

Reporting Issuers

Default

When a reporting issuer is noted in default, standardized codes (a number and, if applicable a letter, described in the legend below) will be appear in the column 'Nature of Default'.

Every effort is made to ensure the accuracy of this list. A reporting issuer that does not appear on this list or that has inappropriately been noted in default should contact the Alberta Securities Commission (ASC) promptly.

A reporting issuer's management or insiders may be subject to a Management Cease Trade Order, but that order will NOT be shown on the list.

Legend

1. The reporting issuer has failed to file the following continuous disclosure document prescribed by Alberta securities laws:
 - (a) annual financial statements;
 - (b) an interim financial report;
 - (c) an annual or interim management's discussion and analysis (MD&A) or an annual or interim management report of fund performance (MRFP);
 - (d) an annual information form; (AIF);
 - (e) a certification of annual or interim filings under National Instrument 52-109 *Certification of Disclosure in Issuers' Annual and Interim Filings* (NI 52-109);
 - (f) proxy materials or a required information circular;
 - (g) an issuer profile supplement on the System for Electronic Disclosure By Insiders (SEDI);
 - (h) a material change report;
 - (i) a written update as required after filing a confidential report of a material change;
 - (j) a business acquisition report;
 - (k) the annual oil and gas disclosure prescribed by National Instrument 51-101 *Standards of Disclosure for Oil and Gas Activities* (NI 51-101) or a technical report for a mineral project required under National Instrument 43-101 *Standards of Disclosure for Mineral Projects* (NI 43-101);
 - (l) a mandatory news release;
 - (m) corporate governance disclosure as required by National Instrument 58-101 *Disclosure of Corporate Governance Practices*;
 - (n) audit committee disclosure as required by National Instrument 52-110 *Audit Committees*; or
 - (o) disclosure in a reporting issuer's MD&A relating to disclosure controls and procedures and/or internal control over financial reporting and their effectiveness that is referred to in a certificate filed under NI 52-109.
2. The reporting issuer's continuous disclosure does not comply with Alberta securities laws because:
 - (a) financial statements of the reporting issuer, or the auditor's report accompanying the financial statements, do not comply with the requirements of National Instrument 51-102 *Continuous Disclosure Obligations* (NI 51-102), National Instrument 81-106 *Investment Fund Continuous Disclosure* (NI 81-106) or National Instrument 52-107 *Acceptable Accounting Principles and Auditing Standards*;
 - (b) the reporting issuer has acknowledged that its financial statements, or the auditor's report accompanying the financial statements, may no longer be relied upon;

- (c) the reporting issuer's AIF, MD&A, MRFP, information circular, or a business acquisition report does not contain information for each of the items required by NI 51-102 or NI 81-106; or
 - (d) the reporting issuer's technical disclosure or other reports do not comply with the disclosure requirements of NI 43-101 or NI 51-101;
3. The reporting issuer has failed to pay a fee required by Alberta securities laws.
 4. The reporting issuer has failed to comply with a requirement related to continuous disclosure not referred to in 1 to 3, above.

Cease Trade Order

If a reporting issuer is subject to a cease trade order issued by the ASC or the Executive Director under section 33.1 of the *Securities Act* (Alberta) (Act) or by the ASC under section 198(1) or (2) of the Act, the existence of that order will be disclosed beside the name of the reporting issuer as "ASC CTO".

If a reporting issuer is subject to a cease trade order issued by another securities regulatory authority in Canada that applies in Alberta as a result of section 198.1 of the Act, and prohibits all trading of the securities of that issuer, the existence of that order will be disclosed beside the name of the reporting issuer as "Reciprocal CTO".

Securities that are subject to an ASC CTO or a Reciprocal CTO cannot be traded in Alberta.

To determine if a person or company is the subject of a management cease trade order issued by the ASC, please refer to the "Proceedings, Decisions & Orders – Issuers" section on the ASC website (at www.albertasecurities.com).

Additional information may be obtained by:

- reviewing the filings made by the reporting issuer on SEDAR (at www.sedar.com);
- contacting the reporting issuer directly;
- reviewing the Commission website; or
- reviewing the national cease trade order database located on the CSA website (at www.csa-acvm.ca).



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Name	Cease Traded	Nature of Default
01 COMMUNIQUE LABORATORY INC.		
0941092 B.C. LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
0944460 B.C. LTD.		
1011704 B.C. LTD.		
1011705 B.C. LTD.		
1011707 B.C. LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
1011709 B.C. LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
1014379 B.C. LTD.		1g
1020645 B.C. LTD.		
1020647 B.C. LTD.		
1020648 B.C. LTD.		
1020650 B.C. LTD.		
1020651 B.C. LTD.		
1021916 B.C. LTD.		
1024954 B.C. LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
1040426 BC LTD.		
1040433 BC LTD.		
1040436 BC LTD.		
1040440 BC LTD.		
1080854 ONTARIO LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
1603546 ALBERTA LTD.	ASC CTO	1a, 1b, 1c, 1e
1608557 ONTARIO INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
1987 NO 2 MINTAX MINERAL LIMITED PARTNERSHIP	ASC CTO	
2242749 ONTARIO LIMITED	Reciprocal CTO	1a, 1b, 1c, 1d, 1e
27 RED CAPITAL INC.		
2980304 CANADA INC.	ASC CTO	1a, 1b, 1c, 1e
360 CAPITAL FINANCIAL SERVICES GROUP INC.		
360NETWORKS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
37 CAPITAL INC.		
3DX INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
3MV ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k, 3
3TL TECHNOLOGIES CORP.		
4 TOUCHDOWNS CAPITAL INC.		
407 INTERNATIONAL INC.		
4404980 CANADA INC.	ASC CTO	1a, 1b, 1c, 1e
4504020 CANADA INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
49 NORTH RESOURCES INC.		
4D VIRTUAL SPACE LTD.		
523976 ALBERTA LTD.	ASC CTO	1a, 1b, 1c, 1e

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5BANC SPLIT INC.		
5N PLUS INC.		
7918534 CANADA INC.		
855 BURRARD CAPITAL LIMITED PARTNERSHIP NO. 3	ASC CTO	1a, 1b, 1c, 1e
88 CAPITAL CORP.		
92 RESOURCES CORP.		
9342-8530 QUÉBEC INC.		
A MAN CALLED INTREPID	ASC CTO	1a, 1b, 1c, 1e
A&W REVENUE ROYALTIES INCOME FUND		
A.E. VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
A.H.A. AUTOMOTIVE TECHNOLOGIES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
A.I.S. RESOURCES LIMITED		
A.O.G. AIR SUPPORT INC.	ASC CTO	1a, 1b, 1c, 1e
A2 ACQUISITION CORP.		
AAN VENTURES INC.		
AAVANGEN INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ABACAN RESOURCE CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
ABACUS MINING & EXPLORATION CORPORATION		
ABACUS SOFTWARE GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ABATTIS BIOCEUTICALS CORP.		
ABBA MEDIX GROUP INC.		
ABBAY EXPLORATION INC.	ASC CTO	1a, 1b, 1c, 1e
ABBY, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g, 1k
ABCANA CAPITAL INC.		
ABCOURT MINES INC.		
ABDA INTERNATIONAL HOLDINGS CORP.	ASC CTO	1a, 1b, 1c, 1e
ABE RESOURCES INC.		
ABEN RESOURCES LTD.		
ABENTEUEUR RESOURCES CORP.		
ABERDEEN ASIA-PACIFIC INCOME INVESTMENT COMPANY LIMITED		
ABERDEEN INTERNATIONAL INC.		
ABERMIN CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ABINGTON RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
ABITIBI MINING CORP.	ASC CTO	1a, 1b, 1c, 1e
ABITIBI ROYALTIES INC.		
ABORIGINAL GLOBAL INVESTMENT FUND	ASC CTO	1a, 1b, 1c
ABSOLUTE SOFTWARE CORPORATION		
ABSTRACT ENTERPRISES CORP.	ASC CTO	1a, 1b, 1c, 1e
ABZU GOLD LTD.	Reciprocal CTO	1a, 1b, 1c, 1e

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AC ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e
ACACIA CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ACADIAN CORE INTERNATIONAL EQUITY FUND		
ACADIAN ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
ACADIAN TIMBER CORP.		
ACANA CAPITAL CORP.		
ACASTA ENTERPRISES INC.		
ACASTI PHARMA INC.		
ACCELEWARE LTD.		
ACCEND CAPITAL CORPORATION		
ACCESS INTERNATIONAL EDUCATION LTD	ASC CTO	
ACE AVIATION HOLDINGS INC.		
ACE/SECURITY LAMINATES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ACENETX INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ACEPHARM INC.	ASC CTO	1a, 1b, 1c, 1e
ACER CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
ACERUS PHARMACEUTICALS CORPORATION		
ACGT DNA TECHNOLOGIES CORPORATION		
ACKROO INC.		
ACL INTERNATIONAL LTD.		
ACME RESOURCES CORP.		
ACME RESOURCES INC.		
ACORN ENERGY, INC.		1a, 1c, 1d, 1e, 1g
ACT360 SOLUTIONS LTD.		
ACTION ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
ACTIVE GROWTH CAPITAL INC.		
ACTIVENERGY INCOME FUND		
ACUITYADS HOLDINGS INC.		
ACUMA INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
ADAGIO INVESTMENTS INC.	ASC CTO	1a, 1b, 1c, 1e
ADALTIS INC.	ASC CTO	1a, 1b, 1c, 1e
ADAMA TECHNOLOGIES CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
ADAMERA MINERALS CORP.		
ADDA RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
ADDED CAPITAL INC.		
ADDWEST MINERALS INTERNATIONAL, LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
ADECKA FACTORS INC.	ASC CTO	1a, 1b, 1c, 1e
ADELINA RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
ADENT CAPITAL CORP.		

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ADEX MINING INC.		
ADF GROUP INC.		
ADI TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
ADIRA ENERGY LTD.		
ADMIRAL BAY RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
ADMIRAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ADOBE SYSTEMS INCORPORATED		3
ADRIANA RESOURCES INC.		
ADVANCE GOLD CORP.		
ADVANCED EXPLORATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
ADVANCED FOLIO FUND		
ADVANCED MICRO DEVICES, INC.		1g
ADVANCED NEW FRONTIER OIL & GAS RECOVERY INC.	Reciprocal CTO	1a, 1b, 1c, 1e
ADVANCED PROTEOME THERAPEUTICS CORPORATION		
ADVANCED PULTRUSION TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
ADVANTAGE LINK INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ADVANTAGE OIL & GAS LTD.		
ADVANTAGED CANADIAN HIGH YIELD BOND FUND		
ADVANTEDGE INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ADVANTEX MARKETING INTERNATIONAL INC.		
ADVANTEXCEL.COM COMMUNICATIONS CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
ADVECTUS LIFE SCIENCES INC.	ASC CTO	1a, 1b, 1c, 1e
ADVENT WIRELESS INC.		
ADVENTURE ELECTRONICS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
ADWALL CAPITAL CORP	ASC CTO	1a, 1b, 1c, 1e, 1g
AECON GROUP INC.		
AEGIS INVESTMENT MANAGEMENT (GOLF), INC.	ASC CTO	1a, 1b, 1c, 1e
AEQUUS PHARMACEUTICALS INC.		
AERO ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e
AEROCAST INC.	ASC CTO	1a, 1b, 1c, 1e
AETERNA ZENTARIS INC.		
AFFINOR GROWERS INC.		
AFG FLAMEGUARD LTD.	ASC CTO	1a, 1b, 1c, 1e
AFITEX FINANCIAL SERVICES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
AFM HOSPITALITY CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
AFRASIA MINERAL FIELDS INC.		
AFRICA ENERGY CORP.		
AFRICA HYDROCARBONS INC.		
AFRICA OIL CORP.		

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AFRICAN COPPER PLC	Reciprocal CTO	
AFRICAN GEMSTONES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
AFRICAN GOLD GROUP, INC.		
AFRI-CAN MARINE MINERALS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
AFRICAN METALS CORPORATION		
AFRICAN MINERALS LIMITED	ASC CTO	
AFRICAN QUEEN MINES LTD.		
AFRICAN SELECTION MINING CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
AFRICAN SKY COMMUNICATIONS INCORPORATED	ASC CTO	1a, 1b, 1c, 1e
AFRICO RESOURCES LTD.		
AFTERMATH SILVER LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
AG GROWTH INTERNATIONAL INC.		
AGASSIZ RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
AGAU RESOURCES, INC.	ASC CTO	1a, 1b, 1c, 1e
AGAVE SILVER CORP.		
AGELLAN COMMERCIAL REAL ESTATE INVESTMENT TRUST		
AGF AMERICAN GROWTH CLASS		
AGF AMERICAN GROWTH FUND		
AGF ASIAN GROWTH CLASS		
AGF ASIAN GROWTH FUND		
AGF CANADIAN ASSET ALLOCATION FUND		
AGF CANADIAN GROWTH EQUITY CLASS		
AGF CANADIAN LARGE CAP DIVIDEND CLASS		
AGF CANADIAN LARGE CAP DIVIDEND FUND		
AGF CANADIAN MONEY MARKET FUND		
AGF CANADIAN SMALL CAP FUND		
AGF CANADIAN STOCK FUND		
AGF CHINA FOCUS CLASS		
AGF DIVERSIFIED INCOME CLASS		
AGF DIVERSIFIED INCOME FUND		
AGF DIVIDEND INCOME FUND		
AGF EAFE EQUITY FUND		
AGF ELEMENTS BALANCED PORTFOLIO		
AGF ELEMENTS BALANCED PORTFOLIO CLASS		
AGF ELEMENTS CONSERVATIVE PORTFOLIO		
AGF ELEMENTS CONSERVATIVE PORTFOLIO CLASS		
AGF ELEMENTS GLOBAL PORTFOLIO		
AGF ELEMENTS GLOBAL PORTFOLIO CLASS		
AGF ELEMENTS GROWTH PORTFOLIO		

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AGF ELEMENTS GROWTH PORTFOLIO CLASS		
AGF ELEMENTS YIELD PORTFOLIO		
AGF ELEMENTS YIELD PORTFOLIO CLASS		
AGF EMERGING MARKETS BALANCED FUND		
AGF EMERGING MARKETS BOND FUND		
AGF EMERGING MARKETS CLASS		
AGF EMERGING MARKETS FUND		
AGF EQUITY INCOME FOCUS FUND		
AGF EUROPEAN EQUITY CLASS		
AGF EUROPEAN EQUITY FUND		
AGF FIXED INCOME PLUS CLASS		
AGF FIXED INCOME PLUS FUND		
AGF FLEX ASSET ALLOCATION FUND		
AGF FLOATING RATE INCOME FUND		
AGF GLOBAL BALANCED FUND		
AGF GLOBAL BOND FUND		
AGF GLOBAL CONVERTIBLE BOND FUND		
AGF GLOBAL DIVIDEND CLASS		
AGF GLOBAL DIVIDEND FUND		
AGF GLOBAL EQUITY CLASS		
AGF GLOBAL EQUITY FUND		
AGF GLOBAL RESOURCES CLASS		
AGF GLOBAL RESOURCES FUND		
AGF GLOBAL SELECT FUND		
AGF GLOBAL SUSTAINABLE GROWTH EQUITY FUND		
AGF HIGH YIELD BOND FUND		
AGF INCOME FOCUS FUND		
AGF MANAGEMENT LIMITED		
AGF MONTHLY HIGH INCOME FUND		
AGF PRECIOUS METALS FUND		
AGF SHORT-TERM INCOME CLASS		
AGF TACTICAL FUND		
AGF TACTICAL INCOME FUND		
AGF TOTAL RETURN BOND CLASS		
AGF TOTAL RETURN BOND FUND		
AGF TRADITIONAL INCOME FUND		
AGF U.S. RISK MANAGED FUND		
AGF U.S. SECTOR CLASS		
AGF U.S. SMALL-MID CAP FUND		

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AGGRESSIVE FOLIO FUND		
AGILITY HEALTH, INC.		
AGJUNCTION INC.		
AGNICO EAGLE MINES LIMITED		
AGRIMINCO CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
AGRITEC SYSTEMS, INC.	ASC CTO	1a, 1b, 1c, 1e
AGRIUM INC.		
AGT FOOD AND INGREDIENTS INC.		
AGUILA AMERICAN GOLD LIMITED		
AH CAPITAL CORP.		
AIDA MINERALS CORP.		
AILERON VENTURES LIMITED		
AIM EXPLORATIONS LTD.		
AIMGLOBAL TECHNOLOGIES COMPANY INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
AIMIA INC.		
AINTREE RESOURCES INC.		
AIP CANADIAN ENHANCED INCOME CLASS		
AIP GLOBAL MACRO CLASS		
AIR CANADA		
AIR NIAGARA EXPRESS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
AIRALTA INC.	ASC CTO	1a, 1b, 1c, 1e
AIRBOMB.COM INC.	ASC CTO	1a, 1b, 1c, 1e
AIRBOSS OF AMERICA CORP.		
AIREDALE FINANCIAL CORP.	ASC CTO	1a, 1b, 1c, 1e
AIRESURF NETWORKS HOLDINGS INC.		
AIRGEN CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
AIRIQ INC.		
AIRLINE TRAINING INTERNATIONAL LTD.	ASC CTO	1a, 1b, 1c, 1e
AIRWORKS MEDIA INCORPORATED	ASC CTO	1a, 1b, 1c, 1e, 1g
AIVIV VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
AJ PERRON GOLD CORP.	ASC CTO	1a, 1b, 1c, 1e
AKADEMIA ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e
AKELA PHARMA INC.	ASC CTO	1a, 1b, 1c, 1e
AKITA DRILLING LTD.		
AKROKERI-ASHANTI GOLD MINES INC.	ASC CTO	1a, 1b, 1c, 1e
ALABAMA GRAPHITE CORP.		
ALACER GOLD CORP.		
ALADIN INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
ALAMOS GOLD INC.		

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ALANTRA VENTURE CORP.	ASC CTO	1a, 1b, 1c, 1e
ALARIS ROYALTY CORP.		
ALASKA HYDRO CORPORATION		
ALASKAGOLD MINES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ALAVA VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ALBA MINERALS LTD.		
ALBARTON TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
ALBERTA GOLD EXPLORATION CORPORATION THE	ASC CTO	1a, 1b, 1c, 1e
ALBERTA NOBLE METALS LTD.	ASC CTO	
ALBERTA OILSANDS INC.		
ALBERTA RESOURCE CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ALBERTA WASTE RESOURCES AND ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
ALBERTECH INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
ALBURY RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
ALCHEMIST MINING INCORPORATED		
ALDERON IRON ORE CORP.		
ALDERSHOT RESOURCES LTD.		
ALDEVER RESOURCES INC.		
ALDRIDGE MINERALS INC.		
ALDRIN RESOURCE CORP.		
ALERT B&C CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ALEXANDER MINING PLC		
ALEXANDER NUBIA INTERNATIONAL INC.		
ALEXANDRA CAPITAL CORP.		
ALEXANDRIA MINERALS CORPORATION		
ALEXCO RESOURCE CORP.		
ALEXIS FINANCIAL INC.		
ALGAE BIOSCIENCES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ALGEAR DEVELOPMENT CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ALGO GROUP INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
ALGOLD RESOURCES LTD.		
ALGOMA CENTRAL CORPORATION		
ALGONQUIN POWER & UTILITIES CORP.		
ALHAMBRA RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
ALIANZA MINERALS LTD.		
ALIGNVEST ACQUISITION CORPORATION		
ALIMENTATION COUCHE-TARD INC.		
ALIX RESOURCES CORP.		
ALL - CANADIAN COMPOUND FUND		1a, 1b, 1c, 1d

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ALL - CANADIAN RESOURCES CORPORATION		1a, 1b, 1c, 1d
ALL IN WEST! CAPITAL CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e
ALLANTE RESOURCES LTD.		
ALLBANC SPLIT CORP.		
ALLBANC SPLIT CORP. II		
ALLEGHENY MINES CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
ALLEGRO AGGRESSIVE CANADA FOCUS PORTFOLIO		
ALLEGRO AGGRESSIVE PORTFOLIO		
ALLEGRO BALANCED GROWTH CANADA FOCUS PORTFOLIO CLASS		
ALLEGRO BALANCED GROWTH PORTFOLIO CLASS		
ALLEGRO BALANCED PORTFOLIO CLASS		
ALLEGRO CONSERVATIVE PORTFOLIO		
ALLEGRO GROWTH CANADA FOCUS PORTFOLIO CLASS		
ALLEGRO GROWTH PORTFOLIO CLASS		
ALLEGRO INCOME BALANCED PORTFOLIO CLASS		
ALLEGRO MODERATE AGGRESSIVE CANADA FOCUS PORTFOLIO		
ALLEGRO MODERATE AGGRESSIVE PORTFOLIO		
ALLEGRO MODERATE CONSERVATIVE PORTFOLIO		
ALLEGRO MODERATE PORTFOLIO		
ALLEN BERG RACING LTD.	ASC CTO	1a, 1b, 1c, 1e
ALL-EQUITY FUND		
ALLIANCE GROWERS CORP.		
ALLIANCE MINING CORP.		
ALLIANCE PIPELINE LIMITED PARTNERSHIP		
ALLIANCEPHARMA INC.		
ALLIANCES ARTQUEST INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
ALLICAN RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
ALLIED CANADIAN FUND	ASC CTO	1a, 1b, 1c
ALLIED DIVIDEND FUND	ASC CTO	1a, 1b, 1c
ALLIED EQUITIES LTD.	ASC CTO	1a, 1b, 1c, 1e
ALLIED HOTEL PROPERTIES INC.		
ALLIED INCOME FUND	ASC CTO	1a, 1b, 1c
ALLIED INTERNATIONAL FUND	ASC CTO	1a, 1b, 1c
ALLIED MONEY FUND	ASC CTO	1a, 1b, 1c
ALLIED PROPERTIES REAL ESTATE INVESTMENT TRUST		
ALLNET SECOM INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ALLOYCORP MINING INC.		
ALMADEN MINERALS LTD.		
ALMADEX MINERALS LIMITED		

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ALMO CAPITAL CORP		
ALMONTY INDUSTRIES INC.		
ALPAKA RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
ALPHA GROUP INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
ALPHA PEAK LEISURE INC.		
ALPHADELTA CANADIAN PROSPERITY CLASS		
ALPHADELTA GROWTH OF DIVIDEND INCOME CLASS		
ALPHADELTA TACTICAL GROWTH CLASS		
ALPHAMIN RESOURCES CORP.		
ALPHANET TELECOM INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
ALPHANORTH GROWTH FUND		
ALPHANORTH RESOURCE FUND		
ALPHINAT INC.		
ALQ GOLD CORP.		
ALSET ENERGY CORP.		
ALSTOM		
ALSTON ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
ALTA NATURAL HERBS & SUPPLEMENTS LTD.		
ALTA TERRA VENTURES CORP.	ASC CTO	1a, 1b, 1c, 1e
ALTA VISTA VENTURES LTD.		
ALTAGAS LTD.		
ALTAGEM RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ALTAI RESOURCES INC.		
ALTAIR GOLD INC.		
ALTAIR NANOTECHNOLOGIES INC.		1a, 1b, 1c, 1d, 1e
ALTA-KOR INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ALTALINK, L.P.		
ALTAN NEVADA MINERALS LIMITED		
ALTAN RIO MINERALS LIMITED		
ALTAUR GOLD EXPLORATIONS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
ALTEK POWER CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ALTERNATE HEALTH CORP.		
ALTERNATIVE EARTH RESOURCES INC.		
ALTERNATIVE ENERGY PARTNERS, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
ALTERRA POWER CORP.		
ALTERRUS SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
ALTIMA RESOURCES LTD.		
ALTIPLANO MINERALS LTD.		
ALTITUDE RESOURCES INC.		

