		

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EASTFIELD RESOURCES LTD.		
EASTON MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1e
EASTSIBERIAN PLC	ASC CTO	1a, 1b, 1c, 1e, 1k
EASTWEST BIOSCIENCE INC.		
EASTWOOD BIO-MEDICAL CANADA INC.		
EASY STREET ADVENTURES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
EASY TECHNOLOGIES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
ECC DIVERSIFIED INC.		
ECC VENTURES 2 CORP.		
ECHELON CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ECHELON FINANCIAL HOLDINGS INC.		
ECHELON INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
ECHO ENERGY CANADA INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
ECL ENVIROCLEAR VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e
ECLIPSE GOLD MINING CORPORATION		
ECN CAPITAL CORP.		
ECO (ATLANTIC) OIL & GAS LTD.		
ECO ORO MINERALS CORP.		
ECO TECHNOLOGIES INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
ECO-DYNAMICS INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
ECOLOMONDO CORPORATION		
ECOMETALS LIMITED	ASC CTO	1a, 1b, 1c, 1e
ECOSSE ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
ECOSYNTHETIX INC.		
EDCI HOLDINGS, INC.	ASC CTO	1a, 1b, 1c, 1e
EDEN ROC MINERAL CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
EDESA BIOTECH, INC.		
EDGE RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1k, 4
EDGEMONT GOLD CORP.		
EDGEPOINT CANADIAN GROWTH & INCOME PORTFOLIO		
EDGEPOINT CANADIAN PORTFOLIO		
EDGEPOINT GLOBAL GROWTH & INCOME PORTFOLIO		
EDGEPOINT GLOBAL PORTFOLIO		
EDGEWATER EXPLORATION LTD.		
EDGEWATER WIRELESS SYSTEMS INC.		
EDINBURGH HOUSE	ASC CTO	1a, 1b, 1c, 1e
EDISON COBALT CORP.		
EDMONTON INTERNATIONAL INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
EDUCATORS INVESTMENT CORPORATION	ASC CTO	1a, 1b, 1c, 1e

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E-ENERGY VENTURES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
EESTOR CORPORATION		
EFFICACIOUS ELK CAPITAL CORP.		
EFLO ENERGY, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
EGF THERAMED HEALTH CORP.		
EGO RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e
EGUANA TECHNOLOGIES INC.		
EGX GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
EHP ADVANTAGE ALTERNATIVE FUND		
EHP ADVANTAGE INTERNATIONAL ALTERNATIVE FUND		
EHP FOUNDATION ALTERNATIVE FUND		
EHP FOUNDATION INTERNATIONAL ALTERNATIVE FUND		
EHP GLOBAL ARBITRAGE ALTERNATIVE FUND		
EHP SELECT ALTERNATIVE FUND		
EI ENVIRONMENTAL ENGINEERING CONCEPTS LTD.	ASC CTO	1a, 1b, 1c, 1e
EIGHT SOLUTIONS INC.	Reciprocal CTO	1b, 1c, 1e
EKATON INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
EL DORADO SYSTEMS CANADA INC.	ASC CTO	1a, 1b, 1c, 1e
E-L FINANCIAL CORPORATION LIMITED		
EL NINO VENTURES INC.		3
ELCORA ADVANCED MATERIALS CORP.		
ELDORADO GOLD CORPORATION		
ELECTRA ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
ELECTRA STONE LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
ELECTRIC-SPIN LTD.	ASC CTO	1a, 1b, 1c, 1e
ELECTRO KNIT FABRICS LTD.	ASC CTO	1a, 1b, 1c, 1e
ELECTRONIC TRANSMISSION CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ELECTROVAYA INC.		
ELEMENT FLEET MANAGEMENT CORP.		
ELEMENT LIFESTYLE RETIREMENT INC.		
ELEMENTOS LIMITED		
ELEPHANT HILL CAPITAL INC.		
ELETEL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ELITE REAL ESTATE CANADA INC.	ASC CTO	1a, 1b, 1c, 1e
ELITE TECHNICAL INC.	ASC CTO	1a, 1b, 1c, 1e
ELIXXER LTD.		
ELLIPSIZ COMMUNICATIONS LTD.		
ELORO RESOURCES LTD.		
ELSE NUTRITION HOLDINGS INC.		

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ELY GOLD ROYALTIES INC.		
ELYSEE DEVELOPMENT CORP		
ELYSIAN CAPITAL CORP.		3
E-MANUFACTURING NETWORKS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
EMBERCLEAR CORP.	ASC CTO	1a, 1b, 1c, 1e
EMBLEM CORP.		
EMERA INCORPORATED		
EMERALD DRAGON MINES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
EMERALD HEALTH THERAPEUTICS, INC.		
EMERALD ISLE RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
EMERALD PLACE I	ASC CTO	1a, 1b, 1c, 1e
EMERCOR BUILDING SYSTEMS LTD.	ASC CTO	1a, 1b, 1c, 1e
EMERGE ARK AI & BIG DATA ETF		
EMERGE ARK AUTONOMOUS TECH & ROBOTICS ETF		
EMERGE ARK FINTECH INNOVATION ETF		
EMERGE ARK GENOMICS & BIOTECH ETF		
EMERGE ARK GLOBAL DISRUPTIVE INNOVATION ETF		
EMERGE SOLUTIONS WORLDWIDE INC.	ASC CTO	1a, 1b, 1c, 1e
EMERGIA INC.		
EMERGING MARKETS EQUITY CORPORATE CLASS		
EMERGING MARKETS EQUITY FUND		
EMERGING MARKETS EQUITY POOL		
EMERITA RESOURCES CORP.		
EMGOLD MINING CORPORATION		
EMPEROR OIL LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
EMPIRE COMPANY LIMITED		
EMPIRE EXCHANGE CO LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
EMPIRE INDUSTRIES LTD.		
EMPIRE LIFE DIVIDEND GROWTH MUTUAL FUND		
EMPIRE LIFE EMBLEM AGGRESSIVE GROWTH PORTFOLIO		
EMPIRE LIFE EMBLEM BALANCED PORTFOLIO		
EMPIRE LIFE EMBLEM CONSERVATIVE PORTFOLIO		
EMPIRE LIFE EMBLEM DIVERSIFIED INCOME PORTFOLIO		
EMPIRE LIFE EMBLEM GROWTH PORTFOLIO		
EMPIRE LIFE EMBLEM MODERATE GROWTH PORTFOLIO		
EMPIRE LIFE MONTHLY INCOME MUTUAL FUND		
EMPIRE METALS CORP.		
EMPIRICAL INC.	ASC CTO	1a, 1b, 1c, 1e
EMPOWER CLINICS INC.		

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EMPOWER TECHNOLOGIES CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e
EMPRESS RESOURCES CORP.		
EMR MICROWAVE TECHNOLOGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
EMX ROYALTY CORPORATION		
ENABLENCE TECHNOLOGIES INC.		
ENBLAST PRODUCTIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ENBRIDGE GAS INC.		
ENBRIDGE INC.		
ENBRIDGE PIPELINES INC.		
ENCANTO POTASH CORP.		
ENCASA CANADIAN BOND FUND		
ENCASA CANADIAN EQUITY FUND		
ENCASA CANADIAN SHORT-TERM BOND FUND		
ENCORE ENERGY CORP.		
ENCORE TICKETS LIMITED	ASC CTO	1a, 1b, 1c, 1e
ENDEAVOUR MINING CORPORATION		
ENDEAVOUR SILVER CORP.		
ENDO INTERNATIONAL PLC		
ENDURANCE GOLD CORPORATION		
ENERDYNAMIC HYBRID TECHNOLOGIES CORP.		
ENERFLEX LTD.		
ENERGAS RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
ENERGIR INC.		
ENERGOLD DRILLING CORP.	Reciprocal CTO	1b, 1c, 1e
ENERGULF RESOURCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e, 1k
ENERGY CREDIT OPPORTUNITIES INCOME FUND		1a, 1b, 1c, 1d
ENERGY FUELS INC.		
ENERGY INCOME FUND		
ENERGY INTERNATIONAL OVERSEAS CORP.	ASC CTO	1a, 1b, 1c, 1e
ENERGY TRANSFER PARTNERS, L.P.		1a, 1b, 1c, 1d, 1e
ENERNORTH INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
ENERPLUS CORPORATION		
ENERSHARE TECHNOLOGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ENERSPAR CORP.		
ENERTEC CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ENFIELD EXPLORATION CORP.		
ENGAGEMENT LABS INC.		
ENGINEER GOLD MINES LTD.		
ENGOLD MINES LTD.		

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ENHANCED INCOME CORPORATE CLASS		
ENHANCED INCOME POOL		
ENHANCED INCOME PORTFOLIO		
ENLIGHTA INC.		
ENQUEST ENERGY SERVICES CORP.	ASC CTO	1a, 1b, 1c, 1e
ENQUEST PLC		
ENRICH VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e
ENSECO ENERGY SERVICES CORP.	ASC CTO	1a, 1b, 1c, 1e
ENSIGN ENERGY SERVICES INC.		
ENSSOLUTIONS GROUP INC.	Reciprocal CTO	1a, 1b, 1c, 1e
ENTEC SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
ENTERCOR RESOURCE CORP.	ASC CTO	1a, 1b, 1c, 1e
ENTERPRISE DEVELOPMENT CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
ENTERPRISE GROUP, INC.		
ENTERRA COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ENTERTAINMENT ROYALTIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ENTHEOS CAPITAL CORP.		
ENTHUSIAST GAMING HOLDINGS INC.		
ENTREC CORPORATION		
ENTREE RESOURCES LTD.		
ENTREPRENO ACQUISITIONS CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
ENVIRO WASTE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ENVIRO WASTE LIMITED PART	ASC CTO	1a, 1b, 1c, 1e
ENVIROLEACH TECHNOLOGIES INC.		
ENVIRONMENTAL RECLAMATION INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
ENVIRONMENTAL TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ENVIRONMENTAL WASTE INTERNATIONAL INC.		
ENVIROPOWER INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ENVIROPRO INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
ENVIROTEK REMEDIATION INC.		3
ENWAVE CORPORATION		
EOPTIMIZE ADVANCED SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
EP 2000 CONSERVATION INC.	ASC CTO	1a, 1b, 1c, 1e
EPCOR UTILITIES INC.		
EPHONE TELECOM, INC.	ASC CTO	1a, 1b, 1c, 1e
E-PHORIA ONLINE SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
EPIC BEVERAGES LTD.	ASC CTO	1a, 1b, 1c, 1e
EPIC ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
EPIC FUSION CORP.	Reciprocal CTO	1a, 1b, 1c, 1e

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E-PLAY DIGITAL INC.		
EPOCH GLOBAL EQUITY CLASS		
EPOCH GLOBAL EQUITY FUND		
EPOCH GLOBAL SHAREHOLDER YIELD FUND		
EPOCH INTERNATIONAL EQUITY FUND		
EPOCH U.S. BLUE CHIP EQUITY CURRENCY NEUTRAL FUND		
EPOCH U.S. BLUE CHIP EQUITY FUND		
EPOCH U.S. LARGE-CAP VALUE CLASS		
EPOCH U.S. LARGE-CAP VALUE FUND		
EPOCH U.S. SHAREHOLDER YIELD FUND		
EPSILON ENERGY LTD.		
EQ INC.		
EQUBE GAMING LIMITED		
EQUESS COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
EQUINOX GOLD CORP.		
EQUITABLE GROUP INC.		
EQUITEC PRODUCTS CORP.	ASC CTO	1a, 1b, 1c, 1e
EQUITECH CORPORATION	ASC CTO	1a, 1b, 1c, 1e
EQUITORIAL EXPLORATION CORP.		
EQUITY METALS CORPORATION		
ERDENE RESOURCE DEVELOPMENT CORPORATION		
ERIN VENTURES INC.		
ERMINESKIN PLACE LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
ERO COPPER CORP.		
EROS RESOURCES CORP		
ERRA RESOURCES 1990 EXPLORATION AND DEVELOPMENT LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
ESHIPPIERS MANAGEMENT LTD.		
ESI ENERGY SERVICES INC		
ESKAY MINING CORP.		
ESREY RESOURCES LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
ESSA PHARMA INC.		
ESSENTIAL ENERGY SERVICES LTD.		
ESSEX MINERALS INC.		
ESSTRA INDUSTRIES INC.		
ESTATION NETWORK SERVICES, INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ETAC SALES LTD.	ASC CTO	1a, 1b, 1c, 1e
ETHER CAPITAL CORPORATION		
ETHOS GOLD CORP.		
ETRION CORPORATION		

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Name	Cease Traded	Nature of Default
EUREKA 93 INC.	Reciprocal CTO	1b, 1c, 1e
EURO MANGANESE INC.		
EURO RESSOURCES S.A.		
EURO SUN MINING INC.		
EUROGAS INTERNATIONAL INC.		
EUROLIFE BRANDS INC.		
EUROMAX RESOURCES LTD.		
EURO-NET INVESTMENTS LTD.	ASC CTO	1a, 1b, 1c, 1e
EUROPEAN ELECTRIC METALS INC.		
EUROPEAN FOCUSED DIVIDEND FUND		
EUROPEAN METALS CORP.		
EUROPEAN RESIDENTIAL REAL ESTATE INVESTMENT TRUST		
EUROTIN INC.		
EVE & CO INCORPORATED		
EVEN TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
EVERBRIGHT CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
EVERGOLD CORP.		
EVERGREEN GAMING CORPORATION		
EVERGREEN INTERNATIONAL CORP.	ASC CTO	1a, 1b, 1c, 1e
EVERLAST FILTRATION SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
EVERMOUNT VENTURES INC.		
EVERTON RESOURCES INC.		
EVERTZ TECHNOLOGIES LIMITED		
EVI VENTURES CORP.		
EVIANA HEALTH CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e
EVOLUTION GLOBAL FINANCIAL CORP.		
EVOLVE ACTIVE CANADIAN PREFERRED SHARE FUND		
EVOLVE ACTIVE CORE FIXED INCOME FUND		
EVOLVE ACTIVE GLOBAL FIXED INCOME FUND		
EVOLVE AUTOMOBILE INNOVATION INDEX FUND		
EVOLVE CYBER SECURITY INDEX FUND		
EVOLVE DIVIDEND STABILITY PREFERRED SHARE INDEX ETF		
EVOLVE E-GAMING INDEX ETF		
EVOLVE GLOBAL HEALTHCARE ENHANCED YIELD FUND		
EVOLVE GLOBAL MATERIALS & MINING ENHANCED YIELD INDEX ETF		
EVOLVE INNOVATION INDEX FUND		
EVOLVE US BANKS ENHANCED YIELD FUND		
EVOLVED DIGITAL SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
EVOLVING GOLD CORP.		

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EVRIM RESOURCES CORP.		
EXACTEARTH LTD.		
EXALL ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
EXCAM DEVELOPMENTS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
EXCEL GOLD MINING INC.	ASC CTO	1a, 1b, 1c, 1e
EXCEL TRANSCONTINENTAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
EXCELLON RESOURCES INC.		
EXCELSIOR MINING CORP.		
EXCHANGE INCOME CORPORATION		
EXCLAMATION INVESTMENTS CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e
EXCO ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e
EXCO TECHNOLOGIES LIMITED		
EXEBLOCK TECHNOLOGY CORPORATION		
EXELERATE CAPITAL CORP.		
EXELTECH AEROSPACE INC.	ASC CTO	1a, 1b, 1c, 1e
EXEMPLAR GROWTH AND INCOME FUND		
EXEMPLAR INVESTMENT GRADE FUND		
EXEMPLAR PERFORMANCE FUND		
EXFO INC.		
EXGEN RESOURCES INC.		
EXMCEUTICALS INC.		
EXO U INC.	Reciprocal CTO	1a, 1b, 1c, 1e
EXPERION HOLDINGS LTD.		
EXPLORATION AURIGINOR INC	ASC CTO	1a, 1b, 1c, 1e, 1g
EXPLORATION AURTOIS INC.	ASC CTO	1a, 1b, 1c, 1e
EXPLORERS ALLIANCE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
EXPLOREX RESOURCES INC.		
EXPO LIMITED	ASC CTO	1a, 1b, 1c, 1e
EXPRESS CAPITAL CORP.		
EXRO TECHNOLOGIES INC.		
EXTEN INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
EXTENDICARE INC.		
EXTENWAY SOLUTIONS INC.	Reciprocal CTO	1a, 1b, 1c, 1e
EYECARROT INNOVATIONS CORP.		3
FAB-FORM INDUSTRIES LTD.		
FABLED COPPER CORP.		
FACEDRIVE INC.		
FACT CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
FAIR SKY RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1k

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FAIRCOURT GOLD INCOME CORP.		
FAIRCOURT SPLIT TRUST		
FAIRFAX AFRICA HOLDINGS CORPORATION		
FAIRFAX FINANCIAL HOLDINGS LIMITED		
FAIRFAX INDIA HOLDINGS CORPORATION		
FAIRMILE GOLDTECH INC.	ASC CTO	1a, 1b, 1c, 1e
FAIRMONT RESOURCES INC.		
FAIRWAY CAPITAL PARTNERS 1990 AND COMPANY, LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
FAIRWEST ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
FALCO RESOURCES LTD.		
FALCON GOLD CORP.		
FALCON OIL & GAS LTD.		
FALCON WELL SERVICES LTD	ASC CTO	1a, 1b, 1c, 1e, 1g
FALCONRIDGE ESTATES LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
FALECK & MARGOLIES LTD.	ASC CTO	1a, 1b, 1c, 1e
FAMILY FILMS LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
FAMILY SINGLE STUDENT EDUCATION SAVINGS PLAN		
FANCAMP EXPLORATION LTD.		
FANDOM SPORTS MEDIA CORP.		
FANLOGIC INTERACTIVE INC.	ASC CTO	1a, 1b, 1c, 1e
FANTASTIC INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
FANTASY ACES DAILY FANTASY SPORTS CORP.	ASC CTO	1a, 1b, 1c, 1e
FANTOM TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
FAR RESOURCES LTD.		
FAREPORT CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
FARSTARCAP INVESTMENT CORP.		
FAS INTERNATIONAL LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
FAX CAPITAL CORP.		
FAXMATE.COM INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
FBEC WORLDWIDE, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
FEDERATED STRATEGIC VALUE U.S. EQUITY DIVIDEND FUND		
FÉDÉRATION DES CAISSES DESJARDINS DU QUÉBEC		
FENGRO INDUSTRIES CORP.		
FENNEC PHARMACEUTICALS INC.		
FERONIA INC.		
FIBRE-CROWN MANUFACTURING INC.		
FIBRESOURCES CORPORATION		
FIDELITY - IG CANADIAN EQUITY POOL		
FIDELITY AMERICAN BALANCED CURRENCY NEUTRAL FUND		

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FIDELITY AMERICAN BALANCED FUND		
FIDELITY AMERICAN DISCIPLINED EQUITY CLASS		
FIDELITY AMERICAN DISCIPLINED EQUITY CURRENCY NEUTRAL CLASS		
FIDELITY AMERICAN DISCIPLINED EQUITY CURRENCY NEUTRAL FUND		
FIDELITY AMERICAN DISCIPLINED EQUITY FUND		
FIDELITY AMERICAN EQUITY CLASS		
FIDELITY AMERICAN EQUITY CURRENCY NEUTRAL CLASS		
FIDELITY AMERICAN EQUITY FUND		
FIDELITY AMERICAN EQUITY SYSTEMATIC CURRENCY HEDGED FUND		
FIDELITY AMERICAN HIGH YIELD CURRENCY NEUTRAL FUND		
FIDELITY AMERICAN HIGH YIELD FUND		
FIDELITY ASIASTAR CLASS		
FIDELITY ASIASTAR FUND		
FIDELITY ASSET ALLOCATION CURRENCY NEUTRAL PRIVATE POOL		
FIDELITY ASSET ALLOCATION PRIVATE POOL		
FIDELITY BALANCED CLASS PORTFOLIO		
FIDELITY BALANCED CURRENCY NEUTRAL PRIVATE POOL		
FIDELITY BALANCED INCOME CURRENCY NEUTRAL PRIVATE POOL		
FIDELITY BALANCED INCOME PRIVATE POOL		
FIDELITY BALANCED MANAGED RISK PORTFOLIO		
FIDELITY BALANCED PORTFOLIO		
FIDELITY BALANCED PRIVATE POOL		
FIDELITY CANADIAN ASSET ALLOCATION CLASS		
FIDELITY CANADIAN ASSET ALLOCATION FUND		
FIDELITY CANADIAN BALANCED CLASS		
FIDELITY CANADIAN BALANCED FUND		
FIDELITY CANADIAN BOND FUND		
FIDELITY CANADIAN DISCIPLINED EQUITY CLASS		
FIDELITY CANADIAN DISCIPLINED EQUITY FUND		
FIDELITY CANADIAN EQUITY MULTI-ASSET BASE FUND		
FIDELITY CANADIAN EQUITY PRIVATE POOL		
FIDELITY CANADIAN FOCUSED EQUITY MULTI-ASSET BASE FUND		
FIDELITY CANADIAN FUNDAMENTAL EQUITY MULTI-ASSET BASE FUND		
FIDELITY CANADIAN GROWTH COMPANY CLASS		
FIDELITY CANADIAN GROWTH COMPANY FUND		
FIDELITY CANADIAN HIGH DIVIDEND INDEX ETF		
FIDELITY CANADIAN HIGH DIVIDEND INDEX ETF FUND		
FIDELITY CANADIAN HIGH QUALITY INDEX ETF		
FIDELITY CANADIAN HIGH QUALITY INDEX ETF FUND		

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FIDELITY CANADIAN LARGE CAP CLASS		
FIDELITY CANADIAN LARGE CAP FUND		
FIDELITY CANADIAN LOW VOLATILITY INDEX ETF		
FIDELITY CANADIAN LOW VOLATILITY INDEX ETF FUND		
FIDELITY CANADIAN MONEY MARKET FUND		
FIDELITY CANADIAN MONEY MARKET INVESTMENT TRUST		
FIDELITY CANADIAN MONTHLY HIGH INCOME ETF		
FIDELITY CANADIAN MONTHLY HIGH INCOME ETF FUND		
FIDELITY CANADIAN OPPORTUNITIES CLASS		
FIDELITY CANADIAN OPPORTUNITIES FUND		
FIDELITY CANADIAN REAL RETURN BOND INDEX MULTI-ASSET BASE FUND		
FIDELITY CANADIAN SHORT TERM BOND FUND		
FIDELITY CANADIAN SHORT TERM CORPORATE BOND ETF		
FIDELITY CANADIAN SHORT TERM CORPORATE BOND ETF FUND		
FIDELITY CANADIAN SHORT TERM FIXED INCOME MULTI-ASSET BASE FUND		
FIDELITY CANADIAN SHORT TERM INCOME CLASS		
FIDELITY CANAM OPPORTUNITIES CLASS		
FIDELITY CANAM OPPORTUNITIES CURRENCY NEUTRAL CLASS		
FIDELITY CHINA CLASS		
FIDELITY CHINA FUND		
FIDELITY CLEARPATH 2005 PORTFOLIO		
FIDELITY CLEARPATH 2010 PORTFOLIO		
FIDELITY CLEARPATH 2015 PORTFOLIO		
FIDELITY CLEARPATH 2020 PORTFOLIO		
FIDELITY CLEARPATH 2025 PORTFOLIO		
FIDELITY CLEARPATH 2030 PORTFOLIO		
FIDELITY CLEARPATH 2035 PORTFOLIO		
FIDELITY CLEARPATH 2040 PORTFOLIO		
FIDELITY CLEARPATH 2045 PORTFOLIO		
FIDELITY CLEARPATH 2050 PORTFOLIO		
FIDELITY CLEARPATH 2055 PORTFOLIO		
FIDELITY CLEARPATH INCOME PORTFOLIO		
FIDELITY CLEARPATH® 2060 PORTFOLIO		
FIDELITY CONCENTRATED CANADIAN EQUITY MULTI-ASSET BASE FUND		
FIDELITY CONCENTRATED CANADIAN EQUITY PRIVATE POOL		
FIDELITY CONCENTRATED VALUE INVESTMENT TRUST		
FIDELITY CONCENTRATED VALUE PRIVATE POOL		
FIDELITY CONSERVATIVE INCOME FUND		

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FIDELITY CONSERVATIVE INCOME PRIVATE POOL		
FIDELITY CONSERVATIVE MANAGED RISK PORTFOLIO		
FIDELITY CONVERTIBLE SECURITIES MULTI-ASSET BASE FUND		
FIDELITY CORPORATE BOND CLASS		
FIDELITY CORPORATE BOND FUND		
FIDELITY DIVIDEND CLASS		
FIDELITY DIVIDEND FUND		
FIDELITY DIVIDEND MULTI-ASSET BASE FUND		
FIDELITY DIVIDEND PLUS CLASS		
FIDELITY DIVIDEND PLUS FUND		
FIDELITY EMERGING MARKETS CLASS		
FIDELITY EMERGING MARKETS DEBT MULTI-ASSET BASE FUND		
FIDELITY EMERGING MARKETS EQUITY MULTI-ASSET BASE FUND		
FIDELITY EMERGING MARKETS FUND		
FIDELITY EMERGING MARKETS LOCAL CURRENCY DEBT MULTI-ASSET BASE FUND		
FIDELITY EUROPE CLASS		
FIDELITY EUROPE FUND		
FIDELITY EVENT DRIVEN OPPORTUNITIES CLASS		
FIDELITY EVENT DRIVEN OPPORTUNITIES CURRENCY NEUTRAL CLASS		
FIDELITY EVENT DRIVEN OPPORTUNITIES FUND		
FIDELITY FAR EAST CLASS		
FIDELITY FAR EAST FUND		
FIDELITY FLOATING RATE HIGH INCOME CURRENCY NEUTRAL FUND		
FIDELITY FLOATING RATE HIGH INCOME CURRENCY NEUTRAL MULTI-ASSET BASE FUND		
FIDELITY FLOATING RATE HIGH INCOME FUND		
FIDELITY FLOATING RATE HIGH INCOME MULTI-ASSET BASE FUND		
FIDELITY FOUNDERS CLASS		
FIDELITY FOUNDERS CURRENCY NEUTRAL CLASS		
FIDELITY FOUNDERS INVESTMENT TRUST		
FIDELITY FRONTIER EMERGING MARKETS FUND		
FIDELITY GLOBAL ASSET ALLOCATION CURRENCY NEUTRAL PRIVATE POOL		
FIDELITY GLOBAL ASSET ALLOCATION FUND		
FIDELITY GLOBAL ASSET ALLOCATION PRIVATE POOL		
FIDELITY GLOBAL BALANCED CLASS PORTFOLIO		
FIDELITY GLOBAL BALANCED PORTFOLIO		
FIDELITY GLOBAL BOND CURRENCY NEUTRAL FUND		
FIDELITY GLOBAL BOND CURRENCY NEUTRAL MULTI-ASSET BASE FUND		

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FIDELITY GLOBAL BOND FUND		
FIDELITY GLOBAL BOND MULTI-ASSET BASE FUND		
FIDELITY GLOBAL CLASS		
FIDELITY GLOBAL CONCENTRATED EQUITY CLASS		
FIDELITY GLOBAL CONCENTRATED EQUITY CURRENCY NEUTRAL FUND		
FIDELITY GLOBAL CONCENTRATED EQUITY FUND		
FIDELITY GLOBAL CONSUMER INDUSTRIES CLASS		
FIDELITY GLOBAL CONSUMER INDUSTRIES FUND		
FIDELITY GLOBAL CORE PLUS BOND ETF		
FIDELITY GLOBAL CORE PLUS BOND ETF FUND		
FIDELITY GLOBAL CREDIT EX-U.S. CURRENCY NEUTRAL MULTI-ASSET BASE FUND		
FIDELITY GLOBAL CREDIT EX-U.S. INVESTMENT TRUST		
FIDELITY GLOBAL DISCIPLINED EQUITY CLASS		
FIDELITY GLOBAL DISCIPLINED EQUITY CURRENCY NEUTRAL CLASS		
FIDELITY GLOBAL DISCIPLINED EQUITY CURRENCY NEUTRAL FUND		
FIDELITY GLOBAL DISCIPLINED EQUITY FUND		
FIDELITY GLOBAL DIVIDEND CLASS		
FIDELITY GLOBAL DIVIDEND FUND		
FIDELITY GLOBAL DIVIDEND INVESTMENT TRUST		
FIDELITY GLOBAL EQUITY CURRENCY NEUTRAL PRIVATE POOL		
FIDELITY GLOBAL EQUITY INVESTMENT TRUST		
FIDELITY GLOBAL EQUITY PRIVATE POOL		
FIDELITY GLOBAL FINANCIAL SERVICES CLASS		
FIDELITY GLOBAL FINANCIAL SERVICES FUND		
FIDELITY GLOBAL FUND		
FIDELITY GLOBAL GROWTH AND VALUE CLASS		
FIDELITY GLOBAL GROWTH AND VALUE CURRENCY NEUTRAL CLASS		
FIDELITY GLOBAL GROWTH AND VALUE INVESTMENT TRUST		
FIDELITY GLOBAL GROWTH CLASS PORTFOLIO		
FIDELITY GLOBAL GROWTH PORTFOLIO		
FIDELITY GLOBAL HEALTH CARE CLASS		
FIDELITY GLOBAL HEALTH CARE FUND		
FIDELITY GLOBAL HIGH YIELD MULTI-ASSET BASE FUND		
FIDELITY GLOBAL INCOME CLASS PORTFOLIO		
FIDELITY GLOBAL INCOME PORTFOLIO		
FIDELITY GLOBAL INNOVATORS CLASS		
FIDELITY GLOBAL INNOVATORS CURRENCY NEUTRAL CLASS		
FIDELITY GLOBAL INNOVATORS INVESTMENT TRUST		

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FIDELITY GLOBAL INTRINSIC VALUE CLASS		
FIDELITY GLOBAL INTRINSIC VALUE CURRENCY NEUTRAL CLASS		
FIDELITY GLOBAL INTRINSIC VALUE INVESTMENT TRUST		
FIDELITY GLOBAL LARGE CAP CLASS		
FIDELITY GLOBAL LARGE CAP CURRENCY NEUTRAL CLASS		
FIDELITY GLOBAL LARGE CAP FUND		
FIDELITY GLOBAL MONTHLY HIGH INCOME ETF		
FIDELITY GLOBAL MONTHLY HIGH INCOME ETF FUND		
FIDELITY GLOBAL MONTHLY INCOME CURRENCY NEUTRAL FUND		
FIDELITY GLOBAL MONTHLY INCOME FUND		
FIDELITY GLOBAL NATURAL RESOURCES CLASS		
FIDELITY GLOBAL NATURAL RESOURCES FUND		
FIDELITY GLOBAL REAL ESTATE CLASS		
FIDELITY GLOBAL REAL ESTATE FUND		
FIDELITY GLOBAL REAL ESTATE MULTI-ASSET BASE FUND		
FIDELITY GLOBAL SMALL CAP CLASS		
FIDELITY GLOBAL SMALL CAP FUND		
FIDELITY GLOBAL TELECOMMUNICATIONS CLASS		
FIDELITY GLOBAL TELECOMMUNICATIONS FUND		
FIDELITY GREATER CANADA CLASS		
FIDELITY GREATER CANADA FUND		
FIDELITY GROWTH CLASS PORTFOLIO		
FIDELITY GROWTH PORTFOLIO		
FIDELITY HIGH INCOME COMMERCIAL REAL ESTATE CURRENCY NEUTRAL MULTI-ASSET BASE FUND		
FIDELITY HIGH INCOME COMMERCIAL REAL ESTATE MULTI-ASSET BASE FUND		
FIDELITY INCOME ALLOCATION FUND		
FIDELITY INCOME CLASS PORTFOLIO		
FIDELITY INCOME PORTFOLIO		
FIDELITY INSIGHTS CLASS		
FIDELITY INSIGHTS CURRENCY NEUTRAL CLASS		
FIDELITY INSIGHTS CURRENCY NEUTRAL MULTI-ASSET BASE FUND		
FIDELITY INSIGHTS INVESTMENT TRUST		
FIDELITY INSIGHTS SYSTEMATIC CURRENCY HEDGED FUND		
FIDELITY INTERNATIONAL CONCENTRATED EQUITY CURRENCY NEUTRAL FUND		
FIDELITY INTERNATIONAL CONCENTRATED EQUITY FUND		
FIDELITY INTERNATIONAL DISCIPLINED EQUITY CLASS		
FIDELITY INTERNATIONAL DISCIPLINED EQUITY CURRENCY NEUTRAL CLASS		

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FIDELITY INTERNATIONAL DISCIPLINED EQUITY CURRENCY NEUTRAL FUND		
FIDELITY INTERNATIONAL DISCIPLINED EQUITY FUND		
FIDELITY INTERNATIONAL EQUITY CURRENCY NEUTRAL INVESTMENT TRUST		
FIDELITY INTERNATIONAL EQUITY CURRENCY NEUTRAL PRIVATE POOL		
FIDELITY INTERNATIONAL EQUITY INVESTMENT TRUST		
FIDELITY INTERNATIONAL EQUITY MULTI-ASSET BASE FUND		
FIDELITY INTERNATIONAL EQUITY PRIVATE POOL		
FIDELITY INTERNATIONAL GROWTH CLASS		
FIDELITY INTERNATIONAL GROWTH CURRENCY NEUTRAL MULTI-ASSET BASE FUND		
FIDELITY INTERNATIONAL GROWTH FUND		
FIDELITY INTERNATIONAL GROWTH MULTI-ASSET BASE FUND		
FIDELITY INTERNATIONAL HIGH DIVIDEND INDEX ETF		
FIDELITY INTERNATIONAL HIGH DIVIDEND INDEX ETF FUND		
FIDELITY INTERNATIONAL HIGH QUALITY INDEX ETF		
FIDELITY INTERNATIONAL HIGH QUALITY INDEX ETF FUND		
FIDELITY INTERNATIONAL LOW VOLATILITY INDEX ETF		
FIDELITY INTERNATIONAL LOW VOLATILITY INDEX ETF FUND		
FIDELITY INVESTMENT GRADE TOTAL BOND CURRENCY NEUTRAL FUND		
FIDELITY INVESTMENT GRADE TOTAL BOND FUND		
FIDELITY JAPAN CLASS		
FIDELITY JAPAN FUND		
FIDELITY MINERALS CORP.		
FIDELITY MONTHLY INCOME CLASS		
FIDELITY MONTHLY INCOME FUND		
FIDELITY MULTI-SECTOR BOND CURRENCY NEUTRAL FUND		
FIDELITY MULTI-SECTOR BOND FUND		
FIDELITY NORTH AMERICAN EQUITY CLASS		
FIDELITY NORTH AMERICAN EQUITY INVESTMENT TRUST		
FIDELITY NORTHSTAR BALANCED CURRENCY NEUTRAL FUND		
FIDELITY NORTHSTAR BALANCED FUND		
FIDELITY NORTHSTAR CLASS		
FIDELITY NORTHSTAR CURRENCY NEUTRAL CLASS		
FIDELITY NORTHSTAR CURRENCY NEUTRAL FUND		
FIDELITY NORTHSTAR FUND		
FIDELITY PREMIUM FIXED INCOME PRIVATE POOL		
FIDELITY PREMIUM FIXED INCOME PRIVATE POOL CLASS		
FIDELITY PREMIUM MONEY MARKET PRIVATE POOL		

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FIDELITY PREMIUM TACTICAL FIXED INCOME PRIVATE POOL		
FIDELITY SMALL CAP AMERICA CLASS		
FIDELITY SMALL CAP AMERICA CURRENCY NEUTRAL CLASS		
FIDELITY SMALL CAP AMERICA FUND		
FIDELITY SMALL CAP AMERICA SYSTEMATIC CURRENCY HEDGED FUND		
FIDELITY SPECIAL SITUATIONS CLASS		
FIDELITY SPECIAL SITUATIONS FUND		
FIDELITY STRATEGIC INCOME CURRENCY NEUTRAL FUND		
FIDELITY STRATEGIC INCOME FUND		
FIDELITY SUSTAINABLE WORLD ETF		
FIDELITY SUSTAINABLE WORLD ETF FUND		
FIDELITY SYSTEMATIC CANADIAN BOND INDEX ETF		
FIDELITY SYSTEMATIC CANADIAN BOND INDEX ETF FUND		
FIDELITY SYSTEMATIC U.S. HIGH YIELD BOND CURRENCY NEUTRAL ETF		
FIDELITY SYSTEMATIC U.S. HIGH YIELD BOND CURRENCY NEUTRAL ETF FUND		
FIDELITY SYSTEMATIC U.S. HIGH YIELD BOND ETF		
FIDELITY SYSTEMATIC U.S. HIGH YIELD BOND ETF FUND		
FIDELITY TACTICAL FIXED INCOME FUND		
FIDELITY TACTICAL GLOBAL DIVIDEND ETF FUND		
FIDELITY TACTICAL HIGH INCOME CURRENCY NEUTRAL FUND		
FIDELITY TACTICAL HIGH INCOME FUND		
FIDELITY TACTICAL STRATEGIES FUND		
FIDELITY TECHNOLOGY INNOVATORS CLASS		
FIDELITY TECHNOLOGY INNOVATORS FUND		
FIDELITY TRUE NORTH CLASS		
FIDELITY TRUE NORTH FUND		
FIDELITY U.S. ALL CAP CLASS		
FIDELITY U.S. ALL CAP CURRENCY NEUTRAL CLASS		
FIDELITY U.S. ALL CAP FUND		
FIDELITY U.S. BOND CURRENCY NEUTRAL MULTI-ASSET BASE FUND		
FIDELITY U.S. BOND MULTI-ASSET BASE FUND		
FIDELITY U.S. DIVIDEND CURRENCY NEUTRAL FUND		
FIDELITY U.S. DIVIDEND FOR RISING RATES CURRENCY NEUTRAL INDEX ETF		
FIDELITY U.S. DIVIDEND FOR RISING RATES CURRENCY NEUTRAL INDEX ETF FUND		
FIDELITY U.S. DIVIDEND FOR RISING RATES INDEX ETF		
FIDELITY U.S. DIVIDEND FOR RISING RATES INDEX ETF FUND		
FIDELITY U.S. DIVIDEND FUND		

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FIDELITY U.S. DIVIDEND INVESTMENT TRUST		
FIDELITY U.S. DIVIDEND PRIVATE POOL		
FIDELITY U.S. DIVIDEND REGISTERED FUND		
FIDELITY U.S. DIVIDEND SYSTEMATIC CURRENCY HEDGED FUND		
FIDELITY U.S. EQUITY CURRENCY NEUTRAL PRIVATE POOL		
FIDELITY U.S. EQUITY INVESTMENT TRUST		
FIDELITY U.S. EQUITY PRIVATE POOL		
FIDELITY U.S. FOCUSED STOCK CLASS		
FIDELITY U.S. FOCUSED STOCK CURRENCY NEUTRAL CLASS		
FIDELITY U.S. FOCUSED STOCK FUND		
FIDELITY U.S. FOCUSED STOCK SYSTEMATIC CURRENCY HEDGED FUND		
FIDELITY U.S. GROWTH AND INCOME PRIVATE POOL		
FIDELITY U.S. GROWTH OPPORTUNITIES CLASS		
FIDELITY U.S. GROWTH OPPORTUNITIES INVESTMENT TRUST		
FIDELITY U.S. GROWTH OPPORTUNITIES SYSTEMATIC CURRENCY HEDGED CLASS		
FIDELITY U.S. HIGH DIVIDEND CURRENCY NEUTRAL INDEX ETF		
FIDELITY U.S. HIGH DIVIDEND CURRENCY NEUTRAL INDEX ETF FUND		
FIDELITY U.S. HIGH DIVIDEND INDEX ETF		
FIDELITY U.S. HIGH DIVIDEND INDEX ETF FUND		
FIDELITY U.S. HIGH QUALITY CURRENCY NEUTRAL INDEX ETF		
FIDELITY U.S. HIGH QUALITY CURRENCY NEUTRAL INDEX ETF FUND		
FIDELITY U.S. HIGH QUALITY INDEX ETF		
FIDELITY U.S. HIGH QUALITY INDEX ETF FUND		
FIDELITY U.S. LOW VOLATILITY CURRENCY NEUTRAL INDEX ETF		
FIDELITY U.S. LOW VOLATILITY CURRENCY NEUTRAL INDEX ETF FUND		
FIDELITY U.S. LOW VOLATILITY INDEX ETF		
FIDELITY U.S. LOW VOLATILITY INDEX ETF FUND		
FIDELITY U.S. MONEY MARKET FUND		
FIDELITY U.S. MONEY MARKET INVESTMENT TRUST		
FIDELITY U.S. MONTHLY INCOME CURRENCY NEUTRAL FUND		
FIDELITY U.S. MONTHLY INCOME FUND		
FIDELITY U.S. MULTI-CAP MULTI-ASSET BASE FUND		
FIDELITY U.S. SMALL/MID-CAP EQUITY MULTI-ASSET BASE FUND		
FIDELITY WOMEN'S LEADERSHIP FUND		
FIDELITY WOMEN'S LEADERSHIP SYSTEMATIC CURRENCY HEDGED FUND		
FIELDDEX EXPLORATION INC.		
FIERA CANADIAN BOND CLASS		
FIERA CANADIAN BOND FUND		

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FIERA CANADIAN DIVIDEND CLASS		
FIERA CANADIAN DIVIDEND REGISTERED FUND		
FIERA CANADIAN PREFERRED SHARE CLASS		
FIERA CANADIAN PREFERRED SHARE REGISTERED FUND		
FIERA CAPITAL CORPORATION		
FIERA CAPITAL INCOME OPPORTUNITIES FUND		
FIERA CORE GLOBAL EQUITY CLASS		
FIERA CORE GLOBAL EQUITY REGISTERED FUND		
FIERA INTRINSIC BALANCED CLASS		
FIERA INTRINSIC BALANCED REGISTERED FUND		
FIERA STRATEGIC BALANCED CLASS		
FIERA STRATEGIC BALANCED REGISTERED FUND		
FIERA U.S. DIVIDEND CLASS		
FIERA U.S. DIVIDEND REGISTERED FUND		
FILO MINING CORP.		
FINAL ASSIGNMENT	ASC CTO	1a, 1b, 1c, 1e
FINANCIAL 15 SPLIT CORP.		
FINCANNA CAPITAL CORP.		
FINDEV INC.		
FINEQIA INTERNATIONAL INC.		
FINISHING TOUCH	ASC CTO	1a, 1b, 1c, 1e
FINLAY MINERALS LTD.		
FINLINE TECHNOLOGIES LTD.	ASC CTO	1a, 1b, 1c, 1e
FINNING INTERNATIONAL INC.		
FINTECH SELECT LTD.		
FIORÉ GOLD LTD.		
FIorentina Minerals Inc.		
FIran Technology Group Corporation		
FIRE & FLOWER HOLDINGS CORP.		
FIRE RIVER GOLD CORP.		
FIREFOX GOLD CORP.		
FIRELIGHT CORPORATION	ASC CTO	1a, 1b, 1c, 1e
FIRESTONE VENTURES INC.		
FIRESWIRL TECHNOLOGIES INC.		
FIREWEED ZINC LTD.		
FIRM CAPITAL AMERICAN REALTY PARTNERS TRUST		
FIRM CAPITAL MORTGAGE INVESTMENT CORPORATION		
FIRM CAPITAL PROPERTY TRUST		
FIRST ASSET CANADIAN CONVERTIBLE BOND FUND		

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FIRST ASSET CANADIAN DIVIDEND OPPORTUNITY FUND		
FIRST ASSET REIT INCOME FUND		
FIRST ASSET UTILITY PLUS FUND		
FIRST CANADIAN ELECTRIC INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
FIRST CAPITAL REAL ESTATE INVESTMENT TRUST		
FIRST CASTLE ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e
FIRST CHOICE PRODUCTS INC.	ASC CTO	1a, 1b, 1c, 1e, 3
FIRST CLASS ENTERTAINMENT & FILMWORKS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
FIRST COBALT CORP.		
FIRST DYNASTY INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
FIRST ENERGY METALS LIMITED		
FIRST GLOBAL DATA LIMITED	Reciprocal CTO	1a, 1b, 1c, 1e
FIRST GROWTH HOLDINGS LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
FIRST HARBOUR ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e
FIRST HOUSTON OIL AND MINERAS LTD.	ASC CTO	1a, 1b, 1c, 1e
FIRST IDAHO RESOURCES INC.		
FIRST LEASIDE PROPERTIES FUND	ASC CTO	1a, 1b, 1c, 1e
FIRST LIBERTY POWER CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
FIRST LIGHT CAPITAL CORP.		
FIRST MAJESTIC SILVER CORP.		
FIRST MEDICAL MANAGEMENT LTD.	ASC CTO	1a, 1b, 1c, 1e
FIRST METALS INC.	ASC CTO	1a, 1b, 1c, 1e
FIRST MEXICAN GOLD CORP.		
FIRST MINING GOLD CORP.		
FIRST NARROWS RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e
FIRST NATIONAL FINANCIAL CORPORATION		
FIRST NICKEL INC.	Reciprocal CTO	1a, 1b, 1c, 1d, 1e
FIRST POTASH CORP.	ASC CTO	1a, 1b, 1c, 1e
FIRST QUANTUM MINERALS LTD		
FIRST RESPONDER TECHNOLOGIES INC.		
FIRST ROYAL FINANCIAL CORP.	ASC CTO	1a, 1b, 1c, 1e
FIRST STAR RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
FIRST STRIKE DIAMONDS INC.	ASC CTO	1a, 1b, 1c, 1e
FIRST TIFFANY RESOURCE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
FIRST TRUST ALPHADEX EMERGING MARKET DIVIDEND ETF (CAD-HEDGED)		
FIRST TRUST ALPHADEX EUROPEAN DIVIDEND INDEX ETF (CAD-HEDGED)		
FIRST TRUST ALPHADEX U.S. CONSUMER DISCRETIONARY SECTOR INDEX ETF		

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FIRST TRUST ALPHADEX U.S. CONSUMER STAPLES SECTOR INDEX ETF		
FIRST TRUST ALPHADEX U.S. ENERGY SECTOR INDEX ETF		
FIRST TRUST ALPHADEX U.S. FINANCIAL SECTOR INDEX ETF		
FIRST TRUST ALPHADEX U.S. HEALTH CARE SECTOR INDEX ETF		
FIRST TRUST ALPHADEX U.S. INDUSTRIALS SECTOR INDEX ETF		
FIRST TRUST ALPHADEX U.S. MATERIALS SECTOR INDEX ETF		
FIRST TRUST ALPHADEX U.S. TECHNOLOGY SECTOR INDEX ETF		
FIRST TRUST ALPHADEX U.S. UTILITIES SECTOR INDEX ETF		
FIRST TRUST CANADIAN CAPITAL STRENGTH ETF		
FIRST TRUST CBOE VEST U.S. EQUITY BUFFER ETF - AUGUST		
FIRST TRUST CBOE VEST U.S. EQUITY BUFFER ETF - FEBRUARY		
FIRST TRUST CBOE VEST U.S. EQUITY BUFFER ETF - NOVEMBER		
FIRST TRUST CBOE VEST U.S. EQUITY DEEP BUFFER ETF - AUGUST		
FIRST TRUST CBOE VEST U.S. EQUITY DEEP BUFFER ETF - FEBRUARY		
FIRST TRUST CBOE VEST U.S. EQUITY DEEP BUFFER ETF - NOVEMBER		
FIRST TRUST DORSEY WRIGHT U.S. SECTOR ROTATION INDEX ETF (CAD-HEDGED)		
FIRST TRUST GLOBAL RISK MANAGED INCOME INDEX ETF		
FIRST TRUST INDX INNOVATIVE TRANSACTION AND PROCESS ETF		
FIRST TRUST INTERNATIONAL CAPITAL STRENGTH ETF		
FIRST TRUST SENIOR LOAN ETF (CAD-HEDGED)		
FIRST TRUST TACTICAL BOND INDEX ETF		
FIRST TRUST VALUE LINE® DIVIDEND INDEX ETF (CAD-HEDGED)		
FIRST UNITED CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
FIRST VANADIUM CORP.		
FIRSTSERVICE CORPORATION		
FIRSTWEB INTERNET SOLUTIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
FISHER OIL & GAS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
FISSION 3.0 CORP.		
FISSION URANIUM CORP.		
FITCH STREET CAPITAL CORP.		
FIVE NINES VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e
FIVE STAR DIAMONDS LIMITED		
FJORDLAND EXPLORATION INC.		
FL MASTER SHERMAN, LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
FLAG RESOURCES (1985) LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1m, 1n
FLAHERTY & CRUMRINE INVESTMENT GRADE PREFERRED INCOME FUND		
FLETCHER NICKEL INC.	ASC CTO	1a, 1b, 1c, 1e
FLEX FIRST PLAN		

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FLEXWORK PROPERTIES LTD.		
FLIN FLON MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
FLOW CAPITAL CORP.		
FLOW METALS CORP.		
FLOWER ONE HOLDINGS INC.		
FLUIDOIL LIMITED		
FLUROTECH LTD.		4
FLYHT AEROSPACE SOLUTIONS LTD.		
FLYING A PETROLEUM LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
FMF CAPITAL GROUP LTD.	ASC CTO	1a, 1b, 1c, 1e
FOCUS GOLD CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
FOCUS GRAPHITE INC.		
FOCUSED CANADIAN EQUITY CLASS (CGOV)		
FOGCHAIN CORP.		
FOLKSTONE CAPITAL CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
FOMACK ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
FONTANA PLACE LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
FOOTSOURCE INC.	ASC CTO	1a, 1b, 1c, 1e
FORACO INTERNATIONAL SA		3
FORAN MINING CORPORATION		
FORBES ENERGY SERVICES LTD.		
FORD AUTO SECURITIZATION TRUST		
FORD CREDIT CANADA COMPANY		
FOREMOST INCOME FUND		
FOREMOST VENTURES CORP.		
FORENT ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
FOREST & MARINE INVESTMENTS LTD.	ASC CTO	1a, 1b, 1c, 1e
FOREST GATE ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k, 3
FORGE FIRST CONSERVATIVE ALTERNATIVE FUND		
FORGE FIRST LONG SHORT ALTERNATIVE FUND		
FORSTRONG GLOBAL STRATEGIST BALANCED FUND		
FORSTRONG GLOBAL STRATEGIST GROWTH FUND		
FORSTRONG GLOBAL STRATEGIST INCOME FUND		
FORSYS METALS CORP.		
FORT ST. JAMES NICKEL CORP.		
FORTALEZA ENERGY INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
FORTEM RESOURCES INC.	ASC CTO	2b
FORTERRA ENVIRONMENTAL CORP.	ASC CTO	1a, 1b, 1c, 1e
FORTIFIED TRUST		

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FORTIS INC.		
FORTISALBERTA INC.		
FORTISBC ENERGY INC.		
FORTISBC INC.		
FORTRESS GLOBAL ENTERPRISES INC.		
FORTRESS TECHNOLOGIES INC.		
FORTUNA SILVER MINES INC.		
FORTUNE BAY CORP.		
FORTUNE MINERALS LIMITED		
FORTUNE RESOURCES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
FORUM ENERGY METALS CORP.		
FOUNDATION WEALTH DIVERSIFIER POOL		
FOUNDATION WEALTH EQUITY POOL		
FOUNDATION WEALTH INCOME POOL		
FOUNDER RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
FOUNDERS ADVANTAGE CAPITAL CORP.		
FOUNTAIN ASSET CORP		
FOUR NINES GOLD INC.		
FOURSEAS ASIALINK CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
FOX RIVER RESOURCES CORPORATION		
FOXGROVE EXPLORATION LTD.	ASC CTO	1a, 1b, 1c, 1e
FP NEWSPAPERS INC.		
FPX NICKEL CORP.		
FRACMASTER LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
FRANCHISE SERVICES OF NORTH AMERICA INC.	ASC CTO	1a, 1b, 1c, 1e
FRANCHISEMASTER TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
FRANCO-NEVADA CORPORATION		
FRANKLIN ACTIVEQUANT CANADIAN CORPORATE CLASS		
FRANKLIN ACTIVEQUANT CANADIAN FUND		
FRANKLIN ACTIVEQUANT U.S. CORPORATE CLASS		
FRANKLIN ACTIVEQUANT U.S. FUND		
FRANKLIN BISSETT CANADA PLUS EQUITY FUND		
FRANKLIN BISSETT CANADIAN BALANCED CORPORATE CLASS		
FRANKLIN BISSETT CANADIAN BALANCED FUND		
FRANKLIN BISSETT CANADIAN BOND FUND		
FRANKLIN BISSETT CANADIAN DIVIDEND CORPORATE CLASS		
FRANKLIN BISSETT CANADIAN DIVIDEND FUND		
FRANKLIN BISSETT CANADIAN EQUITY CORPORATE CLASS		
FRANKLIN BISSETT CANADIAN EQUITY FUND		

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FRANKLIN BISSETT CANADIAN GOVERNMENT BOND FUND		
FRANKLIN BISSETT CORE PLUS BOND FUND		
FRANKLIN BISSETT CORPORATE BOND FUND		
FRANKLIN BISSETT DIVIDEND INCOME CORPORATE CLASS		
FRANKLIN BISSETT DIVIDEND INCOME FUND		
FRANKLIN BISSETT MONEY MARKET CORPORATE CLASS		
FRANKLIN BISSETT MONEY MARKET FUND		
FRANKLIN BISSETT MONTHLY INCOME AND GROWTH FUND		
FRANKLIN BISSETT SHORT DURATION BOND FUND		
FRANKLIN BISSETT SMALL CAP FUND		
FRANKLIN CANADIAN CORE EQUITY FUND		
FRANKLIN CONSERVATIVE INCOME ETF PORTFOLIO		
FRANKLIN CORE ETF PORTFOLIO		
FRANKLIN EMERGING MARKETS CORE EQUITY FUND		
FRANKLIN FTSE CANADA ALL CAP INDEX ETF		
FRANKLIN FTSE EUROPE EX U.K. INDEX ETF		
FRANKLIN FTSE JAPAN INDEX ETF		
FRANKLIN FTSE U.S. INDEX ETF		
FRANKLIN GLOBAL GROWTH CORPORATE CLASS		
FRANKLIN GLOBAL GROWTH FUND		
FRANKLIN GROWTH ETF PORTFOLIO		
FRANKLIN HIGH INCOME FUND		
FRANKLIN INTERNATIONAL CORE EQUITY FUND		
FRANKLIN K2 ALTERNATIVES FUND		
FRANKLIN LIBERTY CANADIAN INVESTMENT GRADE CORPORATE ETF		
FRANKLIN LIBERTY CORE BALANCED ETF		
FRANKLIN LIBERTY CORE PLUS BOND ETF		
FRANKLIN LIBERTY GLOBAL AGGREGATE BOND ETF (CAD-HEDGED)		
FRANKLIN LIBERTY RISK MANAGED CANADIAN EQUITY ETF		
FRANKLIN LIBERTY SENIOR LOAN ETF (CAD-HEDGED)		
FRANKLIN LIBERTY SHORT DURATION BOND ETF		
FRANKLIN LIBERTY U.S. INVESTMENT GRADE CORPORATE ETF (CAD-HEDGED)		
FRANKLIN LIBERTYQT EMERGING MARKETS INDEX ETF		
FRANKLIN LIBERTYQT GLOBAL DIVIDEND INDEX ETF		
FRANKLIN LIBERTYQT INTERNATIONAL EQUITY INDEX ETF		
FRANKLIN LIBERTYQT U.S. EQUITY INDEX ETF		
FRANKLIN MUTUAL GLOBAL DISCOVERY CORPORATE CLASS		
FRANKLIN MUTUAL GLOBAL DISCOVERY FUND		

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FRANKLIN QUOTENTIAL BALANCED GROWTH CORPORATE CLASS PORTFOLIO		
FRANKLIN QUOTENTIAL BALANCED GROWTH PORTFOLIO		
FRANKLIN QUOTENTIAL BALANCED INCOME CORPORATE CLASS PORTFOLIO		
FRANKLIN QUOTENTIAL BALANCED INCOME PORTFOLIO		
FRANKLIN QUOTENTIAL DIVERSIFIED EQUITY CORPORATE CLASS PORTFOLIO		
FRANKLIN QUOTENTIAL DIVERSIFIED EQUITY PORTFOLIO		
FRANKLIN QUOTENTIAL DIVERSIFIED INCOME CORPORATE CLASS PORTFOLIO		
FRANKLIN QUOTENTIAL DIVERSIFIED INCOME PORTFOLIO		
FRANKLIN QUOTENTIAL GROWTH CORPORATE CLASS PORTFOLIO		
FRANKLIN QUOTENTIAL GROWTH PORTFOLIO		
FRANKLIN STRATEGIC INCOME FUND		
FRANKLIN U.S. CORE EQUITY FUND		
FRANKLIN U.S. MONTHLY INCOME CORPORATE CLASS		
FRANKLIN U.S. MONTHLY INCOME FUND		
FRANKLIN U.S. MONTHLY INCOME HEDGED CORPORATE CLASS		
FRANKLIN U.S. OPPORTUNITIES CORPORATE CLASS		
FRANKLIN U.S. OPPORTUNITIES FUND		
FRANKLIN U.S. RISING DIVIDENDS CORPORATE CLASS		
FRANKLIN U.S. RISING DIVIDENDS FUND		
FRANKLIN U.S. RISING DIVIDENDS HEDGED CORPORATE CLASS		
FRANKLY INC.		
FRANZ CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
FRASER PAPERS INC.	ASC CTO	1a, 1b, 1c, 1e
FRECKLE LTD.		
FREEDOM ENERGY INC.	Reciprocal CTO	1a, 1b, 1c, 1e
FREEDOM MARINE LTD.	ASC CTO	1a, 1b, 1c, 1e
FREEFORM CAPITAL PARTNERS INC.		
FREEGOLD VENTURES LIMITED		
FREEHOLD ROYALTIES LTD.		
FREEPORT RESOURCES INC.		
FREMONT GOLD LTD.		
FRESHII INC.		
FRIEDBERG ASSET ALLOCATION FUND		
FRIEDBERG GLOBAL-MACRO HEDGE FUND		
FRIENDLY FUELS GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
FROACHAN FARM ACQUISITION CORP.		1a, 1b, 1c, 1e
FRONSAC REAL ESTATE INVESTMENT TRUST		

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FRONTENAC MORTGAGE INVESTMENT CORPORATION		
FRONTERA ENERGY CORPORATION		
FRONTIER ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e
FRONTIER LITHIUM INC.		
FRONTIER MINERALS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
FRONTLINE GOLD CORPORATION		
FRONTLINE TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
FROST RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
FSFC DEVELOPMENTS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
FSI ENERGY GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
FSPI TECHNOLOGIES CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
FT BALANCED GROWTH PRIVATE WEALTH POOL		
FT BALANCED INCOME PRIVATE WEALTH POOL		
FT CAPITAL LTD.	ASC CTO	1a, 1b, 1c, 1e
FT GROWTH PRIVATE WEALTH POOL		
FTC CARDS INC.		
FTI FOODTECH INTERNATIONAL INC.		
FUEL CELL TECHNOLOGIES LTD.	ASC CTO	1a, 1b, 1c, 1e
FUELCELL ENERGY, INC.		1g, 3
FULCRUM RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
FULL METAL MINERALS LTD.		
FULUCAI PRODUCTIONS LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
FUN KEY STUDIOS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
FUNCTIONAL TECHNOLOGIES CORP.	ASC CTO	1a, 1b, 1c, 1e
FUNTIME HOSPITALITY CORP.	ASC CTO	1a, 1b, 1c, 1e
FURA GEMS INC.		
FUSE COBALT INC.		
FUSION GOLD LTD.		
FUTURE BEACH CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
FUTURE FARM TECHNOLOGIES INC.		
FUTURE MINERAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
FUTURELINK CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
FUTUREPLAST TECHNOLOGIES LTD.	ASC CTO	1a, 1b, 1c, 1e
FUTUREVIEW INC.	ASC CTO	1a, 1b, 1c, 1e
FW OMNIMEDIA CORP.	ASC CTO	1a, 1b, 1c, 1e
FYTOKEN PRODUCTS INC.	ASC CTO	1a, 1b, 1c, 1e
G.I.E. ENVIRONMENT TECHNOLOGIES LTD.	ASC CTO	1a, 1b, 1c, 1e, 3
G2 GOLDFIELDS INC.		
GABRIEL RESOURCES LTD.		