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ALTUS MINERALS CORPORATION		
ALTO AGGRESSIVE CANADA FOCUS PORTFOLIO		
ALTO AGGRESSIVE PORTFOLIO		
ALTO CONSERVATIVE PORTFOLIO		
ALTO MODERATE AGGRESSIVE CANADA FOCUS PORTFOLIO		
ALTO MODERATE AGGRESSIVE PORTFOLIO		
ALTO MODERATE CONSERVATIVE PORTFOLIO		
ALTO MODERATE PORTFOLIO		
ALTO MONTHLY INCOME AND ENHANCED GROWTH PORTFOLIO		
ALTO MONTHLY INCOME AND GLOBAL GROWTH PORTFOLIO		
ALTO MONTHLY INCOME AND GROWTH PORTFOLIO		
ALTO MONTHLY INCOME PORTFOLIO		
ALTO VENTURES LTD.		
ALTURA ENERGY INC.		
ALTURAS MINERALS CORP.		
ALTUS GROUP LIMITED		
ALUDRA INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ALVOPETRO ENERGY LTD.		
ALX URANIUM CORP.		
AM GOLD INC.		
AMADEUS INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
AMADOR GOLD CORP.		
AMANTA RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
AMAR VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
AMARC RESOURCES LTD.		
AMARILLO GOLD CORPORATION		
AMATO EXPLORATION LTD.		
AMAYA INC.		
AMBLIN TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
AMBRILIA BIOPHARMA INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
AMCAN MINERALS LIMITED	ASC CTO	1a, 1b, 1c, 1e
AMERCOEUR ENERGY CANADA LIMITED	ASC CTO	1a, 1b, 1c, 1e
AMERICAN AGRI-TECHNOLOGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
AMERICAN CORE SECTORS DIVIDEND FUND		
AMERICAN CREEK RESOURCES LTD.		
AMERICAN CUMO MINING CORPORATION		
AMERICAN ECO CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
AMERICAN ENVIRONMENTAL ENTERPRISES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
AMERICAN EXPRESS CANADA CREDIT CORPORATION		

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AMERICAN HERITAGE INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
AMERICAN HOTEL INCOME PROPERTIES REIT LP		
AMERICAN LITHIUM CORP.		
AMERICAN MANGANESE INC.	Reciprocal CTO	3
AMERICAN NATURAL ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
AMERICAN PACIFIC MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
AMERICAN POTASH CORP.		
AMERICAN RESOURCE CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
AMERICAN SANDS ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
AMERICAN TRANSPORTATION TELEVISION NETWORK INC.	ASC CTO	1a, 1b, 1c, 1e
AMERICAN VANADIUM CORP.		
AMERICAN WILD WOODLAND GINSENG CORPORATION	ASC CTO	1a, 1b, 1c, 1e
AMERICAN WIRELESS CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
AMERICAS PETROGAS INC.		
AMERICAS SILVER CORPORATION		
AMERIDIAN VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
AMERIGO RESOURCES LTD		
AMEX EXPLORATION INC.		
AMG BIOENERGY RESOURCES HOLDINGS LTD.	ASC CTO	1a, 1b, 1c, 1e
AMI RESOURCES INC.		
AMINT INVESTMENTS LTD.	ASC CTO	1a, 1b, 1c, 1e
AMS HOMECARE INC.	ASC CTO	1a, 1b, 1c, 1e
AMSECO EXPLORATION LTD.	ASC CTO	1a, 1b, 1c, 1e
AMSTOCK FUND	ASC CTO	1a, 1b, 1c
AMSWISS SCIENTIFIC INC.	ASC CTO	1a, 1b, 1c, 1e
AMT INTERNATIONAL MINING CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
AMTEC PETROLEUM CORPORATION	ASC CTO	1a, 1b, 1c, 1e
AMTRONICS ENTERPRISES LTD.	ASC CTO	1a, 1b, 1c, 1e
ANACONDA MINING INC.		
ANACOTT RESOURCES CORP.		
ANALYTICAL SOFTWARE INC.	ASC CTO	1a, 1b, 1c, 1e
ANALYTIXINSIGHT INC.		
ANCHOR CAPITAL CORPORATION		
ANCHOR MANAGED DEFENSIVE INCOME FUND		
ANCHOR MANAGED DIVIDEND GROWTH FUND		
ANCHOR MANAGED HIGH INCOME FUND		
ANCOM ATM INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
ANCONIA RESOURCES CORP.		
ANDEANGOLD LTD.	Reciprocal CTO	1b, 1c, 1e

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ANDERSON ENERGY INC.		
ANDIAMO CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
ANDOVER MINING CORP.	ASC CTO	1a, 1b, 1c, 1e
ANDREW PELLER LIMITED		
ANFIELD GOLD CORP.		
ANFIELD RESOURCES INC.		
ANGEL BIOVENTURES INC.		
ANGEL GOLD CORP.		
ANGKOR GOLD CORP.		
ANGLO-ANDEAN EXPLORATIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ANGLO-BOMARC MINES LTD. (N.P.L.)		
ANGLO-CANADIAN MINING CORP.		
ANGUS MINING INC.	ASC CTO	1a, 1b, 1c, 1e
ANI PHARMACEUTICALS, INC.		
ANIMACIS TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ANNIDIS CORPORATION		
ANTERRA ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
ANTHEM UNITED INC.		
ANTIBE THERAPEUTICS INC.		
ANTIOQUIA GOLD INC.		
ANTIPODES GOLD LIMITED		
ANTLER HILL OIL & GAS LTD.		
ANTORO RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
ANTRIM ENERGY INC.		
ANVIL RANGE MINING CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
ANYOX METALS LIMITED	ASC CTO	1a, 1b, 1c, 1e
APAC RESOURCES INC.		
APAC TELECOMMUNICATIONS CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
APHRIA INC.		
API TECHNOLOGIES CORP.		
APIVA VENTURES LIMITED	ASC CTO	1a, 1b, 1c, 1e
APIVIO SYSTEMS INC.		
APOGEE SILVER LTD.		
APPIA ENERGY CORP.		
APPLAUSE CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
APPLE CAPITAL INC.		
APPULSE CORPORATION		
APS SERVICES INC.	ASC CTO	1a, 1b, 1c, 1e
APT SYSTEMS, INC	ASC CTO	1d, 1g, 3

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APTOSE BIOSCIENCES INC.		
AQM COPPER INC.		
AQUA PURA TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
AQUALITY HOLDINGS INTERNATIONAL LIMITED	ASC CTO	1a, 1b, 1c, 1e
AQUA-PURE VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
AQUARIUS COATINGS INC.		
AQUARIUS RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
AQUASOL INTERNATIONAL GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
AQUATEX CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
AQUILA ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e
AQUILA RESOURCES INC.		
AQUINOX PHARMACEUTICALS, INC.		
AQUITAIN SHORES APARTMENT PROJECT - PHASE I	ASC CTO	1a, 1b, 1c, 1e
AQUITAIN SHORES APARTMENT PROJECT - PHASE II	ASC CTO	1a, 1b, 1c, 1e
ARAK RESOURCES LTD.		
ARALEZ PHARMACEUTICALS INC.		
ARBITRAGE EXPLORATION INC.		
ARBOR GREENS LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
ARBOUR ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
ARBUTUS BIOPHARMA CORPORATION		
ARC RESOURCES LTD.		
ARCAMATRIX CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
ARCH BIOPARTNERS INC.		
ARCHER PETROLEUM CORP.		1k
ARCHON MINERALS LIMITED		
ARCLAND RESOURCES INC.		
ARCO RESOURCES CORP.		
ARCTIC GLACIER INCOME FUND		1a, 1b, 1c, 1e
ARCTIC HUNTER ENERGY INC.		
ARCTIC STAR EXPLORATION CORP.		
ARCTURUS GROWTHSTAR TECHNOLOGIES INC.		
ARCTURUS VENTURES INC.		
ARCUS DEVELOPMENT GROUP INC.		
ARDENT VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ARDONBLUE VENTURES INC.		
AREHADA MINING LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e
ARENA GOLD RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
ARENA MINERALS INC.		
ARGENT ENERGY TRUST	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k

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ARGENTEX MINING CORPORATION		
ARGENTUM SILVER CORP.		
ARGEX TITANIUM INC.		
ARGONAUT EXPLORATION INC.	ASC CTO	1a, 1b, 1c, 1e
ARGONAUT GOLD INC.		
ARGOSY ENERGY INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
ARGOSY MINERALS LIMITED	ASC CTO	1a, 3
ARGUS METALS CORP.		
ARGUS RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
ARGYLL ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ARHT MEDIA INC.		
ARIAN RESOURCES CORP.		
ARIAN SILVER CORPORATION		1a
ARIANNE PHOSPHATE INC.		
ARIMETCO INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
ARIS CANADA LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
ARISE TECHNOLOGIES CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
ARIUS3D CORP.	ASC CTO	1a, 1b, 1c, 1e
ARIZONA JOJOBA INC.	ASC CTO	1a, 1b, 1c, 1e
ARIZONA MINING INC.		
ARK LA TEX INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
ARKADIA CAPITAL CORP.		
ARKTOS RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
ARLINGTON PLACE	ASC CTO	1a, 1b, 1c, 1e
ARLINGTON RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ARMADA DATA CORPORATION		
ARMADA GOLD CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
ARMADA MERCANTILE LTD.		
ARMADILLO RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
ARMOR MINERALS INC.		
ARMSTRONG CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
AROWAY ENERGY INC.		1a, 1b, 1c, 1e, 1k
ARPETROL LTD.		
ARROWHEAD GOLD CORP.		
ARROWSTAR RESOURCES LTD.		
ARSENAL ENERGY INC.		
ART OF ANIMATION GALLERIES LTD., THE	ASC CTO	1a, 1b, 1c, 1e
ARTCONTENT PUBLISHING LIMITED		1g
ARTEVO CORPORATION	ASC CTO	1a, 1b, 1c, 1e

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ARTIS REAL ESTATE INVESTMENT TRUST		
ARTISAN ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
ARURA PHARMA INC	ASC CTO	1a, 1b, 1c, 1e
ARV CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
ASANKO GOLD INC.		
ASANTE GOLD CORPORATION		
ASB CAPITAL INC.		
ASBESTOS CORPORATION LIMITED		
ASCALADE COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
ASCOT RESOURCES LTD.		
ASEAN HOLDINGS INC.	ASC CTO	1a, 1b, 1c, 1e
ASHBURTON VENTURES INC.		
ASHLAR FINANCIAL SERVICES CORP	ASC CTO	1a, 1b, 1c, 1e, 1g
ASIA BIO-CHEM GROUP CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
ASIA NOW RESOURCES CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
ASIA PACKAGING GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
ASIA SAPPHIRES LIMITED	ASC CTO	1a, 1b, 1c, 1e
ASIABASEMETALS INC.		
ASIAMET RESOURCES LIMITED		
ASIAN MINERAL RESOURCES LIMITED		3
ASIAN TELEVISION NETWORK INTERNATIONAL LIMITED		
ASP123.COM SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ASPEN GROUP RESOURCES CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
ASPEN VENTURE CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
ASSET MANAGEMENT SOFTWARE SYSTEMS CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
ASSOCIATED JOJOBA CDN 85 2 LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e, 1g
ASSOCIATED JOJOBA CDN 85-3 LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e, 1g
ASSOCIATED JOJOBA CDN 86-1 LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e, 1g
ASSOCIATED JOJOBA CDN 87-1 LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e, 1g
ASSOCIATES OIL & GAS CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
ASTAR MINERALS LTD.		
ASTAWARE TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
ASTON BAY HOLDINGS LTD.		
ASTON HILL ADVANTAGE BOND FUND		
ASTON HILL ADVANTAGE OIL & GAS INCOME FUND		
ASTON HILL ADVANTAGE VIP INCOME FUND		
ASTON HILL CANADIAN TOTAL RETURN CLASS		
ASTON HILL CANADIAN TOTAL RETURN FUND		
ASTON HILL CORPORATE BOND FUND		

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ASTON HILL FINANCIAL INC.		
ASTON HILL GLOBAL RESOURCE FUND (FORMERLY ASTON HILL OIL & GAS INCOME FUND)		
ASTON HILL HIGH INCOME CLASS		
ASTON HILL HIGH INCOME FUND		
ASTON HILL MILLENNIUM FUND		
ASTON HILL MONEY MARKET FUND		
ASTON HILL STRATEGIC YIELD CLASS		
ASTON HILL STRATEGIC YIELD FUND		
ASTON HILL TOTAL RETURN CLASS		
ASTON HILL TOTAL RETURN FUND		
ASTON HILL U.S. CONSERVATIVE GROWTH CLASS		
ASTON HILL U.S. CONSERVATIVE GROWTH FUND		
ASTON HILL VIP INCOME FUND		
ASTON HILL VOYA FLOATING RATE INCOME FUND		
ASTORIUS RESOURCES LTD.		
ASTUR GOLD CORP.		
ATAC RESOURCES LTD.		
ATACAMA PACIFIC GOLD CORPORATION		
ATALAYA MINING PLC		
ATC TECHNOLOGIES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ATCAN CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
ATCO LTD.		
ATEBA RESOURCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
ATHABASCA MINERALS INC.		
ATHABASCA NUCLEAR CORP.		
ATHABASCA OIL CORPORATION		
ATI AIRTEST TECHNOLOGIES INC.		
ATICO MINING CORPORATION		
ATIKIN INVESTMENT INC.		
ATIKWA RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
ATLANTA GOLD INC.		
ATLANTIC CITY U S A	ASC CTO	1a, 1b, 1c, 1e
ATLANTIC GOLD CORPORATION		
ATLANTIC INDUSTRIAL MINERALS INCORPORATED		
ATLANTIC POWER CORPORATION		
ATLANTIC POWER LIMITED PARTNERSHIP		
ATLANTIC POWER PREFERRED EQUITY LTD.		
ATLANTIC SYSTEMS GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ATLANTIS SUBMARINES (INTERNATIONAL HOLDINGS), INC.		

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ATLAS CLOUD ENTERPRISES INC.		
ATLAS FINANCIAL HOLDINGS, INC.		
ATLAS STAR CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
ATLATSA RESOURCES CORPORATION		1d
ATMANCO INC.		
ATNA RESOURCES LTD.		1a, 1b, 1c, 1e
ATOM ENERGY INC.		
ATORO CAPITAL CORP.		
ATRIUM MORTGAGE INVESTMENT CORPORATION		
ATS AUTOMATION TOOLING SYSTEMS INC.		
AUBREY ESTATES LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
AUDAX GAS & OIL LTD.	ASC CTO	1a, 1b, 1c, 1e
AUDIT RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
AUGUSTA INDUSTRIES INC.		
AUGUSTINE VENTURES INC.		
AUGYVA MINING RESOURCES INC		
AUMENTO CAPITAL V CORPORATION		3
AURA MINERALS INC.		
AURA SILVER RESOURCES INC.		
AURAMEX RESOURCE CORP.		
AURANIA RESOURCES LTD.		
AURCANA CORPORATION		
AURCREST GOLD INC.		
AURELIAN DEVELOPERS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
AUREUS MINING INC.		
AURGENT RESOURCE CORP.		
AURICO METALS INC.		
AURIENT RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
AURINIA PHARMACEUTICALS INC.		
AURION RESOURCES LTD.		
AURORA CANNABIS INC.		
AURORA ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e
AURORA SOLAR TECHNOLOGIES INC.		
AURORA SPINE CORPORATION		
AURQUEST RESOURCES INC.		
AURUN MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
AURVISTA GOLD CORPORATION		
AURYN RESOURCES INC.		
AUSAM ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k

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AUSTIN RESOURCES LTD.		
AUSTPRO ENERGY CORPORATION		
AUSTRAK INTERNATIONAL (CANADA) CORPORATION	ASC CTO	1a, 1b, 1c, 1e
AUSTRAL PACIFIC ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
AUSTRALIAN BANC INCOME FUND		
AUSTRALIAN REIT INCOME FUND		
AUTOBYTE TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
AUTOCANADA INC.		
AUTOMODULAR CORPORATION		
AUTOMOTIVE PROPERTIES REAL ESTATE INVESTMENT TRUST		
AUTOTRADECENTER CANADA LTD.	ASC CTO	1a, 1b, 1c, 1e
AUXELLENCHE HEALTH CORPORATION (FORMERLY 0924888 BC LTD.)		
AVAGENESIS CORP.		
AVALON ADVANCED MATERIALS INC.		
AVALON WORKS CORP.	ASC CTO	1a, 1b, 1c, 1e
AVANTE LOGIXX INC.		
AVANTE TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
AVANTI ENERGY INC.		
AVARONE METALS INC.		
AVATAR ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
AVCORP INDUSTRIES INC.		
AVEDA TRANSPORTATION AND ENERGY SERVICES INC.		
AVIDUS MANAGEMENT GROUP INC.	Reciprocal CTO	1a, 1b, 1c, 1e
AVIGILON CORPORATION		
AVINDA VIDEO INCORPORATED	ASC CTO	1a, 1b, 1c, 1e
AVINO SILVER & GOLD MINES LTD.		
AVION GOLD CORPORATION		
AVITAR, INC.	ASC CTO	
AVIVAGEN INC.		
AVL VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
AVNEL GOLD MINING LIMITED		
AVRUPA MINERALS LTD.		
AWARE LEARNING TECHNOLOGIES CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
AXE EXPLORATION INC.		
AXIA NETMEDIA CORPORATION		
AXIOM ALL EQUITY PORTFOLIO		
AXIOM BALANCED GROWTH PORTFOLIO		
AXIOM BALANCED INCOME PORTFOLIO		
AXIOM CANADIAN GROWTH PORTFOLIO		

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Name	Cease Traded	Nature of Default
AXIOM DIVERSIFIED MONTHLY INCOME PORTFOLIO		
AXIOM FOREIGN GROWTH PORTFOLIO		
AXIOM GLOBAL GROWTH PORTFOLIO		
AXIOM LONG-TERM GROWTH PORTFOLIO		
AXIOS MOBILE ASSETS CORP.		
AXIOTRON CORP.	ASC CTO	1a, 1b, 1c, 1e
AXIS.PORT INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
AXMIN INC.		
AXXENT INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
AYDON INCOME PROPERTIES INC.		
AYLEN CAPITAL INC.		
AYUBOWAN CAPITAL LTD.		
AZABACHE ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
AZARGA METALS CORP.		
AZARGA URANIUM CORP.		
AZCAR TECHNOLOGIES INCORPORATED	ASC CTO	1a, 1b, 1c, 1d, 1e
AZIMUT EXPLORATION INC.		
AZINCOURT URANIUM INC.		
AZTECA GOLD CORP.	ASC CTO	1a, 1b, 1c, 1e
AZTECH INNOVATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
AZTEK RESOURCE DEVELOPMENT INC.	ASC CTO	1a, 1b, 1c, 1e
AZURE DYNAMICS CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
B.E.S.T. TOTAL RETURN FUND INC.		
B.G.M. DIVERSIFIED ENERGY INC	ASC CTO	1a, 1b, 1c, 1e, 1g
B.Y.G. NATURAL RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
B2GOLD CORP.		
BABYLON TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
BAC CANADA FINANCE COMPANY		
BACANORA MINERALS LTD.		
BACKSTAGEPLAY INC.		
BACTECH ENVIRONMENTAL CORPORATION		
BADGER DAYLIGHTING LTD.		
BAJA MINING CORP.		
BALANCED 60/40 FUND		
BALANCED FOLIO FUND		
BALANCED FUND		
BALANCED INCOME PORTFOLIO		
BALANCED MONTHLY INCOME FUND		
BALD EAGLE GOLF CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g

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BALLARD POWER SYSTEMS INC.		
BALMORAL RESOURCES LTD.		
BALTO RESOURCES LTD.		
BAMA GOLD CORP.		
BANDERA GOLD LTD.		
BANFF RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
BANK OF AMERICA CORPORATION		1g
BANK OF MONTREAL		
BANK OF NOVA SCOTIA, THE		
BANKERS PETROLEUM LTD.		
BANKERS PETROLEUMS (1975) LTD.	ASC CTO	1a, 1b, 1c, 1e
BANKS ISLAND GOLD LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
BANNER MINING CORPORATION	ASC CTO	1a, 1b, 1c, 1e
BANNERMAN RESOURCES LIMITED		1b, 1c, 1e
BANNOCKBURN RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
BANRO CORPORATION		
BANYAN CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
BANYAN GOLD CORP.		
BARCLAYS BANK PLC		3
BARD VENTURES LTD.		
BARISAN GOLD CORPORATION		
BARKER MINERALS LTD.		
BARKERVILLE GOLD MINES LTD.		1b
BARKSDALE CAPITAL CORP.		
BAROMETER DISCIPLINED LEADERSHIP BALANCED FUND		
BAROMETER DISCIPLINED LEADERSHIP EQUITY FUND		
BAROMETER DISCIPLINED LEADERSHIP TACTICAL INCOME GROWTH FUND		
BAROMETER GLOBAL TACTICAL YIELD AND EQUITY FUND		
BAROYECA GOLD & SILVER INC.		
BARRICK GOLD CORPORATION		
BARRIER MINING CORP.	ASC CTO	1a, 1b, 1c, 1e
BARRON HUNTER HARGRAVE STRATEGIC RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
BARSELE MINERALS CORP.		
BARTIZAN CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1g
BARTON BAY RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
BARTON CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
BASE OF THE BRIDGE TECHNOLOGY LTD.	ASC CTO	1a, 1b, 1c, 1e
BASIC U.S. REIT, INC.	ASC CTO	1a, 1b, 1c, 1e
BASSETT MEDIA GROUP CORP.	ASC CTO	1a, 1b, 1c, 1e

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BATERO GOLD CORP.		
BATTERY & WIRELESS SOLUTIONS INC.	ASC CTO	1a, 1b, 1c, 1e
BATTERY TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
BATTLE MOUNTAIN GOLD INC.		
BAYAURA MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
BAYHORSE SILVER INC.		
BAYLIN TECHNOLOGIES INC.		
BAYMOUNT INCORPORATED		
BAYSHORE PETROLEUM CORP.		
BAYSWATER URANIUM CORPORATION		
BAYTEX ENERGY CORP.		
BC MOLY LTD.		
BCE INC.		
BCE PLACE FINANCE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
BCGOLD CORP.		
BCM RESOURCES CORPORATION		
BCS TECHNOLOGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
BE RESOURCES INC.		
BEACON ACQUISITION PARTNERS INC.	ASC CTO	1a, 1b, 1c, 1e
BEACON RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
BEAMSCOPE CANADA INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
BEAR CREEK MINING CORPORATION		
BEARCAT EXPLORATIONS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
BEARCLAW CAPITAL CORP.		
BEARING RESOURCES LTD.		
BEAU PRE EXPLORATIONS LTD	ASC CTO	1a, 1b, 1c, 1e
BEAUFIELD RESOURCES INC.		
BECKETT TECHNOLOGIES CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
BEDFORD ARMS	ASC CTO	1a, 1b, 1c, 1e
BEE VECTORING TECHNOLOGIES INTERNATIONAL INC.		
BELEAVE INC.		
BELFAST PETROLEUM INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
BELL CANADA		
BELL COPPER CORPORATION		1c
BELLATRIX EXPLORATION LTD.		
BELLHAVEN COPPER & GOLD INC.		
BELLRINGER RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
BELLS AND UTILITIES	ASC CTO	1a, 1b, 1c, 1e
BELLUS HEALTH INC.		