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Name	Cease Traded	Nature of Default
GABY INC.		
GAIA GROW CORP.		
GAIA METALS CORP.		
GAINEY CAPITAL CORP.		
GAINEY RESOURCES LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
GALA-BARI INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
GALACTIC RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
GALANE GOLD LTD.		
GALANTAS GOLD CORPORATION		
GALAXIWORLD.COM LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e
GALAXY DIGITAL HOLDINGS LTD.		
GALAXY LITHIUM ONE INC.		
GALAXY MINERALS INC.	ASC CTO	1a, 1b, 1c, 1e
GALAXY ONLINE INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
GALAXY RESOURCES LIMITED		
GALAXY SPORTS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
GALILEO EXPLORATION LTD.		
GALILEO HIGH INCOME PLUS FUND		
GALILEO WEBTRACK SYSTEMS CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
GALLAGHER SECURITY CORP.		
GALLEON GOLD CORP.		
GALLERY RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e
GALORE RESOURCES INC.		
GALWAY GOLD INC.		
GALWAY METALS INC.		
GAMBIER GOLD CORP.		
GAMEHOST INC.		
GAMESYS GROUP PLC		
GAMMA ELECTRONICS SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
GAMMA INDUSTRIAL SCIENCES LTD.	ASC CTO	1a, 1b, 1c, 1e
GAMSAN RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
GANDALF TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
GANDER EXPLORATION INC		
GARDA WORLD SECURITY CORPORATION		
GARDEN OAKS	ASC CTO	1a, 1b, 1c, 1e
GARIBALDI RESOURCES CORP.		3
GAS THE FRIGHT COMICS	ASC CTO	1a, 1b, 1c, 1e
GASLITE PETROLEUM LTD.	ASC CTO	1a, 1b, 1c, 1e
GASTEM INC.	ASC CTO	1a, 1b, 1c, 1e, 1k, 3

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GATCO TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
GATEKEEPER SYSTEMS INC.		
GATLING EXPLORATION INC.		
GBLT CORP.		
GBO INC.	ASC CTO	1a, 1b, 1c, 1e
GBS GOLD INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
GC GREYHAWKE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
GC ONE EQUITY PORTFOLIO		
GC ONE FIXED INCOME PORTFOLIO		
GCC GLOBAL CAPITAL CORPORATION		
GDI GLOBAL DATA INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
GDI INTEGRATED FACILITY SERVICES INC.		
GE CAPITAL CANADA FUNDING COMPANY		
GEAR ENERGY LTD.		
GELUM CAPITAL LTD.		
GEM INTERNATIONAL RESOURCES INC.		
GEM RIVER CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
GEMINI CORPORATION	ASC CTO	1a, 1b, 1c, 1e
GEMOSCAN CANADA, INC.	Reciprocal CTO	1a, 1b, 1c, 1e
GEN III OIL CORPORATION		
GENCAN CAPITAL INC.		
GENERAL MINERALS CORP.	ASC CTO	1a, 1b, 1c, 1e
GENERAL MINING PROPERTIES LTD.	ASC CTO	1a, 1b, 1c, 1e
GENERAL MOLY, INC.		
GENERAL MOTORS COMPANY		1g
GENERAL SYSTEMS RESEARCH INC.	ASC CTO	1a, 1b, 1c, 1e
GENERATION MINING LIMITED		
GENERIC GOLD CORP.		
GENESIS ACQUISITION CORP.		
GENESIS HYDROGEN SYSTEMS INC.		1a, 1b, 1c, 1e
GENESIS LAND DEVELOPMENT CORP.		
GENESIS METALS CORP		
GENESIS TRUST II		
GENESIS WORLDWIDE INC.	ASC CTO	1a, 1b, 1c, 1e
GENIUS METALS INC.		
GENIX PHARMACEUTICALS CORPORATION		
GENOIL INC.	ASC CTO	1a, 1b, 1c, 1e
GENORAY ADVANCED TECHNOLOGIES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
GENSOURCE POTASH CORPORATION		

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GENTOR RESOURCES INC.		
GENWORTH MI CANADA INC.		
GEODRILL LIMITED		
GEOGLOBAL RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k, 4
GEOMEGA RESOURCES INC.		
GEOPETRO RESOURCES COMPANY	ASC CTO	1a, 1b, 1c, 1d, 1e
GEORGE WESTON LIMITED		
GEORGIAN BANCORP INC	ASC CTO	1a, 1b, 1c, 1e
GEOSIMM INTEGRATED TECHNOLOGIES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
GEOVENCAP INC.	ASC CTO	1a, 1b, 1c, 1e
GEOVIC MINING CORP.	ASC CTO	1a, 1b, 1c, 1e
GESPEG RESOURCES LTD.		
GETTY COPPER INC.		
GEYSER BRANDS INC.		
GFG RESOURCES INC.		
GFL ENVIRONMENTAL INC.		
GFM RESOURCES LIMITED		
GGL RESOURCES CORP.		
GGX GOLD CORP.		
GHI MORTGAGE INVESTORS	ASC CTO	1a, 1b, 1c, 1e
GIANT RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
GIBRALTAR SPRINGS CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
GIBSON ENERGY INC.		
GIGA CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
GIGA METALS CORPORATION		
GILDAN ACTIVEWEAR INC.		
GINGER BEEF CORPORATION		
GINSMS INC.		
GITENNES EXPLORATION INC.		
GIYANI METALS CORP.		
GK RESOURCES LTD.		
GLACIER CREDIT CARD TRUST		
GLACIER ICE COMPANY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
GLACIER LAKE RESOURCES INC.		
GLACIER MEDIA INC.		
GLACIER RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
GLEN EAGLE RESOURCES INC.		
GLENBRIAR TECHNOLOGIES INC.		
GLENBROOK PLAZA LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e

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GLENGROVE MANOR	ASC CTO	1a, 1b, 1c, 1e
GLENMAC CAPITAL INC.		
GLG LIFE TECH CORPORATION		
GLOBAL ALL CAP EQUITY CLASS (SETANTA)		
GLOBAL ALL CAP EQUITY FUND (SETANTA)		
GLOBAL ATOMIC CORPORATION		
GLOBAL BATTERY METALS LTD.		
GLOBAL CANNABIS APPLICATIONS CORP.		
GLOBAL COBALT CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e, 3
GLOBAL CONSUMER TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
GLOBAL CT & T TELECOMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
GLOBAL DAILY FANTASY SPORTS INC.		
GLOBAL DIVIDEND EQUITY CLASS (SETANTA)		
GLOBAL DIVIDEND EQUITY FUND (SETANTA)		
GLOBAL DIVIDEND GROWERS INCOME FUND		
GLOBAL DIVIDEND GROWTH SPLIT CORP.		
GLOBAL ELSIMATE CAPITAL CORP.		3
GLOBAL ENERGY METALS CORPORATION		
GLOBAL EQUITY ALLOCATION POOL		
GLOBAL FIXED INCOME CORPORATE CLASS		
GLOBAL FIXED INCOME POOL		
GLOBAL GAMING TECHNOLOGIES CORP.	Reciprocal CTO	1b, 1c, 1e
GLOBAL GARDENS GROUP INC.	Reciprocal CTO	1a, 1b, 1c, 1e
GLOBAL HEALTH CLINICS LTD.		
GLOBAL HEALTHCARE DIVIDEND FUND		
GLOBAL HEMP GROUP INC.		
GLOBAL HUNTER CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
GLOBAL IMAN FUND		
GLOBAL INFRASTRUCTURE EQUITY FUND (LONDON CAPITAL)		
GLOBAL INNOVATION DIVIDEND FUND		
GLOBAL INVESTMENTS CAPITAL CORP.		
GLOBAL KEY INVESTMENT LIMITED	ASC CTO	1a, 1b, 1c, 1e
GLOBAL LIGHT TELECOMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
GLOBAL LI-ION GRAPHITE CORP.		
GLOBAL LOW VOLATILITY FUND (ILIM)		
GLOBAL MANAGED VOLATILITY FUND		
GLOBAL MONTHLY INCOME FUND (LONDON CAPITAL)		
GLOBAL REAL ESTATE & E-COMMERCE DIVIDEND FUND		
GLOBAL REAL ESTATE FUND (LONDON CAPITAL)		

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GLOBAL RESOURCE ENERGY INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
GLOBAL SEAFARMS CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e
GLOBAL TELECOM & UTILITIES INCOME FUND		
GLOBAL UAV TECHNOLOGIES LTD.		
GLOBAL VANADIUM CORP.		
GLOBAL WATER RESOURCES, INC. (FORMERLY GWR GLOBAL WATER RESOURCES CORP.)		
GLOBALIVE TECHNOLOGY INC.		
GLOBEEDCOM INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
GLOBEL DIRECT, INC	ASC CTO	1a, 1b, 1c, 1e
GLOBEVEST CAPITAL SECURED PUT WRITING FUND		
GLOBEVEST CAPITAL TACTICAL COVERED OPTIONS FUND		
GLOBEX DATA LTD.		
GLOBEX MINING ENTERPRISES INC.		
GLOBUS WIRELESS, LTD.	ASC CTO	1a, 1b, 1c, 1e
GLORIOUS CREATION LIMITED		
GMP CAPITAL INC.		
GMV MINERALS INC.		
GNP OIL & GAS LTD.	ASC CTO	1a, 1b, 1c, 1e
GO CAPITAL I, INC.	ASC CTO	1a, 1b, 1c, 1e
GO METALS CORP.		
GO VACATIONS 1985 LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
GO VACATIONS 1986 A LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
GO VACATIONS 1986 LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
GO VACATIONS 1987 A LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
GO VACATIONS 1987 B LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
GO VACATIONS 1987 LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
GO VACATIONS 1988 - A LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
GO VACATIONS 1988 C LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
GO VACATIONS 1988-B LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
GO VACATIONS 1989 A LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
GO VACATIONS 1989-B LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
GO VACATIONS 1990-A LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
GO VACATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
GOBIMIN INC.		
GOEASY LTD.		
GOGOLD RESOURCES INC.		
GOLD BAR RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
GOLD HORN INTERNATIONAL ENTERPRISES GROUP LIMITED	ASC CTO	1a, 1b, 1c, 1e
GOLD MEDAL GROUP INC.	ASC CTO	1a, 1b, 1c, 1e

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GOLD MINERS SPLIT CORP.		
GOLD PLUS MINING INC.		
GOLD RESERVE INC.		
GOLD RUSH CARIBOO CORP.		1k
GOLD SHIELD EXPLORATION & DEV INC.	ASC CTO	1a, 1b, 1c, 1e
GOLD SPRINGS RESOURCE CORP.		
GOLD STANDARD VENTURES CORP.		
GOLD TERRA RESOURCE CORP.		
GOLD WORLD RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
GOLD X MINING CORP.		
GOLDBANK MINING CORPORATION		
GOLDBAR RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
GOLDBRIDGE MINING LTD.	ASC CTO	1a, 1b, 1c, 1e
GOLDCLIFF RESOURCE CORPORATION		
GOLDEN ARCH RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
GOLDEN ARROW RESOURCES CORPORATION		
GOLDEN BRIAR MINES LIMITED	ASC CTO	1a, 1b, 1c, 1e
GOLDEN CARIBOO RESOURCES LTD.		
GOLDEN COAST ENERGY CORP.	Reciprocal CTO	1a, 1b, 1c, 1e, 1k
GOLDEN CRESCENT CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
GOLDEN DAWN MINERALS INC.		
GOLDEN FORTUNE INVESTMENT LIMITED	ASC CTO	1a, 1b, 1c, 1e
GOLDEN GATE RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
GOLDEN GOLIATH RESOURCES LTD.		
GOLDEN HARP RESOURCES INC.		
GOLDEN LAKE EXPLORATION INC.		
GOLDEN LEAF HOLDINGS LTD.		
GOLDEN MARITIME RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
GOLDEN MINERALS COMPANY		
GOLDEN OPPORTUNITY RESOURCES CORP.		
GOLDEN PACIFIC CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 3
GOLDEN PALM RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
GOLDEN PATRIOT CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e
GOLDEN PHOENIX MINERALS, INC.		1a, 1b, 1c, 1e, 1g, 3
GOLDEN PREDATOR MINING CORP.		
GOLDEN PURSUIT RESOURCES LTD.		
GOLDEN QUEEN MINING CONSOLIDATED LTD.		
GOLDEN RIDGE RESOURCES LTD.		
GOLDEN RIVER MINES INC.	ASC CTO	1a, 1b, 1c, 1e

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GOLDEN SHARE RESOURCES CORPORATION		
GOLDEN SKY MINERALS CORP.		
GOLDEN STAR RESOURCES LTD.		
GOLDEN SUN MINING CORP.	ASC CTO	1a, 1b, 1c, 1e
GOLDEN SUNSET TRAIL INC.	ASC CTO	1a, 1b, 1c, 1e
GOLDEN TAG RESOURCES LTD		
GOLDEN TREND PETROLEUM LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
GOLDEN TRIUMPH RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
GOLDEN VALLEY MINES LTD.		
GOLDENEYE RESOURCES CORP.		
GOLDEX RESOURCES CORPORATION		
GOLDGROUP MINING INC.		
GOLDHILLS HOLDING LTD.		
GOLDLINX INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
GOLDMINING INC.		
GOLDMONEY INC.		
GOLDNEV RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
GOLDON RESOURCES LTD.		
GOLDQUEST MINING CORP.		
GOLDRAY INC.	ASC CTO	1a, 1b, 1c, 1e
GOLDREA RESOURCES CORP.		
GOLDSOURCE MINES INC.		
GOLDSPOT DISCOVERIES CORP.		
GOLDSTAR MINERALS INC.		
GOLDSTREAM MINERALS INC.		
GOLDSTRIKE RESOURCES LTD.		
GOLIATH RESOURCES LIMITED		
GOLO MOBILE INC.		
GOOD NATURED PRODUCTS INC.		
GOOD2GO CORP.		
GOOD2GO2 CORP.		
GOODFOOD MARKET CORP.		
GOODWOOD CAPITAL FUND		
GOPHER MEDIA SERVICES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
GORAN CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
GORDEX MINERALS LIMITED	ASC CTO	1a, 1b, 1c, 1e
GORDON CREEK ENERGY INC.	Reciprocal CTO	1a, 1b, 1c, 1e, 1k
GOSSAN RESOURCES LIMITED		
GOURMET OCEAN PRODUCTS INC.		

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GOVERMEDIA PLUS CANADA CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
GOVIEX URANIUM INC.		
GOWEST GOLD LTD.		3
GPM METALS INC.		
GR SILVER MINING LTD.		
GRAFTON GROUP LIMITED	ASC CTO	1a, 1b, 1c, 1e
GRAM MINERALS CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
GRAN COLOMBIA GOLD CORP.		
GRAN TIERRA ENERGY INC.		
GRANADA GOLD MINE INC.		
GRAND OKANAGAN RESORT II LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e, 1g
GRAND OKANAGAN RESORT LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e, 1g
GRAND PEAK CAPITAL CORP.		
GRANDE PORTAGE RESOURCES LTD.		
GRANDE WEST TRANSPORTATION GROUP INC.		
GRANISKO RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
GRANITE CREEK COPPER LTD.		
GRANITE REAL ESTATE INVESTMENT TRUST		
GRANITE REIT HOLDINGS LIMITED PARTNERSHIP		
GRANITE REIT INC.		
GRANTHAM RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
GRAPH BLOCKCHAIN INC.		
GRAPHENE 3D LAB INC.		
GRAPHITE ENERGY CORP.		
GRAPHITE ONE INC.		
GRATOMIC INC.		
GRAVITAS FINANCIAL INC.		
GRAY ROCK RESOURCES LTD.		
GRAY WOLF CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
GREAT ATLANTIC RESOURCES CORP.		
GREAT BASIN GOLD LTD.	ASC CTO	1a, 1b, 1c, 1e
GREAT BEAR RESOURCES LTD.		
GREAT CANADIAN GAMING CORPORATION		
GREAT GOLD MINES N.L.	ASC CTO	1a, 1b, 1c
GREAT LAKES GRAPHITE INC.	Reciprocal CTO	1a, 1b, 1c, 1e
GREAT LAKES NICKEL LIMITED	Reciprocal CTO	1a, 1b, 1c, 1e
GREAT LEGENDS MINING INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
GREAT NORTHERN GAS COMPANY	ASC CTO	1a, 1b, 1c, 1e
GREAT OAK ENTERPRISES LTD.		

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GREAT PANTHER MINING LIMITED		
GREAT PLAINS WASTE MANAGEMENT INC.	ASC CTO	1a, 1b, 1c, 1e
GREAT PRAIRIE ENERGY SERVICES INC.	ASC CTO	1a, 1b, 1c, 1e
GREAT QUEST FERTILIZER LTD.		
GREAT THUNDER GOLD CORP.		
GREAT VICTORIA GOLD LIMITED	ASC CTO	1a, 1b, 1c, 1e
GREAT WESTERN MINERALS GROUP LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
GREAT WESTERN PETROLEUM CORPORATION	ASC CTO	1a, 1b, 1c, 1e
GREATER TORONTO AIRPORTS AUTHORITY		
GREATOK GROUP LTD.	ASC CTO	1a, 1b, 1c, 1e
GREAT-WEST LIFECO INC.		
GRECIAN SPECIALTY FOODS INC.	ASC CTO	1a, 1b, 1c, 1e
GREEN 2 BLUE ENERGY CORP.		1b, 1c, 1e
GREEN ARROW RESOURCES INC.		
GREEN ENVIRONMENTAL TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
GREEN GROWTH BRANDS INC.		
GREEN OASIS ENVIRONMENTAL, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
GREEN PANDA CAPITAL CORP.		
GREEN RISE CAPITAL CORPORATION		
GREEN RIVER GOLD CORP.		
GREEN STANDARD VANADIUM RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e, 3
GREEN THUMB INDUSTRIES INC.		
GREENBANK CAPITAL INC.		
GREENBRIAR CAPITAL CORP.		
GREENBROOK TMS INC.		
GREENCASTLE RESOURCES LTD.		1k
GREENFIELDS PETROLEUM CORPORATION		
GREENGREEN CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
GREENLANE RENEWABLES INC.		
GREENLINK INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
GREENPOWER MOTOR COMPANY INC.		
GREENSPACE BRANDS INC.		
GREENSTAR AGRICULTURAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
GREENSTAR BIOSCIENCES CORP.		
GREENSTONE CAPITAL CORP.		
GREENSTONE RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
GREENSTREET EQUITIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
GREENTREE GAS & OIL LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
GREENVALE MINING NL	ASC CTO	1a, 1b, 1c, 1e

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GREENWOOD ENVIRONMENTAL INC.	ASC CTO	1a, 1b, 1c, 1e
GREW VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
GREY FOX THE	ASC CTO	1a, 1b, 1c, 1e
GREYHAWK ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e
GREYSTONE INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
GREYVEST CAPITAL INC	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
GRID METALS CORP.		
GRIDIRON RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
GRIZZLY DISCOVERIES INC.		
GROSVENOR HOUSE	ASC CTO	1a, 1b, 1c, 1e
GROSVENOR RESOURCE CORPORATION		
GROUNDSTAR RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1k, 3
GROUP ELEVEN RESOURCES CORP.		
GROUP TEN METALS INC.		
GROWMAX RESOURCES CORP.		
GROWTH & INCOME CLASS		
GROWTH 100 FUND		
GROWTH 80/20 FUND		
GROWTH AND INCOME CLASS (GWLIM)		
GROWTH AND INCOME FUND (GWLIM)		
GROWTH FUND		
GROWTHWORKS ATLANTIC VENTURE FUND LTD.		
GROWTHWORKS CANADIAN FUND LTD.		1a, 1b, 1c, 1d
GRYPHON GOLD CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
GSO SOLUTIONS, INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
GSP RESOURCE CORP.		
GST TELECOMMUNICATIONS, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
GSTAAD CAPITAL CORP.		
GT GOLD CORP.		
GT GROUP TELECOM INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
GTA FINANCECORP INC.		
GTEC HOLDINGS LTD.		
GUARD INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
GUARDIAN BALANCED FUND		
GUARDIAN BALANCED INCOME FUND		
GUARDIAN CANADIAN BOND FUND		
GUARDIAN CANADIAN EQUITY FUND		
GUARDIAN CANADIAN EQUITY SELECT FUND		
GUARDIAN CANADIAN FOCUSED EQUITY FUND		

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GUARDIAN CANADIAN GROWTH EQUITY FUND		
GUARDIAN CANADIAN SHORT-TERM INVESTMENT FUND		
GUARDIAN CAPITAL GROUP LIMITED		
GUARDIAN EMERGING MARKETS EQUITY FUND		
GUARDIAN EQUITY INCOME FUND		
GUARDIAN EXPLORATION INC.		
GUARDIAN FIXED INCOME SELECT FUND		
GUARDIAN FUNDAMENTAL GLOBAL EQUITY FUND		
GUARDIAN GLOBAL DIVIDEND GROWTH FUND		
GUARDIAN GLOBAL EQUITY FUND		
GUARDIAN HIGH YIELD BOND FUND		
GUARDIAN INTERNATIONAL EQUITY FUND		
GUARDIAN INTERNATIONAL EQUITY SELECT FUND		
GUARDIAN INVESTMENT GRADE CORPORATE BOND FUND		
GUARDIAN MANAGED GROWTH PORTFOLIO		
GUARDIAN MANAGED INCOME & GROWTH PORTFOLIO		
GUARDIAN MANAGED INCOME PORTFOLIO		
GUARDIAN RISK MANAGED CONSERVATIVE PORTFOLIO		
GUARDIAN SHORT DURATION BOND FUND		
GUARDIAN STEADYFLOW EQUITY FUND		
GUARDIAN STEADYPACE EQUITY FUND		
GUARDIAN STRATEGIC INCOME FUND		
GUARDIAN U.S. EQUITY ALL CAP GROWTH FUND		
GUARDIAN U.S. EQUITY FUND		
GUARDIAN U.S. EQUITY SELECT FUND		
GUERRERO EXPLORATION INC.	ASC CTO	1a, 1b, 1c, 1e
GUERRERO VENTURES INC.		
GULF & PACIFIC EQUITIES CORP.		
GULF INTERNATIONAL MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
GUNGNIR RESOURCES INC.		
GUNPOINT EXPLORATION LTD.		
GUYANA FRONTIER MINING CORP.	ASC CTO	1a, 1b, 1c, 1e
GUYANA GOLDFIELDS INC.		
GUYANA GOLDSTRIKE INC.		
GVIC COMMUNICATIONS CORP.		
GWEN RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
H W I INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
H&R REAL ESTATE INVESTMENT TRUST		
H2O INNOVATION INC.		

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HAEMACURE CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
HAKKEN CAPITAL CORP.		
HALIO ENERGY INC.	Reciprocal CTO	1a, 1b, 1c, 1e
HALMONT PROPERTIES CORPORATION		
HALO LABS INC.		
HALTAIN DEVELOPMENTS CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
HAMILTON AUSTRALIAN FINANCIALS YIELD ETF		
HAMILTON CANADIAN BANK VARIABLE-WEIGHT ETF		
HAMILTON GLOBAL BANK ETF		
HAMILTON GLOBAL FINANCIALS YIELD ETF		
HAMILTON THORNE LTD.		
HAMILTON U.S. MID-CAP FINANCIALS ETF (USD)		
HAMMOND MANUFACTURING COMPANY LIMITED		
HAMMOND POWER SOLUTIONS INC.		
HAMPTON BAY CAPITAL INC.		
HAMPTON COURT RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
HAMPTON FINANCIAL CORPORATION		
HANDA MINING CORPORATION		
HANFENG EVERGREEN INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
HANKIN WATER TECHNOLOGIES LIMITED	ASC CTO	1a, 1b, 1c, 1e
HANNA CAPITAL CORP.		
HANNAN METALS LTD.		
HANSA RESOURCES LIMITED		
HANSCO CAPITAL CORP.		
HANSTONE CAPITAL CORP.		
HANWEI ENERGY SERVICES CORP.		
HAPPY CREEK MINERALS LTD.		
HAPUNA VENTURES INC.		
HARBORSIDE INC.		
HARBOUR PACIFIC MINERALS INC.		1a, 1b, 1c, 1e
HARDWOOD PROPERTIES LTD.	ASC CTO	1a, 1b, 1c, 1e
HARDWOODS DISTRIBUTION INC.		
HARFANG EXPLORATION INC.		
HARMONY ENERGY TECHNOLOGIES CORPORATION		
HARMONY INTEGRATED SOLUTIONS, INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
HARMONY OVERSEAS EQUITY POOL		1a, 1c, 1d
HARRY TRACY DESPERADO	ASC CTO	1a, 1c, 1e
HARRYS MANUFACTURING INC.		
HARTE GOLD CORP.		

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HARTLAND PIPELINE SERVICES LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
HARVEST BANKS & BUILDINGS INCOME FUND		
HARVEST BRAND LEADERS PLUS INCOME ETF		
HARVEST CANADIAN INCOME & GROWTH FUND		
HARVEST ENERGY LEADERS PLUS INCOME ETF		
HARVEST EQUAL WEIGHT GLOBAL UTILITIES INCOME ETF		
HARVEST GLOBAL GOLD GIANTS INDEX ETF		
HARVEST GLOBAL REIT LEADERS INCOME ETF		
HARVEST GLOBAL RESOURCE LEADERS ETF		
HARVEST GOLD CORPORATION		
HARVEST HEALTH & RECREATION INC.		
HARVEST HEALTHCARE LEADERS INCOME ETF		
HARVEST ONE CANNABIS INC.		
HARVEST OPERATIONS CORP.		
HARVEST TECH ACHIEVERS GROWTH & INCOME ETF		
HARVEST US BANK LEADERS INCOME ETF		
HARVEST US EQUITY PLUS INCOME ETF		
HARVEST US INVESTMENT GRADE BOND PLUS ETF		
HASHCHAIN TECHNOLOGY INC.		
HAWKEYE GOLD & DIAMOND INC.		
HEAD ON	ASC CTO	1a, 1b, 1c, 1e
HEADSUP ENTERTAINMENT INTERNATIONAL, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
HEADWATER EXPLORATION INC.		
HEADWAY PROPERTY INVESTMENT 77 II	ASC CTO	1a, 1b, 1c, 1e
HEADWAY PROPERTY INVESTMENT 77-IV	ASC CTO	1a, 1b, 1c, 1e
HEADWAY PROPERTY INVESTMENT 78 III	ASC CTO	1a, 1b, 1c, 1e
HEADWAY PROPERTY INVESTMENT 78-II	ASC CTO	1a, 1b, 1c, 1e
HEALTHCARE SPECIAL OPPORTUNITIES FUND		
HEALTHSCREEN SOLUTIONS INCORPORATED	ASC CTO	1a, 1b, 1c, 1e
HEALTHSPACE DATA SYSTEMS LTD.		
HEARTLINK CANADA (1999) INC.	ASC CTO	1a, 1b, 1c, 1e
HEATHERDALE RESOURCES LTD.		
HEATING OIL PARTNERS INCOME FUND		1a, 1b, 1c, 1e
HEAVY METAL	ASC CTO	1a, 1b, 1c, 1e
HEBRON BAY RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
HECLA MINING COMPANY		
HEDMAN RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e
HEGCO CANADA INC.	ASC CTO	1a, 1b, 1c, 1e, 3
HELIUS MEDICAL TECHNOLOGIES, INC.		

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HELIX APPLICATIONS INC.		
HELLIX VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
HELLO PAL INTERNATIONAL INC.		
HEMAGENETICS TECHNOLOGIES CORP. (FORMERLY, 1143988 B.C.LTD.)		
HEMISPHERE ENERGY CORPORATION		
HEMOSTEMIX INC.		
HEMPNOVA LIFETECH CORPORATION		
HERITAGE CANNABIS HOLDINGS CORP.		
HERITAGE GLOBAL INC.		
HERITAGE PLANS		
HERITAGE SAVINGS & TRUST COMPANY	ASC CTO	1a, 1b, 1c, 1e
HERITAGE VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
HERMES FINANCIAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
HEROUX-DEVTEK INC.		
HERTZ CANADA FINANCE CO., LTD.		
HEXO CORP.		
HEYBRYAN MEDIA INC.		
HFI FLOORING INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
HFX HOLDING CORP.		
HI HO SILVER RESOURCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
HIGH ARCTIC ENERGY SERVICES INC.		
HIGH INTEREST SAVINGS ACCOUNT FUND		
HIGH LINER FOODS INCORPORATED		
HIGH NORTH RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
HIGH PLAINS OIL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
HIGH POINT EXPLORATION INC.		
HIGH TIDE INC.		
HIGHBANK RESOURCES LTD.		
HIGHBURY PROJECTS INC.		
HIGHGOLD MINING INC.		
HIGHLAND COPPER COMPANY INC.		
HIGHMARK TECHNOLOGIES CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
HIGHPOINT TELECOMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
HIGHVISTA GOLD INC.	Reciprocal CTO	
HIGHWAY 50 GOLD CORP.		
HIGHWOOD OIL COMPANY LTD.		
HILL STREET BEVERAGE COMPANY INC.		
HILLCREST PETROLEUM LTD.		1k
HILLESTAD PHARMACEUTICALS INC.	ASC CTO	1a, 1b, 1c, 1e

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HINTERLAND METALS INC.		
HIP ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 3, 4
HIP INTERACTIVE CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e
HIROSE GROUP LTD.	ASC CTO	1a, 1b, 1c, 1e
HIT TECHNOLOGIES INC.		
HIVE BLOCKCHAIN TECHNOLOGIES LTD.		1c, 1e
HLD LAND DEVELOPMENT LIMITED PARTNERSHIP	Reciprocal CTO	1a, 1b, 1c, 1e, 1k
HLS THERAPEUTICS INC.		
HLT ENERGIES INC.	ASC CTO	1a, 1b, 1c, 1e
HMH CHINA INVESTMENTS LIMITED		
HMZ METALS INC.	ASC CTO	1a, 1b, 1c, 1e
HOGWILD, DIRTY TRICKS & SCANNERS	ASC CTO	1a, 1b, 1c, 1e
HOIST CAPITAL CORP.		
HOLLINGER INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
HOLLY STREET CAPITAL LTD.		
HOLY CRAP BRANDS INC.		
HOMCO INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
HOME CAPITAL GROUP INC.		
HOMEPROJECT.COM INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
HOMESERVE TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
HOMEXPRESS LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
HONEY BADGER EXPLORATION INC.		
HONEYBEE TECHNOLOGY INC.	ASC CTO	1a, 1b, 1c, 1e
HOPE WELL CAPITAL CORP.		
HORIZON HOLSTEINS LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
HORIZON HOLSTEINS LIMITED PARTNERSHIP II	ASC CTO	1a, 1b, 1c, 1e
HORIZON MINERALS CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
HORIZON NORTH LOGISTICS INC.		
HORIZON PETROLEUM LTD.	ASC CTO	1a, 1b, 1c, 1e, 1j, 1k, 2c
HORIZONS ABSOLUTE RETURN GLOBAL CURRENCY ETF		
HORIZONS ACTIVE A.I. GLOBAL EQUITY ETF		
HORIZONS ACTIVE CDN BOND ETF		
HORIZONS ACTIVE CDN DIVIDEND ETF		
HORIZONS ACTIVE CDN MUNICIPAL BOND ETF		
HORIZONS ACTIVE CORPORATE BOND ETF		
HORIZONS ACTIVE EMERGING MARKETS BOND ETF		
HORIZONS ACTIVE EMERGING MARKETS DIVIDEND ETF		
HORIZONS ACTIVE FLOATING RATE PREFERRED SHARE ETF		
HORIZONS ACTIVE FLOATING RATE SENIOR LOAN ETF		

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HORIZONS ACTIVE GLOBAL DIVIDEND ETF		
HORIZONS ACTIVE GLOBAL FIXED INCOME ETF		
HORIZONS ACTIVE HIGH YIELD BOND ETF		
HORIZONS ACTIVE PREFERRED SHARE ETF		
HORIZONS ACTIVE ULTRA-SHORT TERM INVESTMENT GRADE BOND ETF		
HORIZONS ACTIVE ULTRA-SHORT TERM US INVESTMENT GRADE BOND ETF		
HORIZONS ACTIVE US DIVIDEND ETF		
HORIZONS BALANCED TRI ETF PORTFOLIO		
HORIZONS BIG DATA & HARDWARE INDEX ETF		
HORIZONS CASH MAXIMIZER ETF		
HORIZONS CDN INSIDER INDEX ETF		
HORIZONS CHINA HIGH DIVIDEND YIELD INDEX ETF		
HORIZONS CONSERVATIVE TRI ETF PORTFOLIO		
HORIZONS EMERGING MARIJUANA GROWERS INDEX ETF		
HORIZONS ENHANCED INCOME ENERGY ETF		
HORIZONS ENHANCED INCOME EQUITY ETF		
HORIZONS ENHANCED INCOME FINANCIALS ETF		
HORIZONS ENHANCED INCOME GOLD PRODUCERS ETF		
HORIZONS ENHANCED INCOME INTERNATIONAL EQUITY ETF		
HORIZONS ENHANCED INCOME US EQUITY (USD) ETF		
HORIZONS GLOBAL RISK PARITY ETF		
HORIZONS GLOBAL SUSTAINABILITY LEADERS INDEX ETF		
HORIZONS GLOBAL URANIUM INDEX ETF		
HORIZONS GOLD ETF		
HORIZONS GOLD YIELD ETF		
HORIZONS GROWTH TRI ETF PORTFOLIO		
HORIZONS INDUSTRY 4.0 INDEX ETF		
HORIZONS INOVESTOR CANADIAN EQUITY INDEX ETF		
HORIZONS MANAGED GLOBAL OPPORTUNITIES ETF		
HORIZONS MARIJUANA LIFE SCIENCES INDEX ETF		
HORIZONS MORNINGSTAR HEDGE FUND INDEX ETF		
HORIZONS NATURAL GAS YIELD ETF		
HORIZONS PIPELINES & ENERGY SERVICES INDEX ETF		
HORIZONS ROBOTICS AND AUTOMATION INDEX ETF		
HORIZONS S&P/TSX CAPPED COMPOSITE INDEX ETF		
HORIZONS SEASONAL ROTATION ETF		
HORIZONS SILVER ETF		
HORIZONS US DOLLAR CURRENCY ETF		

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HORIZONS US LARGE CAP INDEX ETF		
HORIZONS US MARIJUANA INDEX ETF		
HORIZONTAL PETROLEUM EXPLORATIONS LTD.	ASC CTO	1a, 1b, 1c, 1e
HORNBY BAY MINERAL EXPLORATION LTD.		
HOST INTERNATIONAL HOLDINGS INC.	ASC CTO	1a, 1b, 1c, 1e
HOT TOUCH	ASC CTO	1a, 1b, 1c, 1e
HOTLINE TO HR INC.	ASC CTO	1a, 1b, 1c, 1e
HOW TO WEB TV INC.	ASC CTO	1a, 1b, 1c, 1e
HPIL RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
HPQ-SILICON RESOURCES INC.		3
HR CAFE, LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
HSBC ASIAPACIFIC FUND		
HSBC BANK CANADA		
HSBC BRIC EQUITY FUND		
HSBC CANADIAN BALANCED FUND		
HSBC CANADIAN BOND FUND		
HSBC CANADIAN BOND POOLED FUND		
HSBC CANADIAN DIVIDEND POOLED FUND		
HSBC CANADIAN EQUITY POOLED FUND		
HSBC CANADIAN MONEY MARKET FUND		
HSBC CANADIAN MONEY MARKET POOLED FUND		
HSBC CANADIAN SHORT/MID BOND FUND		
HSBC CANADIAN SMALL CAP EQUITY POOLED FUND		
HSBC CHINESE EQUITY FUND		
HSBC DIVIDEND FUND		
HSBC EMERGING MARKETS DEBT FUND		
HSBC EMERGING MARKETS DEBT POOLED FUND		
HSBC EMERGING MARKETS FUND		
HSBC EMERGING MARKETS POOLED FUND		
HSBC EQUITY FUND		
HSBC EUROPEAN FUND		
HSBC GLOBAL CORPORATE BOND FUND		
HSBC GLOBAL EQUITY FUND		
HSBC GLOBAL EQUITY VOLATILITY FOCUSED FUND		
HSBC GLOBAL HIGH YIELD BOND POOLED FUND		
HSBC GLOBAL INFLATION LINKED BOND POOLED FUND		
HSBC GLOBAL REAL ESTATE EQUITY POOLED FUND		
HSBC INDIAN EQUITY FUND		
HSBC INTERNATIONAL EQUITY POOLED FUND		

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HSBC MONTHLY INCOME FUND		
HSBC MORTGAGE FUND		
HSBC MORTGAGE POOLED FUND		
HSBC SMALL CAP GROWTH FUND		
HSBC U.S. DOLLAR MONEY MARKET FUND		
HSBC U.S. DOLLAR MONTHLY INCOME FUND		
HSBC U.S. EQUITY FUND		
HSBC U.S. EQUITY POOLED FUND		
HSBC WEALTH COMPASS AGGRESSIVE GROWTH FUND		
HSBC WEALTH COMPASS BALANCED FUND		
HSBC WEALTH COMPASS CONSERVATIVE FUND		
HSBC WEALTH COMPASS GROWTH FUND		
HSBC WEALTH COMPASS MODERATE CONSERVATIVE FUND		
HSBC WORLD SELECTION DIVERSIFIED AGGRESSIVE GROWTH FUND		
HSBC WORLD SELECTION DIVERSIFIED BALANCED FUND		
HSBC WORLD SELECTION DIVERSIFIED CONSERVATIVE FUND		
HSBC WORLD SELECTION DIVERSIFIED GROWTH FUND		
HSBC WORLD SELECTION DIVERSIFIED MODERATE CONSERVATIVE FUND		
H-SOURCE HOLDINGS LTD.		
HTC PUREENERGY INC.		
HUAXING MACHINERY CORP.	ASC CTO	1a, 1b, 1c, 1e
HUBBARD HOLDING INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
HUCAMP MINES LIMITED	ASC CTO	1a, 1b, 1c, 1e
HUDBAY MINERALS INC.		
HUDSON BAY DIECASTING LIMITED	ASC CTO	1a, 1b, 1c, 1e
HUDSON RESOURCES INC.		
HUDSON RIVER MINERALS LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
HUFFINGTON CAPITAL CORP.		
HUNT CLUB RIDGE	ASC CTO	1a, 1b, 1c, 1e
HUNT CLUB RIDGE II	ASC CTO	1a, 1b, 1c, 1e
HUNTER BAY MINERALS PLC	ASC CTO	1a, 1b, 1c, 1e
HUNTER FINANCIAL GROUP LTD.	ASC CTO	1a, 1b, 1c, 1e
HUNTER OIL CORP.		1k
HUNTERS POINT LIMITED PARTNERSHIP	ASC CTO	
HUNTINGTON EXPLORATION INC.		
HUSKY ENERGY INC.		
HUT 8 MINING CORP.		
HYATT FINANCIAL CORPORATION LTD.	ASC CTO	1a, 1b, 1c, 1e
HYBRID MINERALS INC.		

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Name	Cease Traded	Nature of Default
HYDRALOGIC SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
HYDRO ONE INC.		
HYDRO ONE LIMITED		
HYDRO66 HOLDINGS CORP.		
HYDROMET ENVIRONMENTAL RECOVERY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
HYDUKE ENERGY SERVICES INC.		2c
HYMEX DIAMOND CORP.	ASC CTO	1a, 1b, 1c, 1e
HYPERBLOCK INC.	Reciprocal CTO	1b, 1c, 1e
I T D INTERNATIONAL TECHNOLOGY DEVELOPMENT INC.	ASC CTO	1a, 1b, 1c, 1e
IA CLARINGTON BOND FUND		
IA CLARINGTON CANADIAN BALANCED CLASS		
IA CLARINGTON CANADIAN BALANCED FUND		
IA CLARINGTON CANADIAN CONSERVATIVE EQUITY CLASS		
IA CLARINGTON CANADIAN CONSERVATIVE EQUITY FUND		
IA CLARINGTON CANADIAN DIVIDEND FUND		
IA CLARINGTON CANADIAN LEADERS CLASS		
IA CLARINGTON CANADIAN SMALL CAP CLASS		
IA CLARINGTON CANADIAN SMALL CAP FUND		
IA CLARINGTON CORE PLUS BOND FUND		
IA CLARINGTON DIVIDEND GROWTH CLASS		
IA CLARINGTON EMERGING MARKETS BOND FUND		
IA CLARINGTON FLOATING RATE INCOME FUND		
IA CLARINGTON FOCUSED BALANCED CLASS		
IA CLARINGTON FOCUSED BALANCED FUND		
IA CLARINGTON FOCUSED CANADIAN EQUITY CLASS		
IA CLARINGTON GLOBAL ALLOCATION CLASS		
IA CLARINGTON GLOBAL ALLOCATION FUND		
IA CLARINGTON GLOBAL BOND FUND		
IA CLARINGTON GLOBAL EQUITY EXPOSURE FUND		
IA CLARINGTON GLOBAL EQUITY FUND		
IA CLARINGTON GLOBAL MULTI-ASSET FUND		
IA CLARINGTON GLOBAL OPPORTUNITIES CLASS		
IA CLARINGTON GLOBAL OPPORTUNITIES FUND		
IA CLARINGTON GLOBAL VALUE FUND		
IA CLARINGTON GLOBAL YIELD OPPORTUNITIES FUND		
IA CLARINGTON GROWTH & INCOME FUND		
IA CLARINGTON INHANCE BALANCED SRI PORTFOLIO		
IA CLARINGTON INHANCE BOND SRI FUND		
IA CLARINGTON INHANCE CANADIAN EQUITY SRI CLASS		

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IA CLARINGTON INHANCE CONSERVATIVE SRI PORTFOLIO		
IA CLARINGTON INHANCE GLOBAL EQUITY SRI CLASS		
IA CLARINGTON INHANCE GROWTH SRI PORTFOLIO		
IA CLARINGTON INHANCE MONTHLY INCOME SRI FUND		
IA CLARINGTON INTERNATIONAL EQUITY FUND		
IA CLARINGTON LOOMIS GLOBAL EQUITY OPPORTUNITIES FUND		
IA CLARINGTON MONEY MARKET FUND		
IA CLARINGTON MONTHLY INCOME BALANCED FUND		
IA CLARINGTON NORTH AMERICAN OPPORTUNITIES CLASS		
IA CLARINGTON REAL RETURN BOND FUND		
IA CLARINGTON STRATEGIC CORPORATE BOND FUND		
IA CLARINGTON STRATEGIC EQUITY INCOME CLASS		
IA CLARINGTON STRATEGIC EQUITY INCOME FUND		
IA CLARINGTON STRATEGIC INCOME FUND		
IA CLARINGTON STRATEGIC U.S. GROWTH & INCOME FUND		
IA CLARINGTON TACTICAL INCOME CLASS		
IA CLARINGTON TARGET CLICK 2020 FUND		
IA CLARINGTON TARGET CLICK 2025 FUND		
IA CLARINGTON TARGET CLICK 2030 FUND		
IA CLARINGTON THEMATIC INNOVATION CLASS		
IA CLARINGTON U.S. DIVIDEND GROWTH FUND		
IA CLARINGTON U.S. DIVIDEND GROWTH REGISTERED FUND		
IA CLARINGTON U.S. DOLLAR FLOATING RATE INCOME FUND		
IA CLARINGTON U.S. EQUITY CLASS		
IA CLARINGTON U.S. EQUITY CURRENCY NEUTRAL FUND		
IA CLARINGTON U.S. EQUITY OPPORTUNITIES FUND		
IA CLARINGTON YIELD OPPORTUNITIES FUND		
IA FINANCIAL CORPORATION INC.		
IA WEALTH BALANCED PORTFOLIO		
IA WEALTH CONSERVATIVE PORTFOLIO		
IA WEALTH GROWTH PORTFOLIO		
IA WEALTH HIGH GROWTH PORTFOLIO		
IA WEALTH MODERATE PORTFOLIO		
IAE HONG KONG EQUITIES INC.	ASC CTO	1a, 1b, 1c, 1e
IALTA INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
IAMGOLD CORPORATION		
IANTHUS CAPITAL HOLDINGS, INC.		
IATRA LIFE SCIENCES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
IBC ADVANCED ALLOYS CORP.		