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BELMONT PARK	ASC CTO	1a, 1b, 1c, 1e
BELMONT RESOURCES INC.		
BELO SUN MINING CORP.		
BELVEDERE HEIGHTS APARTMENTS LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
BELVEDERE RESOURCES LTD.		
BEMA GOLD CORPORATION		
BENGAL ENERGY LTD.		
BENTON RESOURCES INC.		
BENZ ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
BENZ MINING CORP.		
BERKLEY RENEWABLES INC.		
BERKWOOD RESOURCES LTD.		
BESRA GOLD INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
BESTAR INTERNATIONAL GROUP LTD.	ASC CTO	1a, 1b, 1c, 1e
BETACOM CORPORATION INC.	ASC CTO	1a, 1b, 1c, 1e
BETHPAGE CAPITAL CORP.		
BETTS COVE MINERALS LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
BEUTEL GOODMAN AMERICAN EQUITY FUND		
BEUTEL GOODMAN BALANCED FUND		
BEUTEL GOODMAN CANADIAN DIVIDEND FUND		
BEUTEL GOODMAN CANADIAN EQUITY FUND		
BEUTEL GOODMAN CORPORATE/PROVINCIAL ACTIVE BOND FUND		
BEUTEL GOODMAN FUNDAMENTAL CANADIAN EQUITY FUND		
BEUTEL GOODMAN GLOBAL DIVIDEND FUND		
BEUTEL GOODMAN GLOBAL EQUITY FUND		
BEUTEL GOODMAN INCOME FUND		
BEUTEL GOODMAN INTERNATIONAL EQUITY FUND		
BEUTEL GOODMAN LONG TERM BOND FUND		
BEUTEL GOODMAN MONEY MARKET FUND		
BEUTEL GOODMAN NORTH AMERICAN FOCUSED EQUITY FUND		
BEUTEL GOODMAN SHORT TERM BOND FUND		
BEUTEL GOODMAN SMALL CAP FUND		
BEUTEL GOODMAN TOTAL WORLD EQUITY FUND		
BEUTEL GOODMAN WORLD FOCUS EQUITY FUND		
BEVO AGRO INC.		
BEWHERE HOLDINGS INC.		
BF REALTY HOLDINGS LIMITED	ASC CTO	1a, 1b, 1c, 1e
BFK CAPITAL CORP.		
BFS ENTERTAINMENT & MULTIMEDIA LIMITED	Reciprocal CTO	1a, 1c, 1e

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BHK MINING CORP.		
BIG 8 SPLIT INC.		
BIG BANK BIG OIL SPLIT CORP.		
BIG BAR RESOURCES CORPORATION		
BIG NORTH GRAPHITE CORP.		
BIG PICTURE TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
BIG ROCK BREWERY INC.		
BIG ROCK LABS INC.		
BIG SKY PETROLEUM CORPORATION		
BIG WIND CAPITAL INC.		
BIGHORN DEVELOPMENT CORPORATION	ASC CTO	1a, 1b, 1c, 1e
BIGKNOWLEDGE ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e
BINARY TECHNOLOGY FUNDING INC.	ASC CTO	1a, 1b, 1c, 1e
BINOPTIC INTERNATIONAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
BIOASIS TECHNOLOGIES INC.		
BIODE VENTURES LTD.		
BIOENVELOP TECHNOLOGIES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
BIOEXX SPECIALTY PROTEINS LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
BIOHEP TECHNOLOGIES LTD.		
BIOLIX CORPORATION	ASC CTO	1a, 1b, 1c, 1e
BIOMARK DIAGNOSTICS INC.		
BIOMAX TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
BIOMMUNE TECHNOLOGIES INC.		
BIONEUTRA GLOBAL CORPORATION		
BIONEUTRA INTERNATIONAL, LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
BIOPHAGE PHARMA INC.	ASC CTO	1a, 1b, 1c, 1e
BIOREM INC.		
BIOSIGN TECHNOLOGIES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
BIOSYENT INC.		
BIOSYNTECH, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
BIOTANIKA HEALTH GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
BIOTECH HOLDINGS LTD		1a, 1b, 1c, 1e, 3
BIOTECHNA ENVIRONMENTAL (2000) CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
BIOTEQ ENVIRONMENTAL TECHNOLOGIES INC.		
BIOX CORPORATION		
BIOXEL PHARMA INC.	ASC CTO	1a, 1b, 1c, 1e
BIRCH LAKE ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
BIRCH MOUNTAIN RESOURCES LTD.		1a, 1b, 1c, 1d, 1e
BIRCHCLIFF ENERGY LTD.		

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BIRD CONSTRUCTION INC.		
BISON GOLD RESOURCES INC.		
BITTERROOT RESOURCES LTD.		
BITUMEN CAPITAL INC.		
BLACK BIRCH CAPITAL ACQUISITION III CORP.		
BLACK BULL RESOURCES INC.		3
BLACK CREEK GLOBAL BALANCED CORPORATE CLASS		
BLACK CREEK GLOBAL BALANCED FUND		
BLACK CREEK GLOBAL LEADERS CORPORATE CLASS		
BLACK CREEK GLOBAL LEADERS FUND		
BLACK CREEK INTERNATIONAL EQUITY CORPORATE CLASS		
BLACK CREEK INTERNATIONAL EQUITY FUND		
BLACK DIAMOND DISTRIBUTORS CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
BLACK DIAMOND GROUP LIMITED		
BLACK GOLD OIL & GAS LTD.	ASC CTO	1b
BLACK IRON INC.		
BLACK ISLE RESOURCES CORPORATION		
BLACK LION CAPITAL CORP.		
BLACK MAMMOTH METALS CORPORATION		
BLACK SPRINGS CAPITAL CORP.		
BLACK TUSK ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
BLACK WIDOW RESOURCES INC.		
BLACKBERRY LIMITED		
BLACKBIRD ENERGY INC.		
BLACKEAGLE DEVELOPMENT CORP.		
BLACKHAWK RESOURCE CORP.		
BLACKHEATH RESOURCES INC.		
BLACKICE ENTERPRISE RISK MANAGEMENT INC.		
BLACKLINE SAFETY CORP.		
BLACKPEARL RESOURCES INC.		
BLACKPOOL ENERGY LIMITED	ASC CTO	1a, 1b, 1c, 1e
BLACKROCK ALL BOND PORTFOLIO		
BLACKROCK BALANCED PORTFOLIO		
BLACKROCK CONSERVATIVE PORTFOLIO		
BLACKROCK DEFENSIVE PORTFOLIO		
BLACKROCK DIVERSIFIED MONTHLY INCOME PORTFOLIO		
BLACKROCK GROWTH PORTFOLIO		
BLACKROCK MAXGROWTH PORTFOLIO		
BLACKSTEEL ENERGY INC.		

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BLAKE RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
BLIND CREEK RESOURCES LTD.		
BLIZZARD FINANCE CORP.		
BLOOM SELECT INCOME FUND		
BLOOM U.S. INCOME & GROWTH FUND		
BLUE HILL CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
BLUE HORIZON INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1k, 2a, 3
BLUE NOTE MINING INC.	ASC CTO	1a, 1b, 1c, 1e
BLUE RIBBON INCOME FUND		
BLUE RIVER RESOURCES LTD.		
BLUE SKY URANIUM CORP.		
BLUE ZEN MEMORIAL PARKS INC.	ASC CTO	1a, 1b, 1c, 1e
BLUEBAY \$U.S. GLOBAL CONVERTIBLE BOND CLASS (CANADA)		1d
BLUEBAY \$U.S. GLOBAL CONVERTIBLE BOND FUND (CANADA)		
BLUEBAY EMERGING MARKETS CORPORATE BOND FUND		
BLUEBAY EUROPEAN HIGH YIELD BOND FUND		
BLUEBAY GLOBAL CONVERTIBLE BOND CLASS (CANADA)		
BLUEBAY GLOBAL CONVERTIBLE BOND FUND (CANADA)		
BLUEBAY GLOBAL MONTHLY INCOME BOND FUND		
BLUEDROP PERFORMANCE LEARNING INC.		
BLUENOSE GOLD CORP.		
BLUEOCEAN NUTRSCIENCES INC.		1a, 1b, 1c, 1e
BLUEPOINT DATA, INC.	ASC CTO	1a, 1b, 1c, 1e
BLUEPRINT CORPORATE SERVICES LTD.	Reciprocal CTO	1b, 1c, 1e, 3
BLUEROCK VENTURES CORP.		
BLUERUSH MEDIA GROUP CORP.		
BLUESCOUT TECHNOLOGIES LTD.	ASC CTO	1a, 1b, 1c, 1e
BLUESTAR BATTERY SYSTEMS INTERNATIONAL CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
BLUESTONE RESOURCES INC.		
BLUEWATER PARK APARTMENT PROJECT		
BLUFOREST INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 2a
BLUMETRIC ENVIRONMENTAL INC.		
BLUTIP POWER TECHNOLOGIES LTD.	ASC CTO	1a, 1b, 1c, 1e
BMD ENTERPRISES LTD.	ASC CTO	1a, 1b, 1c, 1e
BMG BULLIONFUND		
BMG GOLD BULLIONFUND		
BMO AGGREGATE BOND INDEX ETF		
BMO ASIAN GROWTH AND INCOME CLASS		
BMO ASIAN GROWTH AND INCOME FUND		

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BMO ASSET ALLOCATION FUND		
BMO BALANCED ETF PORTFOLIO		
BMO BALANCED ETF PORTFOLIO CLASS		
BMO BALANCED YIELD PLUS ETF PORTFOLIO		
BMO BOND FUND		
BMO CANADIAN DIVERSIFIED MONTHLY INCOME FUND		
BMO CANADIAN DIVIDEND ETF		
BMO CANADIAN EQUITY CLASS		
BMO CANADIAN EQUITY ETF FUND		
BMO CANADIAN EQUITY FUND		
BMO CANADIAN LARGE CAP EQUITY FUND		
BMO CANADIAN LOW VOLATILITY ETF CLASS		
BMO CANADIAN SMALL CAP EQUITY FUND		
BMO CANADIAN STOCK SELECTION FUND		
BMO CAPITAL TRUST		
BMO CAPITAL TRUST II		1g
BMO CHINA EQUITY INDEX ETF		
BMO CONSERVATIVE ETF PORTFOLIO		
BMO CORE BOND FUND		
BMO CORE PLUS BOND FUND		
BMO COVERED CALL CANADIAN BANKS ETF		
BMO COVERED CALL CANADIAN BANKS ETF FUND		
BMO COVERED CALL DOW JONES INDUSTRIAL AVERAGE HEDGED TO CAD ETF		
BMO COVERED CALL EUROPE HIGH DIVIDEND ETF FUND		
BMO COVERED CALL U.S. HIGH DIVIDEND ETF FUND		
BMO COVERED CALL UTILITIES ETF		
BMO DISCOUNT BOND INDEX ETF		
BMO DIVERSIFIED INCOME PORTFOLIO		
BMO DIVIDEND CLASS		
BMO DIVIDEND FUND		
BMO DOW JONES INDUSTRIAL AVERAGE HEDGED TO CAD INDEX ETF		
BMO EMERGING MARKETS BOND FUND		
BMO EMERGING MARKETS BOND HEDGED TO CAD INDEX ETF		
BMO EMERGING MARKETS FUND		
BMO ENHANCED EQUITY INCOME FUND		
BMO ENTERPRISE FUND		
BMO EQUAL WEIGHT REITS INDEX ETF		
BMO EQUAL WEIGHT US BANKS HEDGED TO CAD INDEX ETF		
BMO EQUAL WEIGHT US BANKS INDEX ETF		

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Name	Cease Traded	Nature of Default
BMO EQUAL WEIGHT US HEALTH CARE HEDGED TO CAD INDEX ETF		
BMO EQUAL WEIGHT UTILITIES INDEX ETF		
BMO EQUITY GROWTH ETF PORTFOLIO		
BMO EQUITY GROWTH ETF PORTFOLIO CLASS		
BMO EQUITY LINKED CORPORATE BOND ETF		
BMO EUROPE HIGH DIVIDEND COVERED CALL HEDGED TO CAD ETF		
BMO EUROPEAN FUND		
BMO FIXED INCOME ETF PORTFOLIO		
BMO FIXED INCOME YIELD PLUS ETF PORTFOLIO		
BMO FLOATING RATE HIGH YIELD ETF		
BMO FLOATING RATE INCOME FUND		
BMO FOSSIL FUEL FREE FUND		
BMO FUNDSELECT BALANCED PORTFOLIO		
BMO FUNDSELECT EQUITY GROWTH PORTFOLIO		
BMO FUNDSELECT GROWTH PORTFOLIO		
BMO FUNDSELECT INCOME PORTFOLIO		
BMO GLOBAL BALANCED FUND		
BMO GLOBAL DIVERSIFIED FUND		
BMO GLOBAL DIVIDEND CLASS		
BMO GLOBAL DIVIDEND FUND		
BMO GLOBAL ENERGY CLASS		
BMO GLOBAL EQUITY CLASS		
BMO GLOBAL EQUITY FUND		
BMO GLOBAL GROWTH & INCOME FUND		
BMO GLOBAL INFRASTRUCTURE FUND		
BMO GLOBAL INFRASTRUCTURE INDEX ETF		
BMO GLOBAL LOW VOLATILITY ETF CLASS		
BMO GLOBAL MONTHLY INCOME FUND		
BMO GLOBAL SMALL CAP FUND		
BMO GLOBAL STRATEGIC BOND FUND		
BMO GREATER CHINA CLASS		
BMO GROWTH & INCOME FUND		
BMO GROWTH ETF PORTFOLIO		
BMO GROWTH ETF PORTFOLIO CLASS		
BMO GROWTH OPPORTUNITIES FUND		
BMO HIGH YIELD BOND FUND		
BMO HIGH YIELD US CORPORATE BOND HEDGED TO CAD INDEX ETF		
BMO INCOME ETF PORTFOLIO		
BMO INCOME ETF PORTFOLIO CLASS		

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BMO INDIA EQUITY INDEX ETF		
BMO INTERNATIONAL DIVIDEND ETF		
BMO INTERNATIONAL DIVIDEND HEDGED TO CAD ETF		
BMO INTERNATIONAL EQUITY ETF FUND		
BMO INTERNATIONAL VALUE CLASS		
BMO INTERNATIONAL VALUE FUND		
BMO JUNIOR GAS INDEX ETF		
BMO JUNIOR GOLD INDEX ETF		
BMO JUNIOR OIL INDEX ETF		
BMO LADDERED CORPORATE BOND FUND		
BMO LADDERED PREFERRED SHARE INDEX ETF		
BMO LIFESTAGE 2017 CLASS		
BMO LIFESTAGE 2020 CLASS		
BMO LIFESTAGE 2025 CLASS		
BMO LIFESTAGE 2030 CLASS		
BMO LIFESTAGE 2035 CLASS		
BMO LIFESTAGE 2040 CLASS		
BMO LIFESTAGE PLUS 2017 FUND		
BMO LIFESTAGE PLUS 2020 FUND		
BMO LIFESTAGE PLUS 2022 FUND		
BMO LIFESTAGE PLUS 2025 FUND		
BMO LIFESTAGE PLUS 2026 FUND		
BMO LIFESTAGE PLUS 2030 FUND		
BMO LONG CORPORATE BOND INDEX ETF		
BMO LONG FEDERAL BOND INDEX ETF		
BMO LONG PROVINCIAL BOND INDEX ETF		
BMO LOW VOLATILITY CANADIAN EQUITY ETF		
BMO LOW VOLATILITY EMERGING MARKETS EQUITY ETF		
BMO LOW VOLATILITY INTERNATIONAL EQUITY ETF		
BMO LOW VOLATILITY INTERNATIONAL EQUITY HEDGED TO CAD ETF		
BMO LOW VOLATILITY US EQUITY ETF		
BMO LOW VOLATILITY US EQUITY HEDGED TO CAD ETF		
BMO MID CORPORATE BOND INDEX ETF		
BMO MID FEDERAL BOND INDEX ETF		
BMO MID PROVINCIAL BOND INDEX ETF		
BMO MID-TERM US IG CORPORATE BOND HEDGED TO CAD INDEX ETF		
BMO MID-TERM US IG CORPORATE BOND INDEX ETF		
BMO MONEY MARKET FUND		
BMO MONTHLY DIVIDEND FUND LTD.		

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BMO MONTHLY HIGH INCOME FUND II		
BMO MONTHLY INCOME ETF		
BMO MONTHLY INCOME FUND		
BMO MORTGAGE AND SHORT-TERM INCOME FUND		
BMO MSCI ALL COUNTRY WORLD HIGH QUALITY INDEX ETF		
BMO MSCI EAFE HEDGED TO CAD INDEX ETF		
BMO MSCI EAFE INDEX ETF		
BMO MSCI EMERGING MARKETS INDEX ETF		
BMO MSCI EUROPE HIGH QUALITY HEDGED TO CAD INDEX ETF		
BMO MSCI USA HIGH QUALITY INDEX ETF		
BMO NASDAQ 100 EQUITY HEDGED TO CAD INDEX ETF		
BMO NORTH AMERICAN DIVIDEND FUND		
BMO PRECIOUS METALS FUND		
BMO PREFERRED SHARE FUND		
BMO PRIVATE CANADIAN CONSERVATIVE EQUITY PORTFOLIO		
BMO PRIVATE CANADIAN CORPORATE BOND PORTFOLIO		
BMO PRIVATE CANADIAN GROWTH EQUITY PORTFOLIO		
BMO PRIVATE CANADIAN INCOME EQUITY PORTFOLIO		
BMO PRIVATE CANADIAN MID-TERM BOND PORTFOLIO		
BMO PRIVATE CANADIAN MONEY MARKET PORTFOLIO		
BMO PRIVATE CANADIAN SHORT-TERM BOND PORTFOLIO		
BMO PRIVATE CANADIAN SPECIAL EQUITY PORTFOLIO		
BMO PRIVATE DIVERSIFIED YIELD PORTFOLIO		
BMO PRIVATE EMERGING MARKETS EQUITY PORTFOLIO		
BMO PRIVATE INTERNATIONAL EQUITY PORTFOLIO		
BMO PRIVATE U.S. EQUITY PORTFOLIO		
BMO PRIVATE U.S. GROWTH EQUITY PORTFOLIO		
BMO PRIVATE U.S. SPECIAL EQUITY PORTFOLIO		
BMO REAL RETURN BOND INDEX ETF		
BMO RESOURCE FUND		
BMO RETIREMENT BALANCED PORTFOLIO		
BMO RETIREMENT CONSERVATIVE PORTFOLIO		
BMO RETIREMENT INCOME PORTFOLIO		
BMO RISK REDUCTION EQUITY FUND		
BMO RISK REDUCTION FIXED INCOME FUND		
BMO S&P 500 HEDGED TO CAD INDEX ETF		
BMO S&P 500 INDEX ETF		
BMO S&P/TSX CAPPED COMPOSITE INDEX ETF	ASC CTO	1d
BMO S&P/TSX EQUAL WEIGHT BANKS INDEX ETF		

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BMO S&P/TSX EQUAL WEIGHT GLOBAL BASE METALS HEDGED TO CAD INDEX ETF		
BMO S&P/TSX EQUAL WEIGHT GLOBAL GOLD INDEX ETF		
BMO S&P/TSX EQUAL WEIGHT INDUSTRIALS INDEX ETF		
BMO S&P/TSX EQUAL WEIGHT OIL & GAS INDEX ETF		
BMO SELECTCLASS BALANCED PORTFOLIO		
BMO SELECTCLASS EQUITY GROWTH PORTFOLIO		
BMO SELECTCLASS GROWTH PORTFOLIO		
BMO SELECTCLASS INCOME PORTFOLIO		
BMO SELECTTRUST BALANCED PORTFOLIO		
BMO SELECTTRUST CONSERVATIVE PORTFOLIO		
BMO SELECTTRUST EQUITY GROWTH PORTFOLIO		
BMO SELECTTRUST FIXED INCOME PORTFOLIO		
BMO SELECTTRUST GROWTH PORTFOLIO		
BMO SELECTTRUST INCOME PORTFOLIO		
BMO SHORT CORPORATE BOND INDEX ETF		
BMO SHORT FEDERAL BOND INDEX ETF		
BMO SHORT PROVINCIAL BOND INDEX ETF		
BMO SHORT-TERM INCOME CLASS		
BMO SHORT-TERM US IG CORPORATE BOND HEDGED TO CAD INDEX ETF		
BMO SUBORDINATED NOTES TRUST		
BMO TACTICAL BALANCED ETF FUND		
BMO TACTICAL DIVIDEND ETF FUND		
BMO TACTICAL GLOBAL ASSET ALLOCATION ETF FUND		
BMO TACTICAL GLOBAL BOND ETF FUND		
BMO TACTICAL GLOBAL EQUITY ETF FUND		
BMO TACTICAL GLOBAL GROWTH ETF FUND		
BMO TARGET EDUCATION 2020 PORTFOLIO		
BMO TARGET EDUCATION 2025 PORTFOLIO		
BMO TARGET EDUCATION 2030 PORTFOLIO		
BMO TARGET EDUCATION 2035 PORTFOLIO		
BMO TARGET EDUCATION INCOME PORTFOLIO		
BMO U.S. DIVIDEND FUND		
BMO U.S. DOLLAR BALANCED FUND		
BMO U.S. DOLLAR DIVIDEND FUND		
BMO U.S. DOLLAR EQUITY INDEX FUND		
BMO U.S. DOLLAR MONEY MARKET FUND		
BMO U.S. DOLLAR MONTHLY INCOME FUND		
BMO U.S. EQUITY CLASS		

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BMO U.S. EQUITY ETF FUND		
BMO U.S. EQUITY FUND		
BMO U.S. EQUITY PLUS FUND		
BMO U.S. HIGH YIELD BOND FUND		
BMO ULTRA SHORT-TERM BOND ETF		
BMO US DIVIDEND ETF		
BMO US DIVIDEND HEDGED TO CAD ETF		
BMO US HIGH DIVIDEND COVERED CALL ETF		
BMO US PUT WRITE ETF		
BMO WOMEN IN LEADERSHIP FUND		
BMO WORLD BOND FUND		
BMR GOLD CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
BNK PETROLEUM INC.		
BNP RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
BNS SPLIT CORP.		
BNS SPLIT CORP. II		
BOARDWALK REAL ESTATE INVESTMENT TRUST		
BOARDWALK REIT LIMITED PARTNERSHIP		
BOLD STROKE VENTURES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
BOLD VENTURES INC.		
BOLIDEN AB (PUBL)		
BOMAX RESOURCE CORP.	ASC CTO	1a, 1b, 1c, 1e
BOMBARDIER INC.		
BONAVENTURE RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
BONAVENTURE TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
BONAVISTA CANADIAN EQUITY VALUE FUND		
BONAVISTA ENERGY CORPORATION		
BONAVISTA GLOBAL BALANCED FUND		
BONKERS VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
BONTERRA ENERGY CORP.		
BONTERRA RESOURCES INC.		
BOOKFORTRAVEL.COM INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
BOOMER FINANCIAL INC.		
BOOMERANG OIL, INC.	Reciprocal CTO	1a, 1b, 1c, 1e
BOOST CAPITAL CORP.		
BORALEX INC.		
BORDER PETROLEUM LIMITED		
BOREALIS EXPLORATION LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
BOREALIS INFRASTRUCTURE TRUST		1b, 1c, 1e

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BOSS MINERALS INC.		
BOSTON PIZZA ROYALTIES INCOME FUND		
BOUGAINVILLE VENTURES INC.		1g
BOW RIVER EXPLORATION LTD.	ASC CTO	1a, 1b, 1c, 1e
BOWMORE EXPLORATION LTD.		
BOYD GROUP INCOME FUND		
BOYUAN CONSTRUCTION GROUP, INC.		
BRACKNELL CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
BRADMER PHARMACEUTICALS INC.		
BRAINHUNTER INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
BRAINIUM TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
BRAKE CHECK CANADA INC.	ASC CTO	1a, 1b, 1c, 1e
BRAKPAN VENTURES CORP.		
BRAMALEA INC.	ASC CTO	1a, 1b, 1c, 1e
BRAMAR INDUSTRIES CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
BRAMPTON BRICK LIMITED		
BRANA OIL & GAS LTD.	ASC CTO	1a, 1b, 1c, 1e
BRAND LEADERS INCOME FUND		
BRAND LEADERS PLUS INCOME FUND		
BRAND MARVEL WORLDWIDE CONSUMER PRODUCTS CORPORATION		1a, 1b, 1c, 1e
BRANDENBURG ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
BRANDES CANADIAN EQUITY FUND		
BRANDES CANADIAN MONEY MARKET FUND		
BRANDES CORPORATE FOCUS BOND FUND		
BRANDES EMERGING MARKETS VALUE FUND		
BRANDES GLOBAL BALANCED FUND		
BRANDES GLOBAL EQUITY CLASS		
BRANDES GLOBAL EQUITY FUND		
BRANDES GLOBAL OPPORTUNITIES FUND		
BRANDES GLOBAL SMALL CAP EQUITY FUND		
BRANDES INTERNATIONAL EQUITY FUND		
BRANDES U.S. EQUITY FUND		
BRANDES U.S. SMALL CAP EQUITY FUND		
BRANDGAMZ MARKETING INC	ASC CTO	1a, 1b, 1c, 1e
BRANDON ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
BRASILCA MINING CORPORATION	ASC CTO	1a, 1b, 1c, 1e
BRASSNECK CAPITAL CORP.		
BRAUCH DATABASE SYSTEMS, INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
BRAVADA GOLD CORPORATION		

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BRAVEHEART RESOURCES INC.		
BRAVERN VENTURES LTD.		
BRAVO RESOURCE PARTNERS LTD.	ASC CTO	1a, 1b, 1c, 1e
BRAVURA VENTURES CORP.		
BRAZIL RESOURCES INC.		
BRAZILIAN RESOURCES, INC.	ASC CTO	1a, 1b, 1c, 1e
BREAKING DATA CORP.		
BREAKING POINT DEVELOPMENTS INC.	ASC CTO	1a, 1b, 1c, 1e
BREATHTEC BIOMEDICAL, INC.		
BRE-X MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
BRIACELL THERAPEUTICS CORP.		
BRIANA BIO-TECH INC.	ASC CTO	1a, 1b, 1c, 1e
BRI-CHEM CORP.		
BRIDGE WAY	ASC CTO	1a, 1b, 1c, 1e
BRIDGEPOINT INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
BRIDGES ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e
BRIGADE RESOURCE CORP.		
BRIGADIER GOLD LIMITED	ASC CTO	1a, 1b, 1c, 1e
BRIGHT STAR VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e
BRIGHTER MINDS MEDIA INC.	ASC CTO	1a, 1b, 1c, 1e
BRIGHTON GARDENS OF BOYNTON BEACH LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
BRIGHTPATH EARLY LEARNING INC.		
BRIONOR RESOURCES INC.		
BRISBANE CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
BRISIO INNOVATIONS INC.		
BRITISH COLUMBIA FERRY SERVICES INC.		
BRITISH CONTROLLED OILFIELDS LTD.		1a, 1c, 1d
BRIXTON ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
BRIXTON METALS CORPORATION		
BROADBAND LEARNING CORPORATION	ASC CTO	1a, 1b, 1c, 1e
BROMLEY . MARR ECOS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
BROMPTON 2015 FLOW-THROUGH LIMITED PARTNERSHIP		
BROMPTON CORP.		
BROMPTON DIVIDEND & INCOME CLASS		
BROMPTON LIFECO SPLIT CORP.		
BROMPTON OIL SPLIT CORP.		
BROMPTON RESOURCE CLASS		
BROMPTON SPLIT BANC CORP.		
BROOKFIELD ASSET MANAGEMENT INC.		

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BROOKFIELD BUSINESS PARTNERS L.P.		
BROOKFIELD CANADA OFFICE PROPERTIES		
BROOKFIELD FINANCE INC.		
BROOKFIELD GLOBAL INFRASTRUCTURE SECURITIES INCOME FUND		
BROOKFIELD HIGH YIELD STRATEGIC INCOME FUND		
BROOKFIELD INFRASTRUCTURE FINANCE LIMITED		
BROOKFIELD INFRASTRUCTURE FINANCE LLC		
BROOKFIELD INFRASTRUCTURE FINANCE PTY LTD		
BROOKFIELD INFRASTRUCTURE FINANCE ULC		
BROOKFIELD INFRASTRUCTURE PARTNERS L.P.		
BROOKFIELD INFRASTRUCTURE PREFERRED EQUITY INC.		
BROOKFIELD INVESTMENTS CORPORATION		
BROOKFIELD NEW HORIZONS INCOME FUND		
BROOKFIELD OFFICE PROPERTIES EXCHANGE LP		
BROOKFIELD OFFICE PROPERTIES INC.		
BROOKFIELD PROPERTY PARTNERS L.P.		
BROOKFIELD PROPERTY SPLIT CORP.		
BROOKFIELD REAL ESTATE SERVICES INC.		
BROOKFIELD RENEWABLE PARTNERS L.P.		
BROOKFIELD RENEWABLE PARTNERS ULC		
BROOKFIELD RENEWABLE POWER PREFERRED EQUITY INC.		
BROOKFIELD SELECT OPPORTUNITIES INCOME FUND		
BROOKFIELD SOUNDVEST EQUITY FUND		
BROOKFIELD SOUNDVEST SPLIT TRUST		
BROOKWATER VENTURES INC.		
BROOME CAPITAL INC.		
BROWNING COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
BROWNS CREEK GOLD N L	ASC CTO	1a, 1b, 1c, 1e
BRO-X MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
BRP INC.		
BRS RESOURCES LTD.		
BRS VENTURES LTD.		
BRUNSWICK OIL N L	ASC CTO	1a, 1b, 1c, 1e
BRUNSWICK RESOURCES INC.		
BSM TECHNOLOGIES INC.		
BTB REAL ESTATE INVESTMENT TRUST		
BTL GROUP LTD.		
BTU CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
BUCCANEER GOLD CORP.		

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BUCKING HORSE ENERGY INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
BUCKINGHAM INTERNATIONAL HOLDINGS LTD.	ASC CTO	1a, 1b, 1c, 1e
BUDDHA RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
BUFFALO COAL CORP.		
BUFFALO GOLD LTD.	ASC CTO	1a, 1b, 1c, 1e
BUHLER INDUSTRIES INC.		
BUILDERS CAPITAL MORTGAGE CORP.		
BULLION GOLD RESOURCES CORP.		
BULLMAN MINERALS INC.		
BURCON NUTRASCIENCE CORPORATION		
BURNSTONE VENTURES INC.		
BUSHMAN RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
BUSINESS SYSTEMS INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
BUTTE ENERGY INC.		3
BY DESIGN	ASC CTO	1a, 1b, 1c, 1e
C A T COMPUTER ASSISTED TRAINING SOFTWARE INC.	ASC CTO	1a, 1b, 1c, 1e
C INTERNATIONAL INCOME FUND	ASC CTO	1a, 1b, 1c, 1e
C&T STRIPS PROGRAM OF CIBC WORLD MARKETS INC.		
C.E.M. CENTRY ELECTRONICS MONITORING CORPORATION	ASC CTO	1a, 1b, 1c, 1e
C.F.P.C. (1990) LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
C.M.A. CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CABIA GOLDHILLS INC.	ASC CTO	1a, 1b, 1c, 1e
CABLETEL COMMUNICATIONS CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e
CABO DRILLING CORP.		
CACHE EXPLORATION INC.		
CACHET COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
CADAN RESOURCES CORPORATION		
CADILLAC VENTURES INC.		
CADRE RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
CAE INC.		
CAFE SERENDIPITY HOLDINGS, INC.	ASC CTO	1a, 1b, 1c, 1e
CAIR CUSTOM AIR FREIGHT INC.	ASC CTO	1a, 1b, 1c, 1e
CAIRO RESOURCES INC.		
CAISSE CENTRALE DESJARDINS		
CAITERRA INTERNATIONAL ENERGY CORPORATION		
CALCAP INVESTMENTS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
CALDERA RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
CALDWELL BALANCED FUND		
CALDWELL INCOME FUND		

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CALDWELL U.S. DIVIDEND ADVANTAGE FUND		
CALFRAC WELL SERVICES LTD.		
CALGROUP GRAPHICS CORPORATION LTD.	ASC CTO	1a, 1b, 1c, 1e
CALIAN GROUP LTD.		
CALIBRE ENERGY INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CALIBRE MINING CORP.		
CALICO RESOURCES CORP.		
CALIFORNIA GOLD MINING INC.		
CALIFORNIA NANOTECHNOLOGIES CORP.		
CALLIDUS CAPITAL CORPORATION		
CALLINEX MINES INC.		
CALMENA ENERGY SERVICES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
CALPINE CORPORATION		
CALSTAR OIL & GAS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
CALTEC INVESTMENTS INC.	ASC CTO	1a, 1b, 1c, 1e
CALTO INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
CALYX BIO-VENTURES INC.		
CAM TURF CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CAMBERLY ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
CAMBIUM LIMITED PARTNERSHIP NO. TWO	ASC CTO	1a, 1b, 1c, 1e
CAMBRIDGE AMERICAN EQUITY CORPORATE CLASS		
CAMBRIDGE AMERICAN EQUITY FUND		
CAMBRIDGE ASSET ALLOCATION CORPORATE CLASS		
CAMBRIDGE BOND FUND		
CAMBRIDGE CANADIAN DIVIDEND CORPORATE CLASS		
CAMBRIDGE CANADIAN DIVIDEND FUND		
CAMBRIDGE CANADIAN EQUITY CORPORATE CLASS		
CAMBRIDGE CANADIAN GROWTH COMPANIES FUND		
CAMBRIDGE COLLEGES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CAMBRIDGE ENVIRONMENTAL SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CAMBRIDGE GLOBAL DIVIDEND CORPORATE CLASS		
CAMBRIDGE GLOBAL DIVIDEND FUND		
CAMBRIDGE GLOBAL EQUITY CORPORATE CLASS		
CAMBRIDGE GROWTH COMPANIES CORPORATE CLASS		
CAMBRIDGE HIGH INCOME FUND		
CAMBRIDGE INCOME CORPORATE CLASS		
CAMBRIDGE INCOME FUND		
CAMBRIDGE INCOME TRUST		
CAMBRIDGE PURE CANADIAN EQUITY CORPORATE CLASS		

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Name	Cease Traded	Nature of Default
CAMBRIDGE PURE CANADIAN EQUITY FUND		
CAMBRIDGE SQUARE NORTH	ASC CTO	1a, 1b, 1c, 1e
CAMBRIDGE SQUARE SOUTH	ASC CTO	1a, 1b, 1c, 1e
CAMBRIDGE STOCK SELECTION FUND		
CAMBRIDGE U.S. DIVIDEND FUND		
CAMBRIDGE U.S. DIVIDEND REGISTERED FUND		
CAMBRIDGE U.S. DIVIDEND US\$ FUND		
CAMECO CORPORATION		
CAMEO RESOURCES CORP.		
CAMEX ENERGY CORP.		
CAMINO MINERALS CORPORATION		3
CAMMAC GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
CAMPAR CAPITAL CORPORATION		
CAMPBELL RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
CANACCORD GENUITY GROUP INC.		
CANACOL ENERGY LTD.		
CANADA 3000 INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CANADA CARBON INC.		
CANADA COAL INC.		
CANADA ENERGY PARTNERS INC.		
CANADA IRON INC.	ASC CTO	1a, 1b, 1c, 1e
CANADA LEASE FINANCING LTD.	ASC CTO	1a, 1b, 1c, 1e
CANADA LIFE ASSURANCE COMPANY, THE		
CANADA LIFE CAPITAL TRUST		
CANADA LIFE FINANCIAL CORPORATION		
CANADA RARE EARTH CORP.		
CANADA RENEWABLE BIOENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e
CANADA STRATEGIC METALS INC.		
CANADA ZINC METALS CORP.		
CANADIAN 50 ADVANTAGED PREFERRED SHARE FUND		
CANADIAN AGRA FOODS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CANADIAN AIRLINES CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CANADIAN APARTMENT PROPERTIES REAL ESTATE INVESTMENT TRUST		
CANADIAN ARROW MINES LIMITED		
CANADIAN BANC CORP.		
CANADIAN BARRANCA CORPORATION LTD.	ASC CTO	1a, 1b, 1c, 1e
CANADIAN COMMERCIAL BANK	ASC CTO	1a, 1b, 1c, 1e
CANADIAN CONVERTIBLES PLUS FUND		
CANADIAN CREDIT CARD TRUST II		

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Name	Cease Traded	Nature of Default
CANADIAN CRUDE OIL INDEX ETF		
CANADIAN DATA LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
CANADIAN DATA PRESERVE INC.		
CANADIAN DESTINATION PROPERTIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CANADIAN DIVIDEND CLASS (LAKETON)		
CANADIAN DOLLAR CASH MANAGEMENT FUND		
CANADIAN EAGLE EXPLORATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
CANADIAN ENERGY SERVICES & TECHNOLOGY CORP.		
CANADIAN EQUITY ALPHA CORPORATE CLASS		
CANADIAN EQUITY CLASS		
CANADIAN EQUITY CLASS (LAKETON)		
CANADIAN EQUITY FUND		
CANADIAN EQUITY FUND (LAKETON)		
CANADIAN EQUITY GROWTH CORPORATE CLASS		
CANADIAN EQUITY GROWTH POOL		
CANADIAN EQUITY SMALL CAP CORPORATE CLASS		
CANADIAN EQUITY SMALL CAP POOL		
CANADIAN EQUITY VALUE CORPORATE CLASS		
CANADIAN EQUITY VALUE POOL		
CANADIAN FIBER TECHNOLOGIES FUND	ASC CTO	1a, 1b, 1c
CANADIAN FIBER TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
CANADIAN FIXED INCOME CORPORATE CLASS		
CANADIAN FIXED INCOME FUND		
CANADIAN FIXED INCOME POOL		
CANADIAN GENERAL INVESTMENTS, LIMITED		
CANADIAN GRIZZLY BEAR MINES INC.	ASC CTO	1a, 1b, 1c, 1e
CANADIAN GROWTH CLASS (GWLIM)		
CANADIAN GROWTH FUND (GWLIM)		
CANADIAN HIGH INCOME EQUITY FUND		
CANADIAN IMPERIAL BANK OF COMMERCE		
CANADIAN IMPERIAL VENTURE CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
CANADIAN INTERCONTINENTAL EQUITIES LIMITED	ASC CTO	1a, 1b, 1c, 1e
CANADIAN INTERNATIONAL CENTRE COM 1986	ASC CTO	1a, 1b, 1c, 1e
CANADIAN INTERNATIONAL MINERALS INC.		
CANADIAN INTERNATIONAL PHARMA CORP.		
CANADIAN KINETICS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CANADIAN LIFE COMPANIES SPLIT CORP.		
CANADIAN LOW VOLATILITY CLASS (LONDON CAPITAL)		
CANADIAN MANOIR INDUSTRIES LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1k

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CANADIAN METALS INC.		
CANADIAN NATIONAL RAILWAY COMPANY		
CANADIAN NATURAL GAS INDEX ETF		
CANADIAN NATURAL RESOURCES LIMITED		
CANADIAN NEWNORTH RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e
CANADIAN OIL RECOVERY & REMEDIATION ENTERPRISES LTD.		
CANADIAN OREBODIES INC.		
CANADIAN OVERSEAS PETROLEUM LIMITED		
CANADIAN PACIFIC RAILWAY COMPANY		
CANADIAN PACIFIC RAILWAY LIMITED		
CANADIAN PLATINUM CORP.		
CANADIAN PLATINUM REFINERIES INC.	ASC CTO	1a, 1b, 1c, 1e
CANADIAN PONDERAY ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e
CANADIAN PREFERRED SHARE TRUST		
CANADIAN PROFESSIONAL MUNITIONS LTD.	ASC CTO	1a, 1b, 1c, 1e
CANADIAN QUANTUM ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
CANADIAN REAL ESTATE INVESTMENT TRUST		
CANADIAN RESOURCES INCOME TRUST		
CANADIAN SCHOLARSHIP TRUST FAMILY SAVINGS PLAN		
CANADIAN SCHOLARSHIP TRUST FOUNDERS' PLAN		
CANADIAN SCHOLARSHIP TRUST GROUP SAVINGS PLAN		
CANADIAN SCHOLARSHIP TRUST GROUP SAVINGS PLAN 2001		
CANADIAN SCHOLARSHIP TRUST INDIVIDUAL SAVINGS PLAN		
CANADIAN SILVER HUNTER INC.		
CANADIAN SMALL COMPANY EQUITY FUND		
CANADIAN SPIRIT RESOURCES INC.		
CANADIAN SPOONER INDUSTRIES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CANADIAN SPOONER RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
CANADIAN STEVIA CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CANADIAN TIRE CORPORATION, LIMITED		
CANADIAN UTILITIES & TELECOM INCOME FUND		
CANADIAN UTILITIES LIMITED		
CANADIAN VALUE CLASS (FGP)		
CANADIAN WESTERN BANK		
CANADIAN WORLD FUND LIMITED		
CANADIAN ZEOLITE CORP.		
CANADIAN ZINC CORPORATION		
CANADIANA GENETICS INC.	ASC CTO	1a, 1b, 1c, 1e
CANADIAN-STAR INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e

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CANADREAM CORPORATION		
CANAF GROUP INC.		
CANALANDS RESOURCES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CANALASKA URANIUM LTD.		
CANAM COAL CORP.	ASC CTO	1a, 1b, 1c, 1e
CANAM GROUP INC.		
CAN-AMERI AGRI CO. INC		
CANAMERICA PRECIOUS METALS INC.	ASC CTO	1a, 1b, 1c, 1e
CANAMEX RESOURCES CORP.		
CANARC RESOURCE CORP.		
CANARD RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
CANASIA FINANCIAL INC.	ASC CTO	1a, 1b, 1c, 1e
CANASIL RESOURCES INC.		
CANCANA RESOURCES CORP.		
CAN-CHIN ENTERTAINMENT GROUP CO. LTD.	ASC CTO	1a, 1b, 1c, 1e
CANCOM LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
CANCORP TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
CANCRETE ENVIRONMENTAL SOLUTIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CANDAO ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e
CANDELARIA MINING CORP.		
CANDENTE COPPER CORP.		
CANDENTE GOLD CORP.		
CANDOL DEVELOPMENTS LTD.	ASC CTO	1a, 1b, 1c, 1e
CANEX ENERGY CORP.		
CANEXUS CORPORATION		
CANFOR CORPORATION		
CANFOR PULP PRODUCTS INC.		
CANICKEL MINING LIMITED		
CANLAN ICE SPORTS CORP.		
CANMARK INTERNATIONAL RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
CAN-MED TECHNOLOGY INC.	ASC CTO	1a, 1b, 1c, 1e
CANMINE RESOURCES CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CANNABIX TECHNOLOGIES INC.		
CANOE 2015 FLOW-THROUGH LP - CDE UNITS		
CANOE 2015 FLOW-THROUGH LP - CEE UNITS		
CANOE 2016 FLOW-THROUGH LP - CDE UNITS		
CANOE 2016 FLOW-THROUGH LP - CEE UNITS		
CANOE BOND ADVANTAGE CLASS		
CANOE BOND ADVANTAGE FUND		