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IBEX TECHNOLOGIES INC.		
IBI CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 3
IBI GROUP INC.		
IC CAPITALIGHT CORP.		
ICANIC BRANDS COMPANY INC.		
ICE DRILLING ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ICE STATION RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
ICEFLOE TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
ICESOFT TECHNOLOGIES CANADA CORP.		
ICL GLOBAL EQUITY FUND		
ICO THERAPEUTICS INC.		
ICON LASER EYE CENTERS, INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ICONIC MINERALS LTD.		
ICOR OIL & GAS COMPANY LTD.	ASC CTO	1a, 1b, 1c, 1e
IDAHO CHAMPION GOLD MINES CANADA INC.		
IDAHO NATURAL RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
IDAHO SILVER INC.	ASC CTO	1a, 1b, 1c, 1e
IDENTILLECT TECHNOLOGIES CORP.		
IDG HOLDINGS INC.		
IDS INTELLIGENT DETECTION SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
IDYIA INNOVATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
IEMR RESOURCES INC.		
IF YOU COULD SEE WHAT I HEAR	ASC CTO	1a, 1b, 1c, 1e
IFABRIC CORP.		
IFM FOOD MANAGEMENT (CANADA) LTD.	ASC CTO	1a, 1b, 1c, 1e
IFORUM FINANCIAL NETWORK INC.	ASC CTO	1a, 1b, 1c, 1e
IG AGF GLOBAL EQUITY CLASS		
IG AGF GLOBAL EQUITY FUND		
IG AGF U.S. GROWTH CLASS		
IG AGF U.S. GROWTH FUND		
IG ARISTOTLE U.S. SMALL CAP CLASS		
IG BEUTEL GOODMAN CANADIAN BALANCED FUND		
IG BEUTEL GOODMAN CANADIAN EQUITY CLASS		
IG BEUTEL GOODMAN CANADIAN EQUITY FUND		
IG BEUTEL GOODMAN CANADIAN SMALL CAP FUND		
IG BLACKROCK INTERNATIONAL EQUITY FUND		
IG CI CANADIAN BALANCED FUND		
IG CORE PORTFOLIO - BALANCED		
IG CORE PORTFOLIO - BALANCED GROWTH		

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IG CORE PORTFOLIO - GLOBAL INCOME		
IG CORE PORTFOLIO - GROWTH		
IG CORE PORTFOLIO - INCOME		
IG CORE PORTFOLIO - INCOME BALANCED		
IG CORE PORTFOLIO - INCOME FOCUS		
IG CORE PORTFOLIO CLASS - BALANCED		
IG CORE PORTFOLIO CLASS - BALANCED GROWTH		
IG CORE PORTFOLIO CLASS - BALANCED GROWTH II		
IG CORE PORTFOLIO CLASS - GROWTH		
IG CORE PORTFOLIO CLASS - GROWTH II		
IG CORE PORTFOLIO CLASS - INCOME BALANCED		
IG FI CANADIAN EQUITY CLASS		
IG FI CANADIAN EQUITY FUND		
IG FIERA CANADIAN SMALL CAP CLASS		
IG FIERA CANADIAN SMALL CAP FUND		
IG FRANKLIN BISSETT CANADIAN EQUITY CLASS		
IG FRANKLIN BISSETT CANADIAN EQUITY CLASS II		
IG FRANKLIN BISSETT CANADIAN EQUITY FUND		
IG IRISH LIFE LOW VOLATILITY GLOBAL EQUITY CLASS		
IG IRISH LIFE LOW VOLATILITY GLOBAL EQUITY FUND		
IG JPMORGAN EMERGING MARKETS CLASS		
IG JPMORGAN EMERGING MARKETS FUND		
IG MACKENZIE CANADIAN CORPORATE BOND FUND		
IG MACKENZIE CANADIAN EQUITY INCOME FUND		
IG MACKENZIE CANADIAN HIGH YIELD INCOME FUND		
IG MACKENZIE CANADIAN MONEY MARKET CLASS		
IG MACKENZIE CANADIAN MONEY MARKET FUND		
IG MACKENZIE CANADIAN SMALL/MID CAP CLASS		
IG MACKENZIE CANADIAN SMALL/MID CAP CLASS II		
IG MACKENZIE CANADIAN SMALL/MID CAP FUND		
IG MACKENZIE CORE U.S. EQUITY CLASS		
IG MACKENZIE CORE U.S. EQUITY CLASS II		
IG MACKENZIE CORE U.S. EQUITY CLASS III		
IG MACKENZIE CORE U.S. EQUITY FUND		
IG MACKENZIE DIVIDEND CLASS		
IG MACKENZIE DIVIDEND FUND		
IG MACKENZIE DIVIDEND GROWTH FUND		
IG MACKENZIE EUROPEAN EQUITY CLASS		
IG MACKENZIE EUROPEAN EQUITY FUND		

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IG MACKENZIE EUROPEAN MID-CAP EQUITY CLASS		
IG MACKENZIE EUROPEAN MID-CAP EQUITY FUND		
IG MACKENZIE FLOATING RATE INCOME FUND		
IG MACKENZIE GLOBAL BOND FUND		
IG MACKENZIE GLOBAL CLASS		
IG MACKENZIE GLOBAL CLASS II		
IG MACKENZIE GLOBAL CONSUMER COMPANIES CLASS		
IG MACKENZIE GLOBAL FINANCIAL SERVICES CLASS		
IG MACKENZIE GLOBAL FINANCIAL SERVICES FUND		
IG MACKENZIE GLOBAL FUND		
IG MACKENZIE GLOBAL HEALTH CARE CLASS		
IG MACKENZIE GLOBAL INFRASTRUCTURE CLASS		
IG MACKENZIE GLOBAL NATURAL RESOURCES CLASS		
IG MACKENZIE GLOBAL NATURAL RESOURCES FUND		
IG MACKENZIE GLOBAL PRECIOUS METALS CLASS		
IG MACKENZIE GLOBAL SCIENCE & TECHNOLOGY CLASS		
IG MACKENZIE GLOBAL SCIENCE & TECHNOLOGY FUND		
IG MACKENZIE INCOME FUND		
IG MACKENZIE INTERNATIONAL SMALL CAP CLASS		
IG MACKENZIE INTERNATIONAL SMALL CAP FUND		
IG MACKENZIE IVY EUROPEAN CLASS		
IG MACKENZIE IVY EUROPEAN CLASS II		
IG MACKENZIE IVY EUROPEAN CLASS III		
IG MACKENZIE IVY EUROPEAN FUND		
IG MACKENZIE IVY FOREIGN EQUITY CLASS		
IG MACKENZIE LOW VOLATILITY CANADIAN EQUITY CLASS		
IG MACKENZIE LOW VOLATILITY CANADIAN EQUITY FUND		
IG MACKENZIE MORTGAGE AND SHORT TERM INCOME FUND		
IG MACKENZIE MUTUAL OF CANADA		
IG MACKENZIE NORTH AMERICAN EQUITY CLASS		
IG MACKENZIE NORTH AMERICAN EQUITY CLASS II		
IG MACKENZIE NORTH AMERICAN EQUITY CLASS III		
IG MACKENZIE NORTH AMERICAN EQUITY CLASS IV		
IG MACKENZIE NORTH AMERICAN EQUITY CLASS V		
IG MACKENZIE NORTH AMERICAN EQUITY FUND		
IG MACKENZIE PACIFIC INTERNATIONAL CLASS		
IG MACKENZIE PACIFIC INTERNATIONAL FUND		
IG MACKENZIE PAN ASIAN EQUITY CLASS		
IG MACKENZIE PAN ASIAN EQUITY CLASS II		

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IG MACKENZIE PAN ASIAN EQUITY FUND		
IG MACKENZIE QUÉBEC ENTERPRISE CLASS		
IG MACKENZIE QUEBEC ENTERPRISE FUND		
IG MACKENZIE STRATEGIC INCOME FUND		
IG MACKENZIE SUMMA SRI CLASS		
IG MACKENZIE SUMMA SRI FUND		
IG MACKENZIE U.S. DIVIDEND REGISTERED FUND		
IG MACKENZIE U.S. MONEY MARKET FUND		
IG MACKENZIE U.S. OPPORTUNITIES CLASS		
IG MACKENZIE U.S. OPPORTUNITIES FUND		
IG MANAGED PAYOUT PORTFOLIO		
IG MANAGED PAYOUT PORTFOLIO WITH ENHANCED GROWTH		
IG MANAGED PAYOUT PORTFOLIO WITH GROWTH		
IG MANAGED RISK PORTFOLIO - BALANCED		
IG MANAGED RISK PORTFOLIO - GROWTH FOCUS		
IG MANAGED RISK PORTFOLIO - INCOME BALANCED		
IG MANAGED RISK PORTFOLIO - INCOME FOCUS		
IG MANAGED RISK PORTFOLIO CLASS - BALANCED		
IG MANAGED RISK PORTFOLIO CLASS - GROWTH FOCUS		
IG MANAGED RISK PORTFOLIO CLASS - INCOME BALANCED		
IG PIMCO GLOBAL BOND FUND		1b, 1c
IG PUTNAM U.S. GROWTH CLASS		
IG PUTNAM U.S. GROWTH FUND		
IG PUTNAM U.S. HIGH YIELD INCOME FUND		
IG T. ROWE PRICE U.S. LARGE CAP EQUITY CLASS		
IG T. ROWE PRICE U.S. LARGE CAP EQUITY FUND		
IGM FINANCIAL INC.		
IGNITE INTERNATIONAL BRANDS, LTD.		
ILEDOR EXPLORATION CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ILLUSION SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ILOOKABOUT CORP.		
ILOVETV ENTERTAINMENT INC.	ASC CTO	1a, 1b, 1c, 1e
IM CANNABIS CORP.		
IM EXPLORATION INC.		
IMAFLEX INC.		
IMAGE POWER, INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
IMAGE SCULPTING INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
IMAGIN MEDICAL INC.		
IMAGINATION PARK TECHNOLOGIES INC.		

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IMAGING DYNAMICS COMPANY LTD.		
IMARKETING SOLUTIONS GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
IMASIGHT CORP.	ASC CTO	1a, 1b, 1c, 1e
IMAX CORPORATION		
IMAXX CANADIAN BOND FUND		
IMAXX CANADIAN DIVIDEND PLUS FUND		
IMAXX CANADIAN FIXED PAY FUND		
IMAXX EQUITY GROWTH FUND		
IMAXX GLOBAL FIXED PAY FUND		
IMAXX SHORT TERM BOND FUND		
IMC INTEGRATED MARKETING COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
IMC INTERNATIONAL MINING CORP.		
IMETAL RESOURCES, INC.		
IMEX SYSTEMS INC.	Reciprocal CTO	1a, 1b, 1c, 1e
I-MINERALS INC.		
IMINING BLOCKCHAIN AND CRYPTOCURRENCY INC.		
IMMUNE NETWORK LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
IMMUNOPRECISE ANTIBODIES LTD.		
IMPACT SILVER CORP.		
IMPAX ENERGY SERVICES INCOME TRUST	ASC CTO	1a, 1b, 1c, 1d, 1e
IMPERIAL CANADIAN BOND POOL		
IMPERIAL CANADIAN DIVERSIFIED INCOME POOL		
IMPERIAL CANADIAN DIVIDEND INCOME POOL		
IMPERIAL CANADIAN EQUITY POOL		
IMPERIAL EMERGING ECONOMIES POOL		
IMPERIAL EQUITIES INC.		
IMPERIAL EQUITY HIGH INCOME POOL		
IMPERIAL GENERAL PROPERTIES LIMITED	ASC CTO	1a, 1b, 1c, 1e
IMPERIAL GINSENG PRODUCTS LTD.		
IMPERIAL GLOBAL EQUITY INCOME POOL		
IMPERIAL INTERNATIONAL BOND POOL		
IMPERIAL INTERNATIONAL EQUITY POOL		
IMPERIAL MINING GROUP LTD.		
IMPERIAL MONEY MARKET POOL		
IMPERIAL OIL LIMITED		
IMPERIAL OVERSEAS EQUITY POOL		
IMPERIAL SHORT-TERM BOND POOL		
IMPERIAL SQUARE BUSINESS PARK BURNABY	ASC CTO	1a, 1b, 1c, 1e
IMPERIAL SQUARE EDMONTON III	ASC CTO	1a, 1b, 1c, 1e

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IMPERIAL SQUARE EDMONTON PHASE II	ASC CTO	1a, 1b, 1c, 1e
IMPERIAL SQUARE WESTCHASE LIMITED	ASC CTO	1a, 1b, 1c, 1e
IMPERIAL U.S. EQUITY POOL		
IMRIS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
IMV INC.		
INCA ONE GOLD CORP.		
INCEPTUS CAPITAL LTD.		
INCOME 100 FUND		
INCOME 20/80 FUND		
INCOME 40/60 FUND		
INCOME FINANCIAL TRUST		
INCOME FUND (PORTICO)		
INCOME TRUSTCO CORPORATION	ASC CTO	1a, 1b, 1c, 1e
INCUBUS	ASC CTO	1a, 1b, 1c, 1e
INDEPENDENCE GOLD CORP.		
INDEPENDENCE PETROLEUMS INC.	ASC CTO	1a, 1b, 1c, 1e
INDEPENDENT GROWTH FINDERS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
INDEXPLUS INCOME FUND		
INDIANA RESOURCES LIMITED		1g
INDICO RESOURCES LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
INDIGO BOOKS & MUSIC INC.		
INDIGO EXPLORATION INC.		
INDIVA LIMITED		
INDO GLOBAL EXCHANGE(S) PTE, LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
INDO PACIFIC RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
INDUS HOLDINGS, INC.		
INDUSTRIA METALS INC.		
INDUSTRIAL ALLIANCE INSURANCE AND FINANCIAL SERVICES INC.		
INDUSTRIALEX MANUFACTURING CORP.	ASC CTO	1a, 1b, 1c, 1e
INEO TECH CORP.		
INFINITE ORE CORP.		
INFINITE RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
INFINITO GOLD LTD.	ASC CTO	1a, 1b, 1c, 1e
INFOCORP COMPUTER SOLUTIONS LTD	ASC CTO	1a, 1b, 1c, 1d, 1e, 3
INFO-GROUP VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
INFORM RESOURCES CORP.		
INFORMATION SERVICES CORPORATION		
INFOWAVE SOFTWARE, INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
INFRASTRUCTURE MATERIALS CORP.	ASC CTO	1a, 1b, 1c, 1e

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INITIAL DEVELOPERS LIMITED	ASC CTO	1a, 1b, 1c, 1e
INMED PHARMACEUTICALS INC.		
INNER SPIRIT HOLDINGS LTD.		
INNERGEX RENEWABLE ENERGY INC.		
INNEXUS BIOTECHNOLOGY INC.	ASC CTO	1a, 1b, 1c, 1e, 3
INNOCAN PHARMA CORPORATION		
INNOVATIVE COMPOSITES INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
INNOVATIVE ENVIRONMENTAL SERVICES LTD.	ASC CTO	1a, 1b, 1c, 1e
INNOVATIVE WIRELINE SOLUTIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 3
INNOVENTE INC.	ASC CTO	1a, 1b, 1c, 1e
INNOVIUM MEDIA PROPERTIES CORP	ASC CTO	1a, 1b, 1c, 1e
INNOVOTECH INC.		
INOMIN MINES INC.		
INOUE TECHNOLOGIES (CANADA) INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
INOVALIS REAL ESTATE INVESTMENT TRUST		
INPLAY OIL CORP.		
INPUT CAPITAL CORP.		
INSCAPE CORPORATION		
INSURAGUEST TECHNOLOGIES INC.		
INTACT FINANCIAL CORPORATION		
INTACT GOLD CORP.		
INTEGRA BALANCED FUND		
INTEGRA BOND FUND		
INTEGRA CANADIAN VALUE GROWTH FUND		
INTEGRA INTERNATIONAL EQUITY FUND		
INTEGRA RESOURCES CORP.		
INTEGRA U.S. VALUE GROWTH FUND		
INTEGRATED COMMUNICATIONS INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
INTEGRATED FLIGHT SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
INTEGRATED RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
INTELGENX TECHNOLOGIES CORP.		
INTELLABRIDGE TECHNOLOGY CORPORATION (FORMERLY, CRYPTANITE BLOCKCHAIN TECHNOLOGIES CORP.)		
INTELLECTUAL CAPITAL GROUP LTD.	ASC CTO	1a, 1b, 1c, 1e
INTELLIGENT WEB TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
INTELLIPHARMACEUTICS INTERNATIONAL INC.		
INTEMA SOLUTIONS INC.		
INTER CABLE COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
INTER PIPELINE LTD.		
INTERACTIVE CAPITAL PARTNERS CORPORATION		

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Name	Cease Traded	Nature of Default
INTER-ASIA EQUITIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
INTERBIT LTD.		
INTERCABLE ICH INC.	ASC CTO	1a, 1b, 1c, 1e, 3
INTERCEPT ENERGY SERVICES INC.	ASC CTO	1a, 1b, 1c, 1e
INTERCONNECT VENTURES CORPORATION		
INTERCONTINENTAL GOLD AND METALS LTD.		
INTEREX MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1e
INTERFOR CORPORATION		
INTERGULF RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e
INTERLAPSE TECHNOLOGIES CORP.		
INTERLOCK CONSOLIDATED ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
INTERMAP TECHNOLOGIES CORPORATION		
INTERNATIONAL ACCORD INC.	ASC CTO	1a, 1b, 1c, 1e
INTERNATIONAL ANTAREX METALS LTD.	ASC CTO	1a, 1b, 1c, 1e
INTERNATIONAL BASLEN ENTERPRISES LIMITED	ASC CTO	1a, 1b, 1c, 1e
INTERNATIONAL BATTERY METALS LTD.		
INTERNATIONAL BETHLEHEM MINING CORP.		
INTERNATIONAL BIGSKY RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
INTERNATIONAL BOND FUND (CLI)		
INTERNATIONAL CANNABRANDS INC.		1m, 1n, 4
INTERNATIONAL CAPTAIN INDUSTRIES CORP.	ASC CTO	1a, 1b, 1c, 1e
INTERNATIONAL COBALT CORP.		
INTERNATIONAL CORE EQUITY CLASS (PUTNAM)		
INTERNATIONAL CORE EQUITY FUND (PUTNAM)		
INTERNATIONAL DORADO RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
INTERNATIONAL EQUITY ALPHA CORPORATE CLASS		
INTERNATIONAL EQUITY ALPHA POOL		
INTERNATIONAL EQUITY FUND		
INTERNATIONAL EQUITY GROWTH CORPORATE CLASS		
INTERNATIONAL EQUITY GROWTH POOL		
INTERNATIONAL EQUITY VALUE CORPORATE CLASS		
INTERNATIONAL EQUITY VALUE CURRENCY HEDGED CORPORATE CLASS		
INTERNATIONAL EQUITY VALUE CURRENCY HEDGED POOL		
INTERNATIONAL EQUITY VALUE POOL		
INTERNATIONAL FAIRHAVEN RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
INTERNATIONAL FALCON RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
INTERNATIONAL FITNESS UNLIMITED CENTRES INC.	ASC CTO	1a, 1b, 1c, 1e
INTERNATIONAL FRONTIER RESOURCES CORPORATION		
INTERNATIONAL GROWTH FUND	ASC CTO	1a, 1b, 1c

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INTERNATIONAL HEALTH & BEAUTY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
INTERNATIONAL HI-TECH INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
INTERNATIONAL HOSPITALITY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
INTERNATIONAL ISOTOPES INC.		1g
INTERNATIONAL LITHIUM CORP.		
INTERNATIONAL METHANE COMPANY LIMITED	ASC CTO	1a, 1b, 1c, 1e
INTERNATIONAL MILLENNIUM MINING CORP.		
INTERNATIONAL MINING & INFRASTRUCTURE CORPORATION PLC	Reciprocal CTO	
INTERNATIONAL MONTORO RESOURCES INC.		1a, 1b, 1c
INTERNATIONAL PARKSIDE PRODUCTS INC.		
INTERNATIONAL PETROLEUM CORPORATION		
INTERNATIONAL PLAYER ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e
INTERNATIONAL PROSPECT VENTURES LTD.		
INTERNATIONAL RORAIMA GOLD CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
INTERNATIONAL SAMUEL EXPLORATION CORP.		
INTERNATIONAL SOFTROCK OIL COMPANY LIMITED	ASC CTO	1a, 1b, 1c, 1e
INTERNATIONAL STARTECK INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
INTERNATIONAL TECHNOLOGIES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
INTERNATIONAL TIKA RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
INTERNATIONAL TOWER HILL MINES LTD.		
INTERNATIONAL UTILITY STRUCTURES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
INTERNATIONAL WALLCOVERINGS LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
INTERNATIONAL ZEOLITE CORP.		
INTERNET CARD SECURITY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
INTERNET OF THINGS INC.	Reciprocal CTO	1b, 1c, 1e
INTERQUEST INCORPORATED.	ASC CTO	1a, 1b, 1c, 1e, 1k
INTERRENT REAL ESTATE INVESTMENT TRUST		
INTER-ROCK MINERALS INC.		
INTERSOFT TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
ENTERTAINMENT MEDIA INC.	Reciprocal CTO	1a, 1b, 1c, 1e
INTERTAPE POLYMER GROUP INC.		
INTEX MINING COMPANY LIMITED	ASC CTO	1a, 1b, 1c, 1e
INTIGOLD MINES LTD.		
INTOUCH INSIGHT LTD.		
INTRACOASTAL SYSTEM ENGINEERING CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
INV METALS INC.		
INVENTRONICS LIMITED		
INVENTUS MINING CORP.		
INVESCO 1-10 YEAR LADDERED INVESTMENT GRADE CORPORATE BOND INDEX ETF		

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INVESCO 1-3 YEAR LADDERED FLOATING RATE NOTE INDEX ETF		
INVESCO 1-5 YEAR LADDERED ALL GOVERNMENT BOND INDEX ETF		
INVESCO 1-5 YEAR LADDERED CORPORATE BOND INDEX ETF FUND		
INVESCO 1-5 YEAR LADDERED INVESTMENT GRADE CORPORATE BOND INDEX ETF		
INVESCO ACTIVE MULTI-SECTOR CREDIT FUND		
INVESCO ALLOCATION FUND		
INVESCO BALANCED ETF PORTFOLIO		
INVESCO BALANCED PORTFOLIO		
INVESCO CANADA MONEY MARKET FUND		
INVESCO CANADIAN CLASS		
INVESCO CANADIAN CORE PLUS BOND CLASS		
INVESCO CANADIAN CORE PLUS BOND FUND		
INVESCO CANADIAN DIVIDEND INDEX ETF		
INVESCO CANADIAN DIVIDEND INDEX ETF CLASS		
INVESCO CANADIAN ENDEAVOUR FUND		
INVESCO CANADIAN FUND		
INVESCO CANADIAN INTEREST FUND		
INVESCO CANADIAN OPPORTUNITY CLASS		
INVESCO CANADIAN OPPORTUNITY FUND		
INVESCO CANADIAN PLUS DIVIDEND CLASS		
INVESCO CANADIAN PREFERRED SHARE INDEX ETF		
INVESCO CANADIAN PREFERRED SHARE INDEX ETF CLASS		
INVESCO CANADIAN PREMIER BALANCED FUND		
INVESCO CANADIAN PREMIER GROWTH CLASS		
INVESCO CANADIAN PREMIER GROWTH FUND		
INVESCO CANADIAN REAL RETURN BOND INDEX FUND		
INVESCO CANADIAN SHORT-TERM BOND FUND		
INVESCO CANADIAN SMALL COMPANIES FUND		
INVESCO CONSERVATIVE ETF PORTFOLIO		
INVESCO CONSERVATIVE PORTFOLIO		
INVESCO CORE CANADIAN BALANCED CLASS		
INVESCO DIVERSIFIED YIELD CLASS		
INVESCO DWA GLOBAL MOMENTUM INDEX ETF		
INVESCO EMERGING MARKETS CLASS		
INVESCO EMERGING MARKETS SELECT POOL		
INVESCO ENERGY CLASS		
INVESCO EUROPEAN GROWTH CLASS		
INVESCO EUROPLUS FUND		
INVESCO FLOATING RATE INCOME FUND		

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INVESCO FTSE RAFI CANADIAN INDEX ETF		
INVESCO FTSE RAFI CANADIAN INDEX ETF CLASS		
INVESCO FTSE RAFI CANADIAN SMALL-MID INDEX ETF		
INVESCO FTSE RAFI EMERGING MARKETS ETF CLASS		
INVESCO FTSE RAFI GLOBAL SMALL-MID ETF		
INVESCO FTSE RAFI GLOBAL+ ETF FUND		
INVESCO FTSE RAFI GLOBAL+ INDEX ETF		
INVESCO FTSE RAFI U.S. ETF FUND		
INVESCO FTSE RAFI U.S. INDEX ETF		
INVESCO FTSE RAFI U.S. INDEX ETF II		
INVESCO FUNDAMENTAL HIGH YIELD CORPORATE BOND INDEX ETF		
INVESCO GLOBAL BALANCED CLASS		
INVESCO GLOBAL BALANCED FUND		
INVESCO GLOBAL BOND FUND		
INVESCO GLOBAL COMPANIES FUND		
INVESCO GLOBAL DIVERSIFIED COMPANIES CLASS		
INVESCO GLOBAL DIVERSIFIED COMPANIES FUND		
INVESCO GLOBAL DIVERSIFIED INCOME FUND		
INVESCO GLOBAL DIVIDEND ACHIEVERS ETF FUND		
INVESCO GLOBAL DIVIDEND CLASS		
INVESCO GLOBAL DIVIDEND INCOME FUND		
INVESCO GLOBAL ENDEAVOUR CLASS		
INVESCO GLOBAL ENDEAVOUR FUND		
INVESCO GLOBAL GROWTH CLASS		
INVESCO GLOBAL HIGH YIELD BOND FUND		
INVESCO GLOBAL MONTHLY INCOME FUND		
INVESCO GLOBAL REAL ESTATE FUND		
INVESCO GLOBAL SHAREHOLDER YIELD ETF		
INVESCO GLOBAL SMALL COMPANIES CLASS		
INVESCO GROWTH ETF PORTFOLIO		
INVESCO GROWTH PORTFOLIO		
INVESCO HIGH GROWTH ETF PORTFOLIO		
INVESCO HIGH GROWTH PORTFOLIO		
INVESCO INCOME GROWTH FUND		
INVESCO INDO-PACIFIC FUND		
INVESCO INTACTIVE 2023 PORTFOLIO		
INVESCO INTACTIVE 2028 PORTFOLIO		
INVESCO INTACTIVE 2033 PORTFOLIO		
INVESCO INTACTIVE 2038 PORTFOLIO		

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INVESCO INTACTIVE BALANCED GROWTH PORTFOLIO		
INVESCO INTACTIVE BALANCED GROWTH PORTFOLIO CLASS		
INVESCO INTACTIVE BALANCED INCOME PORTFOLIO		
INVESCO INTACTIVE BALANCED INCOME PORTFOLIO CLASS		
INVESCO INTACTIVE DIVERSIFIED INCOME PORTFOLIO		
INVESCO INTACTIVE DIVERSIFIED INCOME PORTFOLIO CLASS		
INVESCO INTACTIVE GROWTH PORTFOLIO		
INVESCO INTACTIVE GROWTH PORTFOLIO CLASS		
INVESCO INTACTIVE MAXIMUM GROWTH PORTFOLIO		
INVESCO INTACTIVE MAXIMUM GROWTH PORTFOLIO CLASS		
INVESCO INTERNATIONAL COMPANIES CLASS		
INVESCO INTERNATIONAL COMPANIES FUND		
INVESCO INTERNATIONAL GROWTH CLASS		
INVESCO INTERNATIONAL GROWTH FUND		
INVESCO LADDERRITE U.S. 0-5 YEAR CORPORATE BOND INDEX ETF		
INVESCO LONG TERM GOVERNMENT BOND INDEX ETF		
INVESCO LOW VOLATILITY PORTFOLIO ETF		
INVESCO MODERATE ETF PORTFOLIO		
INVESCO MODERATE PORTFOLIO		
INVESCO MONTHLY INCOME ETF PORTFOLIO		
INVESCO QQQ INDEX ETF		
INVESCO RESOURCES FUND		
INVESCO S&P 500 EQUAL WEIGHT INDEX ETF		
INVESCO S&P 500 ESG INDEX ETF		
INVESCO S&P 500 HIGH DIVIDEND LOW VOLATILITY INDEX ETF		
INVESCO S&P 500 LOW VOLATILITY INDEX ETF		
INVESCO S&P 500 LOW VOLATILITY INDEX ETF FUND		
INVESCO S&P EMERGING MARKETS LOW VOLATILITY INDEX ETF		
INVESCO S&P EUROPE 350 EQUAL WEIGHT INDEX ETF		
INVESCO S&P GLOBAL EX. CANADA HIGH DIVIDEND LOW VOLATILITY INDEX ETF		
INVESCO S&P INTERNATIONAL DEVELOPED LOW VOLATILITY INDEX ETF		
INVESCO S&P/TSX COMPOSITE LOW VOLATILITY INDEX ETF		
INVESCO S&P/TSX COMPOSITE LOW VOLATILITY INDEX ETF CLASS		
INVESCO S&P/TSX REIT INCOME INDEX ETF		
INVESCO SELECT BALANCED FUND		
INVESCO SELECT CANADIAN EQUITY FUND		
INVESCO SENIOR LOAN INDEX ETF		
INVESCO SHORT-TERM INCOME CLASS		

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INVESCO STRATEGIC YIELD FUND		
INVESCO TACTICAL BOND ETF		
INVESCO TACTICAL BOND ETF FUND		
INVESCO U.S. COMPANIES CLASS		
INVESCO U.S. COMPANIES FUND		
INVESCO U.S. HIGH YIELD BOND INDEX FUND		
INVESCO U.S. MONEY MARKET FUND		
INVESCO U.S. SMALL COMPANIES CLASS		
INVESQUE INC.		
INVESTMENT GRADE MANAGED DURATION INCOME FUND		
INVESTORS CORNERSTONE PORTFOLIO		
INVESTORS GROWTH PLUS PORTFOLIO		
INVESTORS GROWTH PORTFOLIO		
INVESTORS INCOME PLUS PORTFOLIO		
INVESTORS REAL PROPERTY FUND		
INVESTORS RETIREMENT GROWTH PORTFOLIO		
INVESTORS RETIREMENT PLUS PORTFOLIO		
INVESTORS SYNDICATE LIMITED		
INVICTUS FINANCIAL INC.		
INVICTUS MD STRATEGIES CORP.		
INVIRO MEDICAL INC.	ASC CTO	1a, 1b, 1c, 1e
INWEST RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
INZINC MINING LTD.		
IONA ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
IONIC BRANDS CORP.		
IOU FINANCIAL INC.		
IPC BALANCED ESSENTIALS PORTFOLIO		
IPC ESG BALANCED ESSENTIALS PORTFOLIO		
IPC GROWTH ESSENTIALS PORTFOLIO		
IPC INCOME ESSENTIALS PORTFOLIO		
IPC MULTI-FACTOR CANADIAN EQUITY		
IPC MULTI-FACTOR INTERNATIONAL EQUITY		
IPC MULTI-FACTOR U.S. EQUITY		
IPL PLASTICS INC.		
IPROFILE CANADIAN EQUITY PRIVATE CLASS		
IPROFILE CANADIAN EQUITY PRIVATE POOL		
IPROFILE EMERGING MARKETS PRIVATE CLASS		
IPROFILE EMERGING MARKETS PRIVATE POOL		
IPROFILE FIXED INCOME PRIVATE POOL		

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IPROFILE INTERNATIONAL EQUITY PRIVATE CLASS		
IPROFILE INTERNATIONAL EQUITY PRIVATE POOL		
IPROFILE U.S. EQUITY PRIVATE CLASS		
IPROFILE U.S. EQUITY PRIVATE POOL		
IRI SEPARATION TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
IRISH LIFE - IG LOW VOLATILITY GLOBAL EQUITY POOL		
IRONWOOD CAPITAL CORP.		
IRVCO RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
IRVING RESOURCES INC.		
ISCOPE INC.	ASC CTO	1a, 1b, 1c, 1e
ISE LIMITED	ASC CTO	1a, 1b, 1c, 1e
ISEE3D INC.	ASC CTO	1a, 1b, 1c, 1e
ISHARES 1-10 YEAR LADDERED CORPORATE BOND INDEX ETF		
ISHARES 1-10 YEAR LADDERED GOVERNMENT BOND INDEX ETF		
ISHARES 1-5 YEAR LADDERED CORPORATE BOND INDEX ETF		
ISHARES 1-5 YEAR LADDERED GOVERNMENT BOND INDEX ETF		
ISHARES CANADIAN CORPORATE BOND INDEX ETF		
ISHARES CANADIAN FINANCIAL MONTHLY INCOME ETF		
ISHARES CANADIAN FUNDAMENTAL INDEX ETF		
ISHARES CANADIAN GOVERNMENT BOND INDEX ETF		
ISHARES CANADIAN GROWTH INDEX ETF		
ISHARES CANADIAN HYBRID CORPORATE BOND INDEX ETF		
ISHARES CANADIAN REAL RETURN BOND INDEX ETF		
ISHARES CANADIAN SELECT DIVIDEND INDEX ETF		
ISHARES CANADIAN VALUE INDEX ETF		
ISHARES CHINA INDEX ETF		
ISHARES CONSERVATIVE SHORT TERM STRATEGIC FIXED INCOME ETF		
ISHARES CONSERVATIVE STRATEGIC FIXED INCOME ETF		
ISHARES CONVERTIBLE BOND INDEX ETF		
ISHARES CORE BALANCED ETF PORTFOLIO		
ISHARES CORE CANADIAN LONG TERM BOND INDEX ETF		
ISHARES CORE CANADIAN SHORT TERM BOND INDEX ETF		
ISHARES CORE CANADIAN SHORT TERM CORPORATE + MAPLE BOND INDEX ETF		
ISHARES CORE CANADIAN UNIVERSE BOND INDEX ETF		
ISHARES CORE CONSERVATIVE BALANCED ETF PORTFOLIO		
ISHARES CORE EQUITY ETF PORTFOLIO		
ISHARES CORE GROWTH ETF PORTFOLIO		
ISHARES CORE INCOME BALANCED ETF PORTFOLIO		

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ISHARES CORE MSCI ALL COUNTRY WORLD EX CANADA INDEX ETF		
ISHARES CORE MSCI CANADIAN QUALITY DIVIDEND INDEX ETF		
ISHARES CORE MSCI EAFE IMI INDEX ETF		
ISHARES CORE MSCI EAFE IMI INDEX ETF (CAD-HEDGED)		
ISHARES CORE MSCI EMERGING MARKETS IMI INDEX ETF		
ISHARES CORE MSCI GLOBAL QUALITY DIVIDEND INDEX ETF		
ISHARES CORE MSCI GLOBAL QUALITY DIVIDEND INDEX ETF (CAD-HEDGED)		
ISHARES CORE MSCI US QUALITY DIVIDEND INDEX ETF		
ISHARES CORE MSCI US QUALITY DIVIDEND INDEX ETF (CAD-HEDGED)		
ISHARES CORE S&P 500 INDEX ETF		
ISHARES CORE S&P 500 INDEX ETF (CAD-HEDGED)		
ISHARES CORE S&P U.S. TOTAL MARKET INDEX ETF		
ISHARES CORE S&P U.S. TOTAL MARKET INDEX ETF (CAD-HEDGED)		
ISHARES CORE S&P/TSX CAPPED COMPOSITE INDEX ETF		
ISHARES DIVERSIFIED MONTHLY INCOME ETF		
ISHARES EDGE MSCI MIN VOL CANADA INDEX ETF		
ISHARES EDGE MSCI MIN VOL EAFE INDEX ETF		
ISHARES EDGE MSCI MIN VOL EAFE INDEX ETF (CAD-HEDGED)		
ISHARES EDGE MSCI MIN VOL EMERGING MARKETS INDEX ETF		
ISHARES EDGE MSCI MIN VOL GLOBAL INDEX ETF		
ISHARES EDGE MSCI MIN VOL GLOBAL INDEX ETF (CAD-HEDGED)		
ISHARES EDGE MSCI MIN VOL USA INDEX ETF		
ISHARES EDGE MSCI MIN VOL USA INDEX ETF (CAD-HEDGED)		
ISHARES EDGE MSCI MULTIFACTOR CANADA INDEX ETF		
ISHARES EDGE MSCI MULTIFACTOR EAFE INDEX ETF		
ISHARES EDGE MSCI MULTIFACTOR EAFE INDEX ETF (CAD-HEDGED)		
ISHARES EDGE MSCI MULTIFACTOR USA INDEX ETF		
ISHARES EDGE MSCI MULTIFACTOR USA INDEX ETF (CAD-HEDGED)		
ISHARES EDGE MSCI USA MOMENTUM FACTOR INDEX ETF		
ISHARES EDGE MSCI USA QUALITY FACTOR INDEX ETF		
ISHARES EDGE MSCI USA VALUE FACTOR INDEX ETF		
ISHARES EMERGING MARKETS FUNDAMENTAL INDEX ETF		
ISHARES EQUAL WEIGHT BANC & LIFECEO ETF		
ISHARES ESG ADVANCED MSCI CANADA INDEX ETF		
ISHARES ESG ADVANCED MSCI EAFE INDEX ETF		
ISHARES ESG ADVANCED MSCI USA INDEX ETF		
ISHARES ESG CANADIAN AGGREGATE BOND INDEX ETF		
ISHARES ESG CANADIAN SHORT TERM BOND INDEX ETF		
ISHARES ESG MSCI CANADA INDEX ETF		

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ISHARES ESG MSCI EAFE INDEX ETF		
ISHARES ESG MSCI EMERGING MARKETS INDEX ETF		
ISHARES ESG MSCI USA INDEX ETF		
ISHARES FLOATING RATE INDEX ETF		
ISHARES GLOBAL AGRICULTURE INDEX ETF		
ISHARES GLOBAL GOVERNMENT BOND INDEX ETF (CAD-HEDGED)		
ISHARES GLOBAL HEALTHCARE INDEX ETF (CAD-HEDGED)		
ISHARES GLOBAL INFRASTRUCTURE INDEX ETF		
ISHARES GLOBAL MONTHLY DIVIDEND INDEX ETF (CAD-HEDGED)		
ISHARES GLOBAL REAL ESTATE INDEX ETF		
ISHARES GLOBAL WATER INDEX ETF		
ISHARES GOLD BULLION ETF		
ISHARES HIGH QUALITY CANADIAN BOND INDEX ETF		
ISHARES INDIA INDEX ETF		
ISHARES INTERNATIONAL FUNDAMENTAL INDEX ETF		
ISHARES J.P. MORGAN USD EMERGING MARKETS BOND INDEX ETF (CAD-HEDGED)		
ISHARES JANTZI SOCIAL INDEX ETF		
ISHARES JAPAN FUNDAMENTAL INDEX ETF (CAD-HEDGED)		
ISHARES MSCI EAFE INDEX ETF (CAD-HEDGED)		
ISHARES MSCI EMERGING MARKETS INDEX ETF		
ISHARES MSCI EUROPE IMI INDEX ETF		
ISHARES MSCI EUROPE IMI INDEX ETF (CAD-HEDGED)		
ISHARES MSCI WORLD INDEX ETF		
ISHARES NASDAQ 100 INDEX ETF (CAD-HEDGED)		
ISHARES PREMIUM MONEY MARKET ETF		
ISHARES S&P GLOBAL CONSUMER DISCRETIONARY INDEX ETF (CAD-HEDGED)		
ISHARES S&P GLOBAL INDUSTRIALS INDEX ETF (CAD-HEDGED)		
ISHARES S&P U.S. MID-CAP INDEX ETF		
ISHARES S&P U.S. MID-CAP INDEX ETF (CAD-HEDGED)		
ISHARES S&P U.S. SMALL-CAP INDEX ETF		
ISHARES S&P U.S. SMALL-CAP INDEX ETF (CAD-HEDGED)		
ISHARES S&P/TSX 60 INDEX ETF		
ISHARES S&P/TSX CANADIAN DIVIDEND ARISTOCRATS INDEX ETF		
ISHARES S&P/TSX CANADIAN PREFERRED SHARE INDEX ETF		
ISHARES S&P/TSX CAPPED CONSUMER STAPLES INDEX ETF		
ISHARES S&P/TSX CAPPED ENERGY INDEX ETF		
ISHARES S&P/TSX CAPPED FINANCIALS INDEX ETF		
ISHARES S&P/TSX CAPPED INFORMATION TECHNOLOGY INDEX ETF		

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ISHARES S&P/TSX CAPPED MATERIALS INDEX ETF		
ISHARES S&P/TSX CAPPED REIT INDEX ETF		
ISHARES S&P/TSX CAPPED UTILITIES INDEX ETF		
ISHARES S&P/TSX COMPLETION INDEX ETF		
ISHARES S&P/TSX COMPOSITE HIGH DIVIDEND INDEX ETF		
ISHARES S&P/TSX GLOBAL BASE METALS INDEX ETF		
ISHARES S&P/TSX GLOBAL GOLD INDEX ETF		
ISHARES S&P/TSX NORTH AMERICAN PREFERRED STOCK INDEX ETF (CAD-HEDGED)		
ISHARES S&P/TSX SMALLCAP INDEX ETF		
ISHARES SHORT DURATION HIGH INCOME ETF (CAD-HEDGED)		
ISHARES SHORT TERM HIGH QUALITY CANADIAN BOND INDEX ETF		
ISHARES SHORT TERM STRATEGIC FIXED INCOME ETF		
ISHARES SILVER BULLION ETF		
ISHARES U.S. HIGH DIVIDEND EQUITY INDEX ETF		
ISHARES U.S. HIGH DIVIDEND EQUITY INDEX ETF (CAD-HEDGED)		
ISHARES U.S. HIGH YIELD BOND INDEX ETF (CAD-HEDGED)		
ISHARES U.S. HIGH YIELD FIXED INCOME INDEX ETF (CAD-HEDGED)		
ISHARES U.S. IG CORPORATE BOND INDEX ETF (CAD-HEDGED)		
ISHARES U.S. SMALL CAP INDEX ETF (CAD-HEDGED)		
ISHARES US DIVIDEND GROWERS INDEX ETF (CAD-HEDGED)		
ISHARES US FUNDAMENTAL INDEX ETF		
ISIGN MEDIA SOLUTIONS INC.		
ISIGN SOLUTIONS INC.		
ISLEINVEST LTD.	ASC CTO	1a, 1b, 1c, 1e
ISODIOL INTERNATIONAL INC.		
ISOENERGY LTD.		
ISP LIMITED	ASC CTO	1a, 1b, 1c, 1e
ISIRACANN BIOSCIENCES INC.		
ISRAEL CAPITAL CANADA CORP.		1b, 1g
ITAFOS		
ITASCA CAPITAL LTD.		
ITEMUS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
ITOK CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
ITV GAMES, INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
IVACO INC	ASC CTO	1a, 1b, 1c, 1d, 1e
IVANHOE ENERGY INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
IVANHOE MINES LTD.		
IVEY MEDICAL SYSTEMS LIMITED	ASC CTO	1a, 1b, 1c, 1e
IVG ENTERPRISES LTD.	ASC CTO	1a, 1b, 1c, 1e

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IVRNET INC.		
IVS INTELLIGENT VEHICLE SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
IZOTROPIC CORPORATION		
J C INTERNATIONAL PETROLEUM LTD.	ASC CTO	1a, 1b, 1c, 1e
J D S INVESTMENTS LIMITED	ASC CTO	1a, 1b, 1c, 1e
JACKAL ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
JACKPOT DIGITAL INC.		
JADE CAPITAL CORP.		
JADE LEADER CORP.		
JADE POWER TRUST		
JADESTONE ENERGY INC.		
JAEGER RESOURCES CORP.		
JAFTEK VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
JAGUAR FINANCIAL CORPORATION		
JAGUAR MINING INC.		
JAGUAR RESOURCES INC.		
JAMES BAY RESOURCES LIMITED		
JAMES E. WAGNER CULTIVATION CORPORATION		
JAMIE FRONTIER RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
JAMIESON WELLNESS INC.		
JAPAN GOLD CORP.		
JARDIN FINANCIAL GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
JASPER MINING CORPORATION		
JAVA JOE'S INTERNATIONAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
JAVELIN ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
JAWZ INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
JAXON MINING INC.		
JAYDEN RESOURCES INC.		
JAZZ RESOURCES INC.		
JCITECH.COM INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
JCP MARKETING INC.	ASC CTO	1a, 1b, 1c, 1e
JDF EXPLORATIONS INC.		3
JEFFERSON VALLEY GOLD MINES INC.	ASC CTO	1a, 1b, 1c, 1e
JEMTEC INC.		
JEN TEK ENTERPRISES AND EXPLORATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
JENNIFER PETROLEUMS LTD.	ASC CTO	1a, 1b, 1c, 1e
JENTAN RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
JEOTEX INC.	Reciprocal CTO	1a, 1b, 1c, 1d, 1e
JER ENVIROTECH INTERNATIONAL CORP.	ASC CTO	1a, 1b, 1c, 1e

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JEREZ ENERGY INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
JERICO OIL CORPORATION		
JERICO EXPLORATIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 3
JERVOIS MINING LIMITED		1b, 1c
JESSY VENTURES CORP.		
JET PACIFIC INVESTMENTS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
JETCOM INC.	ASC CTO	1a, 1b, 1c, 1e
JFT STRATEGIES FUND		
JIMINEX INC.		
JINHUA CAPITAL CORPORATION		
JIULIAN RESOURCES INC.		
JM CAPITAL II CORP.		
JNC RESOURCES INC.		
JOB INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
JOFFRE RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
JOHN DEERE CANADA FUNDING INC.		
JOHNSON CONTROLS INTERNATIONAL PLC		
JONPOL RESOURCES INC.		
JOSEMARIA RESOURCES INC.		
JOURDAN RESOURCES INC.		
JOURNEY ENERGY INC.		
JOURNEY'S END BATHURST LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
JOURNEY'S END SHERBROOKE AND COMPANY, LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
JPMORGAN - IG EMERGING MARKETS POOL		
JPY HOLDINGS LTD.	ASC CTO	1a, 1b, 1c, 1e
JUBILEE GOLD EXPLORATION LTD.		
JUGGERNAUT EXPLORATION LTD.		
JUMBO PETROLEUM CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
JUNE 2020 CORPORATE BOND TRUST		
JUNE 2021 INVESTMENT GRADE BOND POOL		
JUNIPER INCOME FUND		1a, 1b, 1c, 1d
JUPITER DEVELOPMENT CORPORATION LIMITED	ASC CTO	1a, 1b, 1c, 1e
JURA ENERGY CORPORATION		
JUSHI HOLDINGS INC.		
JUST ENERGY GROUP INC.		
K T RESOURCES 1981 LIMITED	ASC CTO	1a, 1b, 1c, 1e
K2 GOLD CORPORATION		
K92 MINING INC.		

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KAIROS METALS CORP.		
KAIZEN DISCOVERY INC.		
KALA CANADA LTD.	ASC CTO	1a, 1b, 1c, 1e
KALON ACQUISITION CORP.		
KALYTERA THERAPEUTICS, INC.		
KAM CREED MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
KANADARIO GOLD INC.		
KANE BIOTECH INC.		
KANSAI MINING CORPORATION	ASC CTO	1a, 1b, 1c, 1e
KAP RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
KAPA CAPITAL INC		
KARAM MINERALS INC.		
KARNALYTE RESOURCES INC.		
KARSTEN ENERGY CORP.		
KAST TELECOM EUROPE S.A.	ASC CTO	1a, 1b, 1c, 1e, 1g
KATANA RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
KATANGA MINING LIMITED		
KATIPULT TECHNOLOGY CORP.		
KAYMUS RESOURCES INC.		4
KAZZ INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
KBL CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
K-BRO LINEN INC.		
KCP RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
KDA GROUP INC.		
KDR INDUSTRIALS LTD.	ASC CTO	1a, 1b, 1c, 1e
KEC ENVIRONMENTAL CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
KELLY VENTURES LTD.		
KELSO TECHNOLOGIES INC.		
KELT EXPLORATION LTD.		
KELVIN ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e
KENADYR MINING (HOLDINGS) CORP.		
KENDALL VENTURE FUNDING LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
KENORA GOLD OCCURRENCES INC.	ASC CTO	1a, 1b, 1c, 1e
KENSBROOK DEVELOPMENT CORPORATION	ASC CTO	1a, 1b, 1c, 1e
KEPLER ACQUISITION CORP.		
KERMODE RESOURCES LTD.		
KERR MINES INC.		
KESSELRUN RESOURCES LTD.		
KESTREL GOLD INC.		

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KEW MEDIA GROUP INC.	Reciprocal CTO	
KEYERA CORP.		
KEYWEST RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
KFG RESOURCES LTD.		
KGIC INC.	Reciprocal CTO	1a, 1b, 1c, 1e
KHIRON LIFE SCIENCES CORP.		
KIDDO TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
KIDOZ INC.		
KILKENNY CAPITAL CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e
KILLAM APARTMENT LIMITED PARTNERSHIP		
KILLAM APARTMENT REAL ESTATE INVESTMENT TRUST		
KILO GOLDMINES LTD.		
KIMPEX INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
KINAXIS INC.		
KINBURN TECHNOLOGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e
KINCORA COPPER LIMITED		
KINETEX RESOURCES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
KING COMMUNICATIONS INTERNATIONAL LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
KING GEORGE FINANCIAL CORPORATION	Reciprocal CTO	1a, 1c, 1e
KING GLOBAL VENTURES INC.		
KINGMAN MINERALS LTD.		
KING'S BAY RESOURCES CORP.		
KINGSCROSS COMMUNITIES INCORPORATED	ASC CTO	1a, 1b, 1c, 1e
KINGSLAND ENERGY CORP.		
KINGSMEN RESOURCES LTD.		
KINGSMERE CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
KINGSWAY 2007 GENERAL PARTNERSHIP		
KINGSWAY FINANCIAL SERVICES INC.		1a, 1c, 1d, 1e
KINROSS GOLD CORPORATION		
KINTAVAR EXPLORATION INC.		
KINTLA EXPLORATIONS LIMITED	ASC CTO	1a, 1b, 1c, 1e
KIPLIN METALS INC.		
KIPP & ZONEN INC.	ASC CTO	1a, 1b, 1c, 1e
KIRKLAND LAKE GOLD LTD.		
KIRRIN RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
KISMET RESOURCES CORP.		
KLINIK HEALTH VENTURES CORP.		
KLONDIKE GOLD CORP.		
KLONDIKE SILVER CORP.		