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CANOE CANADIAN ASSET ALLOCATION CLASS		
CANOE CANADIAN BALANCED INCOME FUND		
CANOE CANADIAN CORPORATE BOND FUND		
CANOE CANADIAN DIVERSIFIED INCOME FUND		
CANOE CANADIAN DIVIDEND FUND		
CANOE CANADIAN HIGH INCOME FUND		
CANOE CANADIAN MONTHLY INCOME CLASS		
CANOE CONSERVATIVE INCOME FUND		
CANOE EIT INCOME FUND		
CANOE EMERGING MARKETS INCOME FUND		
CANOE ENERGY CLASS		
CANOE ENERGY INCOME CLASS		
CANOE ENHANCED INCOME CLASS		
CANOE ENHANCED INCOME FUND		
CANOE EQUITY CLASS		
CANOE EQUITY INCOME CLASS		
CANOE FLOATING RATE INCOME FUND		
CANOE GLOBAL BALANCED FUND		
CANOE GLOBAL BOND YIELD ADVANTAGED FUND		
CANOE GLOBAL BOND YIELD FUND		
CANOE GLOBAL DIVIDEND FUND		
CANOE GLOBAL EQUITY INCOME CLASS		
CANOE GLOBAL GROWTH & INCOME FUND		
CANOE GLOBAL INCOME CLASS		
CANOE GLOBAL INCOME FUND		
CANOE GLOBAL OPPORTUNITIES CLASS		
CANOE MINING VENTURES CORP.		
CANOE NORTH AMERICAN MONTHLY INCOME CLASS		
CANOE STRATEGIC HIGH YIELD CLASS		
CANOE STRATEGIC HIGH YIELD FUND		
CANOE TACTICAL INCOME FUND		
CANOE U.S. EQUITY INCOME CLASS		
CANOE U.S. STRATEGIC YIELD ADVANTAGED FUND		
CANOE U.S. STRATEGIC YIELD FUND		
CANOPY GROWTH CORPORATION		
CANORO RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k, 3
CANPAC INVESTMENTS CORP.		
CANPAC NATIONAL PACKAGING LTD.	ASC CTO	1a, 1b, 1c, 1e
CANQUEST RESOURCE CORPORATION	ASC CTO	1a, 1b, 1c, 1e

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CANSHORE EXPLORATION LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
CANSIB ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CANSO CREDIT INCOME FUND		
CANSO SELECT OPPORTUNITIES FUND		
CANSTAR RESOURCES INC.		
CANSTOCK FUND	ASC CTO	1a, 1b, 1c
CANTERRA MINERALS CORPORATION		
CANTEX MINE DEVELOPMENT CORP.		
CANUC RESOURCES CORPORATION		
CANWEL BUILDING MATERIALS GROUP LTD.		
CANYON COPPER CORP		
CANYON CREEK FOOD COMPANY LTD.		
CANYON GOLD CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e
CANYON SERVICES GROUP INC.		
CAPA SOFTWARE PUBLISHING CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CAPBERTA ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e
CAPCO RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
CAPEQ CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CAP-EX IRON ORE LTD.		
CAPGAIN PROPERTIES INC.	ASC CTO	1a, 1b, 1c, 1e
CAPITAL DESJARDINS INC.		
CAPITAL DGMC INC.		
CAPITAL DYNAMICS LIMITED	ASC CTO	1a, 1b, 1c, 1e
CAPITAL GROUP CANADIAN CORE PLUS FIXED INCOME FUND (CANADA)		
CAPITAL GROUP CANADIAN FOCUSED EQUITY FUND (CANADA)		
CAPITAL GROUP EMERGING MARKETS TOTAL OPPORTUNITIES FUND (CANADA)		
CAPITAL GROUP GLOBAL BALANCED FUND (CANADA)		
CAPITAL GROUP GLOBAL EQUITY FUND (CANADA)		
CAPITAL GROUP INTERNATIONAL EQUITY FUND (CANADA)		
CAPITAL GROUP U.S. EQUITY FUND (CANADA)		
CAPITAL POWER CORPORATION		
CAPITAL PRO-EGAUX INC.		
CAPITAL SLM 300 INC.	ASC CTO	1a, 1b, 1c, 1e
CAPRATE COMMERCIAL PROPERTIES INC.	ASC CTO	1a, 1b, 1c, 1e
CAPRICORN BUSINESS ACQUISITIONS INC.		
CAPSTONE INFRASTRUCTURE CORPORATION		
CAPSTONE MINING CORP.		
CAPSTREAM VENTURES INC.		

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CAPSULE TECHNOLOGY GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
CAPTECH MULTICOM INC.	ASC CTO	1a, 1b, 1c, 1e
CAPTIVA VERDE INDUSTRIES LTD.		
CARA OPERATIONS LIMITED		
CARACARA SILVER INC.		3
CARAVAN TRAILER LODGES OF ALBERTA LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
CARBITE GOLF INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CARBIZ INC.	ASC CTO	1a, 1b, 1c, 1e
CARBONONE TECHNOLOGIES INC.		
CARDERO RESOURCE CORP.		
CARDIFF CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CARDIFF ENERGY CORP.		
CARDINAL CAPITAL PARTNERS INC.		
CARDINAL ENERGY LTD.		
CARDINAL FACTOR CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
CARDIOCOMM SOLUTIONS, INC.		
CARDIOME PHARMA CORP.		
CARDS II TRUST		
CARGOJET INC.		
CARIBBEAN DIVERSIFIED INVESTMENTS, INC.	ASC CTO	1a, 1b, 1c, 1e
CARIBBEAN RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e
CARIBBEAN UTILITIES COMPANY, LTD.		
CARIBOO GOLD FIELDS LTD.	ASC CTO	1a, 1b, 1c, 1e
CARIBOO ROSE RESOURCES LTD.		
CARL DATA SOLUTIONS INC.		
CARLAW CAPITAL V CORP.		
CARLETON RESOURCES CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
CARLIN GOLD CORPORATION		
CARLYLE ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e
CARLYLE ENTERTAINMENT LTD.		
CARMANAH RESOURCES LTD	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CARMANAH TECHNOLOGIES CORPORATION		
CARMAX MINING CORP.		
CARNIVAL RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
CAROLINA CAPITAL CORP.		
CARPATHIAN GOLD INC.		
CARPINCHO CAPITAL CORP.		
CARPITA CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CARRIAGE LANE ESTATES	ASC CTO	1a, 1b, 1c, 1e

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CARRIE ARRAN RESOURCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
CARRINGTON ACQUISITION CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
CARRUS CAPITAL CORPORATION		
CARTAWAY RESOURCES CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
CARTHEW BAY TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
CARTIER IRON CORPORATION		
CARTIER RESOURCES INC.		
CARUBE COPPER CORP.		
CASAMIRO RESOURCE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CASCADE ENERGY, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CASCADE RESOURCES LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
CASCADERO COPPER CORPORATION		
CASCADES INC.		
CASCADIA CONSUMER ELECTRONICS CORP.		
CASCADIAN THERAPEUTICS, INC.		
CASH CANADA GROUP LTD.	ASC CTO	1a, 1b, 1c, 1e
CASH MANAGEMENT CLASS		
CASH MANAGEMENT POOL		
CASPIAN ENERGY INC.		
CASSIDY GOLD CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
CASSIUS VENTURES LTD.		
CASTLE PEAK MINING LTD.		
CASTLE RESOURCES INC.		
CASWAN ENVIRONMENTAL SERVICES INC.	ASC CTO	1a, 1b, 1c, 1e
CATALYST PAPER CORPORATION		
CATCHMARK TIMBER TRUST, INC.		1g
CATEAR RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
CATERPILLAR FINANCIAL SERVICES LIMITED		
CATHAY ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CATHAY FOREST PRODUCTS CORP.	ASC CTO	1a, 1b, 1c, 1e
CATHAY OVERSEAS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CATHEDRAL ENERGY SERVICES LTD.		
CAVA RESOURCES INC.		1k
CAVALIER ENERGY LIMITED	ASC CTO	1a, 1b, 1c, 1e
CAVAN VENTURES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
CAYENNE GOLD MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
CAZA GOLD CORP.		
CB GOLD INC.		
CBD MED RESEARCH CORP.		

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CBL ACQUISITION CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CBM ASIA DEVELOPMENT CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
CC&L BALANCED GROWTH PORTFOLIO		
CC&L CORE INCOME AND GROWTH FUND		
CC&L DIVERSIFIED INCOME PORTFOLIO		
CC&L EQUITY INCOME AND GROWTH FUND		
CC&L GLOBAL ALPHA FUND		
CC&L HIGH YIELD BOND FUND		
CCL INDUSTRIES INC.		
C-COM SATELLITE SYSTEMS INC.		
CDA INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
CDC CORPORATION	ASC CTO	1g
CDN JADE MINE RESOURCES INC.		1a, 1c, 1e
CDN MSOLAR CORP.		
CDNET CANADA INC.	ASC CTO	1a, 1b, 1c, 1e
CEAPRO INC.		
CEDAR CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CEDARMINE RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
CED-OR CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CEIBA ENERGY SERVICES INC.		
CELESTE MINING CORP.	ASC CTO	1a, 1b, 1c, 1e
CELESTICA INC.		
CELLBROADCAST GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
CELLSTOP SYSTEMS INC.		
CELTIC MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1e
CELTIC TIGER MINERALS EXPLORATION INC.	ASC CTO	1a, 1b, 1c, 1e
CEMATRIX CORPORATION		
CENCOTECH INC.		
CENOSIS INC.	ASC CTO	1a, 1b, 1c, 1e
CENOVUS ENERGY INC.		
CENPRO TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CENTAMIN PLC		
CENTENERA MINING CORPORATION		
CENTERRA GOLD INC.		
CENTOR ENERGY, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CENTRAL 1 CREDIT UNION		
CENTRAL ASIA GOLDFIELDS CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
CENTRAL FUND OF CANADA LIMITED		
CENTRAL GUARANTY CANADIAN MONEY MARKET FUND	ASC CTO	1a, 1b, 1c

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Name	Cease Traded	Nature of Default
CENTRAL GUARANTY TRUST COMPANY	ASC CTO	1a, 1b, 1c, 1e
CENTRAL GUARANTY TRUSTCO LIMITED	ASC CTO	1a, 1b, 1c, 1e
CENTRAL INDUSTRIES CORPORATION INC.	ASC CTO	1a, 1b, 1c, 1e
CENTRAL IRON ORE LIMITED		3
CENTREPOINT TOWERS EAST	ASC CTO	1a, 1b, 1c, 1e
CENTRIC HEALTH CORPORATION		
CENTURION MINERALS LTD.		
CENTURY ENERGY LTD.		
CENTURY GLOBAL COMMODITIES CORPORATION		
CEPEDA MINERALS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CEPHALON RESOURCE CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
CEQUENCE ENERGY LTD.		
CERCAL MINERALS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CERES GLOBAL AG CORP.		
CERF INCORPORATED		
CERRO GRANDE MINING CORPORATION		
CERRO MINING CORP.		
CERTIVE SOLUTIONS INC.		
CERUS ENERGY GROUP LTD.		
CERVANTES CAPITAL CORP.		
CERVUS EQUIPMENT CORPORATION		
CEVAXS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CGI GROUP INC.		
CGI MUTUALIZATION CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CGSG INVESTMENT INC.		
CGX ENERGY INC.		
CHAI CHA NA MINING INC.	ASC CTO	1a, 1b, 1c, 1e
CHAMPAGNE CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
CHAMPION BEAR RESOURCES LTD.		3
CHAMPION IRON LIMITED		
CHANGFENG ENERGY INC.		
CHANGYU MEDTECH LTD.	ASC CTO	1a, 1b, 1c, 1e
CHANNEL RIDGE ESTATE HOLDINGS INC.	ASC CTO	1a, 1b, 1c, 1e
CHANTRELL VENTURES CORP.		
CHAR TECHNOLOGIES LTD.		
CHARAN INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
CHARLESTON RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
CHARLOTTE RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
CHARTWELL MEWS	ASC CTO	1a, 1b, 1c, 1e

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Name	Cease Traded	Nature of Default
CHARTWELL RETIREMENT RESIDENCES		
CHASE RESOURCE CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CHATEAU JANEVILLE APARTMENT PROJECT		
CHAUVCO RESOURCES INTERNATIONAL LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CHC STUDENT HOUSING CORP.		
CHELSEA MERCANTILE BANCORP LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
CHELSEA OIL AND GAS LTD.		
CHEMOKINE THERAPEUTICS CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e
CHEMTRADE LOGISTICS INCOME FUND		
CHESAPEAKE GOLD CORP.		
CHESSWOOD GROUP LIMITED		
CHESSWOOD HOLDINGS LTD.	ASC CTO	1d
CHEYENNE ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
CHIBOUG COPPER CORPORATION LIMITED	ASC CTO	1a, 1b, 1c, 1e
CHIBOUGAMAU INDEPENDENT MINES INC.		
CHICHI FINANCIAL INC.		
CHIEFTAIN METALS CORP.		
CHILEAN METALS INC.		
CHIMATA GOLD CORP.		
CHINA COAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
CHINA DIAMOND CORP.	ASC CTO	1a, 1b, 1c, 1e
CHINA EDUCATION RESOURCES INC.		
CHINA GOLD INTERNATIONAL RESOURCES CORP. LTD.		
CHINA GOLDCORP LTD.		
CHINA KELI ELECTRIC COMPANY LTD.		
CHINA MINERALS MINING CORPORATION		
CHINA WIND POWER INTERNATIONAL CORP.	ASC CTO	1a, 1b, 1c, 1e
CHINAPINTZA MINING CORP.		
CHINOOK ENERGY INC.		
CHINOOK TYEE INDUSTRY LIMITED		
CHIP MORTGAGE TRUST		
CHITRCHATR COMMUNICATIONS INC.		
CHOICE PROPERTIES REAL ESTATE INVESTMENT TRUST		
CHORUS AVIATION INC.		
CHORUS II BALANCED GROWTH PORTFOLIO		
CHORUS II BALANCED INCOME PORTFOLIO		
CHORUS II CONSERVATIVE PORTFOLIO		
CHORUS II CORPORATE CLASS BALANCED GROWTH PORTFOLIO		
CHORUS II CORPORATE CLASS BALANCED INCOME PORTFOLIO		

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CHORUS II CORPORATE CLASS CONSERVATIVE PORTFOLIO		
CHORUS II CORPORATE CLASS GROWTH PORTFOLIO		
CHORUS II CORPORATE CLASS HIGH GROWTH PORTFOLIO		
CHORUS II CORPORATE CLASS MAXIMUM GROWTH PORTFOLIO		
CHORUS II GROWTH PORTFOLIO		
CHORUS II HIGH GROWTH PORTFOLIO		
CHORUS II MAXIMUM GROWTH PORTFOLIO		
CHOU ASIA FUND		
CHOU ASSOCIATES FUND		
CHOU BOND FUND		
CHOU EUROPE FUND		
CHOU RRSP FUND		
CHROMEDX CORP.		
CHS RESOURCES INC.		
CHURCHILL 10 DEBENTURE CORP.		
CHURCHILL 10 REAL ESTATE LIMITED PARTNERSHIP		
CHURCHILL 11 DEBENTURE CORP.		
CHURCHILL 11 REAL ESTATE LIMITED PARTNERSHIP		
CHURCHILL IV DEBENTURE CORP.		
CHURCHILL IV REAL ESTATE LIMITED PARTNERSHIP		
CHURCHILL V DEBENTURE CORP.		
CHURCHILL V REAL ESTATE LIMITED PARTNERSHIP		
CI AMERICAN MANAGERS CORPORATE CLASS		
CI AMERICAN SMALL COMPANIES CORPORATE CLASS		
CI AMERICAN SMALL COMPANIES FUND		
CI AMERICAN VALUE CORPORATE CLASS		
CI AMERICAN VALUE FUND		
CI CANADIAN DIVIDEND FUND		
CI CANADIAN INVESTMENT CORPORATE CLASS		
CI CANADIAN INVESTMENT FUND		
CI CANADIAN SMALL/MID CAP FUND		
CI CAN-AM SMALL CAP CORPORATE CLASS		
CI FINANCIAL CORP.		
CI G5 20 2038 Q3 FUND		
CI G5 20 2038 Q4 FUND		
CI G5 20 2039 Q1 FUND		
CI G5 20 2039 Q2 FUND		
CI G5 20 2039 Q3 FUND		
CI G5 20 2039 Q4 FUND		

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Name	Cease Traded	Nature of Default
CI G5 20 2040 Q1 FUND		
CI G5 20 2040 Q2 FUND		
CI G5 20 2040 Q3 FUND		
CI G5 20 2040 Q4 FUND		
CI G5 20 2041 Q1 FUND		
CI G5 20 2041 Q2 FUND		
CI G5 20i 2034 Q2 FUND		
CI G5 20i 2034 Q3 FUND		
CI G5 20i 2034 Q4 FUND		
CI G5 20i 2035 Q1 FUND		
CI G5 20i 2035 Q2 FUND		
CI G5 20i 2035 Q3 FUND		
CI G5 20i 2035 Q4 FUND		
CI G5 20i 2036 Q1 FUND		
CI G5 20i 2036 Q2 FUND		
CI GLOBAL CORPORATE CLASS		
CI GLOBAL FUND		
CI GLOBAL HEALTH SCIENCES CORPORATE CLASS		
CI GLOBAL HIGH DIVIDEND ADVANTAGE CORPORATE CLASS		
CI GLOBAL HIGH DIVIDEND ADVANTAGE FUND		
CI GLOBAL MANAGERS CORPORATE CLASS		
CI GLOBAL SMALL COMPANIES CORPORATE CLASS		
CI GLOBAL SMALL COMPANIES FUND		
CI GLOBAL VALUE CORPORATE CLASS		
CI GLOBAL VALUE FUND		
CI INCOME FUND		
CI INTERNATIONAL VALUE CORPORATE CLASS		
CI INTERNATIONAL VALUE FUND		
CI INVESTMENT GRADE BOND FUND		
CI MONEY MARKET FUND		
CI PACIFIC CORPORATE CLASS		
CI PACIFIC FUND		
CI SHORT-TERM ADVANTAGE CORPORATE CLASS		
CI SHORT-TERM CORPORATE CLASS		
CI SHORT-TERM US\$ CORPORATE CLASS		
CI U.S. EQUITY PLUS FUND		
CI U.S. INCOME US\$ POOL		
CI US MONEY MARKET FUND		
CIBC ASIA PACIFIC FUND		

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CIBC ASIA PACIFIC INDEX FUND		
CIBC BALANCED FUND		
CIBC BALANCED INDEX FUND		
CIBC CANADIAN BOND FUND		
CIBC CANADIAN BOND INDEX FUND		
CIBC CANADIAN EQUITY FUND		
CIBC CANADIAN EQUITY VALUE FUND		
CIBC CANADIAN INDEX FUND		
CIBC CANADIAN REAL ESTATE FUND		
CIBC CANADIAN RESOURCES FUND		
CIBC CANADIAN SHORT-TERM BOND INDEX FUND		
CIBC CANADIAN SMALL-CAP FUND		
CIBC CANADIAN T-BILL FUND		
CIBC CAPITAL TRUST		
CIBC DIVIDEND GROWTH FUND		
CIBC DIVIDEND INCOME FUND		
CIBC EMERGING MARKETS FUND		
CIBC EMERGING MARKETS INDEX FUND		
CIBC ENERGY FUND		
CIBC EUROPEAN EQUITY FUND		
CIBC EUROPEAN INDEX FUND		
CIBC FINANCIAL COMPANIES FUND		
CIBC GLOBAL BOND FUND		
CIBC GLOBAL BOND INDEX FUND		
CIBC GLOBAL EQUITY FUND		
CIBC GLOBAL MONTHLY INCOME FUND		
CIBC GLOBAL TECHNOLOGY FUND		
CIBC INTERNATIONAL EQUITY FUND		
CIBC INTERNATIONAL INDEX FUND		
CIBC INTERNATIONAL SMALL COMPANIES FUND		
CIBC LATIN AMERICAN FUND		
CIBC MANAGED AGGRESSIVE GROWTH PORTFOLIO		
CIBC MANAGED BALANCED GROWTH PORTFOLIO		
CIBC MANAGED BALANCED PORTFOLIO		
CIBC MANAGED GROWTH PORTFOLIO		
CIBC MANAGED INCOME PLUS PORTFOLIO		
CIBC MANAGED INCOME PORTFOLIO		
CIBC MANAGED MONTHLY INCOME BALANCED PORTFOLIO		
CIBC MONEY MARKET FUND		

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Name	Cease Traded	Nature of Default
CIBC MONTHLY INCOME FUND		
CIBC NASDAQ INDEX FUND		
CIBC PRECIOUS METALS FUND		
CIBC SHORT-TERM INCOME FUND		
CIBC U.S. BROAD MARKET INDEX FUND		
CIBC U.S. DOLLAR MANAGED BALANCED PORTFOLIO		
CIBC U.S. DOLLAR MANAGED GROWTH PORTFOLIO		
CIBC U.S. DOLLAR MANAGED INCOME PORTFOLIO		
CIBC U.S. DOLLAR MONEY MARKET FUND		
CIBC U.S. EQUITY FUND		
CIBC U.S. INDEX FUND		
CIBC U.S. SMALL COMPANIES FUND		
CIBT EDUCATION GROUP INC.		
CIC MINING RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
CICADA VENTURES LTD.		
CIELO WASTE SOLUTIONS CORP.		
CIE-ENERGY PLY-FOIL CANADA INC.	ASC CTO	1a, 1b, 1c, 1e
CIM INTERNATIONAL GROUP INC.		
CIMATEC ENVIRONMENTAL ENGINEERING INC.	ASC CTO	1a, 1b, 1c, 1e
CINCINNATI ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CINEPLEX INC.		
CINRAM INTERNATIONAL LIMITED PARTNERSHIP	ASC CTO	1a, 1e, 1g, 3
CINTECH SOLUTIONS, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CIPHER PHARMACEUTICALS INC.		
CIRCA ENTERPRISES INC.		
CIS COMMAX HEDGE FUND	ASC CTO	1a, 1b, 1c
CIS GLOBAL TELECOMMUNICATIONS FUND	ASC CTO	1a, 1b, 1c
CITADEL INCOME FUND		
CITIGROUP FINANCE CANADA INC.		
CITRINE HOLDINGS LIMITED	ASC CTO	1a, 1b, 1c, 1e
CJL CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
CKR CARBON CORPORATION		
CLAIM POST RESOURCES INC.		
CLAREGOLD TRUST		
CLAREPINE DEVELOPMENT LTD.	ASC CTO	1a, 1b, 1c, 1e
CLAREPINE INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
CLAREVIEW GARDENS APARTMENT PROJECT	ASC CTO	1a, 1b, 1c, 1e
CLARION RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
CLARKE INC.		

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CLARUS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CLASS OF 1984	ASC CTO	1a, 1b, 1c, 1e
CLASSIC EDUCATION SAVINGS PLAN		
CLASSIC GOLD RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
CLAUDIO'S RESTAURANT GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CLAY MILL TECHNICAL SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
CLEAN HARBORS, INC.		
CLEAN POWER TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
CLEAN SEED CAPITAL GROUP LTD.		
CLEANFIELD ALTERNATIVE ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e
CLEAR GOLD RESOURCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
CLEAR MOUNTAIN RESOURCES CORP.		
CLEARFORD WATER SYSTEMS INC.		
CLEARPOINT GLOBAL DIVIDEND FUND		
CLEARVIEW RESOURCES LTD.		
CLEARWATER SEAFOODS INCORPORATED		
CLEARWATER TECHNOLOGIES, INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CLEGHORN MINERALS LTD.		
CLEMENT SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
CLEMEX TECHNOLOGIES INC.		
CLIFFMONT RESOURCES LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
CLIFFSIDE CAPITAL LTD.		
CLIFTON MINING COMPANY	ASC CTO	1a, 1b, 1c, 1e, 1g
CLIO TOOLS.COM LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
CLIPPER APARTMENT PROJECT		
CLN VENTURES INC	ASC CTO	1a, 1b, 1c, 1e, 1g
CLOUD NINE EDUCATION GROUP LTD.		
CLUNY CAPITAL CORP.		
CLYDESDALE RESOURCES INC.		
CMC METALS LTD.		
CMP 2014 RESOURCE LIMITED PARTNERSHIP		
CMP 2015 RESOURCE LIMITED PARTNERSHIP		
CMP 2016 RESOURCE LIMITED PARTNERSHIP		
CMX GOLD & SILVER CORP.		
CNH CAPITAL CANADA RECEIVABLES TRUST		
CNH CAPITAL CANADA WHOLESALE TRUST		
CNOOC LIMITED		
CNRP MINING INC.		
CO2 SOLUTIONS INC.		

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COACH HILL MANOR	ASC CTO	1a, 1b, 1c, 1e
COACHMAN HOUSE LIMITED PARTNERSHIP	ASC CTO	
COASTAL PACIFIC MINING CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
COASTAL PLAIN RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
COBALT COAL LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
COBRA VENTURE CORPORATION		
COBRE MINING COMPANY INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
COCKFIELD BROWN INC.	ASC CTO	1a, 1b, 1c, 1e
COEUR MINING, INC.		
COGECO COMMUNICATIONS INC.		
COGECO INC		
COHBAR, INC.		1g
COLABOR GROUP INC.		
COLBA.NET TELECOM INC.		
COLD CREEK CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
COLEVILLE RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
COLIBRI RESOURCE CORPORATION		
COLLIERS INTERNATIONAL GROUP INC.		
COLOMBIA CREST GOLD CORP.		
COLOMBIAN MINES CORPORATION		
COLONIAL COAL INTERNATIONAL CORP.		
COLORADO RESOURCES LTD.		3
COLOSSUS MINERALS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
COLT RESOURCES INC.		
COLUMBUS ENERGY LIMITED		
COLUMBUS FUNDING CORPORATION	ASC CTO	1a, 1b, 1c, 1e
COLUMBUS GOLD CORP.		
COLUMN CANADA ISSUER CORPORATION		
COMET INDUSTRIES LTD.		
COMINAR REAL ESTATE INVESTMENT TRUST		
COMMAND PERFORMANCE NETWORK LTD.	ASC CTO	1a, 1b, 1c, 1e
COMMANDER RESOURCES LTD.		
COMMERCE RESOURCES CORP.		
COMMERCIAL CONSOLIDATORS CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
COMMERCIAL OIL AND GAS LTD.	ASC CTO	1a, 1b, 1c, 1e
COMMON WEALTH CAPITAL LIMITED		
COMMUNICATION INTELLIGENCE CORPORATION		
COMMUNICORP CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
COMPASS BALANCED GROWTH PORTFOLIO		

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COMPASS BALANCED PORTFOLIO		
COMPASS BIOTECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
COMPASS CONSERVATIVE BALANCED PORTFOLIO		
COMPASS CONSERVATIVE PORTFOLIO		
COMPASS GOLD CORPORATION		
COMPASS GROWTH PORTFOLIO		
COMPASS INCOME FUND		
COMPASS MAXIMUM GROWTH PORTFOLIO		
COMPLIANCE ENERGY CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e
COMPRESSARIO CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
COMPRESSION & ENCRYPTION TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
COMPTON PETROLEUM FINANCE CORPORATION		
COMPUTER MODELLING GROUP LTD.		
COMSTOCK METALS LTD.		
COMTERM INC.	ASC CTO	1a, 1b, 1c, 1e
CONCERT INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
CONCORDIA HEALTHCARE CORP.		
CONDOR PETROLEUM INC.		
CONDOR RESOURCES INC.		
CONFEDERATION LIFE INSURANCE COMPANY	ASC CTO	1a, 1b, 1c, 1e
CONFEDERATION MINERALS LTD.		
CONFIDATA RESOURCE CORP.	ASC CTO	1a, 1b, 1c, 1e
CONIFEX TIMBER INC.		
CONJUCHEM BIOTECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
CONNACHER OIL AND GAS LIMITED		
CONNECTED WEALTH CORE INCOME CLASS		
CONNECTED WEALTH TACTICAL CLASS		
CONQUEST RESOURCES LIMITED		
CONSERVATIVE FOLIO FUND		
CONSERVATIVE FUND		
CONSERVATIVE INCOME PORTFOLIO		
CONSERVATIVE MONTHLY INCOME FUND		
CONSOLIDATED AGARWAL RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
CONSOLIDATED ALCOR RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
CONSOLIDATED ASTON RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
CONSOLIDATED BAHN FOODS INC.	ASC CTO	1a, 1b, 1c, 1e
CONSOLIDATED C B A CORPORATION LIMITED	ASC CTO	1a, 1b, 1c, 1e
CONSOLIDATED CARE POINT MEDICAL CENTRES LTD.	ASC CTO	1a, 1b, 1c, 1e
CONSOLIDATED ECOPROGRESS TECHNOLOGY INC.	ASC CTO	1a, 1b, 1c, 1e

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CONSOLIDATED FIRSTFUND CAPITAL CORP.		
CONSOLIDATED HCI HOLDINGS CORPORATION		
CONSOLIDATED IMPERIAL RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
CONSOLIDATED NICHE PERIPHERALS INC.	ASC CTO	1a, 1b, 1c, 1e
CONSOLIDATED PLENTECH ELECTRONICS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
CONSOLIDATED POWER BATTERY CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CONSOLIDATED RAPID RIVER RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
CONSOLIDATED RICH CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
CONSOLIDATED SASHA TECHNOLOGY LTD.	ASC CTO	1a, 1b, 1c, 1e
CONSOLIDATED SILVER TUSK MINES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
CONSOLIDATED STONE INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
CONSOLIDATED TANAGER LIMITED		
CONSOLIDATED VAN ANDA GOLD LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CONSOLIDATED VAN-CITY MARBLE LTD.	ASC CTO	1a, 1b, 1c, 1e
CONSOLIDATED WESTVIEW RESOURCE CORP.		
CONSOLIDATED WOODJAM COPPER CORP.		
CONSOLIDATED YUKON MINERALS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CONSTANTINE METAL RESOURCES LTD.		
CONSTELLATION COPPER CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
CONSTELLATION SOFTWARE INC.		
CONTACT IMAGE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CONTAGIOUS GAMING INC.		
CONTEC INNOVATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
CONTINENTAL ENERGY CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e, 1k
CONTINENTAL GOLD INC.		
CONTINENTAL HOME HEALTHCARE LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
CONTINENTAL PRECIOUS MINERALS INC.		
CONTINENTAL RESEARCH EXPLORATION & DEVELOPMENT LTD.	ASC CTO	1a, 1b, 1c, 1e
CONVALO HEALTH INTERNATIONAL, CORP.		
CONVERDE ENERGY USA INC.	ASC CTO	1a, 1b, 1c, 1e
CONVERTIBLE DEBENTURES INCOME FUND		
CONWAY RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
CO-OPERATORS GENERAL INSURANCE COMPANY		
COPACABANA CAPITAL LIMITED	ASC CTO	1a, 1b, 1c, 1e
COPLEX RESOURCES N.L.	ASC CTO	1a, 1b, 1c, 1e
COPPER CREEK GOLD CORP.		
COPPER FOX METALS INC.		
COPPER LAKE RESOURCES LTD.		
COPPER MESA MINING CORPORATION	ASC CTO	1a, 1b, 1c, 1e

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COPPER MOUNTAIN MINING CORPORATION		
COPPER NORTH MINING CORP.		
COPPER ONE INC.		
COPPER PASS MINES LTD.	ASC CTO	
COPPER POINT MINING CORP.	ASC CTO	1a, 1b, 1c, 1e
COPPER REEF MINING CORPORATION		
COPPERBANK RESOURCES CORP.		
CORAL GOLD RESOURCES LTD.		
CORAZON GOLD CORP.		
CORBY SPIRIT AND WINE LIMITED		
CORDEX PETROLEUMS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CORDOBA MINERALS CORP.		
CORDY OILFIELD SERVICES INC.		
CORE BOND FUND (PORTICO)		
CORE CANADIAN DIVIDEND TRUST		
CORE PLUS BOND FUND (PORTICO)		
COREX GOLD CORPORATION		
CORNERSTONE CAPITAL RESOURCES INC.		
CORNERSTONE INDUSTRIES INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
CORNERSTONE METALS INC.		
CORNICHE INDUSTRIES CORP.	ASC CTO	1a, 1b, 1c, 1e
CORO MINING CORP.		
CORONADO RESOURCES LTD.		
CORONATION RESOURCES CANADA INC.	ASC CTO	1a, 1b, 1c, 1e
CORONET METALS INC.		
CORPORATE BOND FUND (PORTICO)		
CORPORATE CATALYST ACQUISITION INC.		
CORPORATION NUVOLT INC.	Reciprocal CTO	1a, 1b, 1c, 1e
CORRIDOR RESOURCES INC.		
CORSA COAL CORP.		
CORTEX BUSINESS SOLUTIONS INC.		
CORTEZ INTERNATIONAL LTD.	ASC CTO	1a, 1b, 1c, 1e
CORTINA CAPITAL CORP.		
CORUS ENTERTAINMENT INC.		
CORVEC RESEARCH & DVLP LTD PART	ASC CTO	1a, 1b, 1c, 1e
CORVUS GOLD INC.		
COSBOO INTERNATIONAL INC.		
COSIGO RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
COSTAS INC.	ASC CTO	1g

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COTT CORPORATION		
COUGAR OIL AND GAS CANADA INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k, 4
COUNSEL ALL EQUITY PORTFOLIO		
COUNSEL ALL EQUITY PORTFOLIO CLASS		
COUNSEL BALANCED PORTFOLIO		
COUNSEL BALANCED PORTFOLIO CLASS		
COUNSEL CANADIAN CORE FIXED INCOME		
COUNSEL CANADIAN DIVIDEND		
COUNSEL CANADIAN DIVIDEND CLASS		
COUNSEL CANADIAN GROWTH		
COUNSEL CANADIAN GROWTH CLASS		
COUNSEL CANADIAN VALUE		
COUNSEL CANADIAN VALUE CLASS		
COUNSEL CONSERVATIVE PORTFOLIO		
COUNSEL CONSERVATIVE PORTFOLIO CLASS		
COUNSEL DEFENSIVE GLOBAL EQUITY		
COUNSEL FIXED INCOME		
COUNSEL GLOBAL DIVIDEND		
COUNSEL GLOBAL FIXED INCOME		
COUNSEL GLOBAL LOW VOLATILITY EQUITY		
COUNSEL GLOBAL REAL ESTATE		
COUNSEL GLOBAL SMALL CAP		
COUNSEL GLOBAL SMALL CAP CLASS		
COUNSEL GLOBAL TREND STRATEGY		
COUNSEL GROWTH PORTFOLIO		
COUNSEL GROWTH PORTFOLIO CLASS		
COUNSEL HIGH YIELD FIXED INCOME		
COUNSEL INCOME MANAGED PORTFOLIO		
COUNSEL INTERNATIONAL GROWTH		
COUNSEL INTERNATIONAL GROWTH CLASS		
COUNSEL INTERNATIONAL VALUE		
COUNSEL INTERNATIONAL VALUE CLASS		
COUNSEL MANAGED HIGH YIELD PORTFOLIO		
COUNSEL MANAGED PORTFOLIO		
COUNSEL MANAGED YIELD PORTFOLIO		
COUNSEL MONEY MARKET		
COUNSEL NORTH AMERICAN HIGH YIELD BOND		
COUNSEL REGULAR PAY PORTFOLIO		
COUNSEL RETIREMENT ACCUMULATION PORTFOLIO		

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COUNSEL RETIREMENT FOUNDATION PORTFOLIO		
COUNSEL RETIREMENT INCOME PORTFOLIO		
COUNSEL RETIREMENT PRESERVATION PORTFOLIO		
COUNSEL SHORT TERM BOND		
COUNSEL SHORT TERM FIXED INCOME CLASS		
COUNSEL U.S. GROWTH		
COUNSEL U.S. GROWTH CLASS		
COUNSEL U.S. VALUE		
COUNSEL U.S. VALUE CLASS		
COUNSEL WORLD MANAGED PORTFOLIO		
COUNTERPATH CORPORATION		
COURTLAND CAPITAL INC.		
COVALON TECHNOLOGIES LTD.		
COVENTRY RESOURCES INC.		
COVINGTON VENTURE FUND INC.		
COXE COMMODITY STRATEGY FUND		
COXE GLOBAL AGRIBUSINESS INCOME FUND		
COXHEATH GOLD HOLDINGS LIMITED	ASC CTO	1a, 1b, 1c, 1e
COYNEX DEVELOPMENT LIMITED	ASC CTO	1a, 1b, 1c, 1e
CPI CARD GROUP INC.		
CPI CROWN PROPERTIES INTERNATIONAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CPI PLASTICS GROUP LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e
CR CAPITAL CORP.		
CRA PHASE II LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
CRAIG WIRELESS SYSTEMS LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
CRAILAR TECHNOLOGIES INC.		1a, 1b, 1c, 1e
CRAZY HORSE RESOURCES INC.		
CRC ROYALTY CORPORATION		
CREATIVE ENTERTAINMENT TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CREDENT CAPITAL CORP.		
CREDIT SUISSE AG		
CRESCENT POINT ENERGY CORP.		
CRESCITA THERAPEUTICS INC.		
CREST PETROLEUM CORP.		
CRESTWELL RESOURCES INC.		
CRESVAL CAPITAL CORP.		
CREW ENERGY INC.		
CRH MEDICAL CORPORATION		
CRICKET RESOURCES INC.		

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CRIMSON BIOENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e
CRITICAL CONTROL ENERGY SERVICES CORP.		
CRITICAL ELEMENTS CORPORATION		
CRITICAL OUTCOME TECHNOLOGIES INC.		
CRIUS ENERGY TRUST		
CROMBIE REAL ESTATE INVESTMENT TRUST		
CROMWELL RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1k
CROSSFIRE ENERGY SERVICES INC.	ASC CTO	1a, 1b, 1c, 1e
CROSSOVER	ASC CTO	1a, 1b, 1c, 1e
CROSSROAD VENTURES INC.		
CROSSWINDS HOLDINGS INC.		
CROWN CAPITAL PARTNERS INC.		
CROWN CITY PICTURES, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
CROWN MINING CORP.		
CROWN POINT ENERGY INC.		
CROWNBRIDGE INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
CROWNIA HOLDINGS LTD.		
CRS ELECTRONICS INC.	Reciprocal CTO	1a, 1b, 1c, 1e
CRS PREFERRED NT LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CRUZ CAPITAL CORP.		
CRYOPAK INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
CRYSTAL EXPLORATION INC.		
CRYSTAL GRAPHITE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
CRYSTAL PEAK MINERALS INC.		
CRYSTALLEX INTERNATIONAL CORPORATION		1a, 1b, 1c, 1e, 3
CT DEVELOPERS LTD.		
CT REAL ESTATE INVESTMENT TRUST		
CTB INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
CTC CROWN TECHNOLOGIES CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
CU INC.		
CUB ENERGY INC.		
CUBA CONSOLIDATED INC.	ASC CTO	1a, 1b, 1c, 1e
CUBA VENTURES CORP.		
CUBACAN EXPLORATION INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
CUERVO RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
CUP CAPITAL CORP.		
CUP RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
CURLEW LAKE RESOURCES INC.		3
CURRAGH INC.	ASC CTO	1a, 1b, 1c, 1e

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Name	Cease Traded	Nature of Default
CURRENCY EXCHANGE INTERNATIONAL, CORP.		
CURRIE ROSE RESOURCES INC.		
CURTAINS	ASC CTO	1a, 1b, 1c, 1e
CUSTOM CRYOGENIC GRINDING CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
CVF TECHNOLOGIES CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
CWB CORE EQUITY FUND		
CWB CORE FIXED INCOME FUND		
CWB ONYX BALANCED SOLUTION		
CWB ONYX CANADIAN EQUITY FUND		
CWB ONYX CONSERVATIVE SOLUTION		
CWB ONYX DIVERSIFIED INCOME FUND		
CWB ONYX GLOBAL EQUITY FUND		
CWB ONYX GROWTH SOLUTION		
CWC ENERGY SERVICES CORP.		
CWN MINING ACQUISITION CORPORATION		
CY ORIENTAL HOLDINGS LTD.	ASC CTO	1a, 1b, 1c, 1e
CYBERCOM SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
CYBERLINKTECHNOLOGIES, INC.	ASC CTO	1a, 1b, 1c, 1e
CYBERSURF CORP.	ASC CTO	1a, 1b, 1c, 1e
CYCOMM INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
CYGAM ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
CYMAT TECHNOLOGIES LTD.		
CYMBRIA CORPORATION		
CYNAPSUS THERAPEUTICS INC.		
CYPRESS DEVELOPMENT CORP.		
CYPRESS HILLS RESOURCE CORP.		
CYPRIMUM MINING CORPORATION		
CYTRIGEN INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
DAHAVA RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
DAIRY DELITE LTD.	ASC CTO	1a, 1b, 1c, 1e
DAJIN RESOURCES CORP.		
DALECO RESOURCES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
DALMAC ENERGY INC.		
DALRADIAN RESOURCES INC.		
DAMARA GOLD CORP.		
DAMON CAPITAL CORP.		
DANBEL VENTURES INC.		
DANCO INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
DANIER LEATHER INC.	Reciprocal CTO	

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Name	Cease Traded	Nature of Default
DARELLE ONLINE SOLUTIONS INC.		3
DAREN INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
DARFORD INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
DARNLEY BAY RESOURCES LIMITED		
DARWIN COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
DATA COMMUNICATIONS MANAGEMENT CORP.		
DATA DEPOSIT BOX INC.		
DATA FORTRESS SYSTEMS GROUP LTD.	ASC CTO	1a, 1b, 1c, 1e
DATA GROUP INVESTMENTS LTD.	ASC CTO	1a, 1b, 1c, 1e
DATA TRAX SYSTEMS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
DATAMINERS CAPITAL CORP.		
DATASPAN TECHNOLOGY INC.	ASC CTO	1a, 1b, 1c, 1e
DATAWIND INC.		1a, 1c, 1d, 1e
DATEC GROUP LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
DATINVEST INTERNATIONAL LTD.		
DATUM VENTURES INC.		
DAVID S. REID LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
DAVIE YARDS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
DAVIS DISTRIBUTING LIMITED	ASC CTO	1a, 1b, 1c, 1e
DAVNOR WATER TREATMENT TECHNOLOGIES LTD.	ASC CTO	1a, 1b, 1c, 1e
DAWSON GOLD CORP.		
D-BOX TECHNOLOGIES INC.		
DDJ HIGH YIELD FUND		
DEALNET CAPITAL CORP.		
DEANS KNIGHT INCOME CORPORATION		
DEATH BITE	ASC CTO	1a, 1b, 1c, 1e
DECADE RESOURCES LTD.		
DECISIVE DIVIDEND CORPORATION		
DECLAN RESOURCES INC.		
DEENA ENERGY INC	ASC CTO	1a, 1b, 1c, 1e, 1g
DEEP WELL OIL & GAS, INC.	ASC CTO	1a, 1c, 1d, 1e, 1k
DEEPMARKIT CORP.		
DEER HORN CAPITAL INC.		
DEER RIDGE VILLAGE LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
DEER VALLEY SHOPPING CENTRE LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e, 1g
DEFIANCE SILVER CORP.		
DEL RIO INTERNATIONAL A RESOURCE AND TECHNOLOGY CORPORATION	ASC CTO	
DELAVACO RESIDENTIAL PROPERTIES CORP.		
DELICIOUS ALTERNATIVE DESSERTS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g