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KMT-HANSA CORP.		3
KNEAT.COM, INC.		
KNICK EXPLORATION INC.	Reciprocal CTO	1a, 1b, 1c, 1e
KNIGHT THERAPEUTICS INC.		
KNIGHTHAWK INC.		
KNIGHTSCOVE MEDIA CORP.	ASC CTO	1a, 1b, 1c, 1e, 3
KNOWLEDGE HOUSE INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
KNOWLEDGE PLUS MULTIMEDIA PUBLISHING LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
KNOWLEDGEMAX INC.	ASC CTO	1a, 1b, 1c, 1e
KNOX WESTERN CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
KODIAK COPPER CORP.		
KODIAK ENERGY SERVICES LTD.	ASC CTO	1a, 1b, 1c, 1e
KODIAK ENERGY, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
KOIOS BEVERAGE CORP.		
KOLOMBO TECHNOLOGIES LTD.	ASC CTO	1a, 1b, 1c, 1e
KOMET RESOURCES INC.		
KOMUNIK CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
KONA BAY TECHNOLOGIES INC.		
KONEXUS TECHNOLOGIES LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
KONTROL ENERGY CORP.		
KOOSI CREEK VINEYARDS II LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
KOOTENAY SILVER INC.		
KOOTENAY ZINC CORP.		
KORE MINING LTD.		
KOVAR MANAGEMENT INC.	ASC CTO	1a, 1b, 1c, 1e
KP TISSUE INC.		
KR INVESTMENT LTD.		
KRAKEN ROBOTICS INC.		
KREE TECH INTERNATIONAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
KRISTIANSEN CYCLE ENGINES LTD.	ASC CTO	1a, 1b, 1c, 1e
KRYSTAL BOND INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
KT CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
KURE TECHNOLOGIES, INC.		1a, 1b
KUTCHO COPPER CORP.		
KUUHUBB INC.		
KWG RESOURCES INC.		
LA FOSSE PLATINUM GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
LA JOLLA CAPITAL INC.		
LA TOUR 1990 II LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e

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LAB RESEARCH INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
LABRADOR GOLD CORP.		
LABRADOR IRON MINES HOLDINGS LIMITED		
LABRADOR IRON ORE ROYALTY CORPORATION		
LABRADOR TECHNOLOGIES INC.		
LAKE LOUISE LIMITED PARTNERSHIP		
LAKEVIEW HOTEL INVESTMENT CORP.		
LAKEWOOD FOREST PRODUCTS LTD.	ASC CTO	1a, 1b, 1c, 1e
LAMASKA CAPITAL CORP.		
LAMBUS ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
LANDDRILL INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
LANDMARK GLOBAL FINANCIAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
LANDMARK OIL & GAS CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
LANDS END RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
LANDSTAR PROPERTIES INC.	ASC CTO	1a, 1b, 1c, 1e
LANEBURY GROWTH CAPITAL LTD.		
LANESBOROUGH REAL ESTATE INVESTMENT TRUST		
LANPAR TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
LANTRONIX, INC.		1g
LARA EXPLORATION LTD.		
LARAMIDE RESOURCES LTD.		
LARGO RESOURCES LTD.		
LARKEN CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
LAROSE VENTURES LTD.		
LASALLE EXPLORATION CORP.		
LASER BLADE SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
LASIK VISION CORPORATION	ASC CTO	1a, 1b, 1c, 1e
LATELCO INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
LATIN AMERICAN MINERALS INC.		
LATIN METALS INC.		
LATTICE BIOLOGICS LTD.		3
LAUNCH RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
LAURENTIAN BANK OF CANADA		
LAURION MINERAL EXPLORATION INC.		
LAUZON COMPUTER SOFTWARE LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
LAVA SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
LAVALIN INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
LAZARD DEFENSIVE GLOBAL DIVIDEND FUND		
LAZARD EMERGING MARKETS MULTI-ASSET FUND		

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LAZARD GLOBAL BALANCED INCOME FUND		
LAZARD GLOBAL COMPOUNDERS FUND		
LAZARD GLOBAL EQUITY INCOME FUND		
LCM RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
LCTI LOW CARBON TECHNOLOGIES INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
LDIC NORTH AMERICAN INFRASTRUCTURE FUND		
LDIC NORTH AMERICAN SMALL BUSINESS FUND (CORPORATE CLASS)		
LE CHATEAU INC.		
LE MARE GOLD CORP.		
LE PRINT EXPRESS INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
LEADER ENERGY SERVICES LTD.	ASC CTO	1a, 1b, 1c, 1e
LEADER MINING INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
LEADING EDGE MATERIALS CORP.		
LEANLIFE HEALTH INC.		
LEARNCO INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
LEETA TECHNOLOGIES INC.		
LEEWARD CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
LEGACY EDUCATION SAVINGS PLAN		
LEGACY PETROLEUM LTD.	ASC CTO	1a, 1b, 1c, 1e
LEGEND OIL AND GAS, LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
LEGEND POWER SYSTEMS INC.		
LEHMAN TRIKES INC.	ASC CTO	1a, 1b, 1c, 1e
LEHNDORFF CANADIAN PROPERTIES	ASC CTO	1a, 1b, 1c, 1e, 1g
LEHNDORFF PROPERTIES CANADA III	ASC CTO	1a, 1b, 1c, 1e
LEIS INDUSTRIES LIMITED		
LEITAK ENTERPRISES LTD.	ASC CTO	1a, 1b, 1c, 1e
LEITH WHEELER BALANCED FUND		
LEITH WHEELER CANADIAN DIVIDEND FUND		
LEITH WHEELER CANADIAN EQUITY FUND		
LEITH WHEELER CARBON CONSTRAINED CANADIAN EQUITY FUND		
LEITH WHEELER CORE BOND FUND		
LEITH WHEELER CORPORATE ADVANTAGE FUND		
LEITH WHEELER EMERGING MARKETS EQUITY FUND		
LEITH WHEELER HIGH YIELD BOND FUND		
LEITH WHEELER INCOME ADVANTAGE FUND		
LEITH WHEELER INTERNATIONAL EQUITY PLUS FUND		
LEITH WHEELER MONEY MARKET FUND		
LEITH WHEELER MULTI CREDIT FUND		
LEITH WHEELER PREFERRED SHARE FUND		

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LEITH WHEELER SHORT TERM INCOME FUND		
LEITH WHEELER U.S. DIVIDEND FUND		
LEITH WHEELER U.S. EQUITY FUND		
LEITH WHEELER U.S. SMALL/MID-CAP EQUITY FUND		
LENOX POLYMERS LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
LEO ACQUISITIONS CORP.	Reciprocal CTO	1b, 1c, 1e
LEOCOR VENTURES INC.		
LEONOVUS INC.		
LEON'S FURNITURE LIMITED		
LEPIDICO LTD		1c, 1e, 1g
LES MINES J.A.G. LTÉE	ASC CTO	1a, 1b, 1c, 1e, 1k
LETHO RESOURCES CORP.		
LEUCADIA FINANCE PARTNERS INC.		
LEUCROTTA EXPLORATION INC.		
LEVIATHAN CANNABIS GROUP INC.		
LEXAGENE HOLDINGS INC.		
LEXICON BUILDING SYSTEMS LTD.	ASC CTO	1a, 1b, 1c, 1e
LEXINGTON BIOSCIENCES, INC.		
LGC SKYROTA WIND ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 3
LGX OIL + GAS INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
LIBBY K INDUSTRIES INC.		
LIBERO COPPER & GOLD CORPORATION		
LIBERTY DEFENSE HOLDINGS, LTD.		
LIBERTY GOLD CORP.		
LIBERTY HEALTH SCIENCES INC.		
LIBERTY LEAF HOLDINGS LTD.		
LIBERTY ONE LITHIUM CORP.		
LIDA RESOURCES INC.		1g
LIDO MINERALS LTD.		
LIFE & BANC SPLIT CORP.		
LIFE MEDICAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
LIFESTYLE GLOBAL BRANDS LIMITED		
LIFETIME VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e
LIFT & CO. CORP.		
LIGHTNING VENTURES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
LIGHTSPEED DISCOVERIES INC.		
LIGHTSPEED POS INC.		
LIGHTSTONE TECHNOLOGIES LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
LIGHTSTREAM RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k

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LIGNOL ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e
LIMERICK MINES LIMITED	ASC CTO	1a, 1b, 1c, 1e
LIMINAL BIOSCIENCES INC.		
LIMTECH LITHIUM INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
LINAMAR CORPORATION		
LINCLUDEN BALANCED FUND		
LINCOLN GOLD MINING INC.		
LINCOLN VENTURES LTD.		
LINCOLNS HILL 31 LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
LINGERIE FIGHTING CHAMPIONSHIPS, INC.	ASC CTO	1a, 1c, 1e, 1g, 3
LINGO MEDIA CORPORATION		
LINK GLOBAL TECHNOLOGIES INC.		
LINUX GOLD CORP.	ASC CTO	1a, 1b, 1c, 1e
LION ONE METALS LIMITED		
LIONS BAY CAPITAL INC.		
LIONS BAY MINING CORP.		
LIONS GATE ENTERTAINMENT CORP.		
LIONS PETROLEUM INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
LIQUID NUTRITION GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
LITE ACCESS TECHNOLOGIES INC.		
LITE RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
LITELINK TECHNOLOGIES INC.		
LITHIUM AMERICAS CORP.		
LITHIUM CHILE INC.		
LITHIUM ENERGI EXPLORATION INC.		
LITHOQUEST DIAMONDS INC.		
LIVENT INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
LL ONE INC.		
LMK ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
LOBELL OIL & GAS LTD.	ASC CTO	1a, 1b, 1c, 1e
LOBLAW COMPANIES LIMITED		
LOCHAIRD ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
LOCHIEL EXPLORATION LTD.	ASC CTO	1a, 1b, 1c, 1e
LODGE RESOURCES INC.		
LOGAN COPPER INC.	ASC CTO	1a, 1b, 1c, 1e
LOGICA VENTURES CORP.		
LOGICIEL SYSTEMS LIMITED	ASC CTO	1a, 1b, 1c, 1e
LOGISTEC CORPORATION		
LOHS SINFULLY GOOD ICE CREAM COOKIES INC.	ASC CTO	1a, 1b, 1c, 1e

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LOIL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
LOMA OIL & GAS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
LOMIKO METALS INC.		
LONCOR RESOURCES INC.		
LONG DURATION BOND FUND		
LONG DURATION CREDIT BOND FUND		
LONG LAKE GOLD LTD.	ASC CTO	1a, 1b, 1c, 1e
LONG TERM BOND FUND (PORTICO)		
LONSDALE APARTMENT PROJECT	ASC CTO	1a, 1b, 1c, 1e
LOOMIS SAYLES GLOBAL DIVERSIFIED CORPORATE BOND CLASS		
LOOMIS SAYLES GLOBAL DIVERSIFIED CORPORATE BOND FUND		
LOOMIS SAYLES STRATEGIC MONTHLY INCOME FUND		
LOON ENERGY CORPORATION		
LOOP INSIGHTS INC.		3
LOOPSHARE LTD.		
LORICA CANADIAN FIXED INCOME FUND		
LORNE PARK CAPITAL PARTNERS INC.		
LOS ANDES COPPER LTD.		
LOTTOGOPHER HOLDINGS INC.	Reciprocal CTO	1b, 1c, 1e
LOTUS VENTURES INC.		
LOUDER FINANCIAL GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
LOUISIANA MINING CORPORATION	ASC CTO	1a, 1b, 1c, 1e
LOUNOR EXPLORATION INC.	ASC CTO	1a, 1b, 1c, 1e
LOUVICOURT GOLD MINES INC.	ASC CTO	1a, 1b, 1c, 1e
LOVITT RESOURCES INC.	Reciprocal CTO	3
LS LASER SYSTEMS LTD.	ASC CTO	1a, 1b, 1c, 1e
LSC COMMUNICATIONS, INC.		
LUCARA DIAMOND CORP.		
LUCID ENTERTAINMENT INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
LUCKY MINERALS INC.		
LULULEMON ATHLETICA INC.		
LUMINA GOLD CORP.		
LUMINEX CORPORATION		1g
LUMINEX RESOURCES CORP.		
LUNDIN GOLD INC.		
LUNDIN MINING CORPORATION		
LUPAKA GOLD CORP.		
LUXMAR RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
LUXOR INDUSTRIAL CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e, 3

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LUXXFOLIO HOLDINGS INC.		
LWP CAPITAL INC.	Reciprocal CTO	1a, 1b, 1c, 1e
LWT SERVICES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
LXRANDCO, INC.		
LYDIA DIAMOND EXPLORATION OF CANADA LTD.	ASC CTO	1a, 1b, 1c, 1e
LYDIAN INTERNATIONAL LIMITED		
LYNNGOLD RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
LYNX GEOSYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
LYON LAKE MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
LYRTECH INC.	ASC CTO	1a, 1b, 1c, 1e
LYSANDER BALANCED INCOME FUND		
LYSANDER TDV FUND		
LYSANDER-18 ASSET MANAGEMENT CANADIAN EQUITY FUND		
LYSANDER-CANSO BALANCED FUND		
LYSANDER-CANSO BOND FUND		
LYSANDER-CANSO BROAD CORPORATE BOND FUND		
LYSANDER-CANSO CORPORATE VALUE BOND FUND		
LYSANDER-CANSO EQUITY FUND		
LYSANDER-CANSO SHORT TERM AND FLOATING RATE FUND		
LYSANDER-CANSO U.S. CREDIT FUND		
LYSANDER-CRUSADER EQUITY INCOME FUND		
LYSANDER-FULCRA CORPORATE SECURITIES FUND		
LYSANDER-SEAMARK BALANCED FUND		
LYSANDER-SEAMARK TOTAL EQUITY FUND		
LYSANDER-SLATER PREFERRED SHARE ACTIVETF		
LYSANDER-SLATER PREFERRED SHARE DIVIDEND FUND		
LYSANDER-TRIASIMA ALL COUNTRY EQUITY FUND		
M SPLIT CORP.		
M.I.T. VENTURES CORP.	ASC CTO	1a, 1b, 1c, 1e
M.L. CASS PETROLEUM CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
M3 METALS CORP.		
M8 ENTERTAINMENT INC	ASC CTO	1a, 1b, 1c, 1d, 1e
MAACKK CAPITAL CORP.		
MACARTHUR MINERALS LIMITED		
MACDONALD MINES EXPLORATION LTD.		
MACDONALD OIL EXPLORATION LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
MACKENZIE - IG CANADIAN BOND POOL		
MACKENZIE - IG CANADIAN EQUITY POOL		
MACKENZIE - IG EQUITY POOL		

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MACKENZIE - IG GLOBAL INFLATION-LINKED POOL		
MACKENZIE - IG INCOME POOL		
MACKENZIE - IG INTERNATIONAL EQUITY POOL		
MACKENZIE - IG LOW VOLATILITY CANADIAN EQUITY POOL		
MACKENZIE - IG LOW VOLATILITY EMERGING MARKETS EQUITY POOL		
MACKENZIE - IG LOW VOLATILITY U.S. EQUITY POOL		
MACKENZIE - IG U.S. EQUITY POOL		
MACKENZIE ALL CHINA EQUITY FUND		
MACKENZIE ANTI-BENCHMARK GLOBAL INVESTMENT GRADE FUND		
MACKENZIE ASIAN SMALL-MID CAP FUND		
MACKENZIE BALANCED ETF PORTFOLIO		
MACKENZIE BROAD RISK PREMIA COLLECTION FUND		
MACKENZIE CANADIAN AGGREGATE BOND INDEX ETF		
MACKENZIE CANADIAN ALL CORPORATE BOND INDEX ETF		
MACKENZIE CANADIAN BOND FUND		
MACKENZIE CANADIAN DIVIDEND CLASS		
MACKENZIE CANADIAN DIVIDEND FUND		
MACKENZIE CANADIAN EQUITY CLASS		
MACKENZIE CANADIAN EQUITY FUND		
MACKENZIE CANADIAN EQUITY INDEX ETF		
MACKENZIE CANADIAN GROWTH BALANCED CLASS		
MACKENZIE CANADIAN GROWTH BALANCED FUND		
MACKENZIE CANADIAN GROWTH CLASS		
MACKENZIE CANADIAN GROWTH FUND		
MACKENZIE CANADIAN LARGE CAP EQUITY INDEX ETF		
MACKENZIE CANADIAN MONEY MARKET FUND		
MACKENZIE CANADIAN SHORT TERM FIXED INCOME ETF		
MACKENZIE CANADIAN SHORT TERM INCOME FUND		
MACKENZIE CANADIAN SHORT-TERM BOND INDEX ETF		
MACKENZIE CANADIAN SMALL CAP CLASS		
MACKENZIE CANADIAN SMALL CAP FUND		
MACKENZIE CHINA A-SHARES CSI 300 INDEX ETF		
MACKENZIE CHINA BOND FUND		
MACKENZIE CONSERVATIVE ETF PORTFOLIO		
MACKENZIE CONSERVATIVE INCOME ETF PORTFOLIO		
MACKENZIE CORE PLUS CANADIAN FIXED INCOME ETF		
MACKENZIE CORE PLUS GLOBAL FIXED INCOME ETF		
MACKENZIE CORPORATE BOND FUND		
MACKENZIE CREDIT ABSOLUTE RETURN FUND		

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MACKENZIE CUNDILL CANADIAN BALANCED FUND		
MACKENZIE CUNDILL CANADIAN SECURITY CLASS		
MACKENZIE CUNDILL CANADIAN SECURITY FUND		
MACKENZIE CUNDILL VALUE CLASS		
MACKENZIE CUNDILL VALUE FUND		
MACKENZIE DIVERSIFIED ALTERNATIVES FUND		
MACKENZIE EMERGING MARKETS BOND INDEX ETF (CAD-HEDGED)		
MACKENZIE EMERGING MARKETS CLASS		
MACKENZIE EMERGING MARKETS FUND		
MACKENZIE EMERGING MARKETS LARGE CAP FUND		
MACKENZIE EMERGING MARKETS LOCAL CURRENCY BOND INDEX ETF		
MACKENZIE EMERGING MARKETS OPPORTUNITIES FUND		
MACKENZIE EMERGING MARKETS SMALL CAP FUND		
MACKENZIE ENHANCED EQUITY RISK PREMIA FUND		
MACKENZIE ENHANCED FIXED INCOME RISK PREMIA FUND		
MACKENZIE EUROPEAN SMALL-MID CAP FUND		
MACKENZIE FLOATING RATE INCOME ETF		
MACKENZIE FLOATING RATE INCOME FUND		
MACKENZIE GLOBAL CREDIT OPPORTUNITIES FUND		
MACKENZIE GLOBAL DIVIDEND FUND		
MACKENZIE GLOBAL ENERGY OPPORTUNITIES LONG/SHORT FUND		
MACKENZIE GLOBAL ENVIRONMENTAL EQUITY FUND		
MACKENZIE GLOBAL EQUITY FUND		
MACKENZIE GLOBAL EQUITY INCOME FUND		
MACKENZIE GLOBAL GROWTH BALANCED FUND		
MACKENZIE GLOBAL GROWTH CLASS		
MACKENZIE GLOBAL HIGH YIELD FIXED INCOME ETF		
MACKENZIE GLOBAL INFLATION-LINKED FUND		
MACKENZIE GLOBAL LEADERSHIP IMPACT ETF		
MACKENZIE GLOBAL LEADERSHIP IMPACT FUND		
MACKENZIE GLOBAL LONG/SHORT EQUITY ALPHA FUND		
MACKENZIE GLOBAL MACRO FUND		
MACKENZIE GLOBAL RESOURCE CLASS		
MACKENZIE GLOBAL RESOURCE FUND		
MACKENZIE GLOBAL SMALL CAP CLASS		
MACKENZIE GLOBAL SMALL CAP FUND		
MACKENZIE GLOBAL SMALL MID-CAP FUND		
MACKENZIE GLOBAL STRATEGIC INCOME FUND		
MACKENZIE GLOBAL SUSTAINABILITY AND IMPACT BALANCED FUND		

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Name	Cease Traded	Nature of Default
MACKENZIE GLOBAL TACTICAL BOND FUND		
MACKENZIE GLOBAL TACTICAL INVESTMENT GRADE BOND FUND		
MACKENZIE GOLD BULLION CLASS		
MACKENZIE GROWTH ETF PORTFOLIO		
MACKENZIE GROWTH FUND		
MACKENZIE HIGH DIVERSIFICATION CANADIAN EQUITY CLASS		
MACKENZIE HIGH DIVERSIFICATION EMERGING MARKETS EQUITY FUND		
MACKENZIE HIGH DIVERSIFICATION EUROPEAN EQUITY FUND		
MACKENZIE HIGH DIVERSIFICATION GLOBAL EQUITY FUND		
MACKENZIE HIGH DIVERSIFICATION INTERNATIONAL EQUITY FUND		
MACKENZIE HIGH DIVERSIFICATION US EQUITY FUND		
MACKENZIE INCOME FUND		
MACKENZIE INTERNATIONAL DIVIDEND FUND		
MACKENZIE INTERNATIONAL EQUITY INDEX ETF		
MACKENZIE INTERNATIONAL EQUITY INDEX ETF (CAD-HEDGED)		
MACKENZIE INTERNATIONAL QUANTITATIVE LARGE CAP FUND		
MACKENZIE INTERNATIONAL QUANTITATIVE SMALL CAP FUND		
MACKENZIE INVESTMENT GRADE FLOATING RATE FUND		
MACKENZIE IVY CANADIAN BALANCED CLASS		
MACKENZIE IVY CANADIAN BALANCED FUND		
MACKENZIE IVY CANADIAN FUND		
MACKENZIE IVY EUROPEAN CLASS		
MACKENZIE IVY FOREIGN EQUITY CLASS		
MACKENZIE IVY FOREIGN EQUITY CURRENCY NEUTRAL CLASS		
MACKENZIE IVY FOREIGN EQUITY FUND		
MACKENZIE IVY GLOBAL BALANCED CLASS		
MACKENZIE IVY GLOBAL BALANCED FUND		
MACKENZIE IVY GLOBAL EQUITY ETF		
MACKENZIE IVY INTERNATIONAL CLASS		
MACKENZIE IVY INTERNATIONAL FUND		
MACKENZIE MASTER LIMITED PARTNERSHIP		
MACKENZIE MAXIMUM DIVERSIFICATION ALL WORLD DEVELOPED EX NORTH AMERICA INDEX ETF		
MACKENZIE MAXIMUM DIVERSIFICATION ALL WORLD DEVELOPED INDEX ETF		
MACKENZIE MAXIMUM DIVERSIFICATION CANADA INDEX ETF		
MACKENZIE MAXIMUM DIVERSIFICATION DEVELOPED EUROPE INDEX ETF		
MACKENZIE MAXIMUM DIVERSIFICATION EMERGING MARKETS INDEX ETF		
MACKENZIE MAXIMUM DIVERSIFICATION US INDEX ETF		

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MACKENZIE MODERATE GROWTH ETF PORTFOLIO		
MACKENZIE MONTHLY INCOME BALANCED PORTFOLIO		
MACKENZIE MONTHLY INCOME CONSERVATIVE PORTFOLIO		
MACKENZIE MULTI-STRATEGY ABSOLUTE RETURN FUND		
MACKENZIE NORTH AMERICAN CORPORATE BOND FUND		
MACKENZIE PORTFOLIO COMPLETION ETF		
MACKENZIE PRECIOUS METALS CLASS		
MACKENZIE PRIVATE CANADIAN FOCUSED EQUITY POOL		
MACKENZIE PRIVATE CANADIAN FOCUSED EQUITY POOL CLASS		
MACKENZIE PRIVATE GLOBAL CONSERVATIVE INCOME BALANCED POOL		
MACKENZIE PRIVATE GLOBAL EQUITY POOL		
MACKENZIE PRIVATE GLOBAL EQUITY POOL CLASS		
MACKENZIE PRIVATE GLOBAL FIXED INCOME POOL		
MACKENZIE PRIVATE GLOBAL INCOME BALANCED POOL		
MACKENZIE PRIVATE INCOME BALANCED POOL		
MACKENZIE PRIVATE INCOME BALANCED POOL CLASS		
MACKENZIE PRIVATE US EQUITY POOL		
MACKENZIE PRIVATE US EQUITY POOL CLASS		
MACKENZIE SOVEREIGN BOND FUND		
MACKENZIE STRATEGIC BOND FUND		
MACKENZIE STRATEGIC INCOME CLASS		
MACKENZIE STRATEGIC INCOME FUND		
MACKENZIE UNCONSTRAINED BOND ETF		
MACKENZIE UNCONSTRAINED FIXED INCOME FUND		
MACKENZIE US ALL CAP GROWTH FUND		
MACKENZIE US DIVIDEND FUND		
MACKENZIE US GROWTH CLASS		
MACKENZIE US HIGH YIELD BOND INDEX ETF (CAD-HEDGED)		
MACKENZIE US INVESTMENT GRADE CORPORATE BOND INDEX ETF (CAD-HEDGED)		
MACKENZIE US LARGE CAP EQUITY INDEX ETF		
MACKENZIE US LARGE CAP EQUITY INDEX ETF (CAD-HEDGED)		
MACKENZIE US MID CAP GROWTH CLASS		
MACKENZIE US MID CAP GROWTH CURRENCY NEUTRAL CLASS		
MACKENZIE US MID CAP OPPORTUNITIES FUND		
MACKENZIE US QUANTITATIVE LARGE CAP FUND		
MACKENZIE US QUANTITATIVE SMALL CAP FUND		
MACKENZIE US SMALL CAP FUND		
MACKENZIE US TIPS INDEX ETF (CAD-HEDGED)		

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MACKENZIE USD GLOBAL STRATEGIC INCOME FUND		
MACKENZIE USD GLOBAL TACTICAL BOND FUND		
MACKENZIE USD ULTRA SHORT DURATION INCOME FUND		
MACLOS CAPITAL INC.	Reciprocal CTO	1a, 1b, 1c, 1e
MACRO ENTERPRISES INC.		
MAD CATZ INTERACTIVE INC.	Reciprocal CTO	1a, 1b, 1c, 1d, 1e
MADEIRA MINERALS LTD.		
MADENTA INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
MADISON DEVELOPMENT CORPORATION LTD.	ASC CTO	1a, 1b, 1c, 1e
MADISON METALS INC.		
MADISON PACIFIC PROPERTIES INC.		
MADRONA MINING LIMITED	ASC CTO	1a, 1b, 1c, 1e
MAESTRAL QUÉBEC GROWTH FUND INC.	ASC CTO	1a, 1b, 1c
MAG ONE PRODUCTS INC.		
MAG SILVER CORP.		
MAGELLAN AEROSPACE CORPORATION		
MAGELLAN REAL ESTATE INVESTMENT FUND LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e, 1g
MAGIC SHOW THE	ASC CTO	1a, 1b, 1c, 1e
MAGINDUSTRIES CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e
MAGNA GOLD CORP.		
MAGNA INTERNATIONAL INC.		
MAGNA TERRA MINERALS INC.		
MAGNETIC NORTH ACQUISITION CORP.		
MAGNITUDE MINING LTD.		
MAGNOLIA COLOMBIA LTD.		
MAGNUM ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
MAGNUM GOLDCORP INC.		
MAGNUM HUNTER RESOURCES CORPORATION		1a, 1b, 1c, 1d, 1e, 3
MAGNUM RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
MAGOR CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e
MAGRA COMPUTER TECHNOLOGIES CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
MAHDIA GOLD CORP.	ASC CTO	1a, 1b, 1c, 1e
MAILBOXCITY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
MAINSTREAM MINERALS CORPORATION		
MAINSTREET EQUITY CORP.		
MAISLIN INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
MAJESTA MINERALS INC.		
MAJESTIC GOLD CORP.		3
MAJOR DRILLING GROUP INTERNATIONAL INC.		

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MAKO MINING CORP.		
MALAGA INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
MALETTE INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
MAMMOTH RESOURCES CORP.		
MANAR CANADA INC.	ASC CTO	1a, 1b, 1c, 1e
MANDALAY RESOURCES CORPORATION		
MANDARIN MINES LIMITED	ASC CTO	1a, 1b, 1c, 1e
MANDATE NATIONAL MORTGAGE CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e, 3
MANFREY CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
MANGANESE X ENERGY CORP.		
MANGAZEYA MINING LTD.		3
MANITEX CAPITAL INC.		
MANITOK ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
MANITOU GOLD INC.		
MANNING VENTURES INC.		
MANULIFE ASIA EQUITY CLASS		
MANULIFE BALANCED EQUITY PRIVATE POOL		
MANULIFE BALANCED INCOME PRIVATE TRUST		
MANULIFE BALANCED PORTFOLIO		
MANULIFE BOND FUND		
MANULIFE CANADIAN BALANCED FUND		
MANULIFE CANADIAN BALANCED PRIVATE POOL		
MANULIFE CANADIAN BOND FUND		
MANULIFE CANADIAN DIVIDEND GROWTH CLASS		
MANULIFE CANADIAN DIVIDEND GROWTH FUND		
MANULIFE CANADIAN EQUITY CLASS		
MANULIFE CANADIAN EQUITY PRIVATE POOL		
MANULIFE CANADIAN GROWTH AND INCOME PRIVATE TRUST		
MANULIFE CANADIAN INVESTMENT CLASS		
MANULIFE CANADIAN INVESTMENT FUND		
MANULIFE CANADIAN UNCONSTRAINED BOND FUND		
MANULIFE CANADIAN UNIVERSE BOND FUND		
MANULIFE CHINA CLASS		
MANULIFE CONSERVATIVE PORTFOLIO		
MANULIFE CORPORATE BOND FUND		
MANULIFE CORPORATE FIXED INCOME PRIVATE TRUST		
MANULIFE COVERED CALL U.S. EQUITY CLASS		
MANULIFE COVERED CALL U.S. EQUITY FUND		
MANULIFE DIVERSIFIED ALPHA PORTFOLIO		

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Name	Cease Traded	Nature of Default
MANULIFE DIVERSIFIED INVESTMENT FUND		
MANULIFE DIVIDEND INCOME CLASS		
MANULIFE DIVIDEND INCOME FUND		
MANULIFE DIVIDEND INCOME PLUS CLASS		
MANULIFE DIVIDEND INCOME PLUS FUND		
MANULIFE DIVIDEND INCOME PRIVATE POOL		
MANULIFE DOLLAR-COST AVERAGING FUND		
MANULIFE EAFE EQUITY FUND		
MANULIFE EMERGING MARKETS FUND		
MANULIFE FINANCE (DELAWARE), L.P.		
MANULIFE FINANCIAL CORPORATION		
MANULIFE FLOATING RATE INCOME FUND		
MANULIFE FLOATING RATE SENIOR LOAN FUND		
MANULIFE FUNDAMENTAL BALANCED CLASS		
MANULIFE FUNDAMENTAL DIVIDEND CLASS		
MANULIFE FUNDAMENTAL DIVIDEND FUND		
MANULIFE FUNDAMENTAL EQUITY FUND		
MANULIFE FUNDAMENTAL INCOME CLASS		
MANULIFE FUNDAMENTAL INCOME FUND		
MANULIFE GLOBAL ALL CAP FOCUSED FUND		
MANULIFE GLOBAL BALANCED FUND		
MANULIFE GLOBAL BALANCED PRIVATE TRUST		
MANULIFE GLOBAL CORE PLUS BOND FUND		
MANULIFE GLOBAL DIVIDEND CLASS		
MANULIFE GLOBAL DIVIDEND FUND		
MANULIFE GLOBAL DIVIDEND GROWTH CLASS		
MANULIFE GLOBAL DIVIDEND GROWTH FUND		
MANULIFE GLOBAL EQUITY CLASS		
MANULIFE GLOBAL EQUITY PRIVATE POOL		
MANULIFE GLOBAL FIXED INCOME PRIVATE TRUST		
MANULIFE GLOBAL FRANCHISE CLASS		
MANULIFE GLOBAL FRANCHISE FUND		
MANULIFE GLOBAL LISTED INFRASTRUCTURE CLASS		
MANULIFE GLOBAL LISTED INFRASTRUCTURE FUND		
MANULIFE GLOBAL MANAGED VOLATILITY PORTFOLIO		
MANULIFE GLOBAL REAL ESTATE UNCONSTRAINED FUND		
MANULIFE GLOBAL SMALL CAP BALANCED FUND		
MANULIFE GLOBAL SMALL CAP FUND		
MANULIFE GLOBAL STRATEGIC BALANCED YIELD FUND		

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MANULIFE GLOBAL THEMATIC OPPORTUNITIES CLASS		
MANULIFE GLOBAL THEMATIC OPPORTUNITIES FUND		
MANULIFE GLOBAL UNCONSTRAINED BOND FUND		
MANULIFE GROWTH OPPORTUNITIES CLASS		
MANULIFE GROWTH OPPORTUNITIES FUND		
MANULIFE GROWTH PORTFOLIO		
MANULIFE INCOME FUND 2022		
MANULIFE INCOME FUND 2027		
MANULIFE INCOME FUND 2032		
MANULIFE INCOME FUND 2037		
MANULIFE INCOME FUND 2042		
MANULIFE INCOME FUND 2047		
MANULIFE INCOME FUND 2052		
MANULIFE INCOME FUND 2057		
MANULIFE INCOME FUND 2062		
MANULIFE INCOME FUND 2067		
MANULIFE INTERNATIONAL EQUITY PRIVATE TRUST		
MANULIFE MODERATE PORTFOLIO		
MANULIFE MONEY MARKET FUND		
MANULIFE MONTHLY HIGH INCOME CLASS		
MANULIFE MONTHLY HIGH INCOME FUND		
MANULIFE MULTIFACTOR CANADIAN LARGE CAP INDEX ETF		
MANULIFE MULTIFACTOR CANADIAN SMID CAP INDEX ETF		
MANULIFE MULTIFACTOR DEVELOPED INTERNATIONAL INDEX ETF		
MANULIFE MULTIFACTOR EMERGING MARKETS INDEX ETF		
MANULIFE MULTIFACTOR U.S. LARGE CAP INDEX ETF		
MANULIFE MULTIFACTOR U.S. MID CAP INDEX ETF		
MANULIFE MULTIFACTOR U.S. SMALL CAP INDEX ETF		
MANULIFE QUANTITATIVE FIXED INCOME FUND 2022		
MANULIFE QUANTITATIVE FIXED INCOME FUND 2027		
MANULIFE QUANTITATIVE FIXED INCOME FUND 2032		
MANULIFE QUANTITATIVE FIXED INCOME FUND 2037		
MANULIFE QUANTITATIVE FIXED INCOME FUND 2042		
MANULIFE SHORT TERM YIELD CLASS		
MANULIFE SIMPLICITY BALANCED PORTFOLIO		
MANULIFE SIMPLICITY CONSERVATIVE PORTFOLIO		
MANULIFE SIMPLICITY GLOBAL BALANCED PORTFOLIO		
MANULIFE SIMPLICITY GROWTH PORTFOLIO		
MANULIFE SIMPLICITY MODERATE PORTFOLIO		

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MANULIFE STRATEGIC BALANCED YIELD FUND		
MANULIFE STRATEGIC DIVIDEND BUNDLE		
MANULIFE STRATEGIC INCOME FUND		
MANULIFE STRATEGIC INVESTMENT GRADE GLOBAL BOND FUND		
MANULIFE TACTICAL INCOME FUND		
MANULIFE TAX-MANAGED GROWTH FUND		
MANULIFE U.S. ALL CAP EQUITY CLASS		
MANULIFE U.S. ALL CAP EQUITY FUND		
MANULIFE U.S. BALANCED PRIVATE TRUST		
MANULIFE U.S. BALANCED VALUE PRIVATE TRUST		
MANULIFE U.S. DIVIDEND INCOME CLASS		
MANULIFE U.S. DIVIDEND INCOME FUND		
MANULIFE U.S. DOLLAR STRATEGIC BALANCED YIELD FUND		
MANULIFE U.S. DOLLAR STRATEGIC INCOME FUND		
MANULIFE U.S. DOLLAR U.S. ALL CAP EQUITY FUND		
MANULIFE U.S. EQUITY FUND		
MANULIFE U.S. EQUITY PRIVATE POOL		
MANULIFE U.S. MONTHLY HIGH INCOME FUND		
MANULIFE U.S. OPPORTUNITIES FUND		
MANULIFE U.S. REGIONAL BANK TRUST		
MANULIFE U.S. UNCONSTRAINED BOND FUND		
MANULIFE VALUE BALANCED CLASS		
MANULIFE VALUE BALANCED FUND		
MANULIFE WORLD INVESTMENT CLASS		
MANULIFE WORLD INVESTMENT FUND		
MANULIFE YIELD OPPORTUNITIES FUND		
MAPLE GOLD MINES LTD.		
MAPLE LEAF 2013 OIL & GAS INCOME LIMITED PARTNERSHIP		
MAPLE LEAF FOODS INC.		
MAPLE LEAF GREEN WORLD INC.		
MAPLE LEAF INCOME CLASS		
MAPLE LEAF RESOURCE CLASS		
MAPLE LEAF SHORT DURATION 2019-II FLOW-THROUGH LIMITED PARTNERSHIP - NATIONAL CLASS		1g
MAPLE LEAF SHORT DURATION 2019-II FLOW-THROUGH LIMITED PARTNERSHIP - QUEBEC CLASS		1g
MAPLE LEAF SHORT DURATION 2020 FLOW-THROUGH LIMITED PARTNERSHIP - NATIONAL CLASS		1g
MAPLE LEAF SHORT DURATION 2020 FLOW-THROUGH LIMITED PARTNERSHIP - QUEBEC CLASS		1g
MAPLE PEAK INVESTMENTS INC.		

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MAPLEWOOD INTERNATIONAL REAL ESTATE INVESTMENT TRUST		
MARATHON FOODS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
MARATHON GOLD CORPORATION		
MARATHON OIL CORPORATION		1g
MARAUDER RESOURCES EAST COAST INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
MARAZAN PETROLEUMS LTD.	ASC CTO	1a, 1b, 1c, 1e
MARBLE FINANCIAL INC.		
MARCH RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
MARGARET LAKE DIAMONDS INC.		
MARGAUX RESOURCES LTD.		
MARIAH ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e
MARIFIL MINES LIMITED		
MARINER RESOURCES CORP.		
MARITIME RESOURCES CORP.		
MARKSMEN ENERGY INC.		
MARLBORO PETROLEUM INC.	ASC CTO	1a, 1b, 1c, 1e
MARQUEST MUTUAL FUNDS INC. - EXPLORER SERIES FUND		
MARQUEST MUTUAL FUNDS INC. - FLEX DIVIDEND AND INCOME GROWTH SERIES FUND		
MARQUIS BALANCED CLASS PORTFOLIO		
MARQUIS BALANCED GROWTH CLASS PORTFOLIO		
MARQUIS BALANCED GROWTH PORTFOLIO		
MARQUIS BALANCED INCOME PORTFOLIO		
MARQUIS BALANCED PORTFOLIO		
MARQUIS EQUITY PORTFOLIO		
MARQUIS GROWTH PORTFOLIO		
MARQUIS INSTITUTIONAL BALANCED GROWTH PORTFOLIO		
MARQUIS INSTITUTIONAL BALANCED PORTFOLIO		
MARQUIS INSTITUTIONAL BOND PORTFOLIO		
MARQUIS INSTITUTIONAL CANADIAN EQUITY PORTFOLIO		
MARQUIS INSTITUTIONAL EQUITY PORTFOLIO		
MARQUIS INSTITUTIONAL GLOBAL EQUITY PORTFOLIO		
MARQUIS INSTITUTIONAL GROWTH PORTFOLIO		
MARRET HIGH YIELD STRATEGIES FUND		
MARRET MULTI-STRATEGY INCOME FUND		
MARRET SHORT DURATION HIGH YIELD FUND		
MARSHALL MINERALS CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
MARTECH TECHNOLOGIES CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
MARTELLO TECHNOLOGIES GROUP INC.		
MARTIN HEALTH GROUP INC.	ASC CTO	1a, 1b, 1c, 1e

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MARTINA MINERALS CORP.		
MARTINREA INTERNATIONAL INC.		
MARZEN HOLDINGS COMPANY LIMITED		
MAS GOLD CORP.		
MASEV COMMUNICATIONS INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
MASKAL ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e
MASON GRAPHITE INC.		
MASSIVE RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e
MASTT RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
MATACHEWAN CONSOLIDATED MINES, LIMITED		
MATCO BALANCED FUND		
MATCO CANADIAN EQUITY CLASS		
MATCO CANADIAN EQUITY FUND		
MATCO FIXED INCOME FUND		
MATCO GLOBAL EQUITY CLASS		
MATCO GLOBAL EQUITY FUND		
MATCO SMALL CAP CLASS		
MATCO SMALL CAP FUND		
MATERIALS PROTECTION TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
MATICA ENTERPRISES INC.		
MATRIX 2013 SHORT DURATION NATIONAL CLASS		1a, 1b, 1c, 1d
MATRIX 2013 SHORT DURATION QUÉBEC CLASS		1a, 1b, 1c, 1d
MATRIX ASSET MANAGEMENT INC.	ASC CTO	1a, 1b, 1c, 1e
MATTAN CORPORATION	ASC CTO	1a, 1b, 1c, 1e
MAUDORE MINERALS LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
MAV BEAUTY BRANDS INC.		
MAVERIX METALS INC.		1c
MAWER BALANCED FUND		
MAWER CANADIAN BOND FUND		
MAWER CANADIAN EQUITY FUND		
MAWER CANADIAN MONEY MARKET FUND		
MAWER EMERGING MARKETS EQUITY FUND		
MAWER GLOBAL BALANCED FUND		
MAWER GLOBAL BOND FUND		
MAWER GLOBAL EQUITY FUND		
MAWER GLOBAL SMALL CAP FUND		
MAWER INTERNATIONAL EQUITY FUND		
MAWER NEW CANADA FUND		
MAWER TAX EFFECTIVE BALANCED FUND		

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Name	Cease Traded	Nature of Default
MAWER U.S. EQUITY FUND		
MAWSON RESOURCES LIMITED		
MAX RESOURCE CORP.		
MAXAR TECHNOLOGIES INC.		1g
MAXIM POWER CORP.		
MAXIM RESOURCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e, 1k
MAXTECH VENTURES INC.		
MAXXCAPP CORPORATION	ASC CTO	1a, 1b, 1c, 1e
MAYA GOLD & SILVER INC.		
MAYFAIR MEDIA CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
MAZARIN INC.		
MAZARUNI MINERALS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
MBN CORPORATION		
MCAN MORTGAGE CORPORATION		
MCB INVESTMENTS CORP	ASC CTO	1a, 1b, 1c, 1e, 1g
MCCARNEY TECHNOLOGIES INC	ASC CTO	1a, 1b, 1c, 1e
MCCARTHY CORPORATION PLC	ASC CTO	1a, 1b, 1c, 1e, 1g
MCCHIP RESOURCES INC.		1k
MCCOY GLOBAL INC.		
MCEWEN MINING INC.		
MCFINLEY RED LAKE MINES LIMITED	ASC CTO	1a, 1b, 1c, 1e
MCLLOUD TECHNOLOGIES CORP.		
MCORPCX, INC.		
MCP EXCHANGE CO INC.		
MD AMERICAN GROWTH FUND		
MD AMERICAN VALUE FUND		
MD BOND FUND		
MD CANADIAN EQUITY FUND		
MD DIVIDEND GROWTH FUND		
MD EQUITY FUND		
MD FOSSIL FUEL FREE BOND FUND		
MD FOSSIL FUEL FREE EQUITY FUND		
MD GROWTH INVESTMENTS LIMITED		
MD INTERNATIONAL GROWTH FUND		
MD INTERNATIONAL VALUE FUND		
MD MONEY FUND		
MD MULTIMEDIA INC.	ASC CTO	1a, 1b, 1c, 1e
MD PRECISION BALANCED GROWTH PORTFOLIO		
MD PRECISION BALANCED INCOME PORTFOLIO		

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MD PRECISION CANADIAN BALANCED GROWTH FUND		
MD PRECISION CANADIAN MODERATE GROWTH FUND		
MD PRECISION CONSERVATIVE PORTFOLIO		
MD PRECISION MAXIMUM GROWTH PORTFOLIO		
MD PRECISION MODERATE BALANCED PORTFOLIO		
MD PRECISION MODERATE GROWTH PORTFOLIO		
MD SHORT-TERM BOND FUND		
MD STRATEGIC OPPORTUNITIES FUND		
MD STRATEGIC YIELD FUND		
MDC PARTNERS INC.		
MDPIM BOND POOL		
MDPIM CANADIAN EQUITY POOL		
MDPIM DIVIDEND POOL		
MDPIM EMERGING MARKETS EQUITY POOL		
MDPIM INTERNATIONAL EQUITY INDEX POOL		
MDPIM INTERNATIONAL EQUITY POOL		
MDPIM S&P 500 INDEX POOL		
MDPIM S&P/TSX CAPPED COMPOSITE INDEX POOL		
MDPIM SHORT-TERM BOND POOL		
MDPIM STRATEGIC OPPORTUNITIES POOL		
MDPIM STRATEGIC YIELD POOL		
MDPIM US EQUITY POOL		
ME RESOURCE CORP.		
MEADOWBROOK APARTMENT PROJECT	ASC CTO	1b
MEADOWVALE GARDENS APT PROJECT-PHASE II	ASC CTO	1a, 1b, 1c, 1e
MED BIOGENE INC.		
MEDALLION RESOURCES LTD.		
MED-CHEM HEALTH CARE LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
MEDCOLCANNA ORGANICS INC.		
MEDCOMSOFT INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 3
MEDEXUS PHARMACEUTICALS INC.		
MEDGOLD RESOURCES CORP.		
MEDIA CENTRAL CORPORATION INC.		
MEDIAGRIF INTERACTIVE TECHNOLOGIES INC.		
MEDIANET COMMUNICATIONS CORP.	ASC CTO	1a, 1b, 1c, 1e
MEDIASHIFT, INC	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
MEDIAVALET INC.		
MEDICAL FACILITIES CORPORATION		
MEDICAL INTELLIGENCE TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e

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MEDICAL PATHWAYS INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
MEDICENNA THERAPEUTICS CORP.		
MEDICURE INC.		
MEDIFOCUS INC.		
MEDIPHARM LABS CORP.		
MEDI PURE HOLDINGS INC.	Reciprocal CTO	1a, 1b, 1c, 1e
MEDIUS COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
MEDMEN ENTERPRISES INC.		
MEDMIRA INC.		
MED-TECH SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
MEDX HEALTH CORP.		
MEDXTRACTOR CORP		
MEEKOM NATURAL RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
MEG ENERGY CORP.		
MEGA COPPER LTD.		
MEGA URANIUM LTD.		
MEGA VIEW DIGITAL ENTERTAINMENT CORP.		
MEGASTAR DEVELOPMENT CORP		
MEGAWHEELS TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
MEGUMAGOLD CORP.		
MELANESIAN MINERALS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
MELANIE	ASC CTO	1a, 1b, 1c, 1e
MELCOR DEVELOPMENTS LTD.		
MELCOR REAL ESTATE INVESTMENT TRUST		
MELIOR RESOURCES INC.	Reciprocal CTO	
MELKIOR RESOURCES INC.		
MELODIA 100 PERCENT EQUITY GROWTH PORTFOLIO		
MELODIA AGGRESSIVE GROWTH PORTFOLIO		
MELODIA BALANCED GROWTH PORTFOLIO		
MELODIA CONSERVATIVE INCOME PORTFOLIO		
MELODIA DIVERSIFIED GROWTH PORTFOLIO		
MELODIA DIVERSIFIED INCOME PORTFOLIO		
MELODIA MAXIMUM GROWTH PORTFOLIO		
MELODIA MODERATE GROWTH PORTFOLIO		
MELODIA MODERATE INCOME PORTFOLIO		
MELODIA VERY CONSERVATIVE INCOME PORTFOLIO		
MEMEX INC.		
MENA HYDROCARBONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
MENE INC.		

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MERBANK CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
MERCANTILE INTERNATIONAL PETROLEUM INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
MERCATOR MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
MERCATOR TRANSPORT GROUP CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 3
MERCER INTERNATIONAL INC.		
MERCER PARK BRAND ACQUISITION CORP.		
MERCH PERFORMANCE INC.	ASC CTO	1a, 1b, 1c, 1e
MERCHANT CAPITAL GROUP INCORPORATED	ASC CTO	1a, 1b, 1c, 1e, 1g
MERCO WHOLESALE LIMITED THE	ASC CTO	1a, 1b, 1c, 1e
MERGE Corp INC.	ASC CTO	1a, 1b, 1c, 1e
MERIDA MERGER CORP. I		
MERIDIAN MINING S.E.		
MERIDIUS RESOURCES LIMITED		
MERIT ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
MERITAGE AMERICAN EQUITY PORTFOLIO		
MERITAGE BALANCED INCOME PORTFOLIO		
MERITAGE BALANCED PORTFOLIO		
MERITAGE CANADIAN EQUITY CLASS PORTFOLIO		
MERITAGE CANADIAN EQUITY PORTFOLIO		
MERITAGE CONSERVATIVE INCOME PORTFOLIO		
MERITAGE CONSERVATIVE PORTFOLIO		
MERITAGE DIVERSIFIED FIXED INCOME PORTFOLIO		
MERITAGE DYNAMIC GROWTH CLASS PORTFOLIO		
MERITAGE DYNAMIC GROWTH INCOME PORTFOLIO		
MERITAGE DYNAMIC GROWTH PORTFOLIO		
MERITAGE GLOBAL BALANCED PORTFOLIO		
MERITAGE GLOBAL CONSERVATIVE PORTFOLIO		
MERITAGE GLOBAL DYNAMIC GROWTH CLASS PORTFOLIO		
MERITAGE GLOBAL DYNAMIC GROWTH PORTFOLIO		
MERITAGE GLOBAL EQUITY CLASS PORTFOLIO		
MERITAGE GLOBAL EQUITY PORTFOLIO		
MERITAGE GLOBAL GROWTH CLASS PORTFOLIO		
MERITAGE GLOBAL GROWTH PORTFOLIO		
MERITAGE GLOBAL MODERATE PORTFOLIO		
MERITAGE GROWTH CLASS PORTFOLIO		
MERITAGE GROWTH INCOME PORTFOLIO		
MERITAGE GROWTH PORTFOLIO		
MERITAGE INTERNATIONAL EQUITY PORTFOLIO		
MERITAGE MODERATE INCOME PORTFOLIO		

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MERITAGE MODERATE PORTFOLIO		
MERITAGE TACTICAL ETF BALANCED PORTFOLIO		
MERITAGE TACTICAL ETF EQUITY PORTFOLIO		
MERITAGE TACTICAL ETF FIXED INCOME PORTFOLIO		
MERITAGE TACTICAL ETF GROWTH PORTFOLIO		
MERITAGE TACTICAL ETF MODERATE PORTFOLIO		
MERITUS MINERALS LIMITED	ASC CTO	1a, 1b, 1c, 1e
MERRILL LYNCH FINANCIAL ASSETS INC.		
MERRILL LYNCH HEALTHCARE FUND INC.		1a, 1b, 1c, 1d
MERYLLION RESOURCES CORPORATION		
MESA EXPLORATION CORP.		
META (CANADA) HOTELS INC.	ASC CTO	1a, 1b, 1c, 1e
META GROWTH CORP.		
METALCORP LIMITED		
METALEX VENTURES LTD.		
METALLA ROYALTY & STREAMING LTD.		
METALLIC MINERALS CORP.		
METALLIS RESOURCES INC.		
METALO MANUFACTURING INC.		
METALORE RESOURCES LIMITED		
METALS CREEK RESOURCES CORP.		
METAMATERIAL INC.		
METaverse CAPITAL CORP.	Reciprocal CTO	1b, 1c, 1e
METEOR CREEK RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
METEORITE CAPITAL INC.		
METHANEX CORPORATION		
METRO INC.		
METRO VANCOUVER PROPERTIES CORP.		
MEXICAN GOLD MINING CORP.		
MEXIVADA MINING CORP.		1a, 1b, 1c, 1e
MG CAPITAL CORPORATION		
MGM RESOURCES CORP.		
MGX MINERALS INC.		
MHEALTH CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
MICREX DEVELOPMENT CORP.	ASC CTO	1a, 1b, 1c, 1e
MICRO MINERALS RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
MICRO MINING TECHNOLOGIES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
MICROBIX BIOSYSTEMS INC.		
MICROCOMP 83 R & D PROGRAM LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e

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Name	Cease Traded	Nature of Default
MICROKEY COMMUNICATION SYSTEMS, INC.	ASC CTO	1a, 1b, 1c, 1e
MICROMEM TECHNOLOGIES INC.		
MICRON WASTE TECHNOLOGIES INC.		
MICROPLANET TECHNOLOGY CORP.	ASC CTO	1a, 1b, 1c, 1e
MID CAP CANADA FUND (GWLIM)		
MIDAS GOLD CORP.		
MIDASCO CAPITAL CORP.		
MIDDLE AGE CRAZY	ASC CTO	1a, 1b, 1c, 1e
MIDDLEFIELD AMERICAN CORE DIVIDEND ETF		
MIDDLEFIELD CANADIAN DIVIDEND GROWERS CLASS		
MIDDLEFIELD CAN-GLOBAL REIT INCOME FUND		
MIDDLEFIELD GLOBAL AGRICULTURE CLASS		
MIDDLEFIELD GLOBAL DIVIDEND GROWERS CLASS		
MIDDLEFIELD GLOBAL ENERGY CLASS		
MIDDLEFIELD GLOBAL INFRASTRUCTURE FUND		
MIDDLEFIELD GLOBAL REAL ASSET FUND		
MIDDLEFIELD GLOBAL REAL ESTATE CLASS		
MIDDLEFIELD HEALTH & WELLNESS ETF		
MIDDLEFIELD HEALTHCARE & LIFE SCIENCES ETF		
MIDDLEFIELD HIGH YIELD CLASS		
MIDDLEFIELD INCOME PLUS CLASS		
MIDDLEFIELD REIT INDEXPLUS ETF		
MIDDLEFIELD SHORT-TERM INCOME CLASS		
MIDDLEFIELD U.S. DIVIDEND GROWERS CLASS		
MIDLAND EXPLORATION INC.		
MIDNIGHT SUN MINING CORP.		
MIDPOINT HOLDINGS LTD.		
MIDWAY GOLD CORP.		1a, 1b, 1c, 1d, 1e
MIDWAY RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e
MILES TECHNOLOGY INC		
MILITARY INTERNATIONAL LIMITED	ASC CTO	1a, 1b, 1c, 1e
MILLENIUM BIOLOGIX CORPORATION		1a, 1b, 1c, 1d, 1e
MILLENMIN VENTURES INC.		
MILLENNIA FOODS INC.	ASC CTO	1a, 1b, 1c, 1e
MILLENNIAL LITHIUM CORP.		
MILLERS COVE RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
MILLROCK RESOURCES INC.		
MILLSTREAM MINES LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
MILNER CONSOLIDATED SILVER MINES LTD.		

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MILTEC TECHNOLOGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
MIMI'S ROCK CORP.		
MINAEAN SP CONSTRUCTION CORP.		
MINAURUM GOLD INC.		
MINCO BASE METALS CORPORATION		
MINCO CAPITAL CORP.		
MINCO SILVER CORPORATION		
MINCOR RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
MIND MEDICINE (MINDMED) INC.		
MINDORO RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
MINDREADY SOLUTIONS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
MINERA ALAMOS INC.		
MINERA IRL LIMITED		
MINERAL HILL INDUSTRIES LTD.		
MINERAL MOUNTAIN RESOURCES LTD.		
MINERALRITE CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
MINERVA INTELLIGENCE INC.		
MINESTAR RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
MINEWEST SILVER AND GOLD INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
MINEWORX TECHNOLOGIES LTD.		
MINFOCUS EXPLORATION CORP.		
MINICHIELLO APPAREL INC.	Reciprocal CTO	1a, 1b, 1c, 1e
MINK INTERNATIONAL RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e
MINKAP RESOURCES INC.		
MINNOVA CORP.		
MINSUD RESOURCES CORP.		
MINT INCOME FUND		
MINTERRA RESOURCE CORP.	ASC CTO	1a, 1b, 1c, 1e
MINTO APARTMENT REAL ESTATE INVESTMENT TRUST		
MINTRON ENTERPRISES LTD.	ASC CTO	1a, 1b, 1c, 1e
MIRA X ACQUISITION CORP		
MIRABELA NICKEL LIMITED	Reciprocal CTO	1a
MIRAMARE CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
MIRASOL RESOURCES LTD.		
MIRATI THERAPEUTICS, INC.		
MISDEAL	ASC CTO	1a, 1b, 1c, 1e
MISSION READY SOLUTIONS INC.		
MISTANGO RIVER RESOURCES INC.		
MITHRANDIR CAPITAL CORP.		

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MJ BIOSCIENCE CORP.		
MJ INNOVATION CAPITAL CORP.		
MJARDIN GROUP, INC.		
MKANGO RESOURCES LTD.		
MLD CORE FUND		
MM FUND		
MNP PETROLEUM CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e, 1k
MOAG COPPER GOLD RESOURCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
MOBI724 GLOBAL SOLUTIONS INC.		
MOBILE KNOWLEDGE INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
MOBILIFT INC	ASC CTO	1a, 1b, 1c, 1e, 1g
MOBIO TECHNOLOGIES INC.		
MODERATE FOLIO FUND		
MODERATE FUND		
MODERN RECORDS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
MOGO INC.		
MOHAVE GOLD INC.	ASC CTO	1a, 1b, 1c, 1e
MOHAWK INDUSTRIES LIMITED	ASC CTO	1a, 1b, 1c, 1e
MOJAVE JANE BRANDS INC.		
MOLI ENERGY LIMITED	ASC CTO	1a, 1b, 1c, 1e
MOLORI ENERGY INC.		1k
MOLSON COORS BEVERAGE COMPANY		
MOLSON COORS CANADA INC.		
MOLYSTAR RESOURCES INC.		4
MONALTA RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
MONARCA MINERALS INC.	Reciprocal CTO	1a, 1c, 1e
MONARCH GOLD CORPORATION		
MONARCH RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
MONDEV SENIOR LIVING INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
MONDIAS NATURAL PRODUCTS INC.		
MONEDA LATAM CORPORATE BOND FUND		
MONETA PORCUPINE MINES INC.		
MONEY MARKET FUND		
MONEY MARKET FUND		
MONGOLIA GROWTH GROUP LTD.		
MONGOOSE MINING LTD.		
MONITOR VENTURES INC.		
MONOGEN, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
MONSTER ARTS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e

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MONT BLANC APARTMENTS (ISSUER) LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e, 1g
MONTANA EXPLORATION CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
MONTAUK RESOURCE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
MONTE CRISTO CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
MONTEGO RESOURCES INC.		
MONTELLO RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
MONTEREY CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
MONTEREY MINERALS INC.		
MONTEREY PETROLEUM CORPORATION 1971 LTD.	ASC CTO	1a, 1b, 1c, 1e
MONTERO MINING AND EXPLORATION LTD.		
MONTHLY INCOME FUND (LONDON CAPITAL)		
MONTNEY RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
MONUMENT MINING LIMITED		
MOON RIVER CAPITAL LTD		
MOOVLY MEDIA INC.		
MORGUARD CORPORATION		
MORGUARD NORTH AMERICAN RESIDENTIAL REAL ESTATE INVESTMENT TRUST		
MORGUARD REAL ESTATE INVESTMENT TRUST		
MORIEN RESOURCES CORP.		
MORNEAU SHEPELL INC.		
MORNINGSTAR AGGRESSIVE PORTFOLIO		
MORNINGSTAR BALANCED PORTFOLIO		
MORNINGSTAR CONSERVATIVE PORTFOLIO		
MORNINGSTAR GROWTH PORTFOLIO		
MORNINGSTAR MODERATE PORTFOLIO		
MORNINGSTAR STRATEGIC CANADIAN EQUITY FUND		
MOSAIC CAPITAL CORPORATION		
MOSAIC MINERALS CORP.		
MOSAIC TECHNOLOGIES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
MOTA VENTURES CORP.		
MOTION WORKS GROUP LIMITED	ASC CTO	1a, 1b, 1c, 1e
MOUNT DAKOTA ENERGY CORP.		
MOUNT LOGAN CAPITAL INC.		
MOUNT REAL CORPORATION		1a, 1b, 1c, 1d, 1e
MOUNTAIN BOY MINERALS LTD.		
MOUNTAIN CHINA RESORTS (HOLDING) LIMITED		3
MOUNTAIN LAKE MINERALS INC.		
MOUNTAIN PROVINCE DIAMONDS INC.		
MOUNTAIN VALLEY MD HOLDINGS INC.		