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DELIVRA CORP.		
DELMAY ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e
DELOORO RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
DELPHI ENERGY CORP.		
DELRAND RESOURCES LIMITED		
DELTA NORTH TRANSPORTATION LTD.	ASC CTO	1a, 1b, 1c, 1e
DELTA PETROLEUM CORPORATION LTD.	ASC CTO	1a, 1b, 1c, 1e
DELTA TM MONTREAL HOTEL AND COMPANY, LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
DELTA URANIUM INC.	ASC CTO	1a, 1b, 1c, 1e, 3
DENBURY RESOURCES INC.		
DENISON MINES CORP.		
DENNINGHOUSE INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
DENROY MANUFACTURING CORPORATION	ASC CTO	1a, 1b, 1c, 1e
DENTONIA RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
DEQ SYSTEMS CORP.		
DESERT EAGLE RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
DESERT GOLD VENTURES INC.		1c
DESERT STAR RESOURCES LTD.		
DESERVING HEALTH INTERNATIONAL CORP.		1g
DESJARDINS AMERICAN EQUITY GROWTH CORPORATE CLASS		
DESJARDINS AMERICAN EQUITY GROWTH CURRENCY NEUTRAL CORPORATE CLASS		
DESJARDINS AMERICAN EQUITY GROWTH CURRENCY NEUTRAL FUND		
DESJARDINS AMERICAN EQUITY GROWTH FUND		
DESJARDINS AMERICAN EQUITY VALUE FUND		
DESJARDINS CANADIAN BOND FUND		
DESJARDINS CANADIAN EQUITY GROWTH CORPORATE CLASS		
DESJARDINS CANADIAN EQUITY GROWTH FUND		
DESJARDINS CANADIAN EQUITY INCOME CORPORATE CLASS		
DESJARDINS CANADIAN EQUITY INCOME FUND		
DESJARDINS CANADIAN EQUITY VALUE CORPORATE CLASS		
DESJARDINS CANADIAN EQUITY VALUE FUND		
DESJARDINS CANADIAN PREFERRED SHARE CORPORATE CLASS		
DESJARDINS CANADIAN PREFERRED SHARE FUND		
DESJARDINS CANADIAN SMALL CAP EQUITY FUND		
DESJARDINS DIVIDEND GROWTH CORPORATE CLASS		
DESJARDINS DIVIDEND GROWTH FUND		
DESJARDINS DIVIDEND INCOME FUND		
DESJARDINS EMERGING MARKETS BOND FUND		
DESJARDINS EMERGING MARKETS FUND		

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DESJARDINS EMERGING MARKETS OPPORTUNITIES CORPORATE CLASS		
DESJARDINS EMERGING MARKETS OPPORTUNITIES FUND		
DESJARDINS ENHANCED BOND FUND		
DESJARDINS FLOATING RATE INCOME FUND		
DESJARDINS GLOBAL BALANCED STRATEGIC INCOME FUND		
DESJARDINS GLOBAL CORPORATE BOND FUND		
DESJARDINS GLOBAL DIVIDEND FUND		
DESJARDINS GLOBAL EQUITY GROWTH CORPORATE CLASS		
DESJARDINS GLOBAL EQUITY GROWTH FUND		
DESJARDINS GLOBAL EQUITY VALUE FUND		
DESJARDINS GLOBAL INFLATION LINKED BOND FUND		
DESJARDINS GLOBAL INFRASTRUCTURE FUND		
DESJARDINS GLOBAL SMALL CAP EQUITY CORPORATE CLASS		
DESJARDINS GLOBAL SMALL CAP EQUITY FUND		
DESJARDINS GLOBAL TACTICAL BOND FUND		
DESJARDINS IBRIX CANADIAN EQUITY FOCUS CORPORATE CLASS		
DESJARDINS IBRIX CANADIAN EQUITY FOCUS FUND		
DESJARDINS IBRIX CANADIAN HIGH DIVIDEND EQUITY CORPORATE CLASS		
DESJARDINS IBRIX CANADIAN HIGH DIVIDEND EQUITY FUND		
DESJARDINS IBRIX GLOBAL BOND FUND		
DESJARDINS IBRIX GLOBAL EQUITY FOCUS FUND		
DESJARDINS IBRIX LOW VOLATILITY EMERGING MARKETS FUND		
DESJARDINS IBRIX LOW VOLATILITY GLOBAL EQUITY FUND		
DESJARDINS MONEY MARKET CORPORATE CLASS		
DESJARDINS MONEY MARKET FUND		
DESJARDINS OVERSEAS EQUITY GROWTH CORPORATE CLASS		
DESJARDINS OVERSEAS EQUITY GROWTH FUND		
DESJARDINS OVERSEAS EQUITY VALUE FUND		
DESJARDINS QUÉBEC BALANCED FUND		
DESJARDINS SHORT-TERM INCOME FUND		
DESJARDINS SOCIETERRA AMERICAN EQUITY FUND		
DESJARDINS SOCIETERRA CANADIAN BOND FUND		
DESJARDINS SOCIETERRA CLEANTECH FUND		
DESJARDINS SOCIETERRA ENVIRONMENT FUND		
DESJARDINS SOCIETERRA ENVIRONMENTAL BOND FUND		
DESJARDINS TACTICAL BALANCED FUND		
DESMARAI ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
DESMOND INVESTMENTS LTD.		

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DESOURCE MINING CORP.		
DESTINY MEDIA TECHNOLOGIES INC.		
DETECH CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
DETECTOR EXPLORATION LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
DETOUR GOLD CORPORATION		
DEUTSCHE BANK AKTIENGESELLSCHAFT		1g
DEVERON RESOURCES LTD.		
DEVIN ENERGY CORPORATION		
DEVINE ENTERTAINMENT CORPORATION	ASC CTO	1a, 1b, 1c, 1e
DEVSTUDIOS INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
DEXX CORPORATION	ASC CTO	1a, 1b, 1c, 1e
DEXX ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e
DFA CANADIAN CORE EQUITY FUND		
DFA CANADIAN VECTOR EQUITY FUND		
DFA FIVE-YEAR GLOBAL FIXED INCOME FUND		
DFA GLOBAL 40EQ-60FI PORTFOLIO		
DFA GLOBAL 50EQ-50FI PORTFOLIO		
DFA GLOBAL 60EQ-40FI PORTFOLIO		
DFA GLOBAL 70EQ-30FI PORTFOLIO		
DFA GLOBAL 80EQ-20FI PORTFOLIO		
DFA GLOBAL EQUITY PORTFOLIO		
DFA GLOBAL INVESTMENT GRADE FIXED INCOME FUND		
DFA GLOBAL REAL ESTATE SECURITIES FUND		
DFA GLOBAL TARGETED CREDIT FUND		
DFA INTERNATIONAL CORE EQUITY FUND		
DFA INTERNATIONAL VECTOR EQUITY FUND		
DFA U.S. CORE EQUITY FUND		
DFA U.S. VECTOR EQUITY FUND		
DGS MINERALS INC.		
DH CORPORATION		
DHX MEDIA LTD.		
DIADEM RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
DIAGEM INC.	ASC CTO	1a, 1b, 1c, 1e
DIAGNOS INC.		
DIAMCOR MINING INC.		
DIAMEDICA INC.		
DIAMOND ESTATES WINES & SPIRITS INC.		
DIAMOND FIELDS INTERNATIONAL LTD.		
DIAMOND HAWK MINING CORP.	ASC CTO	1a, 1b, 1c, 1e

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Name	Cease Traded	Nature of Default
DIAMOND RIDGE	ASC CTO	1a, 1b, 1c, 1e
DIANE MINES LIMITED	ASC CTO	1a, 1b, 1c, 1e
DIANOR RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
DIA TEC RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
DIFFERENCE CAPITAL FINANCIAL INC.		
DIGGER RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
DIGITAL ARTISANS GUILD INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
DIGITAL COURIER INTERNATIONAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
DIGITAL DUPLICATION INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
DIGITAL YOUTH NETWORK CORP.	ASC CTO	1a, 1b, 1c, 1e
DIMENSIONS WEST ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e
DINGMAN INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
DINNEREX LIMITED PARTNERSHIP VIII	ASC CTO	1a, 1b, 1c, 1e
DINNEREX NATIONAL III LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
DINNEREX NATIONAL IV LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
DINO ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
DION ENTERTAINMENT CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
DIOS EXPLORATION INC.		
DIR INDUSTRIAL PROPERTIES INC.		
DIRECTCASH PAYMENTS INC.		
DIRTT ENVIRONMENTAL SOLUTIONS LTD.		
DISCOVERWARE INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
DISCOVERY 2014 FLOW-THROUGH LIMITED PARTNERSHIP		
DISCOVERY AIR INC.		
DISCOVERY HARBOUR RESOURCES CORP.		
DISCOVERY QUAY BUILDING I LIM PART	ASC CTO	1a, 1b, 1c, 1e
DISCOVERY-CORP ENTERPRISES INC.		
DISENCO ENERGY PLC	ASC CTO	1a, 1b, 1c, 1e
DISPLAY DYNAMICS LTD.	ASC CTO	1a, 1b, 1c, 1e
DISTINCT INFRASTRUCTURE GROUP INC.		
DISTINCTION BALANCED CLASS		
DISTINCTION BOLD CLASS		
DISTINCTION CONSERVATIVE CLASS		
DISTINCTION GROWTH CLASS		
DISTINCTION PRUDENT CLASS		
DITEM EXPLORATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
DIVCOM LIGHTING INC.	ASC CTO	1a, 1b, 1c, 1e
DIVERGENT ENERGY SERVICES CORP.		
DIVERSIFIED COSMETICS INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g

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DIVERSIFIED FIXED INCOME FOLIO FUND		
DIVERSIFIED INVESTMENT STRATEGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
DIVERSIFIED ROYALTY CORP.		
DIVESTCO INC.		
DIVIDEND 15 SPLIT CORP.		
DIVIDEND 15 SPLIT CORP. II		
DIVIDEND CLASS (GWLIM)		
DIVIDEND FUND (GWLIM)		
DIVIDEND GROWTH SPLIT CORP.		
DIVIDEND SELECT 15 CORP.		
DIVOT GOLF CORPORATION	ASC CTO	1a, 1b, 1c, 1e
DIXIE ROAD PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
DIZUN INTERNATIONAL ENTERPRISES INC.		
DMD DIGITAL HEALTH CONNECTIONS GROUP INC.		
DMI TECHNOLOGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
DMP POWER GLOBAL GROWTH CLASS		
DMP RESOURCE CLASS		
DMP VALUE BALANCED CLASS		
DMR RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
DNI METALS INC.		
DOCU-FAX INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
DOLLARAMA INC.		
DOLLY VARDEN SILVER CORPORATION		
DOLOS CAPITAL CORP.		
DOMAN INDUSTRIES LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e
DOMINI SPORTSWEAR LTD.	ASC CTO	1a, 1b, 1c, 1e
DOMINION DIAMOND CORPORATION		
DOMINION GENERAL INVESTMENT CORPORATION		
DOMINION INTERNATIONAL INVESTMENTS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
DOMINION OIL INVESTMENTS LTD.	ASC CTO	1a, 1b, 1c, 1e
DOMTAR CORPORATION		
DOOMSDAY E-LIQUID INC.		
DORAL MINERAL INDUSTRIES LIMITED	ASC CTO	1a, 1b, 1c, 1e
DOREAL ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
DOREL INDUSTRIES INC.		
DOREX MINERALS INC.		
DORSET SQUARE THE	ASC CTO	1a, 1b, 1c, 1e
DOT RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
DOTODO URBAN LOGISTICS, INC.		

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DOUBLELINE INCOME SOLUTIONS TRUST		
DOUBLEVIEW CAPITAL CORP.		
DOVECORP ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e
DOVER ENTERPRISES LTD.	ASC CTO	1a, 1b, 1c, 1e
DOXA ENERGY LTD.		3
DRAGONFLY CAPITAL CORP.		
DRAGONWAVE INC.		
DRAKE ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
DREAM GLOBAL REAL ESTATE INVESTMENT TRUST		
DREAM HARD ASSET ALTERNATIVES TRUST		
DREAM INDUSTRIAL LP		1g
DREAM INDUSTRIAL REAL ESTATE INVESTMENT TRUST		
DREAM OFFICE REAL ESTATE INVESTMENT TRUST		
DREAM UNLIMITED CORP.		
DREAMTEC INC.	ASC CTO	1a, 1b, 1c, 1e
DREYFUS FUND INCORPORATED, THE		1a, 1b
DRONE DELIVERY CANADA CORP.		
DRW ENVIRONMENTAL TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
DSI DATOTECH SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
DUALEX ENERGY INTERNATIONAL INC.		
DUBENSKI GOLD MINES LIMITED	ASC CTO	1a, 1b, 1c, 1e
DUNCAN PARK HOLDINGS CORPORATION		
DUNDEE ACQUISITION LTD.		
DUNDEE CORPORATION		
DUNDEE ENERGY LIMITED		
DUNDEE GLOBAL RESOURCE CLASS		
DUNDEE PRECIOUS METALS INC.		
DUNDEE SUSTAINABLE TECHNOLOGIES INC.		
DUNES EXPLORATION LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
DUNLOP INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
DUNNEDIN VENTURES INC.		
DUNSMUIR RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
DUPORT CAPITAL LTD.		
DURAN VENTURES INC.		
DURANGO RESOURCES INC.		
DUSOLO FERTILIZERS INC.		
DV RESOURCES LTD.		
DXI ENERGY INC.		
DXSTORM.COM INC.		3

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DYNA GOLD RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
DYNA HAUL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
DYNACERT INC.		
DYNACOR GOLD MINES INC.		
DYNAMAR ENERGY LIMITED	ASC CTO	1a, 1b, 1c, 1e
DYNAMIC ACTIVE CORE BOND PRIVATE POOL		
DYNAMIC ACTIVE CREDIT STRATEGIES PRIVATE POOL		
DYNAMIC ADVANTAGE BOND CLASS		
DYNAMIC ADVANTAGE BOND FUND		
DYNAMIC ALTERNATIVE INVESTMENTS PRIVATE POOL CLASS		
DYNAMIC ALTERNATIVE YIELD CLASS		
DYNAMIC ALTERNATIVE YIELD FUND		
DYNAMIC AMERICAN VALUE CLASS		
DYNAMIC AMERICAN VALUE FUND		
DYNAMIC ASSET ALLOCATION PRIVATE POOL		
DYNAMIC AURION TACTICAL BALANCED CLASS		
DYNAMIC AURION TOTAL RETURN BOND CLASS		
DYNAMIC AURION TOTAL RETURN BOND FUND		
DYNAMIC BLUE CHIP BALANCED FUND		
DYNAMIC BLUE CHIP EQUITY FUND		
DYNAMIC BLUE CHIP U.S. BALANCED CLASS		
DYNAMIC CANADIAN ASSET ALLOCATION CLASS		
DYNAMIC CANADIAN BOND FUND		
DYNAMIC CANADIAN DIVIDEND FUND		
DYNAMIC CANADIAN EQUITY PRIVATE POOL CLASS		
DYNAMIC CANADIAN VALUE CLASS		
DYNAMIC CONSERVATIVE YIELD PRIVATE POOL		
DYNAMIC CONSERVATIVE YIELD PRIVATE POOL CLASS		
DYNAMIC CONSOLIDATED RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
DYNAMIC CORPORATE BOND STRATEGIES CLASS		
DYNAMIC CORPORATE BOND STRATEGIES FUND		
DYNAMIC CREDIT SPECTRUM FUND		
DYNAMIC DIVERSIFIED REAL ASSET FUND		
DYNAMIC DIVIDEND ADVANTAGE CLASS		
DYNAMIC DIVIDEND ADVANTAGE FUND		
DYNAMIC DIVIDEND FUND		
DYNAMIC DIVIDEND INCOME CLASS		
DYNAMIC DIVIDEND INCOME FUND		
DYNAMIC DOLLAR-COST AVERAGING FUND		

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DYNAMIC EAFE VALUE CLASS		
DYNAMIC EMERGING MARKETS CLASS		
DYNAMIC EMERGING MARKETS FUND		
DYNAMIC ENERGY INCOME FUND		
DYNAMIC EQUITY INCOME FUND		
DYNAMIC EUROPEAN VALUE FUND		
DYNAMIC FAR EAST VALUE FUND		
DYNAMIC FINANCIAL SERVICES FUND		
DYNAMIC GLOBAL ALL-TERRAIN FUND		
DYNAMIC GLOBAL ASSET ALLOCATION CLASS		
DYNAMIC GLOBAL ASSET ALLOCATION FUND		
DYNAMIC GLOBAL BALANCED FUND		
DYNAMIC GLOBAL DISCOVERY CLASS		
DYNAMIC GLOBAL DISCOVERY FUND		
DYNAMIC GLOBAL DIVIDEND CLASS		
DYNAMIC GLOBAL DIVIDEND FUND		
DYNAMIC GLOBAL EQUITY FUND		
DYNAMIC GLOBAL EQUITY PRIVATE POOL CLASS		
DYNAMIC GLOBAL INFRASTRUCTURE CLASS		
DYNAMIC GLOBAL INFRASTRUCTURE FUND		
DYNAMIC GLOBAL REAL ESTATE FUND		
DYNAMIC GLOBAL VALUE CLASS		
DYNAMIC GLOBAL VALUE FUND		
DYNAMIC GLOBAL YIELD PRIVATE POOL		
DYNAMIC GLOBAL YIELD PRIVATE POOL CLASS		
DYNAMIC HIGH YIELD BOND FUND		
DYNAMIC INTERNATIONAL DIVIDEND PRIVATE POOL		
DYNAMIC INVESTMENT GRADE FLOATING RATE FUND		
DYNAMIC MONEY MARKET CLASS		
DYNAMIC MONEY MARKET FUND		
DYNAMIC NORTH AMERICAN DIVIDEND PRIVATE POOL		
DYNAMIC OIL & GAS EXPLORATION INC.		
DYNAMIC POWER AMERICAN CURRENCY NEUTRAL FUND		
DYNAMIC POWER AMERICAN GROWTH CLASS		
DYNAMIC POWER AMERICAN GROWTH FUND		
DYNAMIC POWER BALANCED CLASS		
DYNAMIC POWER BALANCED FUND		
DYNAMIC POWER CANADIAN GROWTH CLASS		
DYNAMIC POWER CANADIAN GROWTH FUND		

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DYNAMIC POWER DIVIDEND GROWTH CLASS		
DYNAMIC POWER GLOBAL BALANCED CLASS		
DYNAMIC POWER GLOBAL GROWTH CLASS		
DYNAMIC POWER GLOBAL GROWTH FUND		
DYNAMIC POWER GLOBAL NAVIGATOR CLASS		
DYNAMIC POWER SMALL CAP FUND		
DYNAMIC PRECIOUS METALS FUND		
DYNAMIC PREFERRED YIELD CLASS		
DYNAMIC PREMIUM BOND PRIVATE POOL		
DYNAMIC PREMIUM BOND PRIVATE POOL CLASS		
DYNAMIC PREMIUM YIELD CLASS		
DYNAMIC PREMIUM YIELD FUND		
DYNAMIC PROTECTED DIVIDEND VALUE FUND		
DYNAMIC RESOURCE FUND		
DYNAMIC SHORT TERM BOND FUND		
DYNAMIC SMALL BUSINESS FUND		
DYNAMIC STRATEGIC BOND FUND		
DYNAMIC STRATEGIC ENERGY CLASS		
DYNAMIC STRATEGIC GOLD CLASS		
DYNAMIC STRATEGIC GROWTH PORTFOLIO		
DYNAMIC STRATEGIC INCOME PORTFOLIO		
DYNAMIC STRATEGIC RESOURCE CLASS		
DYNAMIC STRATEGIC YIELD CLASS		
DYNAMIC STRATEGIC YIELD FUND		
DYNAMIC TACTICAL BOND PRIVATE POOL		
DYNAMIC U.S. DIVIDEND ADVANTAGE FUND		
DYNAMIC U.S. EQUITY PRIVATE POOL CLASS		
DYNAMIC U.S. MONTHLY INCOME FUND		
DYNAMIC U.S. SECTOR FOCUS CLASS		
DYNAMIC VALUE BALANCED CLASS		
DYNAMIC VALUE BALANCED FUND		
DYNAMIC VALUE FUND OF CANADA		
DYNAMICEDGE BALANCED CLASS PORTFOLIO		
DYNAMICEDGE BALANCED GROWTH CLASS PORTFOLIO		
DYNAMICEDGE BALANCED GROWTH PORTFOLIO		
DYNAMICEDGE BALANCED PORTFOLIO		
DYNAMICEDGE CONSERVATIVE CLASS PORTFOLIO		
DYNAMICEDGE DEFENSIVE PORTFOLIO		
DYNAMICEDGE EQUITY CLASS PORTFOLIO		

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DYNAMICEDGE EQUITY PORTFOLIO		
DYNAMICEDGE GROWTH CLASS PORTFOLIO		
DYNAMICEDGE GROWTH PORTFOLIO		
DYNAMIX CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
DYNASTAR INC.	ASC CTO	1a, 1b, 1c, 1e
DYNASTY GOLD CORP.		
DYNASTY METALS & MINING INC.		
DYNEX PETROLEUM LTD.	ASC CTO	1a, 1b, 1c, 1e
DYNEX POWER INC.		
E TRADE FINANCIAL CORPORATION		
E.S.I. ENVIRONMENTAL SENSORS INC.		
EA EDUCATION GROUP INC.		
EAFE EQUITY FUND		
EAGLE CREDIT CARD TRUST		
EAGLE ENERGY INC.		
EAGLE GRAPHITE INCORPORATED		
EAGLE I CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
EAGLE PLAINS RESOURCES LTD.		
EARL RESOURCES LIMITED		
EARLYRAIN INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
EARNY RESOURCES LTD.		
EARTHSTONE ENERGY, INC.		
EARTHWHILE DEVELOPMENTS INC.	ASC CTO	1a, 1b, 1c, 1e
EARTHWORKS INDUSTRIES INC.		
EAST AFRICA METALS INC.		
EAST ASIA MINERALS CORPORATION		
EAST COAST ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e
EAST COAST INVESTMENT GRADE INCOME FUND		
EAST WEST PETROLEUM CORP.		
EASTCOAL INC.		
EASTERN PLATINUM LIMITED		
EASTFIELD RESOURCES LTD.		
EASTON MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1e
EASTSIBERIAN PLC		
EASTWOOD BIO-MEDICAL CANADA INC.		
EASY STREET ADVENTURES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
EASY TECHNOLOGIES INC.		
ECHELON CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ECHELON FINANCIAL HOLDINGS INC.		