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MOUNTAIN WORLD ENTERTAINMENT LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
MOUNTAINSTAR GOLD INC.		1a, 1b, 1c, 1e
MOUNTAINVIEW ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
MOVIECORP I INC.	ASC CTO	1a, 1b, 1c, 1e
MPAC INDUSTRIES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
MPL COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
MPX INTERNATIONAL CORPORATION		
MR. TUBE STEAK CANADA LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
MRF 2019 RESOURCE LIMITED PARTNERSHIP		
MRF 2020 RESOURCE LIMITED PARTNERSHIP		
MRP WASTE MANAGEMENT CORP.	ASC CTO	1a, 1b, 1c, 1e
MTW SOLUTIONS ONLINE INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
MTY FOOD GROUP INC.		
MUCHO COBRE RESOURCES LTD.		
MULLEN GROUP LTD.		
MULTI-ASSET FIXED INCOME		
MULTI-ASSET FIXED INCOME CLASS		
MULTI-ASSET GROWTH & INCOME STRATEGY		
MULTI-ASSET GROWTH & INCOME STRATEGY CLASS		
MULTI-ASSET GROWTH STRATEGY		
MULTI-ASSET GROWTH STRATEGY CLASS		
MULTI-ASSET INCOME STRATEGY		
MULTI-ASSET INCOME STRATEGY CLASS		
MULTI-ASSET INTERNATIONAL EQUITY		
MULTI-CORP INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
MULTIMEDIA NOVA CORPORATION	ASC CTO	1a, 1b, 1c, 1e
MULTIPLEX TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
MULVIHILL PREMIUM YIELD FUND		
MUNDORO CAPITAL INC.		
MUNRO GLOBAL GROWTH EQUITY FUND		
MUNTZ CANADA LIMITED	ASC CTO	1a, 1b, 1c, 1e
MURCHISON MINERALS LTD.		
MUST CAPITAL INC.		
MUSTGROW BIOLOGICS CORP.		
MX GOLD CORP.		
MYLAN VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e
MYM NUTRACEUTICALS INC.		
MYRIAD METALS CORP.		
N B COOK CORPORATION LTD.	ASC CTO	1a, 1b, 1c, 1e

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N1 TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
NABIS HOLDINGS INC.		
NABORS INDUSTRIES LTD.		
NABU NETWORK CORPORATION	ASC CTO	1a, 1b, 1c, 1e
NADG NNN REAL ESTATE INVESTMENT TRUST		
NAIKUN WIND ENERGY GROUP INC		
NAMASTE TECHNOLOGIES INC.		
NAMESILO TECHNOLOGIES CORP.		
NAMEX VENTURES INC.		
NAMIBIA CRITICAL METALS INC.		
NAMSYS INC.		
NANALYSIS SCIENTIFIC CORP.		
NANO ONE MATERIALS CORP.		
NANOGEN, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
NANOSPHERE HEALTH SCIENCES INC.		
NANOTECH SECURITY CORP.		
NANOXPLORE INC.		
NANSCO INDUSTRIES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
NAPA CARNEROS WINEGROWERS LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
NAPIER VENTURES INC.		
NATCORE TECHNOLOGY INC.	Reciprocal CTO	1a, 1b, 1c, 1e
NATHAN MINERALS INC.	ASC CTO	1a, 1b, 1c, 1e
NATIONAL BANK BALANCED DIVERSIFIED FUND		
NATIONAL BANK CONSERVATIVE DIVERSIFIED FUND		
NATIONAL BANK GROWTH DIVERSIFIED FUND		
NATIONAL BANK MODERATE DIVERSIFIED FUND		
NATIONAL BANK OF CANADA		
NATIONAL BANK SECURE DIVERSIFIED FUND		
NATIONAL ELECTRONIC TECHNOLOGIES CORP.	ASC CTO	1a, 1b, 1c, 1e
NATIONAL FIBRETECH INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
NATIONAL HEALTH STORES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
NATIONAL TELCOM SOLUTIONS INC	ASC CTO	1a, 1b, 1c, 1e
NATIONAL TRAINING RINKS CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
NATURAL SPRINGS CANADA INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
NATURALLY SPLENDID ENTERPRISES LTD.		
NATUREBANK ASSET MANAGEMENT INC.		
NAUTILUS MINERALS INC.		1a, 1b, 1c, 1d, 1e
NAUTOR PROGRESSIVE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
NAV CANADA		

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NAVA LEISURE USA, INC.	ASC CTO	1a, 1b, 1c, 1e
NAVIGATOR ACQUISITION CORP.		
NAVION CAPITAL INC.		
NAVIS RESOURCES CORP.		
NAVITRAK INTERNATIONAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
NAVY RESOURCES CORP.		
NBC ASSET TRUST		
NBI INTERNATIONAL INDEX FUND		
NBI U.S. CURRENCY NEUTRAL INDEX FUND		
NBI ACTIVE CANADIAN PREFERRED SHARES ETF		
NBI BALANCED PORTFOLIO		
NBI BOND FUND		
NBI CANADIAN ALL CAP EQUITY FUND		
NBI CANADIAN BOND INDEX FUND		
NBI CANADIAN BOND PRIVATE PORTFOLIO		
NBI CANADIAN DIVERSIFIED BOND PRIVATE PORTFOLIO		
NBI CANADIAN EQUITY FUND		
NBI CANADIAN EQUITY GROWTH FUND		
NBI CANADIAN EQUITY INDEX FUND		
NBI CANADIAN EQUITY PRIVATE PORTFOLIO		
NBI CANADIAN FAMILY BUSINESS ETF		
NBI CANADIAN HIGH CONVICTION EQUITY PRIVATE PORTFOLIO		
NBI CANADIAN INDEX FUND		
NBI CANADIAN PREFERRED EQUITY PRIVATE PORTFOLIO		
NBI CANADIAN SMALL CAP EQUITY PRIVATE PORTFOLIO		
NBI CONSERVATIVE PORTFOLIO		
NBI CORPORATE BOND FUND		
NBI CORPORATE BOND PRIVATE PORTFOLIO		
NBI DIVERSIFIED EMERGING MARKETS EQUITY FUND		
NBI DIVIDEND FUND		
NBI EQUITY INCOME PRIVATE PORTFOLIO		
NBI EQUITY PORTFOLIO		
NBI FLOATING RATE INCOME FUND		
NBI GLOBAL BOND FUND		
NBI GLOBAL DIVERSIFIED EQUITY FUND		
NBI GLOBAL EQUITY FUND		
NBI GLOBAL PRIVATE EQUITY ETF		
NBI GLOBAL REAL ASSETS INCOME ETF		
NBI GLOBAL REAL ASSETS INCOME FUND		

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NBI GLOBAL TACTICAL BOND FUND		
NBI GROWTH PORTFOLIO		
NBI HIGH YIELD BOND ETF		
NBI HIGH YIELD BOND FUND		
NBI HIGH YIELD BOND PRIVATE PORTFOLIO		
NBI INCOME FUND		
NBI INTERNATIONAL CURRENCY NEUTRAL INDEX FUND		
NBI INTERNATIONAL EQUITY INDEX FUND		
NBI INTERNATIONAL EQUITY PRIVATE PORTFOLIO		
NBI INTERNATIONAL HIGH CONVICTION EQUITY PRIVATE PORTFOLIO		
NBI JARISLOWSKY FRASER SELECT BALANCED FUND		
NBI JARISLOWSKY FRASER SELECT CANADIAN EQUITY FUND		
NBI JARISLOWSKY FRASER SELECT INCOME FUND		
NBI LIQUID ALTERNATIVES ETF		
NBI MODERATE PORTFOLIO		
NBI MONEY MARKET FUND		
NBI MULTIPLE ASSET CLASS PRIVATE PORTFOLIO		
NBI MUNICIPAL BOND PLUS PRIVATE PORTFOLIO		
NBI NON-TRADITIONAL CAPITAL APPRECIATION PRIVATE PORTFOLIO		
NBI NON-TRADITIONAL FIXED INCOME PRIVATE PORTFOLIO		
NBI NORTH AMERICAN DIVIDEND PRIVATE PORTFOLIO		
NBI PRECIOUS METALS FUND		
NBI PREFERRED EQUITY FUND		
NBI PREFERRED EQUITY INCOME FUND		
NBI PRESUMED SOUND INVESTMENTS FUND		
NBI QUEBEC GROWTH FUND		
NBI REAL ASSETS PRIVATE PORTFOLIO		
NBI RESOURCE FUND		
NBI SCIENCE AND TECHNOLOGY FUND		
NBI SECURE PORTFOLIO		
NBI SMALL CAP FUND		
NBI SMARTBETA CANADIAN EQUITY FUND		
NBI SMARTBETA GLOBAL EQUITY FUND		
NBI SMARTDATA INTERNATIONAL EQUITY FUND		
NBI SMARTDATA U.S. EQUITY FUND		
NBI STRATEGIC U.S. INCOME AND GROWTH FUND		
NBI SUSTAINABLE CANADIAN BOND ETF		
NBI SUSTAINABLE CANADIAN EQUITY ETF		
NBI SUSTAINABLE GLOBAL EQUITY ETF		

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NBI TACTICAL ASSET ALLOCATION FUND		
NBI TACTICAL EQUITY PRIVATE PORTFOLIO		
NBI TACTICAL MORTGAGE & INCOME FUND		
NBI U.S. BOND PRIVATE PORTFOLIO		
NBI U.S. DIVIDEND FUND		
NBI U.S. EQUITY FUND		
NBI U.S. EQUITY INDEX FUND		
NBI U.S. EQUITY PRIVATE PORTFOLIO		
NBI U.S. HIGH CONVICTION EQUITY PRIVATE PORTFOLIO		
NBI U.S. INDEX FUND		
NBI UNCONSTRAINED FIXED INCOME ETF		
NBI UNCONSTRAINED FIXED INCOME FUND		
NBI WESTWOOD EMERGING MARKETS FUND		
NBS CAPITAL INC.		
NCM BALANCED INCOME PORTFOLIO		
NCM CONSERVATIVE INCOME PORTFOLIO		
NCM CORE CANADIAN		
NCM CORE GLOBAL		
NCM ENERGY PLUS CLASS		
NCM ENTREPRENEURS CLASS		
NCM GLOBAL INCOME GROWTH CLASS		
NCM GROWTH AND INCOME PORTFOLIO		
NCM INCOME GROWTH CLASS		
NCM NORREP FUND		
NCM SHORT TERM INCOME FUND		
NCM SMALL COMPANIES CLASS		
NCM US DIVIDEND CLASS		
NEARCTIC NICKEL MINES INC.	ASC CTO	1a, 1b, 1c, 1e
NEATT CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
NEBEX RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
NEBU RESOURCES INC.		
NEI BALANCED PRIVATE PORTFOLIO		
NEI BALANCED RS FUND		
NEI BALANCED YIELD PORTFOLIO		
NEI CANADIAN BOND FUND		
NEI CANADIAN DIVIDEND FUND		
NEI CANADIAN EQUITY FUND		
NEI CANADIAN EQUITY POOL		
NEI CANADIAN EQUITY RS FUND		

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NEI CANADIAN SMALL CAP EQUITY FUND		
NEI CANADIAN SMALL CAP EQUITY RS FUND		
NEI CONSERVATIVE YIELD PORTFOLIO		
NEI EMERGING MARKETS FUND		
NEI ENVIRONMENTAL LEADERS FUND		
NEI FIXED INCOME POOL		
NEI GLOBAL DIVIDEND RS FUND		
NEI GLOBAL EQUITY FUND		
NEI GLOBAL EQUITY POOL		
NEI GLOBAL EQUITY RS FUND		
NEI GLOBAL HIGH YIELD BOND FUND		
NEI GLOBAL TOTAL RETURN BOND FUND		
NEI GLOBAL VALUE FUND		
NEI GROWTH & INCOME FUND		
NEI GROWTH PRIVATE PORTFOLIO		
NEI INCOME & GROWTH PRIVATE PORTFOLIO		
NEI INCOME PRIVATE PORTFOLIO		
NEI INTERNATIONAL EQUITY FUND		
NEI INTERNATIONAL EQUITY RS FUND		
NEI JANTZI SOCIAL INDEX® FUND		
NEI MANAGED ASSET ALLOCATION POOL		
NEI MONEY MARKET FUND		
NEI SELECT BALANCED PORTFOLIO		
NEI SELECT BALANCED RS PORTFOLIO		
NEI SELECT GROWTH & INCOME PORTFOLIO		
NEI SELECT GROWTH & INCOME RS PORTFOLIO		
NEI SELECT GROWTH PORTFOLIO		
NEI SELECT GROWTH RS PORTFOLIO		
NEI SELECT INCOME & GROWTH PORTFOLIO		
NEI SELECT INCOME & GROWTH RS PORTFOLIO		
NEI SELECT INCOME PORTFOLIO		
NEI SELECT INCOME RS PORTFOLIO		
NEI SELECT MAXIMUM GROWTH PORTFOLIO		
NEI SELECT MAXIMUM GROWTH RS PORTFOLIO		
NEI TACTICAL YIELD PORTFOLIO		
NEI U.S. DIVIDEND FUND		
NEI U.S. EQUITY FUND		
NEI U.S. EQUITY RS FUND		
NEMASKA LITHIUM INC.		

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Name	Cease Traded	Nature of Default
NEO LITHIUM CORP.		
NEO PERFORMANCE MATERIALS INC.		
NEOLEUKIN THERAPEUTICS, INC.		
NEOVASC INC.		
NEPTUNE DASH TECHNOLOGIES CORP.		
NEPTUNE WELLNESS SOLUTIONS INC.		
NERDS ON SITE INC.		
NERVGEN PHARMA CORP.		
NET NANNY SOFTWARE INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
NETCENTS TECHNOLOGY INC.		1a, 1b, 1c, 1e
NETCOINS HOLDINGS INC.		
NETRUE COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
NETT-WORKK INC.	ASC CTO	1a, 1b, 1c, 1e
NETWORK MEDIA GROUP INC.		
NETWORK TECHNOLOGY PROFESSIONALS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
NEUTRISCI INTERNATIONAL INC.		
NEVADA BOB'S GOLF INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
NEVADA COPPER CORP.		
NEVADA ENERGY METALS INC.		
NEVADA EXPLORATION INC.		
NEVADA SUNRISE GOLD CORPORATION		
NEVADA ZINC CORPORATION		
NEVADO RESOURCES CORPORATION		
NEVARO CAPITAL CORPORATION		
NEVER TRUST AN HONEST THIEF	ASC CTO	1a, 1b, 1c, 1e
NEW AGE METALS INC.		
NEW ARCADIA RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
NEW CAROLIN GOLD CORP.		
NEW COMMERCE SPLIT FUND		
NEW DESTINY MINING CORP.		
NEW DIMENSION RESOURCES LTD.		
NEW ENERGY METALS CORP.		
NEW ERA MINERALS INC.		
NEW GLOBAL ACREAGE RESOURCES LTD.		
NEW GOLD INC.		
NEW GUINEA GOLD CORPORATION	ASC CTO	1a, 1b, 1c, 1e
NEW HORIZON URANIUM CORPORATION	ASC CTO	1a, 1b, 1c, 1e
NEW ISLAND RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
NEW JERSEY MINING COMPANY		

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NEW KLONDIKE EXPLORATION LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
NEW LEADERS CLASS		
NEW LEAF VENTURES INC.		
NEW LINTEX MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1e
NEW LOOK VISION GROUP INC.		
NEW MILLENNIUM IRON CORP.		
NEW OROPERU RESOURCES INC.		
NEW PACIFIC METALS CORP.		
NEW SAGE ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
NEW STRATUS ENERGY INC.		
NEW TECH MINERALS CORP.		
NEW TEXMONT EXPLORATIONS LTD.	ASC CTO	1a, 1b, 1c, 1e
NEW WAVE ESPORTS CORP.		
NEW WEST ENERGY SERVICES INC.		
NEW WORLD RESOURCE CORP.		
NEW ZEALAND ENERGY CORP.		
NEWCHILD INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
NEWFIELDS MINERALS INC.	ASC CTO	1a, 1b, 1c, 1e
NEWFOUNDLAND GOLDBAR RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
NEWFOUNDLAND POWER INC.		
NEWGEN ALTERNATIVE INCOME FUND		
NEWGEN ENVIRONMENTAL SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
NEWHAVEN MEDIA INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
NEWKIDCO INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
NEWLEAF BRANDS INC.		
NEWLOOK INDUSTRIES CORP	ASC CTO	1a, 1b, 1c, 1e
NEWLOX GOLD VENTURES CORP.		
NEWMAC RESOURCES INC.		
NEWMONT CORPORATION		
NEWNOTE FINANCIAL CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
NEWPORT EXPLORATION LTD.		
NEWRANGE GOLD CORP.		
NEWSTAR RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
NEWSTRIKE BRANDS LTD.		
NEWTON ENERGY CORPORATION		
NEWTOKIA INC.		
NEXA RESOURCES S.A.		3
NEXCO RESOURCES INC.		
NEXERA ENERGY INC.		

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NEXGEN ENERGY LTD.		
NEXGENRX INC.		
NEXIA HEALTH TECHNOLOGIES INC.		
NEXIENT LEARNING INC.	ASC CTO	1a, 1b, 1c, 1e
NEXJ HEALTH HOLDINGS INC.		
NEXJ SYSTEMS INC.		
NEXMEDIA TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
NEXOPTIC TECHNOLOGY CORP.		
NEXPOINT HOSPITALITY TRUST		
NEXT EDGE BIO-TECH PLUS FUND		
NEXT GREEN WAVE HOLDINGS INC.		
NEXTECH AR SOLUTIONS CORP.		
NEXTLEAF SOLUTIONS LTD.		
NEXTRACTION ENERGY CORP.		
NEXTSOURCE MATERIALS INC.		
NEXUS GOLD CORP.		
NEXUS GROUP INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
NEXUS REAL ESTATE INVESTMENT TRUST		
NFI GROUP INC.		
NGEX MINERALS LTD.		
NHC COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
NHS INDUSTRIES LTD.		
NICER CANADA CORP.	ASC CTO	1a, 1b, 1c, 1e
NICKEL CREEK PLATINUM CORP.		
NICKEL NORTH EXPLORATION CORP.		
NICKEL PETROLEUM RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
NICKELODEON INDUSTRIES CORP.	ASC CTO	1a, 1b, 1c, 1e
NICOLA MINING INC.		
NIGHTHAWK GOLD CORP.		
NIGHTWIND RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
NIK VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
NIKO RESOURCES LTD.		
NILE INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
NINEPOINT 2018 FLOW-THROUGH LIMITED PARTNERSHIP		
NINEPOINT 2018-II FLOW-THROUGH LIMITED PARTNERSHIP		1g
NINEPOINT 2019 FLOW-THROUGH LIMITED PARTNERSHIP		
NINEPOINT 2019 SHORT DURATION FLOW-THROUGH LIMITED PARTNERSHIP		
NINEPOINT 2020 FLOW-THROUGH LIMITED PARTNERSHIP - NATIONAL CLASS		

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NINEPOINT 2020 FLOW-THROUGH LIMITED PARTNERSHIP - QUEBEC CLASS		
NINEPOINT ALTERNATIVE CREDIT OPPORTUNITIES FUND		
NINEPOINT ALTERNATIVE HEALTH FUND		
NINEPOINT CONCENTRATED CANADIAN EQUITY FUND		
NINEPOINT DIVERSIFIED BOND CLASS		
NINEPOINT DIVERSIFIED BOND FUND		
NINEPOINT ENERGY FUND		
NINEPOINT ENHANCED BALANCED FUND		
NINEPOINT ENHANCED EQUITY CLASS		
NINEPOINT ENHANCED U.S. EQUITY CLASS		
NINEPOINT FOCUSED GLOBAL DIVIDEND CLASS		
NINEPOINT FX STRATEGY FUND		
NINEPOINT GLOBAL INFRASTRUCTURE FUND		
NINEPOINT GLOBAL REAL ESTATE FUND		
NINEPOINT GOLD AND PRECIOUS MINERALS FUND		
NINEPOINT GOLD BULLION FUND		
NINEPOINT HIGH INTEREST SAVINGS FUND		
NINEPOINT INTERNATIONAL SMALL CAP FUND		
NINEPOINT RESOURCE CLASS		
NINEPOINT SILVER BULLION FUND		
NINEPOINT SILVER EQUITIES CLASS		
NIOBAY METALS INC.		
NIOCAN INC.		
NIOCORP DEVELOPMENTS LTD.		
NIPPON DRAGON RESOURCES INC.		
NIR DIAGNOSTICS INC.	ASC CTO	1a, 1b, 1c, 1e
NISHAL CAPITAL INC.		
NOBELIUM TECH CORP.		
NOBILIS HEALTH CORP.		1a, 1b, 1c, 1d, 1e
NOBLE CHINA INC.	ASC CTO	1a, 1b, 1c, 1e
NOBLE IRON INC.		
NOBLE METAL GROUP INCORPORATED		3
NOBLE MINERAL EXPLORATION INC.		
NORAD RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
NORAM VENTURES INC.		
NORANDA INCOME FUND		
NORBORD INC.		
NORDIC OIL AND GAS LTD.	Reciprocal CTO	1a, 1b, 1c, 1e, 1k, 3
NORLANA RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g

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NORON TECH LTD.	ASC CTO	1a, 1b, 1c, 1e
NORONT RESOURCES LTD.		
NORRA METALS CORP.		
NORSEMONT MINING INC.		
NORSUL OIL & MINING LTD.	ASC CTO	1a, 1b, 1c, 1e
NORTEC MINERALS CORP		
NORTECH GEOMATICS INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
NORTEL NETWORKS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
NORTEL NETWORKS LIMITED	ASC CTO	1a, 1b, 1c, 1e
NORTH AMERICA FRAC SAND, INC.	ASC CTO	1g, 2a
NORTH AMERICAN BUSINESS INTELLIGENCE SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
NORTH AMERICAN CONSTRUCTION GROUP LTD.		
NORTH AMERICAN DETECTORS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
NORTH AMERICAN FINANCIAL 15 SPLIT CORP.		
NORTH AMERICAN HIGH YIELD BOND FUND		
NORTH AMERICAN NICKEL INC.		
NORTH AMERICAN RESOURCE CAPITAL LIMITED	ASC CTO	1a, 1b, 1c, 1e
NORTH AMERICAN SPECIALTY CLASS		
NORTH AMERICAN SYSTEMS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
NORTH AMERICAN TUNGSTEN CORPORATION LTD.		1a, 1b, 1c, 1e
NORTH ARROW MINERALS INC.		
NORTH CONTINENTAL ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e
NORTH GROWTH CANADIAN EQUITY FUND		
NORTH GROWTH U.S. EQUITY ADVISOR FUND		
NORTH SEA ENERGY INC.	Reciprocal CTO	1a, 1b, 1c, 1e, 1k
NORTH SHORE MERCANTILE CORP.	ASC CTO	1a, 1b, 1c, 1e
NORTH SUR RESOURCES INC.		
NORTHAVEN RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e
NORTHBRIDGE RESOURCES CORP.		
NORTHCLIFF RESOURCES LTD.		
NORTHCORE RESOURCES INC.		
NORTHCORE TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
NORTHERN DYNASTY MINERALS LTD.		
NORTHERN FRONTIER CORP.	ASC CTO	1a, 1b, 1c, 1e
NORTHERN GRAPHITE CORPORATION		
NORTHERN GREENS LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
NORTHERN LIGHTS RESOURCES CORP.		
NORTHERN LION GOLD CORP.		
NORTHERN MOUNTAIN HELICOPTERS GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g

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Name	Cease Traded	Nature of Default
NORTHERN POWER SYSTEMS CORP.		1a, 1b, 1c, 1d, 1e
NORTHERN SHIELD RESOURCES INC.		
NORTHERN SPHERE MINING CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
NORTHERN STAR MINING CORP.	ASC CTO	1a, 1b, 1c, 1e
NORTHERN SUPERIOR RESOURCES INC.		
NORTHERN URANIUM CORP.		
NORTHERN VERTEX MINING CORP.		
NORTHFIELD CAPITAL CORPORATION		
NORTHGATE LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
NORTHISLE COPPER AND GOLD INC.		
NORTHLAND BANK	ASC CTO	1a, 1b, 1c, 1e
NORTHLAND MERCANTILE CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
NORTHLAND POWER INC.		
NORTHLAND RESOURCES SE	ASC CTO	1a, 1b, 1c, 1d, 1e
NORTHLAND SYSTEMS TRAINING INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
NORTHLINE ENERGY SERVICES INC.	ASC CTO	1a, 1b, 1c, 1e
NORTHQUEST VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
NORTHRIM MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
NORTHSIDE MINERALS INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
NORTHSTAR AEROSPACE INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
NORTHSTAR GOLD CORP.		
NORTHVIEW APARTMENT REAL ESTATE INVESTMENT TRUST		
NORTHWAY RESOURCES CORP.		
NORTHWEST HEALTHCARE PROPERTIES REAL ESTATE INVESTMENT TRUST		
NORVISTA CAPITAL CORPORATION		
NORWICH VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e
NORWOOD RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
NORZAN ENTERPRISES LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
NORZINC LTD.		
NOTHING PERSONAL	ASC CTO	1a, 1b, 1c, 1e
NOUVEAU MONDE GRAPHITE INC.		
NOVA GAS TRANSMISSION LTD.		
NOVA LEAP HEALTH CORP.		
NOVA SCOTIA POWER INCORPORATED		
NOVABAY PHARMACEUTICALS, INC.		1a, 1c, 1d, 1e
NOVADX VENTURES CORP.	ASC CTO	1a, 1b, 1c, 1e
NOVAGOLD RESOURCES INC.		
NOVANTA INC.		
NOVATECH POWER CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g

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NOVATERRA CORP.	ASC CTO	1a, 1b, 1c, 1e
NOVATION HOLDINGS, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
NOVEKO INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
NOVELION THERAPEUTICS INC.	Reciprocal CTO	1a, 1b, 1c, 1d, 1e
NOVO RESOURCES CORP.		
NOVO19 CAPITAL CORP.		
NOVOHEART HOLDINGS INC.		
NOVRA TECHNOLOGIES INC.		
NOVX21 INC.	Reciprocal CTO	1a, 1b, 1c, 1e
NRCI NORTHERN RESOURCE CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
NRG METALS INC.		
NRP STONE INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
NSGOLD CORPORATION		
NSI GLOBAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
NSI STRATEGIC INVESTMENTS LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
NSP PHARMA CORP.	ASC CTO	1a, 1b, 1c, 1e
NTEX INCORPORATED	ASC CTO	1a, 1b, 1c, 1d, 1e
NTG CLARITY NETWORKS INC.		
NTI RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
NU CROWN RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
NUANCE COMMUNICATIONS, INC.		
NUBEVA TECHNOLOGIES LTD.		
NUBIAN RESOURCES LTD.		
NUCONTEX CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
NUINSCO RESOURCES LIMITED		
NULEGACY GOLD CORPORATION		
NU-LIFE CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e
NURAN WIRELESS INC. (FORMERLY 1014372 B.C. LTD.)		
NURCAPITAL CORPORATION LTD.		
NUTRIEN LTD.		
NUTRITIONAL HIGH INTERNATIONAL INC.		
NUVISTA ENERGY LTD.		
NUVO PHARMACEUTICALS INC.		
NV GOLD CORPORATION		
NX PHASE CAPITAL INC.		
NXGOLD LTD.		
NXT ENERGY SOLUTIONS INC.		
O A GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
O3 MINING INC.		

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OAK CATALYST CORPORATION	ASC CTO	1a, 1b, 1c, 1e
OAKHILL COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
OAKMARK INTERNATIONAL EQUITY CLASS		
OAKMARK INTERNATIONAL EQUITY REGISTERED FUND		
OAKMARK U.S. EQUITY CLASS		
OAKMARK U.S. EQUITY REGISTERED FUND		
OBSIDIAN ENERGY LTD.		
OCEANAGOLD CORPORATION		
OCEANIC IRON ORE CORP.		
OCEANLAKE COMMERCE INC.	ASC CTO	1a, 1b, 1c, 1e
OCEANUS RESOURCES CORPORATION		
OCP SENIOR CREDIT FUND		
OCS TECHNOLOGIES CORP.	ASC CTO	1a, 1b, 1c, 1e
OCTAGON 88 RESOURCES, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
OCTAGON INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
OCTAN RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
OCULUS VISIONTECH INC.		
ODESIA GROUP INC.	Reciprocal CTO	1a, 1b, 1c, 1e
ODESSA INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ODIN INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
ODYSSEY ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
ODYSSEY INDUSTRIES INCORPORATED	ASC CTO	1a, 1b, 1c, 1e
ODYSSEY RESOURCES LIMITED		
OIL OPTIMIZATION INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
OIL PATCH GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
OILSANDS QUEST INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k, 4
OJO ELECTRIC CORP.		
OKAK BAY RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
OLD API WIND-DOWN LTD.		1a, 1b, 1c, 1d, 1e
OLD PSG WIND-DOWN LTD.		1a, 1b, 1c, 1d, 1e
OLIVUT RESOURCES LTD.		
OLYMPIA & YORK FIRST CANADIAN PLACE LIMITED	ASC CTO	1a, 1b, 1c, 1e
OLYMPIA FINANCIAL GROUP INC.		
OMEGA WORLD INCORPORATED	ASC CTO	1a, 1b, 1c, 1e, 1g
OMINECA MINING AND METALS LTD.		
OMNI COMMERCE CORP.		
OMNIBUS COMPUTER GRAPHICS INC.	ASC CTO	1a, 1b, 1c, 1e
OMNI-LITE INDUSTRIES CANADA INC.		
OMNITECH CONSULTANT GROUP INC.	ASC CTO	1a, 1b, 1c, 1e

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OMNITEX RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e
ONCOLYTICS BIOTECH INC.		
ONE FINANCIAL REAL PROPERTY DEVELOPMENT TRUST (2008-1)	ASC CTO	1a, 1b, 1c, 1e, 4
ONE FINANCIAL REAL PROPERTY INCOME FUND (2008-1)	ASC CTO	1a, 1b, 1c, 1e
ONE WORLD LITHIUM INC.		
ONENERGY INC.	Reciprocal CTO	1a, 1b, 1c, 1e
ONEROOF ENERGY GROUP, INC.	Reciprocal CTO	1a, 1b, 1c, 1e, 3
ONESOFT SOLUTIONS INC.		
ONEX CORPORATION		
ONLINE DIRECT INC.	ASC CTO	1a, 1b, 1c, 1e, 3
ONSAT.NET CANADA INC.	ASC CTO	1a, 1b, 1c, 1e
ONTARIO POWER GENERATION INC.		
OPAWICA EXPLORATIONS INC.		
OPEN TEXT CORPORATION		
OPIMIAN CALIFORNIA VINEYARDS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
OPSENS INC.		
OPTIMA STRATEGY FUND		
OPTIMA STRATEGY INTERNATIONAL FUND		
OPTIMARK DATA SYSTEMS, INC.	ASC CTO	1a, 1b, 1c, 1e
OPTIMUM VENTURES LTD.		
OPTIVA INC.		
OPUS ONE RESOURCES INC.		
ORACLE ENERGY CORP.		1k
ORACLE MINING CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
ORAGENICS, INC.		3
ORANGE		1g
ORBIT GARANT DRILLING INC.		
ORBITE TECHNOLOGIES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
ORBUS PHARMA INC.		
ORCA EXPLORATION GROUP INC.		
ORCA GOLD INC.		
ORCANA RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
ORCATRON COMMUNICATIONS LTD.	ASC CTO	1a, 1b, 1c, 1e
ORCHID VENTURES, INC.		
ORD MOUNTAIN RESOURCES CORP.		
OREFINDERS RESOURCES INC.		
OREMEX GOLD INC.	ASC CTO	1a, 1b, 1c, 1e
ORESTONE MINING CORP.		
OREX MINERALS INC.		

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OREZONE GOLD CORPORATION		
ORFORD MINING CORPORATION		
ORGANIC FLOWER INVESTMENTS GROUP INC.		
ORGANIC GARAGE LTD.		
ORGANIC POTASH CORPORATION		
ORGANIGRAM HOLDINGS INC.		
ORGANIMAX NUTRIENT CORP.		
ORGANTO FOODS INC.		
ORIGIN GOLD CORPORATION		
ORIOLE SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ORION RESOURCE CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
ORLA MINING LTD.		
ORLETTO CAPITAL II INC.		
ORMONDE MINING PLC	ASC CTO	1a, 1b, 1c, 1e
OROCO RESOURCE CORP.		
OROCOBRE LIMITED		
ORONOVA ENERGY INC.		
OROSUR MINING INC.		
ORSU METALS CORPORATION		
ORTHO REGENERATIVE TECHNOLOGIES INC.		
ORVANA MINERALS CORP.		
ORYX PETROLEUM CORPORATION LIMITED		
OSINO RESOURCES CORP.		
OSISKO GOLD ROYALTIES LTD		
OSISKO METALS INCORPORATED		
OSISKO MINING INC.		
OSOYOOS CANNABIS INC.		
OSPREY ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e
OSPREY GOLD DEVELOPMENT LTD.		
OSTA BIOTECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 3
OTI TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
OTIS GOLD CORP.		
OTSO GOLD CORP.		
OUTCROP GOLD CORP.		
OUTDOORPARTNER MEDIA CORPORATION		
OUTLOOK RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
OUTRIDER MERCHANT EQUITIES INC.	ASC CTO	1a, 1b, 1c, 1e
OV2 INVESTMENT 1 INC.		
OVATION SCIENCE INC.		

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OVINTIV INC.		
OXFORD RESOURCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
P S M TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
P SQUARED RENEWABLES INC.		
P&P VENTURES INC.		
P2P INFO INC.		
PACGEN LIFE SCIENCE CORPORATION		
PACIFIC ARC RESOURCES LTD.		
PACIFIC ASIA CHINA ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
PACIFIC BAY MINERALS LTD.		
PACIFIC BOOKER MINERALS INC.		
PACIFIC CASCADE MINERALS INC.	Reciprocal CTO	1a, 1b, 1c, 1e
PACIFIC COMOX RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
PACIFIC CONCORDE CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
PACIFIC CONSOLIDATED RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e
PACIFIC E-LINK CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PACIFIC EMPIRE MINERALS CORP.		
PACIFIC ENERGY RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k, 3
PACIFIC GEOINFO CORP.		
PACIFIC IMPERIAL MINES INC.		
PACIFIC IRON ORE CORPORATION		
PACIFIC LOTTERY CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PACIFIC NATIONAL FINANCIAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PACIFIC OIL COMPANY	ASC CTO	1a, 1b, 1c, 1d, 1e
PACIFIC ORIENT CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
PACIFIC PARADYM ENERGY INC.		
PACIFIC RIDGE EXPLORATION LTD.		
PACIFIC SILK ROAD RESOURCES GROUP INC.		
PACIFIC STANDARD PROPERTIES INC.	ASC CTO	1a, 1b, 1c, 1e
PACIFIC VECTOR HOLDINGS INC.	ASC CTO	1a, 1b, 1c, 1e
PACIFIC VIDEO VENDING LIMITED PARTNERSHIP ONE	ASC CTO	1a, 1b, 1c, 1e
PACIFIC WILDCAT RESOURCES CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
PACRIM RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
PACTON GOLD INC.		
PAGE PETROLEUM LTD.	ASC CTO	1a, 1b, 1c, 1e
PAINTED PONY ENERGY LTD.		
PALAMINA CORP.		
PALCAN POWER SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
PALEO RESOURCES, INC.		

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PALLADIUM ONE MINING INC.		
PALLADIUM RESOURCE CORP.	ASC CTO	1a, 1b, 1c, 1e
PALLADON VENTURES LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
PALLAUM MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
PALLISER OIL & GAS CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
PALM SPRINGS SQUARE LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
PALM SPRINGS SQUARE TWO LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
PALOMA RESOURCES INC.		
PALOS EQUITY INCOME FUND		
PAMLICO RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
PAN AMERICAN FERTILIZER CORP.	ASC CTO	1a, 1b, 1c, 1e
PAN AMERICAN SILVER CORP.		
PAN ANDEAN MINERALS LTD.		3
PAN GLOBAL RESOURCES INC.		
PAN OCEAN EXPLORATIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PAN ORIENT ENERGY CORP.		
PAN SMAK PIZZA INC.	ASC CTO	1a, 1b, 1c, 1e
PANCONTINENTAL RESOURCES CORPORATION		
PANGOLIN DIAMONDS CORP.		
PANNEX INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
PANORAMA CAPITAL CORP.		
PANORO MINERALS LTD.		
PAN-PACIFIC TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PANTERRA GOLD LIMITED		
PANTHER MINES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
PAR MOR II	ASC CTO	1a, 1b, 1c
PARA RESOURCES INC.		
PARACORP LTD.	ASC CTO	1a, 1b, 1c, 1e
PARADISE	ASC CTO	1a, 1c, 1e
PARADISE CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PARADISE PLAZA LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
PARAGON I	ASC CTO	1a, 1b, 1c, 1e
PARALLEL ENERGY TRUST	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
PARALLEL MINING CORP.		
PARAMOUNT GOLD NEVADA CORP.		
PARAMOUNT LIFE INSURANCE COMPANY	ASC CTO	1a, 1b, 1c, 1e
PARAMOUNT RESOURCES LTD.		
PARAMOUNT VENTURES AND FINANCE INC.	ASC CTO	1a, 1b, 1c, 1e
PARA-TECH ENERGY SERVICES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g

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PARCELPAL TECHNOLOGY INC.		
PAREX RESOURCES INC.		
PARK ESTATES	ASC CTO	1a, 1b, 1c, 1e
PARK LAWN COMPANY LIMITED		
PARK LAWN CORPORATION		
PARK PLACE	ASC CTO	1a, 1b, 1c, 1e
PARK SQUARE PROPERTIES LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
PARKIT ENTERPRISE INC.		
PARKLAND ENERGY SERVICES INC.	ASC CTO	1a, 1b, 1c, 1e
PARKLAND FUEL CORPORATION		
PARON RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PARTHENON BUILDING SYSTEMS LIMITED	ASC CTO	1a, 1b, 1c, 1e
PARTNER JET CORP.		
PARTNERS VALUE INVESTMENTS INC.		
PARTNERS VALUE INVESTMENTS LP		
PARTNERS VALUE SPLIT CORP.		
PARTON CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PARTYCO HOLDINGS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
PASCAL BIOSCIENCES INC.		
PASHA BRANDS LTD.		
PASINEX RESOURCES LIMITED		
PASOFINO GOLD LIMITED		
PASON SYSTEMS INC.		
PASSPORT POTASH INC.	Reciprocal CTO	1a, 1b, 1c, 1e
PATAGONIA GOLD CORP.		
PATCHGEAR.COM INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PATHFINDER INCOME FUND		
PATRIA RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
PATRIOT ONE TECHNOLOGIES INC.		
PATTERN ENERGY GROUP INC.		
PAXTON INTERNATIONAL RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
PAY LINX FINANCIAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PBB VENTURE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PC CHIPS CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
PC VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e
PCL INDUSTRIES LIMITED	ASC CTO	1a, 1b, 1c, 1e
PDC BIOLOGICAL HEALTH GROUP CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e, 3
PEACHTREE NETWORK INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PEAK BREWING GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g

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PEAK POSITIONING TECHNOLOGIES INC.		
PEAKSOFT MULTINET CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g, 3
PEARL RIVER HOLDINGS LIMITED		
PEBBLE CREEK MINING LTD.	ASC CTO	1a, 1b, 1c, 1e
PEDRO RESOURCES LTD.		
PEEKABOO BEANS INC.		
PEEKS SOCIAL LTD.		
PEGASUS GOLD INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
PELANGIO EXPLORATION INC.		
PELHAM GOLD 'N' GRAIN INC.	ASC CTO	1a, 1b, 1c, 1e
PEMBINA PIPELINE CORPORATION		
PEMBROKE AMERICAN GROWTH FUND		
PEMBROKE CANADIAN BOND FUND		
PEMBROKE CANADIAN GROWTH FUND		
PEMBROKE CONCENTRATED FUND		
PEMBROKE CORPORATE BOND FUND		
PEMBROKE GLOBAL BALANCED FUND		
PEMBROKE GROWTH AND INCOME FUND		
PEMBROKE INTERNATIONAL GROWTH FUND		
PEMBROKE MONEY MARKET FUND		
PENDER BOND UNIVERSE FUND		
PENDER CORPORATE BOND FUND		
PENDER ENHANCED INCOME FUND		
PENDER GROWTH FUND INC.		
PENDER SMALL CAP OPPORTUNITIES FUND		
PENDER SMALL/MID CAP DIVIDEND FUND		
PENDER STRATEGIC GROWTH AND INCOME FUND		
PENDER US ALL CAP EQUITY FUND		
PENDER VALUE FUND		
PENDER VALUE FUND II		
PENNANT PACIFIC RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
PENNCAN RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
PENNINE PETROLEUM CORPORATION		
PENNINGTONS STORES LIMITED	ASC CTO	1a, 1b, 1c, 1e
PENWAY EXPLORERS LTD.	ASC CTO	1a, 1b, 1c, 1e
PEOPLE CORPORATION		
PEOPLES JEWELLERS LIMITED	ASC CTO	1a, 1b, 1c, 1e
PEPCAP RESOURCES, INC.		
PERAGIS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g

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PERFORMANCE OPTICIAN SOFTWARE CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
PERIHELION CAPITAL LTD.		
PERISCOPE INVESTMENTS LIMITED	ASC CTO	1a, 1b, 1c, 1e
PERISSON PETROLEUM CORPORATION		
PERK LABS INC.		
PERMEX PETROLEUM CORPORATION		
PERPETUAL ENERGY INC.		
PERPETUAL INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
PERREX RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
PERSEUS MINING LIMITED		
PERSHIMEX RESOURCES CORPORATION		
PERSTA RESOURCES INC.		
PERTACAL ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g, 1k
PERUVIAN METALS CORP.		
PESA CORPORATION		
PETRICHOR ENERGY INC.		
PETRO RIO S.A.		
PETRO RIVER OIL CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
PETRO VIKING ENERGY INC.		1k
PETROCAPITA INCOME TRUST	ASC CTO	1a, 1b, 1c, 1e
PETROCORP GROUP INC.		
PETROFLOW ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
PETROFRONTIER CORP.		
PETROGLOBE INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
PETROL ONE CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
PETROLEX ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
PETROLIA ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
PETROLYMPIC LTD.		
PETROMAC ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e
PETROMIN RESOURCES LTD.	Reciprocal CTO	1a, 1b, 1c, 1e, 1k
PETROREAL ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1j, 1k
PETROSHALE INC.		
PETROSONIC ENERGY, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
PETROSPEC TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PETROTAL CORP.		
PETROTEQ ENERGY INC.		
PETRO-VICTORY ENERGY CORP.		
PETROWEST CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PETROX RESOURCES CORP.		

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PETRUS RESOURCES LTD.		
PEYTO EXPLORATION & DEVELOPMENT CORP.		
PEZM GOLD INC.		
PFB CORPORATION		
PGE RESOURCE CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
PHARLAP RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
PHARMACIELO LTD.		
PHARMADRUG INC.		
PHARMAGAP INC.	ASC CTO	1a, 1b, 1c, 1e
PHARMAGLOBE INC.	ASC CTO	1a, 1b, 1c, 1e
PHARMENG INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
PHILIPPINE METALS INC.		
PHILLIPS, HAGER & NORTH \$U.S. MONEY MARKET FUND		
PHILLIPS, HAGER & NORTH BALANCED FUND		
PHILLIPS, HAGER & NORTH BALANCED PENSION TRUST		
PHILLIPS, HAGER & NORTH BOND FUND		
PHILLIPS, HAGER & NORTH CANADIAN EQUITY FUND		
PHILLIPS, HAGER & NORTH CANADIAN EQUITY PENSION TRUST		
PHILLIPS, HAGER & NORTH CANADIAN EQUITY PLUS PENSION TRUST		
PHILLIPS, HAGER & NORTH CANADIAN EQUITY UNDERLYING FUND		
PHILLIPS, HAGER & NORTH CANADIAN EQUITY UNDERLYING FUND II		
PHILLIPS, HAGER & NORTH CANADIAN EQUITY VALUE CLASS		
PHILLIPS, HAGER & NORTH CANADIAN EQUITY VALUE FUND		
PHILLIPS, HAGER & NORTH CANADIAN GROWTH FUND		
PHILLIPS, HAGER & NORTH CANADIAN INCOME FUND		
PHILLIPS, HAGER & NORTH CANADIAN MONEY MARKET FUND		
PHILLIPS, HAGER & NORTH CONSERVATIVE EQUITY INCOME FUND		
PHILLIPS, HAGER & NORTH CONSERVATIVE PENSION TRUST		
PHILLIPS, HAGER & NORTH CURRENCY-HEDGED OVERSEAS EQUITY FUND		
PHILLIPS, HAGER & NORTH CURRENCY-HEDGED U.S. EQUITY FUND		
PHILLIPS, HAGER & NORTH DIVIDEND INCOME FUND		
PHILLIPS, HAGER & NORTH GLOBAL EQUITY FUND		
PHILLIPS, HAGER & NORTH GROWTH PENSION TRUST		
PHILLIPS, HAGER & NORTH HIGH YIELD BOND FUND		
PHILLIPS, HAGER & NORTH INFLATION-LINKED BOND FUND		
PHILLIPS, HAGER & NORTH LIFETIME 2015 FUND		
PHILLIPS, HAGER & NORTH LIFETIME 2020 FUND		
PHILLIPS, HAGER & NORTH LIFETIME 2025 FUND		
PHILLIPS, HAGER & NORTH LIFETIME 2030 FUND		

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PHILLIPS, HAGER & NORTH LIFETIME 2035 FUND		
PHILLIPS, HAGER & NORTH LIFETIME 2040 FUND		
PHILLIPS, HAGER & NORTH LIFETIME 2045 FUND		
PHILLIPS, HAGER & NORTH LIFETIME 2050 FUND		
PHILLIPS, HAGER & NORTH LIFETIME 2055 FUND		
PHILLIPS, HAGER & NORTH LONG INFLATION-LINKED BOND FUND		
PHILLIPS, HAGER & NORTH MONTHLY INCOME CLASS		
PHILLIPS, HAGER & NORTH MONTHLY INCOME FUND		
PHILLIPS, HAGER & NORTH OVERSEAS EQUITY CLASS		
PHILLIPS, HAGER & NORTH OVERSEAS EQUITY FUND		
PHILLIPS, HAGER & NORTH SHORT TERM BOND & MORTGAGE FUND		
PHILLIPS, HAGER & NORTH SMALL FLOAT FUND		
PHILLIPS, HAGER & NORTH TOTAL RETURN BOND FUND		
PHILLIPS, HAGER & NORTH U.S. DIVIDEND INCOME FUND		
PHILLIPS, HAGER & NORTH U.S. EQUITY FUND		
PHILLIPS, HAGER & NORTH U.S. GROWTH FUND		
PHILLIPS, HAGER & NORTH U.S. MULTI-STYLE ALL-CAP EQUITY CLASS		
PHILLIPS, HAGER & NORTH U.S. MULTI-STYLE ALL-CAP EQUITY FUND		
PHILLIPS, HAGER & NORTH VINTAGE FUND		
PHIVIDA HOLDINGS INC.		
PHOENIX CANADA OIL COMPANY LIMITED		
PHOENIX CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
PHOENIX CAPITAL INCOME TRUST	ASC CTO	1a, 1b, 1c, 1e
PHOENIX GOLD RESOURCES CORP.		
PHOENIX HEALTH GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PHOENIX LEISURE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PHOTON CONTROL INC.		
PHX ENERGY SERVICES CORP.		
PICOSEC TECHNOLOGY LTD.	ASC CTO	1a, 1b, 1c, 1e
PICTON MAHONEY FORTIFIED ACTIVE EXTENSION ALTERNATIVE FUND		
PICTON MAHONEY FORTIFIED ARBITRAGE ALTERNATIVE FUND		
PICTON MAHONEY FORTIFIED ARBITRAGE PLUS ALTERNATIVE FUND		
PICTON MAHONEY FORTIFIED EQUITY FUND		
PICTON MAHONEY FORTIFIED INCOME ALTERNATIVE FUND		
PICTON MAHONEY FORTIFIED INCOME FUND		
PICTON MAHONEY FORTIFIED MARKET NEUTRAL ALTERNATIVE FUND		
PICTON MAHONEY FORTIFIED MULTI-ASSET FUND		
PICTON MAHONEY FORTIFIED MULTI-STRATEGY ALTERNATIVE FUND		
PICTON MAHONEY TACTICAL INCOME FUND		

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PIERIDAE ENERGY LIMITED		
PIGBOSS FOLLOW-UP GROWTH INC.	ASC CTO	1a, 1b, 1c, 1e
PIH PACIFIC INTERNATIONAL HARDWOODS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PIKE MOUNTAIN MINERALS INC.		
PIMA ZINC CORP.		
PIMCO - IG GLOBAL BOND POOL		
PIMCO BALANCED INCOME FUND (CANADA)		
PIMCO CANADIAN TOTAL RETURN BOND FUND		
PIMCO FLEXIBLE GLOBAL BOND FUND (CANADA)		
PIMCO GLOBAL INCOME OPPORTUNITIES FUND		
PIMCO GLOBAL SHORT MATURITY FUND (CANADA)		
PIMCO INVESTMENT GRADE CREDIT FUND (CANADA)		
PIMCO LOW DURATION MONTHLY INCOME FUND (CANADA)		
PIMCO MANAGED CONSERVATIVE BOND POOL		
PIMCO MANAGED CORE BOND POOL		
PIMCO MONTHLY INCOME FUND (CANADA)		
PIMCO UNCONSTRAINED BOND FUND (CANADA)		
PINE CLIFF ENERGY LTD.		
PINE RIDGE	ASC CTO	1a, 1b, 1c, 1e
PINE TRAIL REAL ESTATE INVESTMENT TRUST		
PINE VALLEY MINING CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
PINECREST RESOURCES LTD.		
PINEDALE ENERGY LIMITED		
PINEHURST CAPITAL I INC.		
PINEHURST CAPITAL II INC.		
PINESTAR GOLD INC.	ASC CTO	1a, 1b, 1c, 1e
PINETREE CAPITAL LTD.		
PINEWOOD RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
PINGIFY INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
PINNACLE BALANCED PORTFOLIO		
PINNACLE RENEWABLE ENERGY INC.		
PINPOINT RETAIL SOLUTIONS INC.	ASC CTO	1a, 1b, 1c, 1e
PIONEER MEDIA HOLDINGS INC.		
PIONEERING TECHNOLOGY CORP.		
PIPER RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
PIPESTONE ENERGY CORP.		
PISTOL BAY MINING INC.		
PIVOT TECHNOLOGY SOLUTIONS, INC.		
PIVOTAL THERAPEUTICS INC.	Reciprocal CTO	1a, 1b, 1c, 1e

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Name	Cease Traded	Nature of Default
PIXMAN NOMADIC MEDIA INC.	ASC CTO	1a, 1b, 1c, 1e
PIZZA PIZZA ROYALTY CORP.		
PJX RESOURCES INC.		
PK CORE FUND		
PKS CAPITAL CORP.		
PLACE CONCORDE EAST LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
PLAINTREE SYSTEMS INC.		
PLANET 13 HOLDINGS INC.		
PLANET HEALTH CORP.		
PLANET VENTURES INC.		
PLANETSAFE ENVIRO CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PLANK VENTURES LTD. (FORMERLY 0968998 B.C. LTD.)		
PLASTIC ENGINE TECHNOLOGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PLASTICYCLE INC.	ASC CTO	1a, 1b, 1c, 1e
PLATA LATINA MINERALS CORPORATION		
PLATA MINING LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
PLATA-PERU RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PLATEAU ENERGY METALS INC.		
PLATFORM 9 CAPITAL CORP.		
PLATFORM EIGHT CAPITAL CORP.		
PLATINEX INC.		
PLATINOVA A/S	ASC CTO	1a, 1b, 1c, 1d, 1e
PLATINUM GROUP METALS LTD.		
PLATO GOLD CORP.		
PLAYFAIR MINING LTD.		
PLAZA RETAIL REIT		
PLB CAPITAL CORP.		
PLENUS INVESTMENT INC.		
PLOUFFE FAMILY THE	ASC CTO	1a, 1b, 1c, 1e
PLUS INTERNATIONAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PLUS PRODUCTS INC.		
PLYMOUTH REALTY CAPITAL CORP.		
PLYMOUTH ROCK TECHNOLOGIES INC.		
POCML 5 INC.		
PODA TECHNOLOGIES LTD.		
POET TECHNOLOGIES INC.		
POINT LOMA RESOURCES LTD.		
POINTS INTERNATIONAL LTD.		
POINTS OF CALL AIRLINES LTD.	ASC CTO	1a, 1b, 1c, 1e

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POLAR RESOURCES CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e
POLARIS INFRASTRUCTURE INC.		
POLARITY MINERALS CORP.		
POLLARD BANKNOTE LIMITED		
POLYMET MINING CORP.		
POLYPHALT INC.	ASC CTO	1a, 1b, 1c, 1e
POLYSOURCE HOLDINGS PLC	ASC CTO	1a, 1b, 1c, 1e
POND TECHNOLOGIES HOLDINGS INC.		
PONDEROSA FUND	ASC CTO	1a, 1b, 1c, 1e
PONDEROUS PANDA CAPITAL CORP.		
POOL SAFE INC.		
POP SHOPPES INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
POPLAR CREEK RESOURCES INC.		
PORT O'CALL HOTEL INC.	ASC CTO	1a, 1b, 1c, 1e
PORTEX MINERALS INC.	Reciprocal CTO	1a, 1b, 1c, 1e
PORTFOLIO SERIES BALANCED FUND		
PORTFOLIO SERIES BALANCED GROWTH FUND		
PORTFOLIO SERIES CONSERVATIVE BALANCED FUND		
PORTFOLIO SERIES CONSERVATIVE FUND		
PORTFOLIO SERIES GROWTH FUND		
PORTFOLIO SERIES INCOME FUND		
PORTFOLIO SERIES MAXIMUM GROWTH FUND		
PORTIA EXPLORATION LTD.	ASC CTO	1a, 1b, 1c, 1e
PORTLAND 15 OF 15 FUND		
PORTLAND ADVANTAGE FUND		
PORTLAND CANADIAN BALANCED FUND		
PORTLAND CANADIAN FOCUSED FUND		
PORTLAND ENERGY OPPORTUNITIES ALTERNATIVE FUND		
PORTLAND GLOBAL BANKS FUND		
PORTLAND GLOBAL DIVIDEND FUND		
PORTLAND GLOBAL INCOME FUND		
PORTLAND VALUE FUND		
PORTO ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
PORTOFINO RESOURCES INC.		
PORTUS CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
POSABIT SYSTEMS CORPORATION		
POSEIDON CONCEPTS CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e, 2a, 2b, 4
POSITION INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
POSTEC SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g

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POSTMEDIA NETWORK CANADA CORP.		
POUNCE TECHNOLOGIES INC.		
POWER CORPORATION OF CANADA		
POWER FINANCIAL CORPORATION		
POWER GROUP PROJECTS CORP.		1b, 1c, 1e
POWER METALS CORP.		
POWER RESOURCE EXPLORATION INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
POWER TECH CORPORATION INC.	ASC CTO	1a, 1b, 1c, 1e
POWERBAND SOLUTIONS INC.		
POWERNOVA TECHNOLOGIES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
POWERORE INC.		
POWERWORKS CANADA INC.	ASC CTO	1a, 1b, 1c, 1e
POYNT CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PPC CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
PPF INTERNATIONAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
PPOA HOLDING, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
PPX MINING CORP.		1a, 1b, 1c, 1e
PRAIRIE PROVIDENT RESOURCES INC.		
PRAIRIESKY ROYALTY LTD.		
PREAXIA HEALTH CARE PAYMENT SYSTEMS INC.		
PRECIOUS METALS AND MINING TRUST		
PRECIPITATE GOLD CORP.		
PRECISION ASSESSMENT TECHNOLOGY CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
PRECISION DRILLING CORPORATION		
PREDICTIVE HEALTH ANALYTICS INC.		
PREDICTMEDIX INC.		
PREFCO ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e
PREFERRED DENTAL TECHNOLOGIES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
PREFERRED ENTERPRISES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
PREMIER DIVERSIFIED HOLDINGS INC.		
PREMIER GOLD MINES LIMITED		
PREMIER HEALTH OF AMERICA INC.		
PREMIUM BRANDS HOLDINGS CORPORATION		
PREMIUM EQUITY CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PREMIUM EXPLORATION INC.	ASC CTO	1a, 1b, 1c, 1e
PREMIUM INCOME CORPORATION		
PRENOR FINANCIAL LTD.	ASC CTO	1a, 1b, 1c, 1e
PREO SOFTWARE INC.	ASC CTO	1a, 1b, 1c, 1e
PRETIUM RESOURCES INC.		

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PREVECEUTICAL MEDICAL INC.		
PREVENT HEALTH CARE INTERNATIONAL CORP.		
PRIMARIA CAPITAL (CANADA) LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
PRIME BLOCKCHAIN INC.		
PRIME CITY ONE CAPITAL CORP.		
PRIME DIVIDEND CORP.		
PRIME MERIDIAN RESOURCES CORP.		
PRIME MINERALS CORP.		
PRIME MINING CORP.		
PRIMELINE ENERGY HOLDINGS INC.		
PRIMENET COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
PRIMERICA BALANCED YIELD FUND		
PRIMERICA CANADIAN BALANCED GROWTH FUND		
PRIMERICA CANADIAN MONEY MARKET FUND		
PRIMERICA GLOBAL BALANCED GROWTH FUND		
PRIMERICA GLOBAL EQUITY FUND		
PRIMERICA INCOME FUND		
PRIMEWEST MORTGAGE INVESTMENT CORPORATION		
PRIMO NUTRACEUTICALS INC.		3
PRIMO WATER CORPORATION		
PRINCE RESOURCE CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
PRINCESS RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
PRINCETON MEDIA GROUP, INC.	ASC CTO	1a, 1b, 1c, 1e
PRINT VENTURES INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
PRINTERA CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PRIORITY VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e
PRISM RESOURCES INC.		
PRISZM INCOME FUND	ASC CTO	1a, 1b, 1c, 1e
PRIVITI OIL & GAS OPPORTUNITIES LIMITED PARTNERSHIP 2013		
PRIYANKA CAPITAL INC.		
PRIZE PUZZLE INC.	ASC CTO	1a, 1b, 1c, 1e
PRO MINERALS INC.	ASC CTO	1a, 1b, 1c, 1e
PRO REAL ESTATE INVESTMENT TRUST		
PRO TECH VENTURE CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
PROAM EXPLORATIONS CORPORATION		
PROBE EXPLORATION INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
PROBE METALS INC.		
PROBITY MINING 2019 SHORT DURATION FLOW-THROUGH LIMITED PARTNERSHIP - BRITISH COLUMBIA CLASS		

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PROBITY MINING 2019 SHORT DURATION FLOW-THROUGH LIMITED PARTNERSHIP - NATIONAL CLASS		
PROBITY MINING 2019 SHORT DURATION FLOW-THROUGH LIMITED PARTNERSHIP - QUEBEC CLASS		
PROBITY MINING 2019-II SHORT DURATION FLOW-THROUGH LIMITED PARTNERSHIP - BRITISH COLUMBIA CLASS		
PROBITY MINING 2019-II SHORT DURATION FLOW-THROUGH LIMITED PARTNERSHIP - NATIONAL CLASS		
PROBITY MINING 2019-II SHORT DURATION FLOW-THROUGH LIMITED PARTNERSHIP - QUEBEC CLASS		
PROBITY MINING 2020 SHORT DURATION FLOW-THROUGH LIMITED PARTNERSHIP - BRITISH COLUMBIA		
PROBITY MINING 2020 SHORT DURATION FLOW-THROUGH LIMITED PARTNERSHIP - NATIONAL CLASS		
PROBITY MINING 2020 SHORT DURATION FLOW-THROUGH LIMITED PARTNERSHIP - QUEBEC		
PROCESS CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
PRODIGY VENTURES INC.		
PRODUCTION ENHANCEMENT GROUP, INC.	ASC CTO	1a, 1b, 1c, 1e
PROFAB ENERGY SERVICES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
PROFIRE ENERGY, INC.		1g
PROFLEX LIMITED	ASC CTO	1a, 1b, 1c, 1e
PROFOUND MEDICAL CORP.		
PROGRESSIVE PLANET SOLUTIONS INC.		
PROJECT ONE RESOURCES LTD.		
PROMAX ENERGY INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
PROMINEX RESOURCE CORP.		1a, 1b, 1c, 1e
PROMIS NEUROSCIENCES INC.		
PRONTOFORMS CORPORATION		
PROPHECY ENTERTAINMENT INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PROPHECY POTASH CORP.		
PROPHOTONIX LIMITED	ASC CTO	1a, 1b, 1c, 1e
PROSALUTIS HOLDINGS INC.	Reciprocal CTO	1a, 1b, 1c, 1e
PROSEP INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
PROSMART ENTERPRISES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
PROSPECT PARK CAPITAL CORP.		
PROSPER GOLD CORP.		
PROSPERA ENERGY INC.		
PROSPERO SILVER CORP.		
PROSYS TECH CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PROTECH HOME MEDICAL CORP.		
PROTEUS ENVIRONMENTAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PRO-TRANS VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e

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PROVENANCE GOLD CORP.		
PROVEST RESOURCES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PROVIDENCE GOLD MINES INC.		
PROVIDENT VENTURES CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
PROVIGO INC.		
PSINAPTIC INC.	ASC CTO	1a, 1b, 1c, 1e
PUDO INC.		
PULSE OIL CORP.		
PULSE SEISMIC INC.		
PUMA EXPLORATION INC.		
PUNDATA GOLD CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PURA VIDA INTERNATIONAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PURE ENERGY MINERALS LIMITED		
PURE ENERGY VISIONS CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 3
PURE GLOBAL CANNABIS INC.		
PURE GOLD MINING INC.		
PURE LEAN INCORPORATED	ASC CTO	1a, 1b, 1c, 1e
PURE PIONEER VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e
PUREPOINT URANIUM GROUP INC.		
PURPOSE BEHAVIOURAL OPPORTUNITIES FUND		
PURPOSE BEST IDEAS FUND		
PURPOSE CANADIAN EQUITY GROWTH FUND		
PURPOSE CANADIAN FINANCIAL INCOME FUND		
PURPOSE CANADIAN INCOME GROWTH FUND		
PURPOSE CANADIAN PREFERRED SHARE FUND		
PURPOSE CASH MANAGEMENT PORTFOLIO		
PURPOSE CONSERVATIVE INCOME FUND		
PURPOSE CORE DIVIDEND FUND		
PURPOSE CORE EQUITY INCOME FUND		
PURPOSE CREDIT OPPORTUNITIES FUND		
PURPOSE DIVERSIFIED REAL ASSET FUND		
PURPOSE DURATION HEDGED REAL ESTATE FUND		
PURPOSE EMERGING MARKETS DIVIDEND FUND		
PURPOSE ENHANCED DIVIDEND FUND		
PURPOSE ENHANCED PREMIUM YIELD FUND		
PURPOSE FLOATING RATE INCOME FUND		
PURPOSE GLOBAL BOND CLASS		
PURPOSE GLOBAL BOND FUND		
PURPOSE GLOBAL DIVERSIFIED ETF FUND		

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PURPOSE GLOBAL INNOVATORS FUND		
PURPOSE GLOBAL RESOURCE FUND		
PURPOSE GOLD BULLION FUND		
PURPOSE HIGH INTEREST SAVINGS ETF		
PURPOSE INTERNATIONAL DIVIDEND FUND		
PURPOSE INTERNATIONAL TACTICAL HEDGED EQUITY FUND		
PURPOSE MARIJUANA OPPORTUNITIES FUND		
PURPOSE MONEY MARKET FUND		
PURPOSE MONTHLY INCOME FUND		
PURPOSE MULTI-ASSET INCOME FUND		
PURPOSE MULTI-STRATEGY MARKET NEUTRAL FUND		
PURPOSE PENSION PORTFOLIO FUND		
PURPOSE PREMIUM MONEY MARKET FUND		
PURPOSE PREMIUM YIELD FUND		
PURPOSE SILVER BULLION FUND		
PURPOSE SPECIAL OPPORTUNITIES FUND		
PURPOSE STRATEGIC YIELD FUND		
PURPOSE STRUCTURED EQUITY YIELD PORTFOLIO		
PURPOSE STRUCTURED EQUITY YIELD PORTFOLIO II		
PURPOSE TACTICAL ASSET ALLOCATION FUND		
PURPOSE TACTICAL BOND FUND		
PURPOSE TACTICAL HEDGED EQUITY FUND		
PURPOSE TOTAL RETURN BOND FUND		
PURPOSE US CASH ETF		
PURPOSE US DIVIDEND FUND		
PURPOSE US PREFERRED SHARE FUND		
PURSUIT AMERICAN FUND	ASC CTO	1a, 1b, 1c
PURSUIT CANADIAN EQUITY FUND	ASC CTO	1a, 1b, 1c
PURSUIT INCOME FUND	ASC CTO	1a, 1b, 1c
PURSUIT MONEY MARKET FUND	ASC CTO	1a, 1b, 1c
PUSHFOR INVESTMENTS INC.		1a, 1b, 1c, 1e
PUTNAM - IG HIGH YIELD INCOME POOL		
PYRAMID PETROLEUM INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
PYROGENESIS CANADA INC.		
Q/MEDIA SERVICES CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
Q2 GOLD RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
Q-ENTERTAINMENT INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
Q-GOLD RESOURCES LTD.		
QI SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e

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QMC QUANTUM MINERALS CORP.		
QMX GOLD CORPORATION		
QNETIX INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
QSOLAR LIMITED	ASC CTO	1a, 1b, 1c, 1e
QSOUND LABS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
Q-TEL WIRELESS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
QUAD ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
QUADRIGA FINTECH SOLUTIONS CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
QUADRO RESOURCES LTD.		
QUAIL RIDGE	ASC CTO	1a, 1b, 1c, 1e
QUANTA RESOURCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
QUANTITATIVE ALPHA TRADING INC.	ASC CTO	1a, 1b, 1c, 1e
QUANTUM BLOCKCHAIN TECHNOLOGIES LTD.		
QUANTUM COBALT CORP.		
QUANTUM FUEL SYSTEMS TECHNOLOGIES WORLDWIDE, INC.	ASC CTO	1a, 1c, 1d, 1e
QUANTUM NUMBERS CORP.		
QUARTERHILL INC.		
QUARTZ MOUNTAIN RESOURCES LTD.		
QUATERRA RESOURCES INC.		
QUATTRO EXPLORATION AND PRODUCTION LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
QUEBEC PRECIOUS METALS CORPORATION		
QUEBECOR INC.		
QUEEN STREET CAMERA INC.	ASC CTO	1a, 1b, 1c, 1e
QUEEN'S ROAD CAPITAL INVESTMENT LTD.		
QUEENSWAY FINANCIAL HOLDINGS LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
QUENDALE CAPITAL CORP.		
QUEST ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
QUEST PHARMATECH INC.		
QUESTCAP INC.		
QUESTERRE ENERGY CORPORATION		1k
QUESTFIRE ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
QUESTOR TECHNOLOGY INC.		
QUINSAM CAPITAL CORPORATION		
QUINTE BAY NO. 3 LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e, 1g
QUINTO RESOURCES INC.		
QUISITIVE TECHNOLOGY SOLUTIONS, INC		
QUIZAM MEDIA CORP.		
QUMANA SOFTWARE INC.	ASC CTO	1a, 1b, 1c, 1e
QUORUM EXPANSION CAPITAL FUND INC.		1a, 1b, 1c, 1d

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QUORUM INFORMATION TECHNOLOGIES INC.		
QURI-MAYU DEVELOPMENTS LTD.		
QWEST DIVERSIFIED CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
QWEST ENERGY CANADIAN RESOURCE CLASS		
QX METALS CORP.		
QYOU MEDIA INC.		1k
R F OIL INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
R T TOWER LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
R&R REAL ESTATE INVESTMENT TRUST		
R.M.P. DEVELOPPEMENTS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
R.R. DONNELLEY & SONS COMPANY		
RA MINERALS INC.		1a, 1b, 1c, 1e, 4
RACKLA METALS INC.		
RADBOURNE DEVELOPMENTS INC.		
RADIAL RESEARCH CORP.		
RADIANT ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e
RADICAL ELASTOMERS INC.	ASC CTO	1a, 1b, 1c, 1e
RADIENT TECHNOLOGIES INC.		
RADISSON MINING RESOURCES INC.		
RADIUS GOLD INC.		
RAGE ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
RAILTOWN AI TECHNOLOGIES INC.		
RAIN CITY RESOURCES INC.		
RAIN RESOURCES CORP.		
RAINDROP VENTURES INC.		
RAINTREE PROPERTIES	ASC CTO	1a, 1c, 1e
RAINY HOLLOW VENTURES INC.		
RAINY MOUNTAIN ROYALTY CORP.		
RAISE PRODUCTION INC.		
RAM PETROLEUMS LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
RAMBLER METALS AND MINING PLC		
RAMM PHARMA CORP.		
RAMPART ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
RANGE ENERGY INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
RANGE ENERGY RESOURCES INC.		
RANGE GOLD CORP.	ASC CTO	1a, 1b, 1c, 1e
RANGER CANYON ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
RAPID DOSE THERAPEUTICS CORP.		
RAPID RESOURCE CORPORATION	ASC CTO	1a, 1b, 1c, 1e

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RAPID SOLUTIONS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
RAPIDFIRE RESOURCES LTD	ASC CTO	1a, 1b, 1c, 1e, 1g, 1k
RARE ELEMENT RESOURCES LTD.		
RARE METHOD INTERACTIVE CORP.	ASC CTO	1a, 1b, 1c, 1e
RAT INTERNATIONAL (MKT) LTD.	ASC CTO	1a, 1b, 1c, 1e
RATHDOWNEY RESOURCES LTD.		
RAUDIN EXPLORATION INC.	ASC CTO	1a, 1b, 1c, 1e
RAVENCREST CONDOMINIUM HOTEL LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
RAVENHEAD RECOVERY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
RAVENSDEN CAPITAL INC.		
RAVENSOURCE FUND		
RAYONIER ADVANCED MATERIALS INC.		
RAZOR ENERGY CORP.		
RB ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e
RBC \$U.S. HIGH YIELD BOND FUND		
RBC \$U.S. INVESTMENT GRADE CORPORATE BOND FUND		
RBC \$U.S. MONEY MARKET FUND		
RBC \$U.S. SHORT TERM INCOME CLASS		
RBC \$U.S. SHORT-TERM CORPORATE BOND FUND		
RBC \$U.S. STRATEGIC INCOME BOND FUND		
RBC 1-5 YEAR LADDERED CANADIAN BOND ETF		
RBC 1-5 YEAR LADDERED CORPORATE BOND ETF		
RBC 6-10 YEAR LADDERED CANADIAN CORPORATE BOND ETF		
RBC ASIA PACIFIC EX-JAPAN EQUITY FUND		
RBC ASIAN EQUITY FUND		
RBC BALANCED FUND		
RBC BALANCED GROWTH & INCOME CLASS		
RBC BALANCED GROWTH & INCOME FUND		
RBC BLUEBAY GLOBAL DIVERSIFIED INCOME (CAD HEDGED) ETF		
RBC BOND FUND		
RBC CANADIAN BANK YIELD INDEX ETF		
RBC CANADIAN BOND INDEX FUND		
RBC CANADIAN DISCOUNT BOND ETF		
RBC CANADIAN DIVIDEND CLASS		
RBC CANADIAN DIVIDEND FUND		
RBC CANADIAN EQUITY CLASS		
RBC CANADIAN EQUITY FUND		
RBC CANADIAN EQUITY INCOME CLASS		
RBC CANADIAN EQUITY INCOME FUND		

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RBC CANADIAN GOVERNMENT BOND INDEX FUND		
RBC CANADIAN INDEX FUND		
RBC CANADIAN MID-CAP EQUITY CLASS		
RBC CANADIAN MONEY MARKET FUND		
RBC CANADIAN PREFERRED SHARE ETF		
RBC CANADIAN SHORT-TERM INCOME FUND		
RBC CANADIAN SMALL & MID-CAP RESOURCES FUND		
RBC CANADIAN T-BILL FUND		
RBC CHINA EQUITY FUND		
RBC CONSERVATIVE BOND POOL		
RBC CONSERVATIVE GROWTH & INCOME FUND		
RBC CORE BOND POOL		
RBC CORE PLUS BOND POOL		
RBC EMERGING MARKETS BALANCED FUND		
RBC EMERGING MARKETS BOND FUND		
RBC EMERGING MARKETS BOND FUND (CAD HEDGED)		
RBC EMERGING MARKETS DIVIDEND FUND		
RBC EMERGING MARKETS EQUITY CLASS		
RBC EMERGING MARKETS EQUITY FOCUS FUND		
RBC EMERGING MARKETS EQUITY FUND		
RBC EMERGING MARKETS FOREIGN EXCHANGE FUND		
RBC EMERGING MARKETS MULTI-STRATEGY EQUITY FUND		
RBC EMERGING MARKETS SMALL-CAP EQUITY FUND		
RBC EUROPEAN DIVIDEND FUND		
RBC EUROPEAN EQUITY CLASS		
RBC EUROPEAN EQUITY FUND		
RBC EUROPEAN MID-CAP EQUITY FUND		
RBC GLOBAL ALL-EQUITY PORTFOLIO		
RBC GLOBAL BALANCED FUND		
RBC GLOBAL BALANCED PORTFOLIO		
RBC GLOBAL BOND & CURRENCY FUND		
RBC GLOBAL BOND FUND		
RBC GLOBAL CONSERVATIVE PORTFOLIO		
RBC GLOBAL CORPORATE BOND FUND		
RBC GLOBAL DIVIDEND GROWTH CURRENCY NEUTRAL FUND		
RBC GLOBAL DIVIDEND GROWTH FUND		
RBC GLOBAL ENERGY FUND		
RBC GLOBAL EQUITY CLASS		
RBC GLOBAL EQUITY FOCUS CURRENCY NEUTRAL FUND		

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RBC GLOBAL EQUITY FOCUS FUND		
RBC GLOBAL EQUITY FUND		
RBC GLOBAL GROWTH & INCOME FUND		
RBC GLOBAL GROWTH PORTFOLIO		
RBC GLOBAL HIGH YIELD BOND FUND		
RBC GLOBAL PRECIOUS METALS FUND		
RBC GLOBAL RESOURCES CLASS		
RBC GLOBAL RESOURCES FUND		
RBC GLOBAL TECHNOLOGY FUND		
RBC GLOBAL VERY CONSERVATIVE PORTFOLIO		
RBC HIGH YIELD BOND FUND		
RBC INTERNATIONAL DIVIDEND GROWTH FUND		
RBC INTERNATIONAL EQUITY CLASS		
RBC INTERNATIONAL EQUITY CURRENCY NEUTRAL FUND		
RBC INTERNATIONAL EQUITY FUND		
RBC INTERNATIONAL INDEX CURRENCY NEUTRAL FUND		
RBC JAPANESE EQUITY FUND		
RBC LIFE SCIENCE AND TECHNOLOGY FUND		
RBC MANAGED PAYOUT SOLUTION		
RBC MANAGED PAYOUT SOLUTION - ENHANCED		
RBC MANAGED PAYOUT SOLUTION - ENHANCED PLUS		
RBC MONTHLY INCOME BOND FUND		
RBC MONTHLY INCOME FUND		
RBC NORTH AMERICAN GROWTH FUND		
RBC NORTH AMERICAN VALUE CLASS		
RBC NORTH AMERICAN VALUE FUND		
RBC O'SHAUGHNESSY ALL-CANADIAN EQUITY FUND		
RBC O'SHAUGHNESSY CANADIAN EQUITY FUND		
RBC O'SHAUGHNESSY GLOBAL EQUITY FUND		
RBC O'SHAUGHNESSY INTERNATIONAL EQUITY FUND		
RBC O'SHAUGHNESSY U.S. GROWTH FUND		
RBC O'SHAUGHNESSY U.S. GROWTH FUND II		
RBC O'SHAUGHNESSY U.S. VALUE FUND		
RBC O'SHAUGHNESSY U.S. VALUE FUND (UNHEDGED)		
RBC PH&N SHORT TERM CANADIAN BOND ETF		
RBC PREMIUM \$U.S. MONEY MARKET FUND		
RBC PREMIUM MONEY MARKET FUND		
RBC PRIVATE CANADIAN CORPORATE BOND POOL		
RBC PRIVATE CANADIAN DIVIDEND POOL		

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RBC PRIVATE CANADIAN EQUITY POOL		
RBC PRIVATE CANADIAN GROWTH EQUITY POOL		
RBC PRIVATE CANADIAN MID-CAP EQUITY POOL		
RBC PRIVATE EAFE EQUITY POOL		
RBC PRIVATE FUNDAMENTAL CANADIAN EQUITY POOL		
RBC PRIVATE INCOME POOL		
RBC PRIVATE OVERSEAS EQUITY POOL		
RBC PRIVATE SHORT-TERM INCOME POOL		
RBC PRIVATE U.S. GROWTH EQUITY POOL		
RBC PRIVATE U.S. LARGE-CAP CORE EQUITY CURRENCY NEUTRAL POOL		
RBC PRIVATE U.S. LARGE-CAP CORE EQUITY POOL		
RBC PRIVATE U.S. LARGE-CAP VALUE EQUITY CURRENCY NEUTRAL POOL		
RBC PRIVATE U.S. LARGE-CAP VALUE EQUITY POOL		
RBC PRIVATE U.S. SMALL-CAP EQUITY POOL		
RBC PRIVATE WORLD EQUITY POOL		
RBC QUANT CANADIAN DIVIDEND LEADERS ETF		
RBC QUANT CANADIAN EQUITY LEADERS ETF		
RBC QUANT EAFE DIVIDEND LEADERS (CAD HEDGED) ETF		
RBC QUANT EAFE DIVIDEND LEADERS ETF		
RBC QUANT EAFE EQUITY LEADERS (CAD HEDGED) ETF		
RBC QUANT EAFE EQUITY LEADERS ETF		
RBC QUANT EMERGING MARKETS DIVIDEND LEADERS ETF		
RBC QUANT EMERGING MARKETS EQUITY LEADERS ETF		
RBC QUANT EUROPEAN DIVIDEND LEADERS (CAD HEDGED) ETF		
RBC QUANT EUROPEAN DIVIDEND LEADERS ETF		
RBC QUANT GLOBAL INFRASTRUCTURE LEADERS ETF		
RBC QUANT GLOBAL REAL ESTATE LEADERS ETF		
RBC QUANT U.S. DIVIDEND LEADERS (CAD HEDGED) ETF		
RBC QUANT U.S. DIVIDEND LEADERS ETF		
RBC QUANT U.S. EQUITY LEADERS (CAD HEDGED) ETF		
RBC QUANT U.S. EQUITY LEADERS ETF		
RBC QUBE ALL COUNTRY WORLD EQUITY FUND		
RBC QUBE CANADIAN EQUITY FUND		
RBC QUBE GLOBAL EQUITY FUND		
RBC QUBE LOW VOLATILITY ALL COUNTRY WORLD EQUITY FUND		
RBC QUBE LOW VOLATILITY CANADIAN EQUITY CLASS		
RBC QUBE LOW VOLATILITY CANADIAN EQUITY FUND		
RBC QUBE LOW VOLATILITY EMERGING MARKETS EQUITY FUND		

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RBC QUBE LOW VOLATILITY GLOBAL EQUITY CLASS		
RBC QUBE LOW VOLATILITY GLOBAL EQUITY CURRENCY NEUTRAL FUND		
RBC QUBE LOW VOLATILITY GLOBAL EQUITY FUND		
RBC QUBE LOW VOLATILITY U.S. EQUITY CLASS		
RBC QUBE LOW VOLATILITY U.S. EQUITY CURRENCY NEUTRAL FUND		
RBC QUBE LOW VOLATILITY U.S. EQUITY FUND		
RBC QUBE U.S. EQUITY FUND		
RBC RETIREMENT 2020 PORTFOLIO		
RBC RETIREMENT 2025 PORTFOLIO		
RBC RETIREMENT 2030 PORTFOLIO		
RBC RETIREMENT 2035 PORTFOLIO		
RBC RETIREMENT 2040 PORTFOLIO		
RBC RETIREMENT 2045 PORTFOLIO		
RBC RETIREMENT 2050 PORTFOLIO		
RBC RETIREMENT INCOME SOLUTION		
RBC SELECT AGGRESSIVE GROWTH PORTFOLIO		
RBC SELECT BALANCED PORTFOLIO		
RBC SELECT CHOICES AGGRESSIVE GROWTH PORTFOLIO		
RBC SELECT CHOICES BALANCED PORTFOLIO		
RBC SELECT CHOICES CONSERVATIVE PORTFOLIO		
RBC SELECT CHOICES GROWTH PORTFOLIO		
RBC SELECT CONSERVATIVE PORTFOLIO		
RBC SELECT GROWTH PORTFOLIO		
RBC SELECT VERY CONSERVATIVE PORTFOLIO		
RBC SHORT TERM INCOME CLASS		
RBC SHORT TERM U.S. CORPORATE BOND ETF		
RBC STRATEGIC GLOBAL DIVIDEND LEADERS ETF		
RBC STRATEGIC GLOBAL EQUITY LEADERS ETF		
RBC STRATEGIC INCOME BOND FUND		
RBC TARGET 2020 CORPORATE BOND INDEX ETF		
RBC TARGET 2020 EDUCATION FUND		
RBC TARGET 2021 CORPORATE BOND INDEX ETF		
RBC TARGET 2022 CORPORATE BOND INDEX ETF		
RBC TARGET 2023 CORPORATE BOND INDEX ETF		
RBC TARGET 2024 CORPORATE BOND INDEX ETF		
RBC TARGET 2025 CORPORATE BOND INDEX ETF		
RBC TARGET 2025 EDUCATION FUND		
RBC TARGET 2030 EDUCATION FUND		
RBC TARGET 2035 EDUCATION FUND		

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RBC TREND CANADIAN EQUITY FUND		
RBC U.S. BANKS YIELD (CAD HEDGED) INDEX ETF		
RBC U.S. BANKS YIELD INDEX ETF		
RBC U.S. DIVIDEND CLASS		
RBC U.S. DIVIDEND CURRENCY NEUTRAL FUND		
RBC U.S. DIVIDEND FUND		
RBC U.S. EQUITY CLASS		
RBC U.S. EQUITY CURRENCY NEUTRAL FUND		
RBC U.S. EQUITY FUND		
RBC U.S. EQUITY VALUE CLASS		
RBC U.S. EQUITY VALUE FUND		
RBC U.S. INDEX CURRENCY NEUTRAL FUND		
RBC U.S. INDEX FUND		
RBC U.S. MID-CAP GROWTH EQUITY CURRENCY NEUTRAL FUND		
RBC U.S. MID-CAP GROWTH EQUITY FUND		
RBC U.S. MID-CAP VALUE EQUITY CLASS		
RBC U.S. MID-CAP VALUE EQUITY FUND		
RBC U.S. MONTHLY INCOME FUND		
RBC U.S. SMALL-CAP CORE EQUITY CLASS		
RBC U.S. SMALL-CAP CORE EQUITY FUND		
RBC U.S. SMALL-CAP VALUE EQUITY FUND		
RBC VISION BALANCED FUND		
RBC VISION BOND FUND		
RBC VISION CANADIAN EQUITY FUND		
RBC VISION FOSSIL FUEL FREE GLOBAL EQUITY FUND		
RBC VISION GLOBAL EQUITY FUND		
RBC VISION WOMEN'S LEADERSHIP MSCI CANADA INDEX ETF		
RBI VENTURES LTD.		3
RCI CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
RDG MINERALS INC.	ASC CTO	1a, 1b, 1c, 1e
RDX TECHNOLOGIES CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 2a
RE ROYALTIES LTD.		
REA GOLD CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
REAL DEL MONTE MINING CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
REAL DIFFERENCE CAPITAL INC.		
REAL ESTATE INVESTMENT CORPORATE CLASS		
REAL ESTATE INVESTMENT POOL		
REAL MATTERS INC.		
REAL RETURN BOND FUND		

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REAL TIME MEASUREMENTS INC.	ASC CTO	1a, 1b, 1c, 1e
REALIA PROPERTIES INC.		
REALM GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
REALNORTH OPPORTUNITIES FUND		
REBEL CAPITAL 2.0 CORP.		
REBEL CAPITAL INC.		
RECEPTAGEN LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
RECIPE UNLIMITED CORPORATION		
RECO CENTRAL ALBERTA INC.		
RECO INTERNATIONAL GROUP INC.		
RECO SOUTHERN ALBERTA INC.		
RECONNAISSANCE ENERGY AFRICA LTD.		
RED CRESCENT RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e
RED EAGLE MINING CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1d, 1e
RED LAKE GOLD INC.		
RED MOON RESOURCES INC.		
RED OAK MINING CORP.		
RED PINE EXPLORATION INC.		
RED PINE PETROLEUM LTD.		
RED RIVER CAPITAL CORP.		
RED ROCK CAPITAL CORP.		
RED TIGER MINING INC.	Reciprocal CTO	1a, 1b, 1c, 1e
REDCORP VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
REDECO ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
REDEKOP PROPERTIES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
REDEX INC.	ASC CTO	1a, 1b, 1c, 1e
REDFUND CAPITAL CORP.		
REDISHRED CAPITAL CORP.		
REDLINE COMMUNICATIONS GROUP INC.		
REDSTAR GOLD CORP.		
REDWATER ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
REED LEISURE LIBRARY	ASC CTO	1a, 1b, 1c, 1e
REED TRAVEL LIBRARY	ASC CTO	1a, 1b, 1c, 1e
REEF RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
REEFLEX PETROLEUM TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
REGAL CONSOLIDATED VENTURES LIMITED	ASC CTO	1a, 1b, 1c, 1e
REGAL RESOURCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
REGENT PACIFIC PROPERTIES INC.		
REGENT VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e

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REGULUS RESOURCES INC.		
REIGATE INC.	ASC CTO	1a, 1b, 1c, 1e
REKO INTERNATIONAL GROUP INC.		
RELAX HOTELS WINDSOR 1988 LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
RELAX INNS BURLINGTON WINDSOR PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
RELAY MEDICAL CORP.		
RELEVUM TECHNOLOGIES INC.		
RELIQ HEALTH TECHNOLOGIES INC.		
REMBRANDT GOLD MINES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
REMINGTON RESOURCES INC.		
REMWORKS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
RENAISSANCE CANADIAN ALL-CAP EQUITY FUND		
RENAISSANCE CANADIAN BALANCED FUND		
RENAISSANCE CANADIAN BOND FUND		
RENAISSANCE CANADIAN CORE VALUE FUND		
RENAISSANCE CANADIAN DIVIDEND FUND		
RENAISSANCE CANADIAN EQUITY PRIVATE POOL		
RENAISSANCE CANADIAN FIXED INCOME PRIVATE POOL		
RENAISSANCE CANADIAN GROWTH FUND		
RENAISSANCE CANADIAN MONTHLY INCOME FUND		
RENAISSANCE CANADIAN SMALL-CAP FUND		
RENAISSANCE CANADIAN T-BILL FUND		
RENAISSANCE CHINA PLUS FUND		
RENAISSANCE DIVERSIFIED INCOME FUND		
RENAISSANCE EMERGING MARKETS EQUITY PRIVATE POOL		
RENAISSANCE EMERGING MARKETS FUND		
RENAISSANCE EQUITY INCOME PRIVATE POOL		
RENAISSANCE FLEXIBLE YIELD FUND		
RENAISSANCE FLOATING RATE INCOME FUND		
RENAISSANCE GLOBAL BOND FUND		
RENAISSANCE GLOBAL BOND PRIVATE POOL		
RENAISSANCE GLOBAL EQUITY PRIVATE POOL		
RENAISSANCE GLOBAL FOCUS CURRENCY NEUTRAL FUND		
RENAISSANCE GLOBAL FOCUS FUND		
RENAISSANCE GLOBAL GROWTH CURRENCY NEUTRAL FUND		
RENAISSANCE GLOBAL GROWTH FUND		
RENAISSANCE GLOBAL HEALTH CARE FUND		
RENAISSANCE GLOBAL INFRASTRUCTURE CURRENCY NEUTRAL FUND		
RENAISSANCE GLOBAL INFRASTRUCTURE FUND		

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RENAISSANCE GLOBAL MARKETS FUND		
RENAISSANCE GLOBAL REAL ESTATE CURRENCY NEUTRAL FUND		
RENAISSANCE GLOBAL REAL ESTATE FUND		
RENAISSANCE GLOBAL SCIENCE & TECHNOLOGY FUND		
RENAISSANCE GLOBAL SMALL-CAP FUND		
RENAISSANCE GLOBAL VALUE FUND		
RENAISSANCE GOLD INC.		
RENAISSANCE HIGH INCOME FUND		
RENAISSANCE HIGH-YIELD BOND FUND		
RENAISSANCE INTERNATIONAL DIVIDEND FUND		
RENAISSANCE INTERNATIONAL EQUITY CURRENCY NEUTRAL FUND		
RENAISSANCE INTERNATIONAL EQUITY FUND		
RENAISSANCE INTERNATIONAL EQUITY PRIVATE POOL		
RENAISSANCE MONEY MARKET FUND		
RENAISSANCE MULTI-ASSET GLOBAL BALANCED INCOME PRIVATE POOL		
RENAISSANCE MULTI-ASSET GLOBAL BALANCED PRIVATE POOL		
RENAISSANCE MULTI-SECTOR FIXED INCOME PRIVATE POOL		
RENAISSANCE OIL CORP.		
RENAISSANCE OPTIMAL CONSERVATIVE INCOME PORTFOLIO		
RENAISSANCE OPTIMAL GLOBAL EQUITY CURRENCY NEUTRAL PORTFOLIO		
RENAISSANCE OPTIMAL GLOBAL EQUITY PORTFOLIO		
RENAISSANCE OPTIMAL GROWTH & INCOME PORTFOLIO		
RENAISSANCE OPTIMAL INCOME PORTFOLIO		
RENAISSANCE OPTIMAL INFLATION OPPORTUNITIES PORTFOLIO		
RENAISSANCE REAL ASSETS PRIVATE POOL		
RENAISSANCE REAL RETURN BOND FUND		
RENAISSANCE SHORT-TERM INCOME FUND		
RENAISSANCE U.S. DOLLAR CORPORATE BOND FUND		
RENAISSANCE U.S. DOLLAR DIVERSIFIED INCOME FUND		
RENAISSANCE U.S. EQUITY CURRENCY NEUTRAL PRIVATE POOL		
RENAISSANCE U.S. EQUITY FUND		
RENAISSANCE U.S. EQUITY GROWTH CURRENCY NEUTRAL FUND		
RENAISSANCE U.S. EQUITY GROWTH FUND		
RENAISSANCE U.S. EQUITY INCOME FUND		
RENAISSANCE U.S. EQUITY PRIVATE POOL		
RENAISSANCE U.S. EQUITY VALUE FUND		
RENAISSANCE U.S. MONEY MARKET FUND		
RENAISSANCE ULTRA SHORT-TERM INCOME PRIVATE POOL		

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Name	Cease Traded	Nature of Default
RENCO RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
RENFIELD ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
RENOWORKS SOFTWARE INC.		
RENTOWN ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e
REOCITO CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e, 3
REPARO ENERGY PARTNERS CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k, 3
REPEATSEAT LTD.	ASC CTO	1a, 1b, 1c, 1e
REPLICEL LIFE SCIENCES INC.		
REPUBLIC GOLDFIELDS INC.	ASC CTO	1a, 1b, 1c, 1e
RESAAS SERVICES INC.		
RESERVOIR CAPITAL CORP.		
RESINCO CAPITAL PARTNERS INC.		
RESOLUTE FOREST PRODUCTS INC.		
RESOLVE VENTURES INC.		
RESORTS UNLIMITED MANAGEMENT INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
RESOURCE CAPITAL GOLD CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
RESSOURCES APPALACHES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
RESTAURANT BRANDS INTERNATIONAL INC.		
RESTAURANT BRANDS INTERNATIONAL LIMITED PARTNERSHIP		
RESVERLOGIX CORP.		
RETURN ENERGY INC.		
REUNION GOLD CORPORATION		
REVELO RESOURCES CORP.		
REVERE COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
REVIVAL GOLD INC.		
REVIVE THERAPEUTICS LTD.		
REVOLUGROUP CANADA INC.		
REWARDSTREAM SOLUTIONS INC.		
REX FURS INC.	ASC CTO	1a, 1b, 1c, 1e
REX SILVER MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
RG ONE CORP.		
RHAND INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
RHN RECREATIONAL ENTERPRISES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
RHONDA CORPORATION	ASC CTO	1a, 1b, 1c, 1e
RHYOLITE RESOURCES LTD.		
RICHARDS PACKAGING INCOME FUND		
RICHCO INVESTORS INC.		
RICHELIEU HARDWARE LTD.		
RICHFIELD EXPLORATIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g

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RICHMARK RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
RICHMOND MINERALS INC.		
RICHMOND ROAD CAPITAL CORP.		
RICHTREE INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
RICHWEST HOLDINGS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
RIDER INVESTMENT CAPITAL CORP.		
RIDGE LAND PROPERTIES LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
RIDGESTONE MINING INC.		
RIDGEWOOD CANADIAN BOND FUND		
RIDGEWOOD CANADIAN INVESTMENT GRADE BOND FUND		
RIDGEWOOD GARDENS	ASC CTO	1a, 1b, 1c, 1e
RIDGEWOOD TACTICAL YIELD FUND		
RIFCO INC.		
RIFT RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
RIFT VALLEY RESOURCES CORP.		
RIGEL TECHNOLOGIES INC.		
RIGHTSCORP, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
RILEY RESOURCES CORP.		
RING SIGHTS WORLDWIDE INC.	ASC CTO	1a, 1b, 1c, 1e
RIO NEVADA ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
RIO SILVER INC.		
RIO VERDE INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
RIO2 LIMITED		
RIOCAN REAL ESTATE INVESTMENT TRUST		
RIOSUN RESOURCES CORP	ASC CTO	1a, 1b, 1c, 1e
RISE GOLD CORP.		
RISE LIFE SCIENCE CORP.		
RISETECH CAPITAL CORP.		
RISKE CAPITAL CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
RITCHIE BROS. AUCTIONEERS INCORPORATED		
RIVER PARK ESTATES PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
RIVERSIDE RESOURCES INC.		
RIZAL RESOURCES CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e
RJK EXPLORATIONS LTD.		
RJP ELECTRONICS LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
RKW STANDARD BRED LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
RM TRACKING CANADA LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
RMMI CORP.		
RMR SCIENCE TECHNOLOGIES INC.		

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ROADMAN INVESTMENTS CORP.		
ROADOR INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
ROBEX RESOURCES INC.		
ROBINSON LITTLE & COMPANY LIMITED	ASC CTO	1a, 1b, 1c, 1e
ROBIX ENVIRONMENTAL TECHNOLOGIES, INC.	ASC CTO	1a, 1b, 1c, 1e
ROBSON PETROLEUM LTD.	ASC CTO	1a, 1b, 1c, 1e
ROCA MINES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
ROCHESTER RESOURCES LTD.		
ROCK TECH LITHIUM INC.		
ROCKCLIFF METALS CORPORATION		
ROCKEX MINING CORPORATION		
ROCKFORD TECHNOLOGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
ROCKHAVEN RESOURCES LTD.		
ROCKRIDGE RESOURCES LTD.		
ROCKSHIELD ACQUISITION CORP.		
ROCKSHIELD CAPITAL CORP.		
ROCKSHIELD OPPORTUNITIES CORP.		
ROCKVALE RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
ROCKWEALTH RESOURCES CORP.		
ROCKWELL DIAMONDS INC.	Reciprocal CTO	1a, 1b, 1c, 1e, 3
ROCKY MOUNTAIN BRANDS, INC.	ASC CTO	1a, 1b, 1c, 1e
ROCKY MOUNTAIN DEALERSHIPS INC.		
ROCKY MOUNTAIN INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
ROCKY MOUNTAIN LIQUOR INC.		
ROCKY VALLEY RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
RODIN COMMUNICATIONS CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
RODINIA OIL CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
ROGERS ASSOCIATE FINANCIAL PARTNERS INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
ROGERS COMMUNICATIONS INC.		
ROGERS SUGAR INC.		
ROGUE RESOURCES INC.		
ROJO RESOURCES LTD.		
ROK RESOURCES INC.		
ROKMASTER RESOURCES CORP.		
ROMAN CORPORATION LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e
ROMC TRUST		
ROME RESOURCES LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
ROMIOS GOLD RESOURCES INC.		
RONIN RESOURCE CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g

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ROOSEVELT CAPITAL GROUP INC.		
ROOSTER ENERGY LTD.		1a, 1b, 1c, 1e, 1k
ROOTS CORPORATION		
ROREX EXPLORATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
ROSCAN GOLD CORPORATION		
ROSEHEARTY ENERGY INC.		
ROSS RIVER MINERALS INC.		
ROSSITER MINING CORP.		
ROTONDA VENTURES CORP.	Reciprocal CTO	1b, 1c, 1e
ROUGH RIDER EXPLORATION LIMITED		
ROUTE1 INC.		
ROUTEMASTER CAPITAL INC.		
ROVER METALS CORP.		
ROXGOLD INC.		
ROXWELL GOLD MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
ROYAL BANK OF CANADA		
ROYAL COAL CORP.	ASC CTO	1a, 1b, 1c, 1e
ROYAL GOLD MINING INC.		
ROYAL GOLD, INC.		
ROYAL HELIUM LTD.		
ROYAL NICKEL CORPORATION		
ROYAL ROAD MINERALS LIMITED		
ROYAL STANDARD MINERALS INC.		
ROYAL VIEW APARTMENTS	ASC CTO	1a, 1b, 1c, 1e
ROYALTY NORTH PARTNERS LTD.		
ROYCEFIELD RESOURCES LTD	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
ROZDIL CAPITAL CORPORATION		
RP ALTERNATIVE GLOBAL BOND FUND		
RP STRATEGIC INCOME PLUS FUND		
RPV INDUSTRIES (CANADA) INC.	ASC CTO	1a, 1b, 1c, 1e
RSI INTERNATIONAL SYSTEMS INC		
RT MINERALS CORP.		
RTG MINING INC.		
RTICA CORPORATION	ASC CTO	1a, 1b, 1c, 1e
RUBICON MINERALS CORPORATION		
RUBICON ORGANICS INC.		
RUGBY MINING LIMITED		
RUNNING	ASC CTO	1a, 1b, 1c, 1e
RUNNING FOX RESOURCE CORP.		

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RUPERT RESOURCES LTD.		
RURALCOM NETWORKS CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
RUSH EXPLORATION INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
RUSORO MINING LTD.		
RUSSEL METALS INC.		
RUSSELL INVESTMENTS BALANCED		
RUSSELL INVESTMENTS BALANCED CLASS		
RUSSELL INVESTMENTS BALANCED GROWTH		
RUSSELL INVESTMENTS BALANCED GROWTH CLASS		
RUSSELL INVESTMENTS CANADIAN CASH FUND		
RUSSELL INVESTMENTS CANADIAN DIVIDEND CLASS		
RUSSELL INVESTMENTS CANADIAN DIVIDEND POOL		
RUSSELL INVESTMENTS CANADIAN EQUITY CLASS		
RUSSELL INVESTMENTS CANADIAN EQUITY POOL		
RUSSELL INVESTMENTS CANADIAN FIXED INCOME FUND		
RUSSELL INVESTMENTS CONSERVATIVE INCOME		
RUSSELL INVESTMENTS CONSERVATIVE INCOME CLASS		
RUSSELL INVESTMENTS DIVERSIFIED MONTHLY INCOME		
RUSSELL INVESTMENTS DIVERSIFIED MONTHLY INCOME CLASS		
RUSSELL INVESTMENTS EMERGING MARKETS EQUITY CLASS		
RUSSELL INVESTMENTS EMERGING MARKETS EQUITY POOL		
RUSSELL INVESTMENTS ESG GLOBAL EQUITY POOL		
RUSSELL INVESTMENTS FIXED INCOME CLASS		
RUSSELL INVESTMENTS FIXED INCOME POOL		
RUSSELL INVESTMENTS FOCUSED CANADIAN EQUITY CLASS		
RUSSELL INVESTMENTS FOCUSED CANADIAN EQUITY POOL		
RUSSELL INVESTMENTS FOCUSED GLOBAL EQUITY CLASS		
RUSSELL INVESTMENTS FOCUSED GLOBAL EQUITY POOL		
RUSSELL INVESTMENTS FOCUSED US EQUITY CLASS		
RUSSELL INVESTMENTS FOCUSED US EQUITY POOL		
RUSSELL INVESTMENTS GLOBAL CREDIT CLASS		
RUSSELL INVESTMENTS GLOBAL CREDIT POOL		
RUSSELL INVESTMENTS GLOBAL EQUITY CLASS		
RUSSELL INVESTMENTS GLOBAL EQUITY POOL		
RUSSELL INVESTMENTS GLOBAL INFRASTRUCTURE CLASS		
RUSSELL INVESTMENTS GLOBAL INFRASTRUCTURE POOL		
RUSSELL INVESTMENTS GLOBAL REAL ESTATE POOL		
RUSSELL INVESTMENTS GLOBAL SMALLER COMPANIES CLASS		
RUSSELL INVESTMENTS GLOBAL SMALLER COMPANIES POOL		

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RUSSELL INVESTMENTS GLOBAL UNCONSTRAINED BOND CLASS		
RUSSELL INVESTMENTS GLOBAL UNCONSTRAINED BOND POOL		
RUSSELL INVESTMENTS INCOME ESSENTIALS		
RUSSELL INVESTMENTS INCOME ESSENTIALS CLASS		
RUSSELL INVESTMENTS INFLATION LINKED BOND FUND		
RUSSELL INVESTMENTS LONG-TERM GROWTH		
RUSSELL INVESTMENTS LONG-TERM GROWTH CLASS		
RUSSELL INVESTMENTS MONEY MARKET CLASS		
RUSSELL INVESTMENTS MONEY MARKET POOL		
RUSSELL INVESTMENTS MULTI-FACTOR CANADIAN EQUITY POOL		
RUSSELL INVESTMENTS MULTI-FACTOR GLOBAL BALANCED		
RUSSELL INVESTMENTS MULTI-FACTOR INTERNATIONAL EQUITY POOL		
RUSSELL INVESTMENTS MULTI-FACTOR US EQUITY POOL		
RUSSELL INVESTMENTS OVERSEAS EQUITY CLASS		
RUSSELL INVESTMENTS OVERSEAS EQUITY FUND		
RUSSELL INVESTMENTS OVERSEAS EQUITY POOL		
RUSSELL INVESTMENTS REAL ASSETS		
RUSSELL INVESTMENTS SHORT TERM INCOME CLASS		
RUSSELL INVESTMENTS SHORT TERM INCOME POOL		
RUSSELL INVESTMENTS US EQUITY CLASS		
RUSSELL INVESTMENTS US EQUITY POOL		
RUSSELL INVESTMENTS YIELD OPPORTUNITIES POOL		
RUTEL NETWORKS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
RYM CAPITAL CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
RYSTAR COMMUNICATIONS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
RYU APPAREL INC.		
S & M PHOTOLABELS INC.	ASC CTO	1a, 1b, 1c, 1e
S M A SOCIETE DE MATHEMATIQUES APPLIQU	ASC CTO	1a, 1b, 1c, 1e
S SPLIT CORP.		
S.C.O. MEDALLION HEALTHY HOMES LTD.	ASC CTO	1a, 1b, 1c, 1e
SABINA GOLD & SILVER CORP.		
SABLE RESOURCES LTD.		
SACKPORT VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
SACO SMARTVISION INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
SACRE-COEUR MINERALS, LTD.	ASC CTO	1a, 1b, 1c, 1e
SADDLEBACK RIDGE MANOR PHASE I	ASC CTO	1a, 1b, 1c, 1e
SAFE ENVIRONMENT ENGINEERING CANADA INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SAFEBRAIN SYSTEMS, INC.	ASC CTO	1d, 4

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SAFEGUARD SECURITY HOLDINGS, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
SAGE GOLD INC.	Reciprocal CTO	1a, 1b, 1c, 1e, 3
SAGICOR FINANCIAL COMPANY LTD.		1b, 1c, 1e
SAGRES ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
SAGUARO HOLDINGS CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e
SAHARA ENERGY LTD.		
SAILFISH ROYALTY CORP.		
SAINT JEAN CARBON INC.		
SALAZAR RESOURCES LIMITED		
SALISH TRAIL VINEYARDS LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
SALMON RIVER RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
SAMA RESOURCES INC.		
SAMCO GOLD LIMITED		
SAMENA RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e
SAMEX MINING CORP.	ASC CTO	1a, 1b, 1c, 1e
SAMOTH OILFIELD INC.		
SAMSPORTS.COM INC	ASC CTO	1a, 1b, 1c, 1e, 1g
SAMSYS TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
SAN GOLD CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e
SAN MARCO RESOURCES INC.		
SANATANA RESOURCES INC.		
SANDFIRE RESOURCES AMERICA INC.		3
SANDHURST RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e
SANDOIL RECOVERY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
SANDSTORM GOLD LTD.		
SANGOMA TECHNOLOGIES CORPORATION		
SANIBEL VENTURES CORP.		
SANTA FE METALS CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e
SANTA ROSA RESOURCES CORP.		
SANTACRUZ SILVER MINING LTD.		
SAPUTO INC.		
SARAMA RESOURCES LTD.		
SARCEE TRAIL PLACE	ASC CTO	1a, 1b, 1c, 1e
SARMENT HOLDING LIMITED		
SASKATCHEWAN TRUST COMPANY	ASC CTO	1a, 1b, 1c, 1e
SASKATOON SQUARE LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e, 1g
SASKATOON TOWER	ASC CTO	1a, 1b, 1c, 1e
SASSY RESOURCES CORPORATION		
SASTEX PETRO MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1e

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SATORI RESOURCES INC.		
SATURN (SOLUTIONS) INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
SATURN OIL & GAS INC.		
SAVANNA CAPITAL CORP.		
SAVARIA CORPORATION		
SAVILLE RESOURCES INC.		
SAVOY MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1e
SAXON OIL COMPANY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
SBD CAPITAL CORP.		
SCAFFOLD CONNECTION CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
SCANDIUM INTERNATIONAL MINING CORP.		
SCENE II HEALTH CARE FILMS 1981	ASC CTO	1a, 1b, 1c, 1e
SCEPTRE VENTURES INC.		
SCHEER ENERGY DEVELOPMENT CORPORATION	ASC CTO	1a, 1b, 1c, 1e
SCHOMBURG INDUSTRIES (CANADA) INC.	ASC CTO	1a, 1b, 1c, 1e
SCHOONER CAPITAL CORP.		
SCHWABO CAPITAL CORPORATION		
SCIMITAR RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
SCORE MEDIA AND GAMING INC.		
SCORPIO GOLD CORPORATION		
SCOTCH CREEK VENTURES INC.		
SCOTIA ARIA CONSERVATIVE BUILD PORTFOLIO		
SCOTIA ARIA CONSERVATIVE DEFEND PORTFOLIO		
SCOTIA ARIA CONSERVATIVE PAY PORTFOLIO		
SCOTIA ARIA EQUITY BUILD PORTFOLIO		
SCOTIA ARIA EQUITY DEFEND PORTFOLIO		
SCOTIA ARIA EQUITY PAY PORTFOLIO		
SCOTIA ARIA MODERATE BUILD PORTFOLIO		
SCOTIA ARIA MODERATE DEFEND PORTFOLIO		
SCOTIA ARIA MODERATE PAY PORTFOLIO		
SCOTIA ARIA PROGRESSIVE BUILD PORTFOLIO		
SCOTIA ARIA PROGRESSIVE DEFEND PORTFOLIO		
SCOTIA ARIA PROGRESSIVE PAY PORTFOLIO		
SCOTIA BALANCED OPPORTUNITIES FUND		
SCOTIA BOND FUND		
SCOTIA CANADIAN BALANCED FUND		
SCOTIA CANADIAN BOND INDEX FUND		
SCOTIA CANADIAN DIVIDEND CLASS		
SCOTIA CANADIAN DIVIDEND FUND		

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SCOTIA CANADIAN EQUITY BLEND CLASS		
SCOTIA CANADIAN EQUITY FUND		
SCOTIA CANADIAN GROWTH FUND		
SCOTIA CANADIAN INCOME FUND		
SCOTIA CANADIAN INDEX FUND		
SCOTIA CANADIAN SMALL CAP FUND		
SCOTIA CANAM INDEX FUND		
SCOTIA CONSERVATIVE FIXED INCOME PORTFOLIO		
SCOTIA DIVERSIFIED MONTHLY INCOME FUND		
SCOTIA DIVIDEND BALANCED FUND		
SCOTIA EMERGING MARKETS EQUITY FUND		
SCOTIA EUROPEAN FUND		
SCOTIA GLOBAL BALANCED FUND		
SCOTIA GLOBAL BOND FUND		
SCOTIA GLOBAL DIVIDEND CLASS		
SCOTIA GLOBAL DIVIDEND FUND		
SCOTIA GLOBAL EQUITY FUND		
SCOTIA GLOBAL GROWTH FUND		
SCOTIA GLOBAL SMALL CAP FUND		
SCOTIA INCOME ADVANTAGE FUND		
SCOTIA INNOVA BALANCED GROWTH PORTFOLIO		
SCOTIA INNOVA BALANCED GROWTH PORTFOLIO CLASS		
SCOTIA INNOVA BALANCED INCOME PORTFOLIO		
SCOTIA INNOVA BALANCED INCOME PORTFOLIO CLASS		
SCOTIA INNOVA GROWTH PORTFOLIO		
SCOTIA INNOVA GROWTH PORTFOLIO CLASS		
SCOTIA INNOVA INCOME PORTFOLIO		
SCOTIA INNOVA INCOME PORTFOLIO CLASS		
SCOTIA INNOVA MAXIMUM GROWTH PORTFOLIO		
SCOTIA INNOVA MAXIMUM GROWTH PORTFOLIO CLASS		
SCOTIA INTERNATIONAL EQUITY BLEND CLASS		
SCOTIA INTERNATIONAL EQUITY FUND		
SCOTIA INTERNATIONAL INDEX FUND		
SCOTIA MONEY MARKET FUND		
SCOTIA MORTGAGE INCOME FUND		
SCOTIA NASDAQ INDEX FUND		
SCOTIA PARTNERS BALANCED GROWTH PORTFOLIO		
SCOTIA PARTNERS BALANCED GROWTH PORTFOLIO CLASS		
SCOTIA PARTNERS BALANCED INCOME PORTFOLIO		

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Name	Cease Traded	Nature of Default
SCOTIA PARTNERS BALANCED INCOME PORTFOLIO CLASS		
SCOTIA PARTNERS GROWTH PORTFOLIO		
SCOTIA PARTNERS GROWTH PORTFOLIO CLASS		
SCOTIA PARTNERS INCOME PORTFOLIO		
SCOTIA PARTNERS MAXIMUM GROWTH PORTFOLIO		
SCOTIA PARTNERS MAXIMUM GROWTH PORTFOLIO CLASS		
SCOTIA PREMIUM T-BILL FUND		
SCOTIA PRIVATE AMERICAN CORE-PLUS BOND POOL		
SCOTIA PRIVATE CANADIAN ALL CAP EQUITY POOL		
SCOTIA PRIVATE CANADIAN CORE BOND POOL		
SCOTIA PRIVATE CANADIAN CORPORATE BOND POOL		
SCOTIA PRIVATE CANADIAN EQUITY POOL		
SCOTIA PRIVATE CANADIAN GROWTH POOL		
SCOTIA PRIVATE CANADIAN MID CAP POOL		
SCOTIA PRIVATE CANADIAN PREFERRED SHARE POOL		
SCOTIA PRIVATE CANADIAN SMALL CAP POOL		
SCOTIA PRIVATE CANADIAN VALUE POOL		
SCOTIA PRIVATE DIVERSIFIED INTERNATIONAL EQUITY POOL		
SCOTIA PRIVATE EMERGING MARKETS POOL		
SCOTIA PRIVATE FLOATING RATE INCOME POOL		
SCOTIA PRIVATE FUNDAMENTAL CANADIAN EQUITY POOL		
SCOTIA PRIVATE GLOBAL CREDIT POOL		
SCOTIA PRIVATE GLOBAL EQUITY POOL		
SCOTIA PRIVATE GLOBAL HIGH YIELD POOL		
SCOTIA PRIVATE GLOBAL INFRASTRUCTURE POOL		
SCOTIA PRIVATE GLOBAL LOW VOLATILITY EQUITY POOL		
SCOTIA PRIVATE GLOBAL REAL ESTATE POOL		
SCOTIA PRIVATE HIGH YIELD BOND POOL		
SCOTIA PRIVATE HIGH YIELD INCOME POOL		
SCOTIA PRIVATE INCOME POOL		
SCOTIA PRIVATE INTERNATIONAL CORE EQUITY POOL		
SCOTIA PRIVATE INTERNATIONAL EQUITY POOL		
SCOTIA PRIVATE INTERNATIONAL GROWTH EQUITY POOL		
SCOTIA PRIVATE INTERNATIONAL SMALL TO MID CAP VALUE POOL		
SCOTIA PRIVATE NORTH AMERICAN DIVIDEND POOL		
SCOTIA PRIVATE PREMIUM PAYOUT POOL		
SCOTIA PRIVATE REAL ESTATE INCOME POOL		
SCOTIA PRIVATE SHORT TERM BOND POOL		
SCOTIA PRIVATE SHORT-MID GOVERNMENT BOND POOL		

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Name	Cease Traded	Nature of Default
SCOTIA PRIVATE STRATEGIC BALANCED POOL		
SCOTIA PRIVATE TOTAL RETURN BOND POOL		
SCOTIA PRIVATE U.S. DIVIDEND POOL		
SCOTIA PRIVATE U.S. LARGE CAP GROWTH POOL		
SCOTIA PRIVATE U.S. MID CAP VALUE POOL		
SCOTIA PRIVATE U.S. VALUE POOL		
SCOTIA PRIVATE WORLD INFRASTRUCTURE POOL		
SCOTIA RESOURCE FUND		
SCOTIA SCHOOLS TRUST	Reciprocal CTO	1a, 1b, 1c, 1e
SCOTIA SELECTED BALANCED GROWTH PORTFOLIO		
SCOTIA SELECTED BALANCED INCOME PORTFOLIO		
SCOTIA SELECTED GROWTH PORTFOLIO		
SCOTIA SELECTED INCOME PORTFOLIO		
SCOTIA SELECTED MAXIMUM GROWTH PORTFOLIO		
SCOTIA STRATEGIC CANADIAN EQUITY ETF PORTFOLIO		
SCOTIA STRATEGIC FIXED INCOME ETF PORTFOLIO		
SCOTIA STRATEGIC INTERNATIONAL EQUITY ETF PORTFOLIO		
SCOTIA STRATEGIC U.S. EQUITY ETF PORTFOLIO		
SCOTIA T-BILL FUND		
SCOTIA U.S. \$ BALANCED FUND		
SCOTIA U.S. \$ BOND FUND		
SCOTIA U.S. \$ MONEY MARKET FUND		
SCOTIA U.S. DIVIDEND FUND		
SCOTIA U.S. EQUITY BLEND CLASS		
SCOTIA U.S. EQUITY FUND		
SCOTIA U.S. INDEX FUND		
SCOTIA U.S. OPPORTUNITIES FUND		
SCOTIABANK CAPITAL TRUST		
SCOTSMAN GOLD INC.	ASC CTO	1a, 1b, 1c, 1e
SCOTTIE RESOURCES CORP.		
SCOUT NEW MEDIA GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
SCOZINC MINING LTD.		
SCS SOLARS COMPUTING SYSTEMS INC	ASC CTO	1a, 1b, 1c, 1e
SCULLY ROYALTY LTD.		1c
SDC SYDNEY DEVELOPMENT CORPORATION	ASC CTO	1a, 1b, 1c, 1e
SDX ENERGY PLC		
SEABRIDGE GOLD INC.		
SEAFIELD RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
SEAGULL RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e

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Name	Cease Traded	Nature of Default
SEAHAWK MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
SEAHAWK VENTURES INC.		
SEAL COVE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
SEARCH MINERALS INC.		
SEARCHLIGHT RESOURCES INC.		
SEARS CANADA INC.	Reciprocal CTO	1a, 1b, 1c, 1d, 1e
SEASHORE RESOURCE PARTNERS CORP.		
SEAWAY ENERGY SERVICES INC.		
SEBASTIANI VENTURES CORP.		
SECOND CHANCE CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
SECOVA METALS CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
SECURE ENERGY SERVICES INC.		
SECUREX LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
SECURITY HOME MORTGAGE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
SEDEX MINING CORP.	ASC CTO	1a, 1b, 1c, 1e
SEDIBELO PLATINUM MINES LIMITED	ASC CTO	
SEDNA GEOTECH INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
SEGAMI IMAGES INCORPORATED	ASC CTO	1a, 1b, 1c, 1e, 1g
SEGO RESOURCES INC.		1e
SEL EXCHANGE INC.	ASC CTO	1a, 1b, 1c, 1e
SELECT 100E MANAGED PORTFOLIO CORPORATE CLASS		
SELECT 20I80E MANAGED PORTFOLIO CORPORATE CLASS		
SELECT 30I70E MANAGED PORTFOLIO CORPORATE CLASS		
SELECT 40I60E MANAGED PORTFOLIO CORPORATE CLASS		
SELECT 50I50E MANAGED PORTFOLIO CORPORATE CLASS		
SELECT 60I40E MANAGED PORTFOLIO CORPORATE CLASS		
SELECT 70I30E MANAGED PORTFOLIO CORPORATE CLASS		
SELECT 80I20E MANAGED PORTFOLIO CORPORATE CLASS		
SELECT CANADIAN EQUITY MANAGED CORPORATE CLASS		
SELECT CANADIAN EQUITY MANAGED FUND		
SELECT INCOME MANAGED CORPORATE CLASS		
SELECT INTERNATIONAL EQUITY MANAGED CORPORATE CLASS		
SELECT INTERNATIONAL EQUITY MANAGED FUND		
SELECT SANDS CORP.		
SELECT STAGING FUND		
SELECT U.S EQUITY MANAGED FUND		
SELECT U.S. EQUITY MANAGED CORPORATE CLASS		
SEMAFO INC.		
SEMBIOSYS GENETICS INC.	ASC CTO	1a, 1b, 1c, 1e

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SEMI-TECH CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
SESCO SENSORS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SENDERO MINING CORP.	ASC CTO	1a, 1b, 1c, 1e
SENIOR SECURED FLOATING RATE LOAN FUND		
SENNEN POTASH CORPORATION		
SENSIO TECHNOLOGIES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
SENSOR TECHNOLOGIES CORP.		
SENSORSTAT SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
SENTINEL RESOURCES CORP.		
SENTRY ALL CAP INCOME CLASS		
SENTRY ALL CAP INCOME FUND		
SENTRY BALANCED INCOME PORTFOLIO		
SENTRY BALANCED YIELD PRIVATE POOL CLASS		
SENTRY CANADIAN CORE FIXED INCOME PRIVATE TRUST		
SENTRY CANADIAN EQUITY INCOME PRIVATE POOL CLASS		
SENTRY CANADIAN EQUITY INCOME PRIVATE TRUST		
SENTRY CANADIAN INCOME CLASS		
SENTRY CANADIAN INCOME FUND		
SENTRY CONSERVATIVE BALANCED INCOME CLASS		
SENTRY CONSERVATIVE BALANCED INCOME FUND		
SENTRY CONSERVATIVE INCOME PORTFOLIO		
SENTRY DEFENSIVE INCOME PORTFOLIO		
SENTRY ENERGY PRIVATE TRUST		
SENTRY GLOBAL BALANCED YIELD PRIVATE POOL CLASS		
SENTRY GLOBAL CORE FIXED INCOME PRIVATE TRUST		
SENTRY GLOBAL EQUITY INCOME PRIVATE POOL CLASS		
SENTRY GLOBAL HIGH YIELD FIXED INCOME PRIVATE TRUST		
SENTRY GLOBAL INFRASTRUCTURE PRIVATE TRUST		
SENTRY GLOBAL INVESTMENT GRADE PRIVATE POOL CLASS		
SENTRY GLOBAL REAL ESTATE PRIVATE TRUST		
SENTRY GROWTH AND INCOME PORTFOLIO		
SENTRY GROWTH PORTFOLIO		
SENTRY INTERNATIONAL EQUITY INCOME PRIVATE POOL CLASS		
SENTRY INTERNATIONAL EQUITY INCOME PRIVATE TRUST		
SENTRY PRECIOUS METALS CLASS		
SENTRY PRECIOUS METALS FUND		
SENTRY PRECIOUS METALS PRIVATE TRUST		
SENTRY REAL GROWTH POOL CLASS		
SENTRY REAL INCOME 1941-45 CLASS		

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Name	Cease Traded	Nature of Default
SENTRY REAL INCOME 1946-50 CLASS		
SENTRY REAL INCOME 1951-55 CLASS		
SENTRY REAL LONG TERM INCOME POOL CLASS		
SENTRY REAL LONG TERM INCOME TRUST		
SENTRY REAL MID TERM INCOME POOL CLASS		
SENTRY REAL MID TERM INCOME TRUST		
SENTRY REAL SHORT TERM INCOME POOL CLASS		
SENTRY REAL SHORT TERM INCOME TRUST		
SENTRY RESOURCE OPPORTUNITIES CLASS		
SENTRY RESOURCES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
SENTRY SELECT PRIMARY METALS CORP.		
SENTRY SMALL/MID CAP INCOME CLASS		
SENTRY SMALL/MID CAP INCOME FUND		
SENTRY U.S. EQUITY INCOME CURRENCY NEUTRAL PRIVATE POOL CLASS		
SENTRY U.S. EQUITY INCOME PRIVATE POOL CLASS		
SENTRY U.S. EQUITY INCOME PRIVATE TRUST		
SENTRY U.S. GROWTH AND INCOME CLASS		
SENTRY U.S. GROWTH AND INCOME CORPORATE CLASS		
SENTRY U.S. GROWTH AND INCOME CURRENCY NEUTRAL CLASS		
SENTRY U.S. GROWTH AND INCOME FUND		
SENTRY U.S. MONTHLY INCOME FUND		
SEPIK GOLD CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
SERABI GOLD PLC		
SERAC SPORTS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
SERENGETI RESOURCES INC.		
SERICA ENERGY PLC		
SERINUS ENERGY PLC		
SERNOVA CORP.		
SERRANO RESOURCES LTD.		
SERVAL INTEGRATED ENERGY SERVICES	ASC CTO	1a, 1b, 1c, 1g
SERVICE TRACK ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SERVOMARIN INDUSTRIES CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
SETANTA VENTURES INCORPORATED	ASC CTO	1a, 1b, 1c, 1e, 1g
SEVEN ACES LIMITED		
SEVEN ARTS 1980	ASC CTO	1a, 1b, 1c, 1e
SEVEN EVERGREEN APARTMENT PROJECT	ASC CTO	1b, 1c, 1e
SEVEN GENERATIONS ENERGY LTD.		
SEVEN SEAS PETROLEUM INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
SEXTANT ENTERTAINMENT GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g

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SFP INTERNATIONAL LTD.	ASC CTO	1a, 1b, 1c, 1e
SHAKWAK EXPLORATION COMPANY LIMITED	ASC CTO	1a, 1b, 1c, 1e
SHAMARAN PETROLEUM CORP.		
SHANELL PETROCHEMICAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
SHANNON ENVIRONMENTAL LTD.	ASC CTO	1a, 1b, 1c, 1e
SHARC INTERNATIONAL SYSTEMS INC.		
SHARE RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SHARPE RESOURCES CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
SHAUGHNESSY HOUSE LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
SHAW COMMUNICATIONS INC.		
SHAWCOR LTD.		
SHEAR DIAMONDS LTD.	ASC CTO	1a, 1b, 1c, 1e
SHEEN RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
SHELTER PRODUCTS INC.	ASC CTO	1a, 1b, 1c, 1e
SHENANDOAH RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
SHERBROOK SBK SPORT CORP.	ASC CTO	1a, 1b, 1c, 1e
SHEROBEE GLEN LIMITED PARTNERSHIP		
SHERPA II HOLDINGS CORP.		
SHERRITT INTERNATIONAL CORPORATION		
SHIFT NETWORKS INC.	ASC CTO	1a, 1b, 1c, 1e
SHILLING RESOURCES INCORPORATED	ASC CTO	1a, 1b, 1c, 1e
SHINE BOX CAPITAL CORP.		
SHINE MINERALS CORP.		
SHININGTREE RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
SHOOTING STAR ACQUISITION CORP.		
SHOPIFY INC.		
SHORELINE ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
SHORT TERM BOND FUND		
SHORT TERM BOND FUND (PORTICO)		
SHORT TERM INCOME CORPORATE CLASS		
SHORT TERM INCOME POOL		
SHORT TERM INVESTMENT FUND		
SIBERIAN PACIFIC RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
SIENNA RESOURCES INC.		
SIENNA SENIOR LIVING INC.		
SIERRA CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
SIERRA GROWTH CORP.		
SIERRA MADRE DEVELOPMENTS INC.		
SIERRA METALS INC.		

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SIERRA NEVADA GOLD LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
SIERRA WIRELESS, INC.		
SIGHTUS INC.	ASC CTO	1a, 1b, 1c, 1e
SIGMA LITHIUM RESOURCES CORPORATION		
SIGMACOR, INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SIGNATURE ASIAN OPPORTUNITIES CORPORATE CLASS		
SIGNATURE ASIAN OPPORTUNITIES FUND		
SIGNATURE CANADIAN BALANCED CORPORATE CLASS		
SIGNATURE CANADIAN BALANCED FUND		
SIGNATURE CANADIAN BOND CORPORATE CLASS		
SIGNATURE CANADIAN BOND FUND		
SIGNATURE CASH MANAGEMENT FUND		
SIGNATURE CORE BOND PLUS FUND		
SIGNATURE CORPORATE BOND CLASS		
SIGNATURE CORPORATE BOND CORPORATE CLASS		
SIGNATURE CORPORATE BOND FUND		
SIGNATURE DIVERSIFIED YIELD CORPORATE CLASS		
SIGNATURE DIVERSIFIED YIELD FUND		
SIGNATURE DIVIDEND CORPORATE CLASS		
SIGNATURE DIVIDEND FUND		
SIGNATURE EMERGING MARKETS CORPORATE CLASS		
SIGNATURE EMERGING MARKETS FUND		
SIGNATURE FINANCE LTD.	ASC CTO	1a, 1b, 1c, 1e
SIGNATURE FLOATING RATE INCOME FUND		
SIGNATURE GLOBAL BOND CORPORATE CLASS		
SIGNATURE GLOBAL BOND FUND		
SIGNATURE GLOBAL DIVIDEND CORPORATE CLASS		
SIGNATURE GLOBAL DIVIDEND FUND		
SIGNATURE GLOBAL ENERGY CORPORATE CLASS		
SIGNATURE GLOBAL EQUITY CORPORATE CLASS		
SIGNATURE GLOBAL EQUITY FUND		
SIGNATURE GLOBAL INCOME & GROWTH CORPORATE CLASS		
SIGNATURE GLOBAL INCOME & GROWTH FUND		
SIGNATURE GLOBAL INFRASTRUCTURE FUND		
SIGNATURE GLOBAL REIT CLASS		
SIGNATURE GLOBAL REIT FUND		
SIGNATURE GLOBAL RESOURCE CORPORATE CLASS		
SIGNATURE GLOBAL RESOURCE FUND		
SIGNATURE GLOBAL TECHNOLOGY CORPORATE CLASS		

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SIGNATURE GOLD CORPORATE CLASS		
SIGNATURE HIGH INCOME CORPORATE CLASS		
SIGNATURE HIGH INCOME FUND		
SIGNATURE HIGH YIELD BOND CLASS		
SIGNATURE HIGH YIELD BOND CORPORATE CLASS		
SIGNATURE HIGH YIELD BOND FUND		
SIGNATURE INCOME & GROWTH CORPORATE CLASS		
SIGNATURE INCOME & GROWTH FUND		
SIGNATURE PREFERRED SHARE FUND		
SIGNATURE RESOURCES LTD.		
SIGNATURE SELECT CANADIAN CORPORATE CLASS		
SIGNATURE SELECT CANADIAN FUND		
SIGNATURE SHORT-TERM BOND FUND		
SIGNATURE SYSTEMATIC YIELD POOL		
SIGNET INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
SIGNTECH INC.	ASC CTO	1a, 1b, 1c, 1e
SILK ENERGY LIMITED		
SILK ROAD ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
SILK ROAD FINANCE INC.	Reciprocal CTO	1a, 1b, 1c, 1e
SILTRONICS LTD.	ASC CTO	1a, 1b, 1c, 1e
SILVER BEAR RESOURCES PLC		
SILVER BULL RESOURCES, INC.		
SILVER ELEPHANT MINING CORP.		
SILVER FIELDS RESOURCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
SILVER GRAIL RESOURCES LTD.		
SILVER HART MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
SILVER MOUNTAIN MINES INC.		
SILVER ONE RESOURCES INC.		
SILVER PEAK RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
SILVER PHOENIX RESOURCES INC.		
SILVER PREDATOR CORP.		
SILVER RANGE RESOURCES LTD.		
SILVER SPRUCE RESOURCES INC.		
SILVER STREAM MINING CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
SILVER VIPER MINERALS CORP.		
SILVERA RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
SILVERBIRCH INC.	ASC CTO	1a, 1b, 1c, 1e
SILVERCORP METALS INC.		
SILVERCREST METALS INC.		

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Name	Cease Traded	Nature of Default
SIMAVITA LIMITED		3
SIMAX OIL & GAS INC.	ASC CTO	1a, 1b, 1c, 1e
SIMBA ESSEL ENERGY INC.	Reciprocal CTO	1a, 1b, 1c, 1e, 1k, 3
SIMBA GOLD CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
SIMMONDS CAPITAL LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
SIMSMART INC.	ASC CTO	1a, 1b, 1c, 1e
SINETEC HOLDINGS CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
SINO RISE GROUP HOLDING CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
SINORANK PETROLEUM RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
SINTANA ENERGY INC.		
SIONNA CANADIAN EQUITY FUND		
SIONNA OPPORTUNITIES FUND		
SIONNA STRATEGIC INCOME FUND		
SIQ MOUNTAIN INDUSTRIES INC.		
SIR ROYALTY INCOME FUND		
SIRE BIOSCIENCE INC.		
SIRIOS RESOURCES INC.		
SIRIUS XM HOLDINGS INC.		1g
SIRONA BIOCHEM CORP.		1c, 1e
SITKA GOLD CORP.		
SIXTH WAVE INNOVATIONS INC.		
SIXTY NORTH GOLD MINING LTD.		
SIYATA MOBILE INC.		
SKEENA RESOURCES LIMITED		
SKG INTERACTIVE INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
SKI FREE MARINE INC.	ASC CTO	1a, 1b, 1c, 1e
SKRR EXPLORATION INC.		
SKY GOLD CORP.		
SKYCHAIN TECHNOLOGIES INC.		
SKYGATE TOWERS APARTMENTS	ASC CTO	1a, 1b, 1c, 1e
SKYHARBOUR RESOURCES LTD.		
SKYLEDGER TECH CORP.		
SKYLINK COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
SKYSCAPE CAPITAL INC.		
SLAM EXPLORATION LTD.		
SLANG WORLDWIDE INC.		
SLATE OFFICE REIT		
SLATE RETAIL REIT		
SLATER STEEL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e

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SLAVE LAKE ZINC CORP.		
SLEEP COUNTRY CANADA HOLDINGS INC.		
SLMSOFT INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
SMART EMPLOYEE BENEFITS INC.		
SMART VENTURES, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
SMARTBE GLOBAL VALUE MOMENTUM TREND INDEX ETF		
SMARTCARDESOLUTIONS.COM LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
SMARTCENTRES REAL ESTATE INVESTMENT TRUST		
SMARTCOOL SYSTEMS INC.	Reciprocal CTO	1b
SMARTOR PRODUCTS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SMARTSALES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SMARTSET SERVICES INC.		
SMOOTH ROCK VENTURES CORP.		
SNC-LAVALIN GROUP INC.		
SNEAKERS	ASC CTO	1a, 1b, 1c, 1e
SNG TELECOM INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SNIPER RESOURCES LTD.		1a, 1b, 1c, 1e
SNIPP INTERACTIVE INC.		
SNOW LEOPARD RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
SNOWBIRD INVESTMENTS LTD.	ASC CTO	1a, 1b, 1c, 1e
SNOWFIELD DEVELOPMENT CORP.	ASC CTO	1a, 1b, 1c, 1e
SNV GROUP LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
SOBEYS INC.		
SOCIÉTÉ D'EXPLORATION MINIÈRE VIOR INC.		
SOCIETE MINIERE SPHINX INC.	ASC CTO	1a, 1b, 1c, 1e
SOCIETERRA 100 PER CENT EQUITY PORTFOLIO		
SOCIETERRA BALANCED PORTFOLIO		
SOCIETERRA CONSERVATIVE PORTFOLIO		
SOCIETERRA GROWTH PORTFOLIO		
SOCIETERRA MAXIMUM GROWTH PORTFOLIO		
SOCIETERRA MODERATE PORTFOLIO		
SOFAME TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 3
SOFICAP ACQUISITIONS INC.	ASC CTO	1a, 1b, 1c, 1e
SOFIMINES 1988 AND COMPANY, LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
SOFIMINES INVESTMENT FUND II INC.	ASC CTO	1a, 1b, 1c
SOFTLAB9 SOFTWARE SOLUTIONS INC.		
SOFTROCK MINERALS LTD.		
SOFTWARE CONTROL SYSTEMS INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SOFTWEX TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g

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SOGO CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
SOKOMAN MINERALS CORP.		
SOL GLOBAL INVESTMENTS CORP.		
SOLAR ALLIANCE ENERGY INC.		
SOLAR ENERGY INITIATIVES, INC.	ASC CTO	4
SOLARA EXPLORATION LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
SOLARIS RESOURCES INC.		
SOLARVEST BIOENERGY INC.		
SOLID GOLD RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e
SOLIDUSGOLD INC.		
SOLIGEN TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
SOLIMAR ENERGY LIMITED	ASC CTO	1b, 1c, 1e
SOLITARIO ZINC CORP.		
SOLOMON EQUITIES CORP.	ASC CTO	1a, 1b, 1c, 1e
SOLSTICE GOLD CORP.		
SOLUTION FINANCIAL INC.		
SOLUTIONINC TECHNOLOGIES LIMITED	ASC CTO	1a, 1b, 1c, 1e, 3
SOLUTREA CORP.	ASC CTO	1a, 1b, 1c, 1e
SONA NANOTECH INC.		
SONDE RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
SONNENENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e
SONOMAX TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
SONOR INVESTMENTS LIMITED		
SONORA DIAMOND CORP. LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
SONORA GOLD & SILVER CORP.		
SONORO ENERGY LTD.		
SONORO METALS CORP.		
SUPERIOR FERTILIZER CORP.		
SOPHIRIS BIO INC.	Reciprocal CTO	1a, 1c, 1d, 1e
SOR BAROOT RESOURCES CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
SOREL VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e
SORREL RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
SOUND COMMUNICATION CORP.	ASC CTO	1a, 1b, 1c, 1e
SOURCE ENERGY SERVICES LTD.		
SOURCE LIFE SCIENCES INC.	ASC CTO	1a, 1b, 1c, 1e
SOURCESMITH INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
SOUTH STAR MINING CORP.		
SOUTHERN ARC MINERALS INC.		
SOUTHERN EMPIRE RESOURCES CORP.		

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SOUTHERN ENERGY CORP.		
SOUTHERN PACIFIC RESOURCE CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
SOUTHERN REEF VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SOUTHERN SILVER EXPLORATION CORP.		
SOUTHERN TIER GAS PRODUCERS LTD.	ASC CTO	1a, 1b, 1c, 1e
SOUTHGOBI RESOURCES LTD.		
SOUTHLAND TOWER 1 LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
SOUTHLAND TOWER III LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
SOUTHSTONE MINERALS LIMITED		
SOUTHWIND RESOURCE EXPLORATIONS LIMITED	ASC CTO	1a, 1b, 1c, 1e
SPACEFY INC.		
SPACKMAN EQUITIES GROUP INC.		
SPANISH MOUNTAIN GOLD LTD.		
SPARK POWER GROUP INC.		
SPARROW RESOURCES LTD N P L	ASC CTO	1a, 1b, 1c, 1e
SPARTA CAPITAL LTD.		
SPARTON RESOURCES INC.		
SPEAKEASY CANNABIS CLUB LTD.		
SPEARMINT RESOURCES INC.		
SPECIALTY FOODS GROUP INCOME FUND	ASC CTO	1a, 1b, 1c, 1e
SPECIALTY LIQUID TRANSPORTATION CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
SPECTRA PRODUCTS INC.		
SPECTRA7 MICROSYSTEMS INC.		
SPECTRAL MEDICAL INC.		
SPECTRE CAPITAL CORP.		
SPECTRUM GAMES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
SPEY RESOURCES CORP.		
SPHERE 3D CORP.		
SPHERE FTSE EMERGING MARKETS SUSTAINABLE YIELD INDEX ETF		
SPHERE RESOURCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
SPHINX RESOURCES LTD.		
SPIN MASTER CORP.		
SPINLOGIC TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
SPINNAKER 111	ASC CTO	1a, 1b, 1c, 1e
SPINNAKER DEVELOPMENT CORPORATION	ASC CTO	1a, 1b, 1c, 1e
SPIRIT BANNER CAPITAL CORP.		
SPIRIT BANNER II CAPITAL CORP.		
SPONSORSONE INC.		
SPORT ACTIVE TELEVISION NETWORK INC.	ASC CTO	1a, 1b, 1c, 1e, 1g

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SPORT SPECIFIC INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
SPORTSCENE GROUP INC.		
SPORTSClick INC.	ASC CTO	1a, 1b, 1c, 1e
SPORTSMATE INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
SPOT COFFEE (CANADA) LTD.		
SPROTT INC.		
SPROTT PHYSICAL GOLD AND SILVER TRUST		
SPROTT PHYSICAL GOLD TRUST		
SPROTT PHYSICAL PLATINUM AND PALLADIUM TRUST		
SPROTT PHYSICAL SILVER TRUST		
SPROTT RESOURCE HOLDINGS INC.		
SPROUTLY CANADA, INC.		
SPRUCE RIDGE RESOURCES LTD.		
SPX CORPORATION		
SPYDER CANNABIS INC.		
SPYGLASS RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
SQI DIAGNOSTICS INC.		
SRAI CAPITAL CORP.		
SRG MINING INC.		
SRR MERCANTILE INC.	ASC CTO	1a, 1b, 1c, 1e
SSR MINING INC.		
ST. AUGUSTINE GOLD AND COPPER LIMITED		
ST. CLAIR PAINT & WALLPAPER CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ST. ELIAS MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
ST. LUCIE EXPLORATION COMPANY LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
STAGE HOLDCO LTD.		
STAGEZERO LIFE SCIENCES LTD.		
STAKEHOLDER GOLD CORP.		
STALL LAKE MINES LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
STAMPEDE DRILLING INC.		
STAMPEDE OILS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
STAMPER OIL & GAS CORP.		1k
STANDARD LITHIUM LTD.		
STANDARD MODERN TECHNOLOGIES CORP.	ASC CTO	1a, 1b, 1c, 1e
STANDARD TRUSTCO LIMITED	ASC CTO	1a, 1b, 1c, 1e
STANLEY PARK PLACE APARTMENTS	ASC CTO	1a, 1b, 1c, 1e
STANS ENERGY CORP.		
STANTEC INC.		
STAR DIAMOND CORPORATION		

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STAR NAVIGATION SYSTEMS GROUP LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
STAR PROPERTIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
STARCORE INTERNATIONAL MINES LTD.		
STARFIELD RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
STARFIRE MINERALS INC.	ASC CTO	1a, 1b, 1c, 1e
STARFIRE TECHNOLOGIES INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
STARLIGHT GLOBAL INFRASTRUCTURE FUND		
STARLIGHT GLOBAL REAL ESTATE FUND		
STARLIGHT HYBRID GLOBAL REAL ASSETS TRUST		
STARLIGHT U.S. MULTI-FAMILY (NO. 1) CORE PLUS FUND		
STARONT TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
STARPOINT GOLDFIELDS INC.	ASC CTO	1a, 1b, 1c, 1e
STARR PEAK EXPLORATION LTD.		
STARREX INTERNATIONAL LTD.		
STARTMONDAY TECHNOLOGY CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
STATESMAN RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
STEADFAST VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
STEADYHAND BUILDERS FUND		
STEADYHAND EQUITY FUND		
STEADYHAND FOUNDERS FUND		
STEADYHAND GLOBAL EQUITY FUND		
STEADYHAND GLOBAL SMALL-CAP EQUITY FUND		
STEADYHAND INCOME FUND		
STEADYHAND SAVINGS FUND		
STEADYHAND SMALL-CAP EQUITY FUND		
STEALTH MINERALS LIMITED	ASC CTO	1a, 1b, 1c, 1e
STEALTH VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
STEED VENTURES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
STEELY GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
STELCO HOLDINGS INC.		
STELLA-JONES INC.		
STELLAR AFRICAGOLD INC.		
STELMINE CANADA LTD.		
STEM HOLDINGS, INC.		
STEP ENERGY SERVICES LTD.		
STEPPE GOLD LTD.		
STEPPE GOLD RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
STERLING LEAF INCOME TRUST	ASC CTO	1a, 1b, 1c, 1e
STERLING MINING COMPANY	ASC CTO	1a, 1b, 1c, 1d, 1e

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STERLING SHOES INC.	ASC CTO	1a, 1b, 1c, 1e
ST-GEORGES ECO-MINING CORP.		1b, 1c, 1e
STIKINE ENERGY CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
STILLCANNA INC.		
STINGRAY GROUP INC.		
STN INCORPORATED	ASC CTO	1a, 1b, 1c, 1e
STOCKGUARD CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
STONE AMERICAN DIVIDEND GROWTH FUND		
STONE COVERED CALL CANADIAN BANK PLUS FUND		
STONE DIVIDEND GROWTH CLASS		
STONE DIVIDEND YIELD HOG FUND		
STONE GLOBAL BALANCED FUND		
STONE GLOBAL ESG STRATEGY FUND		
STONE GLOBAL GROWTH FUND		
STONE GLOBAL SUSTAINABILITY FUND		
STONE GROWTH FUND		
STONE INVESTMENT GROUP LIMITED		
STONE MOUNTAIN HOLDINGS INC.	ASC CTO	1a, 1b, 1c, 1e
STONE RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e
STONECASTLE CANNABIS GROWTH FUND		
STONEPOINT GLOBAL BRANDS INC.	ASC CTO	1a, 1b, 1c, 1e
STONESET EQUITY DEVELOPMENT CORP.	ASC CTO	1a, 1b, 1c, 1e
STORAGEVAULT CANADA INC.		
STORM CAT ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
STORM RESOURCES LTD.		
STORNOWAY DIAMOND CORPORATION		1a, 1b, 1c, 1d, 1e
STOWE ONE INVESTMENTS CORP.		
STOX.COM INC.	ASC CTO	1a, 1b, 1c, 1e
STRAD INC.		
STRAIGHTUP RESOURCES INC.		
STRALAK RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
STRATA POWER CORPORATION		2d, 4
STRATABOUND MINERALS CORP.		
STRATAS CORPORATION LTD., THE	ASC CTO	1a, 1b, 1c, 1e
STRATA-X ENERGY LTD.		
STRATECO RESOURCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
STRATEGEM CAPITAL CORPORATION		
STRATEGIC INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
STRATEGIC METALS LTD.		

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STRATEGIC OIL & GAS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
STRATEGIC RESOURCES INC.		
STRATEGYWEB COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
STRATHCONA BREWING INVESTMENTS INC.	ASC CTO	1a, 1b, 1c, 1e
STRATUM RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
STREAM COMMUNICATIONS NETWORK & MEDIA INC.	ASC CTO	1a, 1b, 1c, 1e, 3
STREETLIGHT INTELLIGENCE INC.	ASC CTO	1a, 1b, 1c, 1e
STRIA LITHIUM INC.		
STRIKE MINERALS INC.	ASC CTO	1a, 1b, 1c, 1e
STRIKEPOINT GOLD INC.		
STRIKEWELL ENERGY CORP.		
STRONGBOW EXPLORATION INC.		
STROUD RESOURCES LTD.		
STUART OLSON INC.		
STUDEBAKERS RESOURCE DEVELOPMENT LTD.	ASC CTO	1a, 1b, 1c, 1e
STUHINI EXPLORATION LTD.		
SUBVERSIVE CAPITAL ACQUISITION CORP.		
SUBVERSIVE REAL ESTATE ACQUISITION REIT LP		
SUFFOLK RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
SUGARBUD CRAFT GROWERS CORP.		
SULLIDEN MINING CAPITAL INC.		
SULPETRO LIMITED	ASC CTO	1a, 1b, 1c, 1e
SULTAN CORP. LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
SUMACH RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
SUMMIT INDUSTRIAL INCOME REIT		
SUMMUS SOLUTIONS N.V.		
SUN CREEK	ASC CTO	1a, 1b, 1c, 1e
SUN LIFE ASSURANCE COMPANY OF CANADA		
SUN LIFE BLACKROCK CANADIAN BALANCED CLASS		
SUN LIFE BLACKROCK CANADIAN BALANCED FUND		
SUN LIFE BLACKROCK CANADIAN COMPOSITE EQUITY CLASS		
SUN LIFE BLACKROCK CANADIAN COMPOSITE EQUITY FUND		
SUN LIFE BLACKROCK CANADIAN EQUITY CLASS		
SUN LIFE BLACKROCK CANADIAN EQUITY FUND		
SUN LIFE BLACKROCK CANADIAN UNIVERSE BOND FUND		
SUN LIFE CAPITAL TRUST		
SUN LIFE CORE ADVANTAGE CREDIT PRIVATE POOL		
SUN LIFE DYNAMIC AMERICAN FUND		
SUN LIFE DYNAMIC ENERGY FUND		

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SUN LIFE DYNAMIC EQUITY INCOME CLASS		
SUN LIFE DYNAMIC EQUITY INCOME FUND		
SUN LIFE DYNAMIC STRATEGIC YIELD CLASS		
SUN LIFE DYNAMIC STRATEGIC YIELD FUND		
SUN LIFE EXCEL CHINA FUND		
SUN LIFE EXCEL EMERGING MARKETS BALANCED FUND		
SUN LIFE EXCEL EMERGING MARKETS FUND		
SUN LIFE EXCEL HIGH INCOME FUND		
SUN LIFE EXCEL INDIA BALANCED FUND		
SUN LIFE EXCEL INDIA FUND		
SUN LIFE EXCEL NEW INDIA LEADERS FUND		
SUN LIFE FINANCIAL INC.		
SUN LIFE FRANKLIN BISSETT CANADIAN EQUITY CLASS		
SUN LIFE GLOBAL DIVIDEND PRIVATE POOL		
SUN LIFE GLOBAL TACTICAL YIELD PRIVATE POOL		
SUN LIFE GRANITE BALANCED CLASS		
SUN LIFE GRANITE BALANCED GROWTH CLASS		
SUN LIFE GRANITE BALANCED GROWTH PORTFOLIO		
SUN LIFE GRANITE BALANCED PORTFOLIO		
SUN LIFE GRANITE CONSERVATIVE CLASS		
SUN LIFE GRANITE CONSERVATIVE PORTFOLIO		
SUN LIFE GRANITE ENHANCED INCOME PORTFOLIO		
SUN LIFE GRANITE GROWTH CLASS		
SUN LIFE GRANITE GROWTH PORTFOLIO		
SUN LIFE GRANITE INCOME PORTFOLIO		
SUN LIFE GRANITE MODERATE CLASS		
SUN LIFE GRANITE MODERATE PORTFOLIO		
SUN LIFE GRANITE TACTICAL COMPLETION FUND		
SUN LIFE INVESCO CANADIAN CLASS		
SUN LIFE JPMORGAN INTERNATIONAL EQUITY FUND		
SUN LIFE MFS CANADIAN BOND FUND		
SUN LIFE MFS CANADIAN EQUITY FUND		
SUN LIFE MFS CANADIAN EQUITY GROWTH CLASS		
SUN LIFE MFS DIVIDEND INCOME CLASS		
SUN LIFE MFS DIVIDEND INCOME FUND		
SUN LIFE MFS GLOBAL GROWTH CLASS		
SUN LIFE MFS GLOBAL GROWTH FUND		
SUN LIFE MFS GLOBAL TOTAL RETURN FUND		
SUN LIFE MFS GLOBAL VALUE FUND		

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SUN LIFE MFS INTERNATIONAL GROWTH CLASS		
SUN LIFE MFS INTERNATIONAL GROWTH FUND		
SUN LIFE MFS INTERNATIONAL VALUE FUND		
SUN LIFE MFS LOW VOLATILITY GLOBAL EQUITY FUND		
SUN LIFE MFS LOW VOLATILITY INTERNATIONAL EQUITY FUND		
SUN LIFE MFS MONTHLY INCOME FUND		
SUN LIFE MFS U.S. EQUITY FUND		
SUN LIFE MFS U.S. GROWTH CLASS		
SUN LIFE MFS U.S. GROWTH FUND		
SUN LIFE MFS U.S. VALUE FUND		
SUN LIFE MILESTONE 2020 FUND		
SUN LIFE MILESTONE 2025 FUND		
SUN LIFE MILESTONE 2030 FUND		
SUN LIFE MILESTONE 2035 FUND		
SUN LIFE MILESTONE GLOBAL EQUITY FUND		
SUN LIFE MONEY MARKET CLASS		
SUN LIFE MONEY MARKET FUND		
SUN LIFE MULTI-STRATEGY BOND FUND		
SUN LIFE NWQ FLEXIBLE INCOME FUND		
SUN LIFE OPPORTUNISTIC FIXED INCOME PRIVATE POOL		
SUN LIFE REAL ASSETS PRIVATE POOL		
SUN LIFE SCHRODER GLOBAL MID CAP FUND		
SUN LIFE SENTRY VALUE CLASS		
SUN LIFE SENTRY VALUE FUND		
SUN LIFE TACTICAL BALANCED ETF PORTFOLIO		
SUN LIFE TACTICAL CONSERVATIVE ETF PORTFOLIO		
SUN LIFE TACTICAL EQUITY ETF PORTFOLIO		
SUN LIFE TACTICAL FIXED INCOME ETF PORTFOLIO		
SUN LIFE TACTICAL GROWTH ETF PORTFOLIO		
SUN LIFE TEMPLETON GLOBAL BOND FUND		
SUN LIFE U.S. CORE FIXED INCOME FUND		
SUN METALS CORP.		
SUN RESIDENTIAL REAL ESTATE INVESTMENT TRUST		
SUNBURST OIL & GAS INC.	ASC CTO	1a, 1b, 1c, 1e
SUNCOR ENERGY INC.		
SUNDIAL GROWERS INC.		
SUNMIST ENERGY 86 INC.	ASC CTO	1a, 1b, 1c, 1e
SUNNIVA INC.		
SUNOCO, INC.		

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SUNOPTA INC.		
SUNORA FOODS INC.		
SUNRAY RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
SUNRIDGE VILLAGE	ASC CTO	1a, 1b, 1c, 1e
SUNRISE RESOURCES LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
SUNSET PACIFIC PETROLEUM LTD.		1k
SUNSHINE AGRI-TECH INC.		
SUNSHINE OILSANDS LTD.		
SUNSTONE OPPORTUNITY (2006) DEBENTURE FUND		
SUNSTONE OPPORTUNITY (2006) REALTY TRUST		
SUNSTONE OPPORTUNITY (2007) MORTGAGE FUND		
SUNSTONE OPPORTUNITY (2007) REALTY TRUST		
SUNSTONE OPPORTUNITY FUND (2005) LIMITED PARTNERSHIP		
SUN-TIMES MEDIA GROUP, INC.		1a, 1b, 1c, 1d, 1e
SUNTREE INVESTMENTS INTERNATIONAL CORP.	ASC CTO	1a, 1b, 1c, 1e
SUNVAULT ENERGY, INC.	ASC CTO	1a, 1b, 1c, 1e
SUNWAH INTERNATIONAL LIMITED		
SUPERIOR CANADIAN RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
SUPERIOR ELECTRONICS INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
SUPERIOR GOLD INC.		
SUPERIOR MINING INTERNATIONAL CORPORATION		1c
SUPERIOR PLUS CORP.		
SUPREME METALS CORP.		
SUPREMEX INC.		
SUPREX ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
SURE PRINT AND COPY CENTRES, INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SURGE COPPER CORP.		
SURGE ENERGY INC.		
SURGE EXPLORATION INC.		
SUSTAINABLE INFRASTRUCTURE DIVIDEND FUND		
SUSTAINCO INC.		
SUTTER GOLD MINING INC.	Reciprocal CTO	1a, 1b, 1c, 1e
SWEANY GOLD CORPORATION	ASC CTO	1a, 1b, 1c, 1e
SWEEPRIE MFG. INC.	ASC CTO	1a, 1b, 1c, 1e
SWEET NATURAL TRADING CO. LIMITED	Reciprocal CTO	1a, 1b, 1c, 1e
SWEETRLIFE TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SWI STEELWORKS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SWISS WATER DECAFFEINATED COFFEE INC.		

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SWISSLINK FINANCIAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
SYLOGIST LTD.		
SYLVAN PARK ESTATES LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
SYMMETRY BALANCED PORTFOLIO		
SYMMETRY BALANCED PORTFOLIO CLASS		
SYMMETRY CANADIAN BOND FUND		
SYMMETRY CANADIAN EQUITY FUND		
SYMMETRY COMPREHENSIVE EQUITY FUND		
SYMMETRY CONSERVATIVE INCOME PORTFOLIO		
SYMMETRY CONSERVATIVE INCOME PORTFOLIO CLASS		
SYMMETRY CONSERVATIVE PORTFOLIO		
SYMMETRY CONSERVATIVE PORTFOLIO CLASS		
SYMMETRY EQUITY PORTFOLIO CLASS		
SYMMETRY FIXED INCOME PORTFOLIO		
SYMMETRY GLOBAL BOND FUND		
SYMMETRY GROWTH PORTFOLIO		
SYMMETRY GROWTH PORTFOLIO CLASS		
SYMMETRY LOW VOLATILITY FUND		
SYMMETRY MODERATE GROWTH PORTFOLIO		
SYMMETRY MODERATE GROWTH PORTFOLIO CLASS		
SYMMETRY US EQUITY FUND		
SYMPHONY FLOATING RATE SENIOR LOAN FUND		
SYMPLEX COMMUNICATIONS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
SYNAPSE SOFTWARE INC.	ASC CTO	1a, 1b, 1c, 1e
SYNCOM IMAGING SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SYNCORDIA TECHNOLOGIES AND HEALTHCARE SOLUTIONS, CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
SYNCRETE TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
SYNERGEX CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
SYNERGY AMERICAN CORPORATE CLASS		
SYNERGY AMERICAN FUND		
SYNERGY CANADIAN CORPORATE CLASS		
SYNERGY CANADIAN FUND INC.		
SYNERGY GLOBAL CORPORATE CLASS		
SYNODON INC.	ASC CTO	1a, 1b, 1c, 1e
SYNSTREAM ENERGY CORP.		
SYSCAN INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e, 3
T & W FINANCIAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
T B R GAS & OIL PRODUCTION FUND	ASC CTO	1a, 1b, 1c, 1e
T. ROWE PRICE - IG U.S. EQUITY POOL		

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TAAL DISTRIBUTED INFORMATION TECHNOLOGIES INC.		
TABU EQUITY INVESTMENTS INC.	Reciprocal CTO	1a, 1b, 1c, 1e
TAC GOLD CORPORATION	ASC CTO	1a, 1b, 1c, 1e
TACTEX CONTROLS INC.	ASC CTO	1a, 1b, 1c, 1e
TACTICAL SOVEREIGN BOND FUND		
TAG OIL LTD.		
TAHERA DIAMOND CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
TAIGA BUILDING PRODUCTS LTD.		
TAIGA GOLD CORP.		
TAILWIND CAPITAL CORPORATION		
TAJAC CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
TAJIRI RESOURCES CORP.		
TAJZHA VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
TAKU GOLD CORP.		
TALBORNE CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
TALISKER RESOURCES LTD.		
TALKTRAN COMMUNICATIONS CORP.	ASC CTO	1a, 1b, 1c, 1e
TALLAGIUM CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1o, 2a, 2b
TALLGRASS ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
TALMORA DIAMOND INC.		
TALON METALS CORP.		
TALWARE NETWORKX INC.	ASC CTO	1a, 1b, 1c, 1e
TAMAN CORPORATION	ASC CTO	1a, 1b, 1c, 1e
TAMARACK VALLEY ENERGY LTD.		
TAMERLANE VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
TAMM OIL & GAS CORP.	ASC CTO	
TANGERINE BALANCED GROWTH PORTFOLIO		
TANGERINE BALANCED INCOME PORTFOLIO		
TANGERINE BALANCED PORTFOLIO		
TANGERINE DIVIDEND PORTFOLIO		
TANGERINE EQUITY GROWTH PORTFOLIO		
TANTALEX RESOURCES CORPORATION		
TANZANIAN GOLD CORPORATION		
TAP CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
TARANIS RESOURCES INC.		
TARGA RESOURCE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
TARGET CAPITAL INC.		
TARGET TEN INC.	ASC CTO	1a, 1b, 1c, 1e
TARGETED MICROWAVE SOLUTIONS INC.		

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TARKU RESOURCES LTD.		
TARQUIN GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
TARTISAN NICKEL CORP.		
TASEKO MINES LIMITED		
TAUREX RESOURCES PLC	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g, 1k
TAURUS FOOTWEAR INC.	ASC CTO	1a, 1b, 1c, 1e
TBM NT CORPORATION	ASC CTO	1a, 1b, 1c, 1e
TC ENERGY CORPORATION		
TCR ENVIRONMENTAL CORP	ASC CTO	1a, 1b, 1c, 1e, 1g
TCT LOGISTICS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
TD ACTIVE GLOBAL ENHANCED DIVIDEND ETF		
TD ACTIVE GLOBAL EQUITY GROWTH ETF		
TD ACTIVE GLOBAL INCOME ETF		
TD ACTIVE GLOBAL INFRASTRUCTURE EQUITY ETF		
TD ACTIVE GLOBAL REAL ESTATE EQUITY ETF		
TD ACTIVE PREFERRED SHARE ETF		
TD ACTIVE U.S. ENHANCED DIVIDEND ETF		
TD ACTIVE U.S. HIGH YIELD BOND ETF		
TD ADVANTAGE BALANCED GROWTH PORTFOLIO		
TD ADVANTAGE BALANCED INCOME PORTFOLIO		
TD ADVANTAGE BALANCED PORTFOLIO		
TD BALANCED GROWTH FUND		
TD BALANCED INDEX FUND		
TD CANADIAN AGGREGATE BOND INDEX ETF		
TD CANADIAN BLUE CHIP DIVIDEND FUND		
TD CANADIAN BOND FUND		
TD CANADIAN BOND INDEX FUND		
TD CANADIAN CORE PLUS BOND FUND		
TD CANADIAN CORPORATE BOND FUND		
TD CANADIAN DIVERSIFIED YIELD FUND		
TD CANADIAN EQUITY CLASS		
TD CANADIAN EQUITY FUND		
TD CANADIAN EQUITY INDEX ETF		
TD CANADIAN EQUITY POOL		
TD CANADIAN EQUITY POOL CLASS		
TD CANADIAN INDEX FUND		
TD CANADIAN LARGE-CAP EQUITY FUND		
TD CANADIAN LONG TERM FEDERAL BOND ETF		
TD CANADIAN LOW VOLATILITY CLASS		

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TD CANADIAN LOW VOLATILITY FUND		
TD CANADIAN LOW VOLATILITY POOL		
TD CANADIAN MONEY MARKET FUND		
TD CANADIAN SMALL-CAP EQUITY CLASS		
TD CANADIAN SMALL-CAP EQUITY FUND		
TD CAPITAL TRUST IV		
TD CHINA INCOME & GROWTH FUND		
TD COMFORT AGGRESSIVE GROWTH PORTFOLIO		
TD COMFORT BALANCED GROWTH PORTFOLIO		
TD COMFORT BALANCED INCOME PORTFOLIO		
TD COMFORT BALANCED PORTFOLIO		
TD COMFORT CONSERVATIVE INCOME PORTFOLIO		
TD COMFORT GROWTH PORTFOLIO		
TD CORPORATE BOND PLUS FUND		
TD DIVERSIFIED MONTHLY INCOME FUND		
TD DIVIDEND GROWTH CLASS		
TD DIVIDEND GROWTH FUND		
TD DIVIDEND INCOME CLASS		
TD DIVIDEND INCOME FUND		
TD DOW JONES INDUSTRIAL AVERAGE INDEX FUND		
TD EMERALD BALANCED FUND		
TD EMERALD CANADIAN BOND INDEX FUND		
TD EMERALD CANADIAN EQUITY INDEX FUND		
TD EMERALD CANADIAN SHORT TERM INVESTMENT FUND		
TD EMERALD CANADIAN TREASURY MANAGEMENT - GOVERNMENT OF CANADA FUND		
TD EMERALD CANADIAN TREASURY MANAGEMENT FUND		
TD EMERALD INTERNATIONAL EQUITY INDEX FUND		
TD EMERALD U.S. MARKET INDEX FUND		
TD EMERGING MARKETS CLASS		
TD EMERGING MARKETS FUND		
TD EMERGING MARKETS LOW VOLATILITY FUND		
TD EUROPEAN INDEX FUND		
TD FIXED INCOME POOL		
TD FUNDSMART MANAGED AGGRESSIVE GROWTH PORTFOLIO		
TD FUNDSMART MANAGED BALANCED GROWTH PORTFOLIO		
TD FUNDSMART MANAGED INCOME & MODERATE GROWTH PORTFOLIO		
TD GLOBAL BALANCED OPPORTUNITIES FUND		
TD GLOBAL CONSERVATIVE OPPORTUNITIES FUND		

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TD GLOBAL CORE PLUS BOND FUND		
TD GLOBAL ENTERTAINMENT & COMMUNICATIONS FUND		
TD GLOBAL EQUITY FOCUSED FUND		
TD GLOBAL EQUITY POOL		
TD GLOBAL EQUITY POOL CLASS		
TD GLOBAL INCOME FUND		
TD GLOBAL LOW VOLATILITY CLASS		
TD GLOBAL LOW VOLATILITY FUND		
TD GLOBAL RISK MANAGED EQUITY CLASS		
TD GLOBAL RISK MANAGED EQUITY FUND		
TD GLOBAL TACTICAL MONTHLY INCOME FUND		
TD GLOBAL TECHNOLOGY LEADERS INDEX ETF		
TD GLOBAL UNCONSTRAINED BOND FUND		
TD HEALTH SCIENCES FUND		
TD HIGH YIELD BOND FUND		
TD INCOME ADVANTAGE PORTFOLIO		
TD INCOME BUILDER ETF		
TD INCOME OPPORTUNITIES POOL		
TD INTERNATIONAL EQUITY CAD HEDGED INDEX ETF		
TD INTERNATIONAL EQUITY INDEX ETF		
TD INTERNATIONAL GROWTH CLASS		
TD INTERNATIONAL GROWTH FUND		
TD INTERNATIONAL INDEX CURRENCY NEUTRAL FUND		
TD INTERNATIONAL INDEX FUND		
TD INTERNATIONAL STOCK FUND		
TD MANAGED AGGRESSIVE GROWTH ETF PORTFOLIO		
TD MANAGED AGGRESSIVE GROWTH PORTFOLIO		
TD MANAGED BALANCED GROWTH ETF PORTFOLIO		
TD MANAGED BALANCED GROWTH PORTFOLIO		
TD MANAGED INCOME & MODERATE GROWTH ETF PORTFOLIO		
TD MANAGED INCOME & MODERATE GROWTH PORTFOLIO		
TD MANAGED INCOME ETF PORTFOLIO		
TD MANAGED INCOME PORTFOLIO		
TD MANAGED INDEX AGGRESSIVE GROWTH PORTFOLIO		
TD MANAGED INDEX BALANCED GROWTH PORTFOLIO		
TD MANAGED INDEX INCOME & MODERATE GROWTH PORTFOLIO		
TD MANAGED INDEX INCOME PORTFOLIO		
TD MANAGED INDEX MAXIMUM EQUITY GROWTH PORTFOLIO		
TD MANAGED MAXIMUM EQUITY GROWTH ETF PORTFOLIO		

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Name	Cease Traded	Nature of Default
TD MANAGED MAXIMUM EQUITY GROWTH PORTFOLIO		
TD MONTHLY INCOME FUND		
TD NASDAQ INDEX FUND		
TD NORTH AMERICAN DIVIDEND FUND		
TD NORTH AMERICAN SMALL-CAP EQUITY FUND		
TD OPPORTUNITIES POOL		
TD PRECIOUS METALS FUND		
TD PREMIUM MONEY MARKET FUND		
TD Q CANADIAN DIVIDEND ETF		
TD Q CANADIAN LOW VOLATILITY ETF		
TD Q GLOBAL DIVIDEND ETF		
TD Q GLOBAL MULTIFACTOR ETF		
TD Q INTERNATIONAL LOW VOLATILITY ETF		
TD Q U.S. LOW VOLATILITY ETF		
TD Q U.S. SMALL-MID-CAP EQUITY ETF		
TD REAL RETURN BOND FUND		
TD RESOURCE FUND		
TD RETIREMENT BALANCED PORTFOLIO		
TD RETIREMENT CONSERVATIVE PORTFOLIO		
TD RISK MANAGEMENT POOL		
TD RISK REDUCTION POOL		
TD RISK REDUCTION POOL - US\$		
TD SCIENCE & TECHNOLOGY FUND		
TD SELECT SHORT TERM CORPORATE BOND LADDER ETF		
TD SELECT U.S. SHORT TERM CORPORATE BOND LADDER ETF		
TD SHORT TERM BOND FUND		
TD SHORT TERM INVESTMENT CLASS		
TD TACTICAL MONTHLY INCOME CLASS		
TD TACTICAL MONTHLY INCOME FUND		
TD TACTICAL POOL		
TD TACTICAL POOL CLASS		
TD U.S. BLUE CHIP EQUITY FUND		
TD U.S. CORPORATE BOND FUND		
TD U.S. DIVIDEND GROWTH FUND		
TD U.S. EQUITY CAD HEDGED INDEX ETF		
TD U.S. EQUITY INDEX ETF		
TD U.S. EQUITY PORTFOLIO		
TD U.S. INDEX CURRENCY NEUTRAL FUND		
TD U.S. INDEX FUND		

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TD U.S. LONG TERM TREASURY BOND ETF		
TD U.S. LOW VOLATILITY FUND		
TD U.S. MID-CAP GROWTH CLASS		
TD U.S. MID-CAP GROWTH FUND		
TD U.S. MONEY MARKET FUND		
TD U.S. MONTHLY INCOME FUND		
TD U.S. MONTHLY INCOME FUND - C\$		
TD U.S. QUANTITATIVE EQUITY FUND		
TD U.S. RISK MANAGED EQUITY CLASS		
TD U.S. RISK MANAGED EQUITY FUND		
TD U.S. SMALL-CAP EQUITY FUND		
TD ULTRA SHORT TERM BOND FUND		
TD US\$ RETIREMENT PORTFOLIO		
TDB SPLIT CORP.		
TEAL CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
TEAL VALLEY HEALTH INC.		
TEARLAB CORPORATION		1a, 1b, 1c
TEARLACH RESOURCES LIMITED		1k
TEC-AD SOLUTIONS LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
TECHCANA INC.	ASC CTO	1a, 1b, 1c, 1e
TECHCORE CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
TECHMIN CANADA LTD.	ASC CTO	1a, 1b, 1c, 1e
TECK RESOURCES LIMITED		
TECK WORLD INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
TECNECON GOLD INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
TECSYS INC.		
TECTONIC METALS INC.		
TEE-COMM ELECTRONICS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
TELDAR RESOURCES CORP.		
TELE RADIO SYSTEMS LIMITED	ASC CTO	1a, 1b, 1c, 1e
TELEGLOBE INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
TELEPHONY COMMUNICATIONS INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
TELEPOST COMMUNICATIONS INC	ASC CTO	1a, 1b, 1c, 1e, 1g
TELESCENE FILM GROUP INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
TELESIS NORTH COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
TELLZA INC.	Reciprocal CTO	1a, 1b, 1c, 1d, 1e
TELO GENOMICS CORP.		
TELSON MINING CORPORATION		
TELUM INTERNATIONAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g

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TELUS COMMUNICATIONS INC.		
TELUS CORPORATION		
TEMBO GOLD CORP.		
TEMPLAR ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
TEMPLETON EAFE DEVELOPED MARKETS FUND		
TEMPLETON EMERGING MARKETS CORPORATE CLASS		
TEMPLETON EMERGING MARKETS FUND		
TEMPLETON GLOBAL BALANCED FUND		
TEMPLETON GLOBAL BOND FUND		
TEMPLETON GLOBAL BOND FUND (HEDGED)		
TEMPLETON GLOBAL SMALLER COMPANIES CORPORATE CLASS		
TEMPLETON GLOBAL SMALLER COMPANIES FUND		
TEMPLETON GROWTH CORPORATE CLASS		
TEMPLETON GROWTH FUND, LTD.		
TEMPLETON INTERNATIONAL STOCK CORPORATE CLASS		
TEMPLETON INTERNATIONAL STOCK FUND		
TEMPUS CAPITAL INC.		
TENACITY RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e
TENTH AVENUE PETROLEUM CORP.		
TER THERMAL RETRIEVAL SYSTEMS LTD.	ASC CTO	1a, 1b, 1c, 1e
TERAGO INC.		
TERANGA GOLD CORPORATION		
TERAS RESOURCES INC.		
TERATO RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
TERMINAL CITY CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
TERRA ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
TERRA FIRMA CAPITAL CORPORATION		
TERRA MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
TERRA NOVA RESOURCES INC.		
TERRABIOGEN TECHNOLOGIES INC.		
TERRACE ENERGY CORP.		
TERRACE GLOBAL INC.		
TERRADYNE ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
TERRAMIN MINING INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
TERRANUEVA CORPORATION		
TERRASCEND CORP.		
TERRATECH RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
TERRAVEST INDUSTRIES INC.		
TERRAWEST INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g

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TERRENO RESOURCES CORP.		
TERVITA CORPORATION		
TERYL RESOURCES CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
TESLA EXPLORATION LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
TESORO MINERALS CORP.		
TETHYAN RESOURCE CORP.		
TETHYS PETROLEUM LIMITED		
TETON ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
TETRA BIO-PHARMA INC.		
TETRATEL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
TEUTON RESOURCES CORP.		
TFI INTERNATIONAL INC.		
TG INCOME TRUST III		
TG RESIDENTIAL VALUE PROPERTIES LTD.	ASC CTO	1a, 1b, 1c, 1e
TGOD ACQUISITION CORPORATION		1g
THC BIOMED INTL LTD.		
THE ALKALINE WATER COMPANY INC.		
THE ART VAULT INTERNATIONAL LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
THE AZTERRA CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
THE BITCOIN FUND		
THE CALDWELL PARTNERS INTERNATIONAL INC.		
THE CANADA LIFE ASSURANCE COMPANY		1g
THE CANFIBRE GROUP LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
THE CASH STORE AUSTRALIA HOLDINGS INC.	ASC CTO	1a, 1b, 1c, 1e
THE CASH STORE FINANCIAL SERVICES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
THE CHANCELLOR GROUP LTD.	ASC CTO	1a, 1b, 1c, 1e
THE CHILDREN'S EDUCATION TRUST OF CANADA		
THE CHILDREN'S EDUCATIONAL FOUNDATION OF CANADA		
THE CROSS WINDS APARTMENT PROJECT		
THE DESCARTES SYSTEMS GROUP INC.		
THE EMPIRE LIFE INSURANCE COMPANY		
THE ENERCAN GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
THE FLOWR CORPORATION		
THE FOLLGARD GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
THE GAMBOL GROUP INCORPORATED	ASC CTO	1a, 1b, 1c, 1e, 1g
THE GREEN ORGANIC DUTCHMAN HOLDINGS LTD.		
THE HELICAL CORPORATION INC.	ASC CTO	1a, 1b, 1c, 1e
THE HEWLYN CORPORATION	ASC CTO	1a, 1b, 1c, 1e
THE HIGH COUNTRY	ASC CTO	1a, 1c, 1e

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Name	Cease Traded	Nature of Default
THE KEG ROYALTIES INCOME FUND		
THE LODGE AT KANANASKIS LIMITED PARTNERSHIP	Reciprocal CTO	1a, 1b, 1c, 1e
THE LOEWEN GROUP INC	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
THE LUCKY STAR	ASC CTO	1a, 1b, 1c, 1e
THE MADISON COMPANIES LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
THE MANUFACTURERS LIFE INSURANCE COMPANY		
THE MCELVAINE INVESTMENT TRUST		
THE MEDIPATTERN CORPORATION	ASC CTO	1a, 1b, 1c, 1e
THE MILLENNIUM NETWORK CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
THE MINT CORPORATION		
THE MOUNTAIN INN AT RIBBON CREEK LIMITED PARTNERSHIP	Reciprocal CTO	1a, 1b, 1c, 1e
THE NORTH WEST COMPANY INC.		
THE PACE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
THE PHOENICIAN FUND CORPORATION I	ASC CTO	1a, 1b, 1c, 1e
THE PHOENIX HEDGE FUND LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1d, 1e
THE SARATOGA LIMITED PARTNERSHIP	ASC CTO	
THE SAUCY BREAD COMPANY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
THE SECOND CUP LTD.		
THE SILVER RECYCLING COMPANY INC.	ASC CTO	1a, 1b, 1c, 1e
THE SONG CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
THE STARS GROUP INC.		
THE SUPREME CANNABIS COMPANY, INC.		
THE SURREY PLACE LIMITED PARTNERSHIP	ASC CTO	
THE TDL GROUP CORP.		
THE TINLEY BEVERAGE COMPANY INC.		
THE TORONTO-DOMINION BANK		
THE VERSATECH GROUP INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
THE VIVANT GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
THE WESTAIM CORPORATION		
THE WESTERN INVESTMENT COMPANY OF CANADA LIMITED		
THE WINCHESTER GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
THE YIELD GROWTH CORP.		
THE ZONE ENTERTAINMENT GROUP, INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
THEMAC RESOURCES GROUP LIMITED		
THERALASE TECHNOLOGIES INC.		
THERATECHNOLOGIES INC.		
THERMA BRIGHT INC.		
THERMAL CONTROL TECHNOLOGIES CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
THERMAL ENERGY INTERNATIONAL INC.		

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Name	Cease Traded	Nature of Default
THERMAX INTERNATIONAL CORP.	ASC CTO	1a, 1b, 1c, 1e
THERMOCERAMIX CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e
THIRD CGI MUTUALIZATION CORPORATION	ASC CTO	1a, 1b, 1c, 1e
THISTLE MINING INC.	ASC CTO	1a, 1b, 1c, 1e
THOMSON REUTERS CORPORATION		
THOR EXPLORATIONS LTD.		
THREED CAPITAL INC.		
THREEGOLD RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
THRESHOLD	ASC CTO	1a, 1b, 1c, 1e
THUNDER BAY CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
THUNDER MOUNTAIN GOLD, INC.		
THUNDER RIVER ENTERPRISES INC.		
THUNDERBIRD ENTERTAINMENT GROUP INC.		
THUNDERSPIKE RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
THUNDERSTRUCK RESOURCES LTD.		
TICKET TO HEAVEN	ASC CTO	1a, 1c, 1e
TIDEWATER MIDSTREAM AND INFRASTRUCTURE LTD.		
TIGER INTERNATIONAL RESOURCES INC.		
TILL CAPITAL CORPORATION		
TILLEBROOK HOLDINGS LTD.	ASC CTO	1a, 1b, 1c, 1e
TILRAY, INC.		
TILT HOLDINGS INC.		
TILTING CAPITAL CORP.		
TIMBERCREEK FINANCIAL CORP.		
TIMBERCREEK GLOBAL REAL ESTATE INCOME FUND		
TIMBERLINE RESOURCES CORPORATION		
TIMEBEAT.COM ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
TIMELESS CAPITAL CORP.		
TIMES TELECOM INC.	ASC CTO	1a, 1b, 1c, 1e
TIMES THREE WIRELESS INC.	ASC CTO	1a, 1b, 1c, 1e
TIMIA CAPITAL CORP.		
TIMMINCO LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e
TIMMINS NICKEL INC.	ASC CTO	1a, 1b, 1c, 1e
TINKA RESOURCES LIMITED		
TINKERINE STUDIOS LTD.		
TINTINA MINES LIMITED		
TISDALE RESOURCES CORP.		
TITAN DIGITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
TITAN LOGIX CORP.		

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TITAN MEDICAL INC.		
TITAN MINING CORPORATION		
TITAN OIL & GAS, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g, 1k
TITAN TRADING ANALYTICS INC.	ASC CTO	1a, 1b, 1c, 1e
TITANIUM CORPORATION INC.		
TITANIUM TRANSPORTATION GROUP INC.		
TITUS ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 3
TLC VISION CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
TMAC RESOURCES INC.		
TMI TECHNOLOGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
TMI-LEARNIX INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
TMX GROUP LIMITED		
TNR GOLD CORP.		
TOBURN GOLD MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
TOCVAN VENTURES CORP.		
TOMAGOLD CORPORATION		
TOMAHAWK CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
TOMCO DEVELOPMENTS INC.	ASC CTO	1a, 1b, 1c, 1e
TOP 10 CANADIAN FINANCIAL TRUST		
TOP 10 SPLIT TRUST		
TOP 20 U.S. DIVIDEND TRUST		
TOP EXPLORATION INC.		
TOP STRIKE RESOURCES CORP.		
TOPTENT INC.	ASC CTO	1a, 1b, 1c, 1e
TORC OIL & GAS LTD.		
TOREX GOLD RESOURCES INC.		
TORINO OIL & GAS LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
TORINO POWER SOLUTIONS INC.		
TORMEX RESOURCES LTD.	ASC CTO	
TORNADO GLOBAL HYDROVACS LTD.		
TORNADO RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
TORO DORADO MINERALS INC.		
TOROMONT INDUSTRIES LTD.		
TORONTO HYDRO CORPORATION		
TORQ RESOURCES INC.		
TORQUE ESPORTS CORP.		
TORRENT CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
TORRENT CAPITAL LTD.		
TORSTAR CORPORATION		

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TOSCANA ENERGY INCOME CORPORATION		
TOTAL ENERGY SERVICES INC.		
TOTAL IMAGE CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
TOTAL TELCOM INC.		
TOTALLY HIP TECHNOLOGIES INC.		
TOUCHSTONE EXPLORATION INC.		
TOUCHSTONE GOLD LIMITED	ASC CTO	1a, 1b, 1c, 1e
TOURMALINE OIL CORP.		
TOWER MORTGAGE LTD.	ASC CTO	1a, 1b, 1c, 1e
TOWER RESOURCES LTD.		
TOXIN ALERT INC.	ASC CTO	1a, 1b, 1c, 1e, 3
TRACEABILITY SOLUTIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
TRACKER VENTURES CORP.		
TRACKX HOLDINGS INC.		1b, 1c, 1e
TRADE WIND COMMUNICATIONS LIMITED	ASC CTO	1a, 1b, 1c, 1e
TRADEX BOND FUND		
TRADEX EQUITY FUND LIMITED		
TRADEX GLOBAL EQUITY FUND		
TRAFINA ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
TRAKOPOLIS IOT CORP.		
TRANSACT LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
TRANSALTA CORPORATION		
TRANSALTA RENEWABLES INC.		
TRANSAT A.T. INC.		
TRANSATLANTIC MINING CORP.		
TRANSATLANTIC PETROLEUM LTD.		
TRANSCANADA PIPELINES LIMITED		
TRANSCANADA TRUST		
TRANSCANNA HOLDINGS INC.		
TRANSCONTINENTAL GOLD CORP.		
TRANSCONTINENTAL INC.		
TRANSEURO ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
TRANSGLOBE ENERGY CORPORATION		
TRANSGLOBE INTERNET AND TELECOM CO LTD	ASC CTO	1a, 1b, 1c, 1e, 3
TRANSITION METALS CORP.		
TRANSNATIONAL CANNABIS LTD.		
TRANSPACIFIC RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
TRAPPER RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
TRAVEL PLAZA DEVELOPMENTS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g

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TRAVELBYUS.COM LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
TRAVERSE ENERGY LTD.	ASC CTO	1b, 1c, 1e
TREASURY METALS INC.		
TREE BREWING COMPANY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
TREE ISLAND STEEL LTD.		
TREE OF KNOWLEDGE INTERNATIONAL CORP.		
TREK ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e
TRENCH SOLUTIONS INC.		
TRENCHANT CAPITAL CORP.		
TRES-OR RESOURCES LTD.		
TREVALI MINING CORPORATION		
TREZ CAPITAL SENIOR MORTGAGE INVESTMENT CORPORATION		
TRI CAPITAL OPPORTUNITIES CORP.		
TRI CITY MORTGAGE CORPORATION LTD.	ASC CTO	1a, 1b, 1c, 1e
TRI COMMUNICATION SOLUTIONS, INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
TRI ORIGIN EXPLORATION LTD.		
TRIANGLE INDUSTRIES LTD.		
TRIANGULUM CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
TRIARX GOLD CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
TRIBUTE RESOURCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e, 1k
TRICAN WELL SERVICE LTD.		
TRICHOME FINANCIAL CORP.		
TRICON CAPITAL GROUP INC.		
TRI-D AUTOMOTIVE LIMITED	ASC CTO	1a, 1b, 1c, 1e
TRIFECTA GOLD LTD.		
TRIGON METALS INC.		
TRILLIUM ACQUISITION CORP.		
TRILLIUM THERAPEUTICS INC.		
TRILOGY INTERNATIONAL PARTNERS INC.		
TRILOGY METALS INC.		
TRINCAN CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
TRINEXUS HOLDINGS LTD.	ASC CTO	1a, 1b, 1c, 1e
TRINITY VENTURES CORP.		1g, 3
TRI-NORTHERN RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
TRIO GOLD CORP.	ASC CTO	1a, 1b, 1c, 1e
TRIQUANTA INVESTMENTS LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e
TRIRAK INDUSTRIES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
TRI-RIVER VENTURES INC.		
TRI-STAR GOLD CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g

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TRISTAR GOLD INC.		
TRI-STAR RESOURCES PLC		
TRISUMMIT UTILITIES INC.		
TRISURA GROUP LTD.		
TRITEC POWER SYSTEMS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
TRITON MINING CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
TRIUMPH GOLD CORP.		
TRIUMPH VENTURES II CORPORATION	ASC CTO	1a, 1b, 1c, 1e
TRIUUS INVESTMENTS INC.		
TRI-X INTERNATIONAL LTD.	ASC CTO	1a, 1b, 1c, 1e
TROILUS GOLD CORP.		
TROPIC TRADING COMPANY LIMITED	ASC CTO	1a, 1b, 1c, 1e
TROPIKA INTERNATIONAL LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
TROUBADOUR RESOURCES INC.		
TROY ENERGY CORP.		
TROY GOLD INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
TRUE GRIT RESOURCES LTD.		
TRUE LEAF BRANDS INC.		
TRUE NORTH COMMERCIAL REAL ESTATE INVESTMENT TRUST		
TRUE NORTH GEMS INC.		
TRUESTAR PETROLEUM CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
TRULIEVE CANNABIS CORP.		
TRUSTBIX INC.		
TRUSTED BRAND 2016 INC.		
TRUTRACE TECHNOLOGIES INC.		
TSI TELSIS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
TSODILO RESOURCES LIMITED		
TTM RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
TUCOWS INC.		
TUDOR CORPORATION LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k, 3
TUDOR GOLD CORP.		
TUNDRA ENVIRONMENTAL CORPORATION LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
TURMALINA METALS CORP.		
TURNKEY E&P INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
TURQUOISE HILL RESOURCES LTD.		
TUSCANY ENERGY LTD.		1a, 1b, 1c, 1e, 1k
TVA GROUP INC.		
TVI PACIFIC INC.		2d
TWC ENTERPRISES LIMITED		

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TWIN BUTTE ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
TWIN GOLD MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
TWIN RICHFIELD OILS LTD.	ASC CTO	1a, 1b, 1c, 1e
TWOCO PETROLEUMS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
TWX GROUP HOLDING LIMITED		
TWYFORD VENTURES INC.		
TYHEE GOLD CORP.	ASC CTO	1a, 1b, 1c, 1e
TYMBAL RESOURCES LTD.		
TYNER RESOURCES LTD.		
TYPHOON EXPLORATION INC.		
U.F.X. ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
U.S. BANKS INCOME & GROWTH FUND		
U.S. DIAMOND CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
U.S. DIVIDEND CLASS (GWLIM)		
U.S. DIVIDEND FUND (GWLIM)		
U.S. DOLLAR CASH MANAGEMENT FUND		
U.S. FINANCIALS INCOME FUND		
U.S. HIGH YIELD BOND FUND		
U.S. LARGE CAP INDEX FUND		
U.S. LARGE COMPANY EQUITY FUND		
U.S. LOW VOLATILITY FUND (PUTNAM)		
U.S. SMALL COMPANY EQUITY FUND		
U.S. VALUE CLASS (PUTNAM)		
U.S. VALUE FUND (PUTNAM)		
U308 CORP.		
UBIQUE MINERALS LIMITED		
UC'NWIN SYSTEMS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
UCORE RARE METALS INC.		
UEX CORPORATION		
UGE INTERNATIONAL LTD.		
ULTRA PETROLEUM CORP.		
ULTRA PURE WATER SYSTEMS (CANADA) INC.	ASC CTO	1a, 1b, 1c, 1e
ULTRA RESOURCES INC.		
ULTRAVISION CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
ULTREX LIMITED	ASC CTO	1a, 1b, 1c, 1e
UNDERGROUND ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
UNI GLOBE INTERNATIONAL ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e
UNICORN CORPORATION THE	ASC CTO	1a, 1b, 1c, 1e
UNIGLOBE.COM INC.	ASC CTO	1a, 1b, 1c, 1e

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UNIGOLD INC.		
UNILENS VISION INC.		1a, 1b, 1c, 1e
UNILINK TELE.COM INC.	ASC CTO	1a, 1b, 1c, 1e
UNILOCK CAPITAL CORP.		
UNIREX CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
UNIROM TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
UNI-SELECT INC		
UNISERVE COMMUNICATIONS CORPORATION		
UNISPHERE WASTE CONVERSION LTD.	ASC CTO	1a, 1b, 1c, 1e
UNISYNC CORP.		
UNITEC INTERNATIONAL CONTROLS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
UNITED AMERICA EHEALTH TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
UNITED BATTERY METALS CORP.		
UNITED CANSO OIL & GAS LTD.	ASC CTO	1a, 1b, 1c, 1e
UNITED CHINA INTERNATIONAL ENTERPRISES GROUP LTD.	ASC CTO	1a, 1b, 1c, 1e
UNITED COAL HOLDINGS LIMITED	Reciprocal CTO	1a, 1b, 1c, 1e, 3
UNITED GUNN RESOURCES LTD	ASC CTO	1a, 1b, 1c, 1e, 1g
UNITED HEARNE RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
UNITED HUNTER OIL & GAS CORP.		
UNITED INDUSTRIAL SERVICES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
UNITED KENO HILL MINES LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
UNITED MEDIA LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
UNITED PACIFIC CAPITAL RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
UNITED PROTECTION SECURITY GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 4
UNITED SILVER CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e
UNITED SOUTHERN MINERALS CORP.	ASC CTO	1a, 1b, 1c, 1e
UNITY WIRELESS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
UNIVERSAL COPPER LTD.		
UNIVERSAL FUELS COMPANY	ASC CTO	1a, 1b, 1c, 1e
UPLAND GLOBAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
UPPER CANYON MINERALS CORP.		
UPSNAP, INC.		
URANIUM ENERGY CORP.		
URANIUM PARTICIPATION CORPORATION		
URANIUM ROYALTY CORP.		
URANUS EXPLORATIONS LIMITED	ASC CTO	1a, 1b, 1c, 1e
URAVAN MINERALS INC.		
URBAN RESOURCE TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
URBANA CORPORATION		

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URBANFUND CORP.		
URBANGOLD MINERALS INC.		
URBANIMMERSIVE INC.		
UR-ENERGY INC.		
URTHECAST CORP.		
US EQUITY ALPHA CORPORATE CLASS		
US EQUITY ALPHA POOL		
US EQUITY GROWTH CORPORATE CLASS		
US EQUITY GROWTH POOL		
US EQUITY SMALL CAP CORPORATE CLASS		
US EQUITY SMALL CAP POOL		
US EQUITY VALUE CORPORATE CLASS		
US EQUITY VALUE CURRENCY HEDGED CORPORATE CLASS		
US EQUITY VALUE CURRENCY HEDGED POOL		
US EQUITY VALUE POOL		
US FINANCIAL 15 SPLIT CORP.		
US OIL SANDS INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
USHA RESOURCES LTD.		
V. FUND INVESTMENTS LIMITED	ASC CTO	1a, 1b, 1c, 1e
V.S.V. RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e
VAIL RESORTS, INC.		1g
VAL-D'OR MINING CORPORATION		
VALDOR TECHNOLOGY INTERNATIONAL INC.		
VALDY INVESTMENTS LTD.		
VALENS GROWWORKS CORP.		
VALEO PHARMA INC.		
VALERO ENERGY CORPORATION		
VALEURA ENERGY INC.		
VALHALLA RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
VALIANT ENTERPRISES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
VALMEC CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
VALORE METALS CORP.		
VALTERRA RESOURCE CORPORATION		
VALUCAP INVESTMENTS INC.	ASC CTO	1a, 1b, 1c, 1e
VALUE CAPITAL TRUST		
VANADIAN ENERGY CORP.		
VANADIUM ONE IRON CORP.		
VANADIUMCORP RESOURCE INC.		
VANGOLD MINING CORP.		

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VANGUARD ALL-EQUITY ETF PORTFOLIO		
VANGUARD AVIATION CORP.	ASC CTO	1a, 1b, 1c, 1e
VANGUARD BALANCED ETF PORTFOLIO		
VANGUARD CANADIAN AGGREGATE BOND INDEX ETF		
VANGUARD CANADIAN CORPORATE BOND INDEX ETF		
VANGUARD CANADIAN GOVERNMENT BOND INDEX ETF		
VANGUARD CANADIAN LONG-TERM BOND INDEX ETF		
VANGUARD CANADIAN SHORT-TERM BOND INDEX ETF		
VANGUARD CANADIAN SHORT-TERM CORPORATE BOND INDEX ETF		
VANGUARD CANADIAN SHORT-TERM GOVERNMENT BOND INDEX ETF		
VANGUARD CONSERVATIVE ETF PORTFOLIO		
VANGUARD CONSERVATIVE INCOME ETF PORTFOLIO		
VANGUARD FTSE CANADA ALL CAP INDEX ETF		
VANGUARD FTSE CANADA INDEX ETF		
VANGUARD FTSE CANADIAN CAPPED REIT INDEX ETF		
VANGUARD FTSE CANADIAN HIGH DIVIDEND YIELD INDEX ETF		
VANGUARD FTSE DEVELOPED ALL CAP EX NORTH AMERICA INDEX ETF		
VANGUARD FTSE DEVELOPED ALL CAP EX NORTH AMERICA INDEX ETF (CAD-HEDGED)		
VANGUARD FTSE DEVELOPED ALL CAP EX U.S. INDEX ETF		
VANGUARD FTSE DEVELOPED ALL CAP EX U.S. INDEX ETF (CAD HEDGED)		
VANGUARD FTSE DEVELOPED ASIA PACIFIC ALL CAP INDEX ETF		
VANGUARD FTSE DEVELOPED ASIA PACIFIC ALL CAP INDEX ETF (CAD-HEDGED)		
VANGUARD FTSE DEVELOPED EUROPE ALL CAP INDEX ETF		
VANGUARD FTSE DEVELOPED EUROPE ALL CAP INDEX ETF (CAD-HEDGED)		
VANGUARD FTSE DEVELOPED EX NORTH AMERICA HIGH DIVIDEND YIELD INDEX ETF		
VANGUARD FTSE EMERGING MARKETS ALL CAP INDEX ETF		
VANGUARD FTSE GLOBAL ALL CAP EX CANADA INDEX ETF		
VANGUARD FUND	ASC CTO	1a, 1b, 1c
VANGUARD GLOBAL AGGREGATE BOND INDEX ETF (CAD-HEDGED)		
VANGUARD GLOBAL BALANCED FUND		
VANGUARD GLOBAL DIVIDEND FUND		
VANGUARD GLOBAL EX-U.S. AGGREGATE BOND INDEX ETF (CAD-HEDGED)		
VANGUARD GLOBAL LIQUIDITY FACTOR ETF		
VANGUARD GLOBAL MINIMUM VOLATILITY ETF		
VANGUARD GLOBAL MOMENTUM FACTOR ETF		
VANGUARD GLOBAL VALUE FACTOR ETF		

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VANGUARD GROWTH ETF PORTFOLIO		
VANGUARD INTERNATIONAL GROWTH FUND		
VANGUARD S&P 500 INDEX ETF		
VANGUARD S&P 500 INDEX ETF (CAD-HEDGED)		
VANGUARD U.S. AGGREGATE BOND INDEX ETF (CAD-HEDGED)		
VANGUARD U.S. DIVIDEND APPRECIATION INDEX ETF		
VANGUARD U.S. DIVIDEND APPRECIATION INDEX ETF (CAD-HEDGED)		
VANGUARD U.S. TOTAL MARKET INDEX ETF		
VANGUARD U.S. TOTAL MARKET INDEX ETF (CAD-HEDGED)		
VANGUARD VENTURE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
VANGUARD WINDSOR US VALUE FUND		
VANITY CAPITAL INC.		
VANOIL ENERGY LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
VANSTAR MINING RESOURCES INC.		
VANTAGE POINT II	ASC CTO	1a, 1b, 1c, 1e
VANTEC VENTURES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
VANTEX RESOURCES LTD.		
VARIETY VIDEO ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e
VATIC VENTURES CORP.		
VAXIL BIO LTD.		
VBI VACCINES INC.		
VECIMA NETWORKS INC.		
VECTA ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
VECTOR INTERMEDIARIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
VECTOR REIT INC.	Reciprocal CTO	1b, 1c, 1e
VEGA MINING INC.	ASC CTO	1a, 1b, 1c, 1e
VELAN INC.		
VELOCITY DATA INC.		
VELOCITY MINERALS LTD.		
VENATOR ALTERNATIVE INCOME FUND		
VENDETTA MINING CORP.		
VENDTEK SYSTEMS INC.	Reciprocal CTO	1a, 1b, 1c, 1e
VENERABLE VENTURES LTD.		
VENGA AEROSPACE SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
VENSTAR INC.	ASC CTO	1a, 1b, 1c, 1e
VENTEX MINERALS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
VENTRIPOINT DIAGNOSTICS LTD.		
VENTURA CANNABIS AND WELLNESS CORP.		
VENTURE SEISMIC LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g

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VENTURECOM COMMUNIICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
VENTURELINK INNOVATION FUND INC.		
VENZEE TECHNOLOGIES INC.		
VERDE AGRITECH PLC		
VERDX MINERALS CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
VERIS GOLD CORP.	Reciprocal CTO	1a, 1b, 1c, 1d, 1e
VERISANTE TECHNOLOGY, INC.	Reciprocal CTO	1a, 1b, 1c, 1e
VERITAS ABSOLUTE RETURN FUND		
VERITAS CANADIAN EQUITY FUND		
VERITAS PHARMA INC.		
VERMILION ENERGY INC.		
VERSABANK		
VERSATILE SYSTEMS INC.	Reciprocal CTO	1a, 1b, 1c, 1e
VERSUS SYSTEMS INC.		
VERT INFRASTRUCTURE LTD.		
VERTEX PROPERTIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
VERTEX RESOURCE GROUP LTD.		
VERTICAL EXPLORATION INC.		
VETA RESOURCES INC.		
VIACORP TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
VIBE BIOSCIENCE LTD.		
VICTORIA GOLD CORP.		
VICTORY CAPITAL CORP.		
VICTORY CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
VICTORY EMPIRE INC.		
VICTORY METALS INC.		
VICTORY MOUNTAIN VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e
VICTORY NICKEL INC.		
VIDEODROME AND COVERGIRL	ASC CTO	1a, 1b, 1c, 1e
VIDWRX INC.	Reciprocal CTO	1a, 1b, 1c, 1e
VIEMED HEALTHCARE, INC.		
VIETNAM: THE TEN THOUSAND DAY WAR	ASC CTO	1a, 1b, 1c, 1e
VIGIL HEALTH SOLUTIONS INC.		
VIGIL LOCATING SYSTEMS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
VIKING GOLD EXPLORATION INC.		
VILLAGE FARMS INTERNATIONAL, INC.		
VINCERO CAPITAL CORP.		
VINCOMPASS CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e
VINERGY CANNABIS CAPITAL INC.		1k

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VINZA CAPITAL MANAGEMENT INC.		
VIOSOLAR INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
VIPER WIRELESS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
VIQ SOLUTIONS INC.		
VIREO HEALTH INTERNATIONAL, INC.		
VIRGINIA ENERGY RESOURCES INC.		
VIRGINIA HILLS OIL CORP.	ASC CTO	1a, 1b, 1c, 1e
VIRIDIS HOLDINGS CORP.		1a, 1b, 1c, 1e
VIRTUALARMOUR INTERNATIONAL INC.		
VIRTUTONE NETWORKS INC.	ASC CTO	1a, 1b, 1c, 1e
VISA GOLD EXPLORATIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
VISA INC.		
VISCOUNT MINING CORP.		
VISIBLE GOLD MINES INC.		
VISION ALTERNATIVE INCOME FUND		
VISION COATINGS GROUP LIMITED	ASC CTO	1a, 1b, 1c, 1e
VISION INCORPORATED	ASC CTO	1a, 1b, 1c, 1e, 1g
VISION LITHIUM INC.		
VISION SCMS INC.	ASC CTO	1a, 1b, 1c, 1e
VISIONQUEST ENERGY GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
VISIONSTATE CORP.		
VISIONWALL INCORPORATED	ASC CTO	1a, 1b, 1c, 1e
VISIPHOR CORPORATION	ASC CTO	1a, 1b, 1c, 1e
VISTA GOLD CORP.		
VISTA MINES INC.	ASC CTO	1a, 1b, 1c, 1e
VITAL ENERGY INC.		
VITAL RETIREMENT LIVING INC.	ASC CTO	1a, 1b, 1c, 1e
VITALHUB CORP.		
VITALITY PRODUCTS INC.		
VITREOUS GLASS INC.		
VIVA GOLD CORP.		
VIVERE COMMUNITIES INC.		
VIVIONE BIOSCIENCES INC.	ASC CTO	1a, 1b, 1c, 1e
VIVO CANNABIS INC.		
VIZSLA RESOURCES CORP.		
VOGUE INVESTMENTS 1987 LTD.	ASC CTO	1a, 1b, 1c, 1e
VOICE MOBILITY INTERNATIONAL, INC.		
VOLATUS CAPITAL CORP.		
VOLCANIC GOLD MINES INC.		

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VOLEO TRADING SYSTEMS INC.		
VOLT ENERGY CORP.		1k
VOLTAIRE SERVICES CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
VON CAPITAL CORP.		
VOSTOK MINERALS INC.	ASC CTO	1a, 1b, 1c, 1e
VOTI DETECTION INC.		
VOYAGER DIGITAL (CANADA) LTD.		
VOYAGEUR PHARMACEUTICALS LTD.		
VOYUS LTD.	ASC CTO	1a, 1b, 1c, 1e
VPI CANADIAN BALANCED POOL		
VPI CANADIAN EQUITY POOL		
VPI DIVIDEND GROWTH POOL		
VPI FOREIGN EQUITY POOL		
VPI INCOME POOL		
VPI MORTGAGE POOL		
VPI VALUE POOL		
VPN TECHNOLOGIES INC.		
VR RESOURCES LTD.		
VSBLTY GROUPE TECHNOLOGIES CORP.		
VSM MEDTECH LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
VULCAN MINERALS INC.		
VUZIX CORPORATION		
VVC EXPLORATION CORPORATION		
WAI CAPITAL INVESTMENTS CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
WAJAX CORPORATION		
WALCOTT RESOURCES LTD.		
WALDRON ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
WALKER RIVER RESOURCES CORP.		
WALKER'S CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
WALKING BEAR RESOURCES, INC.	ASC CTO	1a, 1b, 1c, 1e
WALTER ENERGY, INC.		1a, 1b, 1c, 1d, 1e, 1g
WALTON EDMONTON DEVELOPMENT CORPORATION	ASC CTO	1a, 1b, 1c, 1e
WALTON ONTARIO LAND L.P. 1	ASC CTO	1a, 1b, 1c, 1e
WALTON WESTPHALIA DEVELOPMENT CORPORATION		
WANGTON CAPITAL CORP.		
WARATAH ALTERNATIVE EQUITY INCOME FUND		
WARRIOR GOLD INC.		
WARWICK COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
WASECO RESOURCES INC.		3

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Name	Cease Traded	Nature of Default
WASTE CONNECTIONS, INC.		
WASTECORP. INTERNATIONAL INVESTMENTS INC.	ASC CTO	1a, 1b, 1c, 1e
WATER WAYS TECHNOLOGIES INC.		
WATERFORD HOUSE	ASC CTO	1a, 1b, 1c, 1e
WATSON LAKE MINES LIMITED	ASC CTO	1a, 1b, 1c, 1e
WAVEFRONT GLOBAL DIVERSIFIED INVESTMENT CLASS		
WAVEFRONT TECHNOLOGY SOLUTIONS INC.		
WAVEPOINT SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
WAVERIDER COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
WAVERLEY PHARMA INC.		
WAVVE TELECOMMUNICATIONS, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
WAYLAND GROUP CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
WAYPOINT ALL WEATHER ALTERNATIVE FUND		
WAYZATA FINANCE INC.		
WE X.L. HOLDINGS CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
WEALTH MINERALS LTD.		
WEEDMD INC.		
WEEKEND UNLIMITED INC.		
WEIHAO INTERNATIONAL ALUMINUM CORP.	ASC CTO	1a, 1b, 1c, 1e
WELL HEALTH TECHNOLOGIES CORP.		
WELL POWER INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
WELLPOINT SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
WELLS FARGO CANADA CORPORATION		
WELLSTAR ENERGY CORP.	Reciprocal CTO	1a, 1b, 1c, 1e, 1k
WERNER DAHNZ COMPANY LIMITED	ASC CTO	1a, 1b, 1c, 1e
WESCAN ENERGY CORP.		
WESCAN GOLDFIELDS INC.		
WESCORP ENERGY INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
WESDOME GOLD MINES LTD.		
WEST AFRICAN RESOURCES LIMITED		
WEST BAY CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
WEST COAST FOREST PRODUCTS LTD.	ASC CTO	1a, 1b, 1c, 1e
WEST DYNAMIC TOLL ROAD LTD.	ASC CTO	1a, 1b, 1c, 1e
WEST FRASER TIMBER CO. LTD.		
WEST HAWK DEVELOPMENT CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
WEST HIGH YIELD (W.H.Y.) RESOURCES LTD.		
WEST ISLE ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
WEST KIRKLAND MINING INC.		
WEST MOUNTAIN ENVIRONMENTAL CORP.	ASC CTO	1a, 1b, 1c, 1e

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WEST PACIFIC VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
WEST RED LAKE GOLD MINES INC.		
WEST TRUST UNITS		
WESTAR MINING LTD.	ASC CTO	1a, 1b, 1c, 1e
WESTBOND ENTERPRISES CORPORATION		
WESTBRIDGE ENERGY CORPORATION		
WESTBRIDGE LAND DEVELOPMENTS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
WESTCAN URANIUM CORP.	ASC CTO	1a, 1b, 1c, 1e
WESTCOAST ENERGY INC.		
WESTCORE ENERGY LTD.		
WESTERN ATLAS RESOURCES INC.		
WESTERN CANADA ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
WESTERN COPPER AND GOLD CORPORATION		
WESTERN ENERGY SERVICES CORP.		
WESTERN FOREST PRODUCTS INC.		
WESTERN LOGIC RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
WESTERN MAGNESIUM CORPORATION		
WESTERN PACIFIC OILS LTD.	ASC CTO	1a, 1b, 1c, 1e
WESTERN PACIFIC RESOURCES CORP.		
WESTERN PACIFIC TRUST COMPANY		1a, 1b
WESTERN PLAINS PETROLEUM LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
WESTERN POWER CORP.	ASC CTO	1a, 1b, 1c, 1e
WESTERN RESOURCES CORP.		
WESTERN RESOURCES MINERALS LIMITED	ASC CTO	1a, 1b, 1c, 1e
WESTERN STRATEGIC MINERALS INC.	ASC CTO	1a, 1b, 1c, 1e
WESTERN TROY CAPITAL RESOURCES INC.		
WESTERN URANIUM & VANADIUM CORP.		
WESTERN WARNER OILS LTD.	ASC CTO	1a, 1b, 1c, 1e
WESTERN WORLD ENTERTAINMENT CORPORATION	ASC CTO	1a, 1b, 1c, 1e
WESTFORT ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
WESTHAMPTON COURT LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
WESTHAVEN VENTURES INC.		
WESTKAM GOLD CORP.		
WESTLINE RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
WESTMILLS CANADA INC.	ASC CTO	1a, 1b, 1c, 1e
WESTMINSTER RESOURCES LTD.		
WESTPORT	ASC CTO	1a, 1b, 1c, 1e
WESTPORT FUEL SYSTEMS INC.		
WESTSHORE TERMINALS INVESTMENT CORPORATION		

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WESTWATER RESOURCES, INC.		1g
WESTWIND ENERGY CORPORATION LTD.	ASC CTO	1a, 1b, 1c, 1e
WHATCOM CAPITAL CORP.		
WHEATON PRECIOUS METALS CORP.		
WHISTLER BLACKCOMB HOLDINGS INC.		1g
WHITE GOLD CORP.		
WHITE METAL RESOURCES CORP.		
WHITE ROSE CRAFTS AND NURSERY SALES LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
WHITE TIE VENTURES CORP.	ASC CTO	1a, 1b, 1c, 1e
WHITECAP RESOURCES INC.		
WHITEHORN RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
WHITEMUD RESOURCES INC.		
WHITING PETROLEUM CORPORATION		1g
WHITTIER ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g, 1k
WI2WI CORPORATION		
WIKILEAF TECHNOLOGIES INC.		
WILDBRAIN LTD.		
WILDCARD TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
WILDFLOWER BRANDS INC.		
WILDROSE VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
WILDSKY RESOURCES INC.		
WILLISTON WILDCATTERS OIL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
WILLOUGHBY INVESTMENT POOL		
WILLOW BIOSCIENCES INC.		
WILMINGTON CAPITAL MANAGEMENT INC.		1k
WILSHIRE ENERGY RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
WILTON RESOURCES INC.		
WINCHESTER MINERALS AND GOLD EXPLORATION LTD.	ASC CTO	1a, 1b, 1c, 1e, 3
WIND RIVER ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
WINDFALL GEOTEK INC.		
WINDSOR ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
WINDSOR POINT	ASC CTO	1a, 1b, 1c, 1e
WINDY MOUNTAIN EXPLORATIONS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
WIN-ELDRICH MINES LIMITED	ASC CTO	1a, 1b, 1c, 1e
WINFIELD RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e
WINPAK LTD.		
WINSHEAR GOLD CORP.		
WINSTON CAPITAL GROUP INC.		
WINSTON GOLD CORP.		

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WINSTON RESOURCES INC.		
WINTERBURN OIL AND GAS LTD.	ASC CTO	1a, 1b, 1c, 1e
WINTON OIL N L	ASC CTO	1a, 1b, 1c, 1e
WIRELESS DATASCAN INC.	ASC CTO	1a, 1b, 1c, 1e
WISE 100 PER CENT EQUITY ETF PORTFOLIO		
WISE BALANCED ETF PORTFOLIO		
WISE CARD CONSOLIDATED INC.	ASC CTO	1a, 1b, 1c, 1e
WISE CONSERVATIVE ETF PORTFOLIO		
WISE FIXED INCOME ETF PORTFOLIO		
WISE GROWTH ETF PORTFOLIO		
WISE MAXIMUM GROWTH ETF PORTFOLIO		
WODEN VENTURE CAPITAL CORPORATION		
WOLF ACQUISITION CORP.		
WOLFDEN RESOURCES CORPORATION		
WOLF'S DEN CAPITAL CORP.		
WOLVERINE ENERGY AND INFRASTRUCTURE INC.		
WOLVERINE TECHNOLOGIES CORP.		
WOODBIDGE VENTURES INC.		
WOODFINE PROFESSIONAL CENTRES LIMITED PARTNERSHIP	Reciprocal CTO	1a, 1b, 1c, 1e
WORKGROUP DESIGNS LTD.	ASC CTO	1a, 1b, 1c, 1e
WORLD CLASS EXTRACTIONS INC.		
WORLD ENVIRONMENTAL INC.	ASC CTO	1a, 1b, 1c, 1e
WORLD FAMOUS PIZZA COMPANY LTD.	ASC CTO	1a, 1b, 1c, 1e
WORLD FINANCIAL SPLIT CORP.		
WORLD ORGANICS INC.	ASC CTO	1a, 1b, 1c, 1e
WORLD OUTFITTERS CORPORATION SAFARI NORDIK	ASC CTO	1a, 1b, 1c, 1e
WORLD SALES & MERCHANDISING INC.	ASC CTO	1a, 1b, 1c, 1e
WORLDS GREATEST ATHLETES PART 11	ASC CTO	1a, 1b, 1c, 1e
WORLDTEK (CANADA) LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
WORLDWIDE GINSENG CORPORATION	ASC CTO	1a, 1b, 1c, 1e
WORLDWIDE RESOURCES CORP.		
WOW UNLIMITED MEDIA INC.		
WPD PHARMACEUTICALS INC.		
WPT INDUSTRIAL REAL ESTATE INVESTMENT TRUST		
WSP GLOBAL INC.		
WTE RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
WYSDOM INC.	ASC CTO	1a, 1b, 1c, 1e
X CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
XANDER RESOURCES INC.		

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Name	Cease Traded	Nature of Default
XAU RESOURCES INC.		
XAVIER CORPORATION	ASC CTO	1a, 1b, 1c, 1e
XCEL CONSOLIDATED LTD.	ASC CTO	1a, 1b, 1c, 1e
XEBEC ADSORPTION INC.		
XEMPLAR ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e
XENEX INNOVATIONS LTD.	ASC CTO	1a, 1b, 1c, 1e
XENEX MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1e
XENON PHARMACEUTICALS INC.		
XEROX CORPORATION		
XFUELS, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
XGC SOFTWARE INC		
XGEN VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
XIANA MINING INC.		
XIANBURG DATA SYSTEMS CANADA CORPORATION	ASC CTO	1a, 1b, 1c, 1e
XIB I CAPITAL CORP.		
XIMEN MINING CORP.		3
XINEOH TECHNOLOGIES INC.		
XINERGY LTD.		1a, 1b, 1c, 1d, 1e
XINEX NETWORKS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
XMET INC.	Reciprocal CTO	1a, 1b, 1c, 1e
XORTX THERAPEUTICS INC.		
XPEL, INC.		
XPHYTO THERAPEUTICS CORP.		
XRG INTERNATIONAL HIGHWAY	ASC CTO	1a, 1b, 1c, 1e
XRM GLOBAL INC.	ASC CTO	1a, 1b, 1c, 1e
XS TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
X-TERRA RESOURCES INC.		
XTIERRA INC.		
XTM INC.		
XTRA ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
XTRACTION SERVICES HOLDINGS CORP.		
XTRA-GOLD RESOURCES CORP.		
XXL ENERGY CORP.		
YALETOWN CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
YALETOWN ENERGY CAPITAL CORP.		
YALETOWN ENTERTAINMENT CORPORATION	ASC CTO	1a, 1b, 1c, 1e
YAMANA GOLD INC.		
YANGAROO INC.		
YANGARRA RESOURCES LTD.		

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YANGTZE TELECOM CORP.	ASC CTO	1a, 1b, 1c, 1e
YANKEE HAT MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1e
YBM MAGNEX INTERNATIONAL, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
YDX INNOVATION CORP.		
YELLOW PAGES DIGITAL & MEDIA SOLUTIONS LIMITED		
YELLOW PAGES LIMITED		
YNVISIBLE INTERACTIVE INC.		
YONGSHENG CAPITAL INC.	Reciprocal CTO	
YORKTON VENTURES INC.		
YORKVILLE AMERICAN QVR ENHANCED PROTECTION CLASS		
YORKVILLE CANADIAN QVR ENHANCED PROTECTION CLASS		
YORKVILLE ENHANCED PROTECTION CLASS		
YORKVILLE GLOBAL OPPORTUNITIES CLASS		
YORKVILLE HEALTH CARE OPPORTUNITIES CLASS		
YORKVILLE INTERNATIONAL QVR ENHANCED PROTECTION CLASS		
YORKVILLE OPTIMAL RETURN BOND CLASS		
YOUNG AUSTRALIAN MINES LTD.		
YRI YORK LIMITED	ASC CTO	1a, 1b, 1c, 1e
YSS CORP.		
YSV VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
YTM CAPITAL FIXED INCOME ALTERNATIVE FUND		
YUKOTERRE RESOURCES INC.		
YUNTONE CAPITAL CORP.		
ZADAR VENTURES LTD.		
ZANZIBAR GOLD INC.		
ZARGON OIL & GAS LTD.		
ZAURAK CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
ZAZA ENERGY CORPORATION	ASC CTO	1b, 1c, 1e
ZCONNEXX CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
ZECOTEK PHOTONICS INC.		
ZEDCOR ENERGY INC.		
ZEN GRAPHENE SOLUTIONS LTD.		
ZENA MINING CORP.		
ZENABIS GLOBAL INC.		
ZENITH CAPITAL CORP.		
ZENITH CAPITAL CORPORATION		
ZENITH ENERGY LTD.		
ZENITH EXPLORATION INC.		
ZENMAC ZINC LTD.	ASC CTO	1a, 1b, 1c, 1e

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ZEON CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ZEPHYR MINERALS LTD.		
ZEU CRYPTO NETWORKS INC.		
ZIDANE CAPITAL CORP.		
ZIGOLD RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
ZIMTU CAPITAL CORP.		
ZINC ONE RESOURCES INC.		
ZINC8 ENERGY SOLUTIONS INC.		
ZINCCORP RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 3
ZINCO MINING CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e
ZINCORE METALS INC.		
ZINCX RESOURCES CORP.		
ZINNAN TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ZLIN AEROSPACE INC.	ASC CTO	1a, 1b, 1c, 1e
ZOLOTO RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
ZOMEDICA PHARMACEUTICALS CORP.		
ZONE RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
ZONETAIL INC.		
ZONNE INVESTMENT CORP.	ASC CTO	1a, 1b, 1c, 1e
ZONTE METALS INC.		
ZOOMAWAY TRAVEL INC.		
ZOOMD TECHNOLOGIES LTD.		
ZOOMERMEDIA LIMITED		
ZOOMMED INC.	Reciprocal CTO	1a, 1b, 1c, 1e
ZTEST ELECTRONICS INC.		
ZTR ACQUISITION CORP.		
ZUNGUI HAIXI CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ZUPINTRA CORPORATION, INC.	ASC CTO	1a, 1b, 1c, 1e
ZYCOM CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
ZYMEWORKS INC.		
ZZZ CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e

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