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ECHELON INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
ECHO ENERGY CANADA INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
ECL ENVIROCLEAN VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e
ECLIPSE RESIDENTIAL MORTGAGE INVESTMENT CORPORATION		
ECO (ATLANTIC) OIL & GAS LTD.		
ECO ORO MINERALS CORP.		
ECO TECHNOLOGIES INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
ECO-DYNAMICS INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
ECOMETALS LIMITED	ASC CTO	1a, 1b, 1c, 1e
ECOPETROL S.A.		
ECOSSE ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
ECOSYNTHETIX INC.		
ECUADOR GOLD AND COPPER CORP.		
EDCI HOLDINGS, INC.	ASC CTO	1a, 1b, 1c, 1e
E-DEBIT GLOBAL CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
EDEN ROC MINERAL CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
EDGE RESOURCES INC.		4
EDGEFRONT REAL ESTATE INVESTMENT TRUST		
EDGEPOINT CANADIAN GROWTH & INCOME PORTFOLIO		
EDGEPOINT CANADIAN PORTFOLIO		
EDGEPOINT GLOBAL GROWTH & INCOME PORTFOLIO		
EDGEPOINT GLOBAL PORTFOLIO		
EDGEWATER EXPLORATION LTD.		
EDGEWATER WIRELESS SYSTEMS INC.		
EDINBURGH HOUSE	ASC CTO	1a, 1b, 1c, 1e
EDMONTON INTERNATIONAL INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
EDUCATORS INVESTMENT CORPORATION	ASC CTO	1a, 1b, 1c, 1e
E-ENERGY VENTURES INC.		
EESTOR CORPORATION		1c
EFLO ENERGY, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
EGO RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e
EGUANA TECHNOLOGIES INC.		
EGX GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
EI ENVIRONMENTAL ENGINEERING CONCEPTS LTD.	ASC CTO	1a, 1b, 1c, 1e
EIGHT SOLUTIONS INC.		
EKATON INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
EL DORADO SYSTEMS CANADA INC.	ASC CTO	1a, 1b, 1c, 1e
E-L FINANCIAL CORPORATION LIMITED		
EL NINO VENTURES INC.		3

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ELCORA ADVANCED MATERIALS CORP.		
ELDORADO GOLD CORPORATION		
ELECTRA ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
ELECTRA STONE LTD.		
ELECTRIC-SPIN LTD.	ASC CTO	1a, 1b, 1c, 1e
ELECTRO KNIT FABRICS LTD.	ASC CTO	1a, 1b, 1c, 1e
ELECTRONIC CIGARETTES INTERNATIONAL GROUP, LTD.		
ELECTRONIC TRANSMISSION CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ELECTROVAYA INC.		
ELEMENT 79 CAPITAL INC.		
ELEMENT FINANCIAL CORPORATION		
ELEMENT LIFESTYLE RETIREMENT INC.		
ELETEL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ELITE REAL ESTATE CANADA INC.	ASC CTO	1a, 1b, 1c, 1e
ELITE TECHNICAL INC.	ASC CTO	1a, 1b, 1c, 1e
ELLIPSIZ COMMUNICATIONS LTD.		
ELORO RESOURCES LTD.		
ELY GOLD & MINERALS INC.		
ELYSEE DEVELOPMENT CORP.		
E-MANUFACTURING NETWORKS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
EMBERCLEAR CORP.	ASC CTO	1a, 1b, 1c, 1e
EMERA INCORPORATED		
EMERALD BAY ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
EMERALD DRAGON MINES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
EMERALD HEALTH THERAPEUTICS, INC.		
EMERALD ISLE RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
EMERALD PLACE I	ASC CTO	1a, 1b, 1c, 1e
EMERCOR BUILDING SYSTEMS LTD.	ASC CTO	1a, 1b, 1c, 1e
EMERGE SOLUTIONS WORLDWIDE INC.	ASC CTO	1a, 1b, 1c, 1e
EMERGING MARKETS EQUITY CORPORATE CLASS		1d
EMERGING MARKETS EQUITY FUND		
EMERGING MARKETS EQUITY POOL		
EMERITA RESOURCES CORP.		
EMGOLD MINING CORPORATION		
EMPEROR OIL LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
EMPIRE COMPANY LIMITED		
EMPIRE EXCHANGE CO LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
EMPIRE INDUSTRIES LTD.		
EMPIRE LIFE CANADIAN EQUITY MUTUAL FUND		

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Name	Cease Traded	Nature of Default
EMPIRE LIFE DIVIDEND GROWTH MUTUAL FUND		
EMPIRE LIFE EMBLEM AGGRESSIVE GROWTH PORTFOLIO		
EMPIRE LIFE EMBLEM BALANCED PORTFOLIO		
EMPIRE LIFE EMBLEM CONSERVATIVE PORTFOLIO		
EMPIRE LIFE EMBLEM DIVERSIFIED INCOME PORTFOLIO		
EMPIRE LIFE EMBLEM GROWTH PORTFOLIO		
EMPIRE LIFE EMBLEM MODERATE GROWTH PORTFOLIO		
EMPIRE LIFE MONEY MARKET MUTUAL FUND		
EMPIRE LIFE MONTHLY INCOME MUTUAL FUND		
EMPIRE LIFE SMALL CAP EQUITY MUTUAL FUND		
EMPIRE ROCK MINERALS INC.		
EMPIRICAL INC.	ASC CTO	1a, 1b, 1c, 1e
EMPOWER TECHNOLOGIES CORPORATION		1a, 1b, 1c, 1e
EMR MICROWAVE TECHNOLOGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
ENABLENCE TECHNOLOGIES INC.		
ENBLAST PRODUCTIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ENBRIDGE GAS DISTRIBUTION INC.		
ENBRIDGE INC.		
ENBRIDGE INCOME FUND		
ENBRIDGE INCOME FUND HOLDINGS INC.		
ENBRIDGE PIPELINES INC.		
ENCANA CORPORATION		
ENCANTO POTASH CORP.		
ENCORE ENERGY CORP.		
ENCORE TICKETS LIMITED	ASC CTO	1a, 1b, 1c, 1e
ENDEAVOUR MINING CORPORATION		
ENDEAVOUR SILVER CORP.		
ENDO INTERNATIONAL PLC		
ENDURANCE GOLD CORPORATION		
ENERCARE INC.		
ENERCARE SOLUTIONS INC.		
ENERDYNAMIC HYBRID TECHNOLOGIES CORP.		
ENERFLEX LTD.		
ENERGAS RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
ENERGIZER RESOURCES INC.		
ENERGOLD DRILLING CORP.		
ENERGULF RESOURCES INC.	Reciprocal CTO	1a, 1c, 1e, 1k
ENERGY CREDIT OPPORTUNITIES INCOME FUND		
ENERGY FUELS INC.		

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ENERGY INCOME FUND		
ENERGY INTERNATIONAL OVERSEAS CORP.	ASC CTO	1a, 1b, 1c, 1e
ENERGY LEADERS INCOME FUND		
ENERGY LEADERS PLUS INCOME FUND		
ENERGY TRANSFER PARTNERS, L.P.		1a, 1b, 1c, 1d, 1e
ENERNORTH INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
ENERPLUS CORPORATION		
ENERSHARE TECHNOLOGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ENERTEC CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ENERVEST NATURAL RESOURCE FUND LTD.		
ENFIELD EXPLORATION CORP.		
ENGAGEMENT LABS INC.		
ENGOLD MINES LTD.		
ENHANCED INCOME CORPORATE CLASS		
ENHANCED INCOME POOL		
ENHANCED INCOME PORTFOLIO		
ENHANCED OIL RESOURCES INC.		
ENPAR TECHNOLOGIES INC.		
ENQUEST ENERGY SERVICES CORP.	ASC CTO	1a, 1b, 1c, 1e
ENQUEST PLC		
ENRICH VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e
ENSECO ENERGY SERVICES CORP.	ASC CTO	1a, 1b, 1c, 1e
ENSIGN ENERGY SERVICES INC.		
ENSSOLUTIONS GROUP INC.	ASC CTO	
ENTEC SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
ENTERCOR RESOURCE CORP.	ASC CTO	1a, 1b, 1c, 1e
ENTERPRISE DEVELOPMENT CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
ENTERPRISE GROUP, INC.		
ENTERRA COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ENTERTAINMENT ROYALTIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ENTREC CORPORATION		
ENTREE GOLD INC.		
ENVIRO WASTE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ENVIRO WASTE LIMITED PART	ASC CTO	1a, 1b, 1c, 1e
ENVIRONMENTAL RECLAMATION INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
ENVIRONMENTAL TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ENVIRONMENTAL WASTE INTERNATIONAL INC.	Reciprocal CTO	
ENVIROPOWER INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ENVIROPRO INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e

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ENWAVE CORPORATION		
EOPTIMIZE ADVANCED SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
EP 2000 CONSERVATION INC.	ASC CTO	1a, 1b, 1c, 1e
EPCOR UTILITIES INC.		
EPHONE TELECOM, INC.	ASC CTO	1a, 1b, 1c, 1e
E-PHORIA ONLINE SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
EPIC BEVERAGES LTD.	ASC CTO	1a, 1b, 1c, 1e
EPIC ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
EPIC FUSION CORP.		
EPICORE BIONETWORKS INC.		
EPOCH EUROPEAN EQUITY FUND		
EPOCH GLOBAL EQUITY CLASS		
EPOCH GLOBAL EQUITY FUND		
EPOCH GLOBAL SHAREHOLDER YIELD FUND		
EPOCH INTERNATIONAL EQUITY FUND		
EPOCH U.S. BLUE CHIP EQUITY CURRENCY NEUTRAL FUND		
EPOCH U.S. BLUE CHIP EQUITY FUND		
EPOCH U.S. LARGE-CAP VALUE CLASS		
EPOCH U.S. LARGE-CAP VALUE FUND		
EPOCH U.S. SHAREHOLDER YIELD FUND		
EPSILON ENERGY LTD.		
EQ INC.		
EQUAL ENERGY LTD.		
EQUBE GAMING LIMITED		
EQUESS COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
EQUITABLE GROUP INC.		
EQUITAS RESOURCES CORP.		
EQUITEC PRODUCTS CORP.	ASC CTO	1a, 1b, 1c, 1e
EQUITECH CORPORATION	ASC CTO	1a, 1b, 1c, 1e
EQUITORIAL EXPLORATION CORP.		
EQUITY FINANCIAL HOLDINGS INC.		
ERA RESOURCES INC.		
ERDENE RESOURCE DEVELOPMENT CORPORATION		
ERGORESEARCH LTD.		
ERIN VENTURES INC.		
ERMINESKIN PLACE LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
EROS RESOURCES CORP.		
ERRA RESOURCES 1990 EXPLORATION AND DEVELOPMENT LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
ESHIPPERS MANAGEMENT LTD.		

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ESKAY MINING CORP.		
ESPIAL GROUP INC.		
ESREY ENERGY LTD.		
ESSA PHARMA INC.		
ESSENTIAL ENERGY SERVICES LTD.		
ESSEX ANGEL CAPITAL INC.		
ESSTRA INDUSTRIES INC.		
ESTATION NETWORK SERVICES, INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ESTEC SYSTEMS CORP.		
ESTRELLA INTERNATIONAL ENERGY SERVICES LTD.		3
ETAC SALES LTD.	ASC CTO	1a, 1b, 1c, 1e
ETHOS GOLD CORP.		
ETRION CORPORATION		
EURASIAN MINERALS INC.		
EUREKA RESOURCES, INC.		
EURO RESSOURCES S.A.		
EUROCONTROL TECHNICS GROUP INC.		
EUROGAS INTERNATIONAL INC.		
EUROMAX RESOURCES LTD.		
EURO-NET INVESTMENTS LTD.	ASC CTO	1a, 1b, 1c, 1e
EUROPE BLUE-CHIP DIVIDEND & GROWTH FUND		
EUROPEAN FERRO METALS LTD.		
EUROPEAN METALS CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
EUROPEAN STRATEGIC BALANCED FUND		
EUROTIN INC.		
EVEN TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
EVERBRIGHT CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
EVEREST VENTURES INC.		
EVERFRONT VENTURES CORP.		
EVERGREEN GAMING CORPORATION		
EVERGREEN INTERNATIONAL CORP.	ASC CTO	1a, 1b, 1c, 1e
EVERLAST FILTRATION SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
EVERMOUNT VENTURES INC.		
EVERTON RESOURCES INC.		
EVERTZ TECHNOLOGIES LIMITED		
EVOLVED DIGITAL SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
EVOLVING GOLD CORP.		
EVRIM RESOURCES CORP.		
EXACTEARTH LTD.		

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EXALL ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
EXCALIBUR RESOURCES LTD.		
EXCAM DEVELOPMENTS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
EXCEL BILLIONAIRE LEADERS FUND		
EXCEL BLUE CHIP BALANCED FUND		
EXCEL BLUE CHIP EQUITY FUND		
EXCEL CHINA FUND		
EXCEL CHINDIA FUND		
EXCEL EMERGING MARKETS FUND		
EXCEL GOLD MINING INC.	ASC CTO	1a, 1b, 1c, 1e
EXCEL HIGH INCOME FUND		
EXCEL INDIA BALANCED FUND		
EXCEL INDIA FUND		
EXCEL INDIA GROWTH & INCOME FUND		
EXCEL LATIN AMERICA FUND		
EXCEL MONEY MARKET FUND		
EXCEL NEW INDIA LEADERS FUND		
EXCEL TRANSCONTINENTAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
EXCELLON RESOURCES INC.		
EXCELSIOR MINING CORP.		
EXCHANGE INCOME CORPORATION		
EXCLAMATION INVESTMENTS CORPORATION		
EXCO ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e
EXCO TECHNOLOGIES LIMITED		
EXELTECH AEROSPACE INC.	ASC CTO	1a, 1b, 1c, 1e
EXEMPLAR CANADIAN FOCUS PORTFOLIO		
EXEMPLAR DIVERSIFIED PORTFOLIO		
EXEMPLAR GROWTH AND INCOME FUND		
EXEMPLAR INVESTMENT GRADE FUND		
EXEMPLAR LEADERS FUND		
EXEMPLAR PERFORMANCE FUND		
EXEMPLAR TACTICAL CORPORATE BOND FUND		
EXEMPLAR U.S. HIGH YIELD FUND		
EXETER RESOURCE CORPORATION		
EXFO INC.		
EXGEN RESOURCES INC.		
EXITO ENERGY II INC.		
EXO U INC.		
EXPLOR RESOURCES INC.		

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EXPLORATION AURIGINOR INC	ASC CTO	1a, 1b, 1c, 1e, 1g
EXPLORATION AURTOIS INC.	ASC CTO	1a, 1b, 1c, 1e
EXPLORERS ALLIANCE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
EXPLOREX RESOURCES INC.		
EXPO LIMITED	ASC CTO	1a, 1b, 1c, 1e
EXTEN INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
EXTENDICARE INC.		
EXTENWAY SOLUTIONS INC.	Reciprocal CTO	1b, 1c, 1e
EYECARROT INNOVATIONS CORP.		
FAB-FORM INDUSTRIES LTD.		
FACT CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
FAIR SKY RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
FAIRCOURT GOLD INCOME CORP.		
FAIRCOURT SPLIT TRUST		
FAIRFAX FINANCIAL HOLDINGS LIMITED		
FAIRFAX INDIA HOLDINGS CORPORATION		
FAIRMILE GOLDTECH INC.	ASC CTO	1a, 1b, 1c, 1e
FAIRMONT RESOURCES INC.		
FAIRWAY CAPITAL PARTNERS 1990 AND COMPANY, LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
FAIRWEST ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
FALCO RESOURCES LTD.		3
FALCON GOLD CORP.		
FALCON OIL & GAS LTD.		
FALCON WELL SERVICES LTD	ASC CTO	1a, 1b, 1c, 1e, 1g
FALCONRIDGE ESTATES LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
FALECK & MARGOLIES LTD.	ASC CTO	1a, 1b, 1c, 1e
FAMILY FILMS LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
FAMILY GROUP EDUCATION SAVINGS PLAN		
FAMILY MEMORIALS INC.		
FAMILY SINGLE STUDENT EDUCATION SAVINGS PLAN		
FANCAMP EXPLORATION LTD.		
FANTASTIC INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
FANTASY ACES DAILY FANTASY SPORTS CORP.		
FANTOM TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
FAR RESOURCES LTD.		
FAREPORT CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
FAS INTERNATIONAL LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
FAXMATE.COM INC.	ASC CTO	1a, 1b, 1c, 1e, 1g

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FBEC WORLDWIDE, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
FCF CAPITAL INC.		
FEC RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k, 4
FEDERATED STRATEGIC VALUE U.S. EQUITY DIVIDEND FUND		
FENNEC PHARMACEUTICALS INC.		
FERONIA INC.		
FIBRE-CROWN MANUFACTURING INC.		
FIBRESOURCES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
FIDELITY AMERICAN BALANCED CURRENCY NEUTRAL FUND		
FIDELITY AMERICAN BALANCED FUND		
FIDELITY AMERICAN DISCIPLINED EQUITY CLASS		
FIDELITY AMERICAN DISCIPLINED EQUITY CURRENCY NEUTRAL CLASS		
FIDELITY AMERICAN DISCIPLINED EQUITY CURRENCY NEUTRAL FUND		
FIDELITY AMERICAN DISCIPLINED EQUITY FUND		
FIDELITY AMERICAN EQUITY CLASS		
FIDELITY AMERICAN EQUITY CURRENCY NEUTRAL CLASS		
FIDELITY AMERICAN EQUITY FUND		
FIDELITY AMERICAN HIGH YIELD CURRENCY NEUTRAL FUND		
FIDELITY AMERICAN HIGH YIELD FUND		
FIDELITY ASIASTAR CLASS		
FIDELITY ASIASTAR FUND		
FIDELITY ASSET ALLOCATION CURRENCY NEUTRAL PRIVATE POOL		
FIDELITY ASSET ALLOCATION PRIVATE POOL		
FIDELITY BALANCED CLASS PORTFOLIO		
FIDELITY BALANCED CURRENCY NEUTRAL PRIVATE POOL		
FIDELITY BALANCED INCOME CURRENCY NEUTRAL PRIVATE POOL		
FIDELITY BALANCED INCOME PRIVATE POOL		
FIDELITY BALANCED PORTFOLIO		
FIDELITY BALANCED PRIVATE POOL		
FIDELITY CANADIAN ASSET ALLOCATION CLASS		
FIDELITY CANADIAN ASSET ALLOCATION FUND		
FIDELITY CANADIAN BALANCED CLASS		
FIDELITY CANADIAN BALANCED FUND		
FIDELITY CANADIAN BOND FUND		
FIDELITY CANADIAN DISCIPLINED EQUITY CLASS		
FIDELITY CANADIAN DISCIPLINED EQUITY FUND		
FIDELITY CANADIAN EQUITY INVESTMENT TRUST		
FIDELITY CANADIAN EQUITY PRIVATE POOL		
FIDELITY CANADIAN FOCUSED EQUITY INVESTMENT TRUST		

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FIDELITY CANADIAN GROWTH COMPANY CLASS		
FIDELITY CANADIAN GROWTH COMPANY FUND		
FIDELITY CANADIAN LARGE CAP CLASS		
FIDELITY CANADIAN LARGE CAP FUND		
FIDELITY CANADIAN MONEY MARKET FUND		
FIDELITY CANADIAN OPPORTUNITIES CLASS		
FIDELITY CANADIAN OPPORTUNITIES FUND		
FIDELITY CANADIAN SHORT TERM BOND FUND		
FIDELITY CANADIAN SHORT TERM INCOME CLASS		
FIDELITY CHINA CLASS		
FIDELITY CHINA FUND		
FIDELITY CLEARPATH 2005 PORTFOLIO		
FIDELITY CLEARPATH 2010 PORTFOLIO		
FIDELITY CLEARPATH 2015 PORTFOLIO		
FIDELITY CLEARPATH 2020 PORTFOLIO		
FIDELITY CLEARPATH 2025 PORTFOLIO		
FIDELITY CLEARPATH 2030 PORTFOLIO		
FIDELITY CLEARPATH 2035 PORTFOLIO		
FIDELITY CLEARPATH 2040 PORTFOLIO		
FIDELITY CLEARPATH 2045 PORTFOLIO		
FIDELITY CLEARPATH 2050 PORTFOLIO		
FIDELITY CLEARPATH 2055 PORTFOLIO		
FIDELITY CLEARPATH INCOME PORTFOLIO		
FIDELITY CONCENTRATED CANADIAN EQUITY INVESTMENT TRUST		
FIDELITY CONCENTRATED CANADIAN EQUITY PRIVATE POOL		
FIDELITY CONCENTRATED VALUE INVESTMENT TRUST		
FIDELITY CONCENTRATED VALUE PRIVATE POOL		
FIDELITY CONSERVATIVE INCOME FUND		
FIDELITY CONSERVATIVE INCOME PRIVATE POOL		
FIDELITY CONVERTIBLE SECURITIES INVESTMENT TRUST		
FIDELITY CORPORATE BOND CLASS		
FIDELITY CORPORATE BOND FUND		
FIDELITY DIVIDEND CLASS		
FIDELITY DIVIDEND FUND		
FIDELITY DIVIDEND INVESTMENT TRUST		
FIDELITY DIVIDEND PLUS CLASS		
FIDELITY DIVIDEND PLUS FUND		
FIDELITY EMERGING MARKETS CLASS		
FIDELITY EMERGING MARKETS DEBT INVESTMENT TRUST		

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FIDELITY EMERGING MARKETS EQUITY INVESTMENT TRUST		
FIDELITY EMERGING MARKETS FUND		
FIDELITY EUROPE CLASS		
FIDELITY EUROPE FUND		
FIDELITY EVENT DRIVEN OPPORTUNITIES CLASS		
FIDELITY EVENT DRIVEN OPPORTUNITIES FUND		
FIDELITY FAR EAST CLASS		
FIDELITY FAR EAST FUND		
FIDELITY FLOATING RATE HIGH INCOME CURRENCY NEUTRAL FUND		
FIDELITY FLOATING RATE HIGH INCOME FUND		
FIDELITY FLOATING RATE HIGH INCOME INVESTMENT TRUST		
FIDELITY FRONTIER EMERGING MARKETS FUND		
FIDELITY GLOBAL ASSET ALLOCATION FUND		
FIDELITY GLOBAL BALANCED CLASS PORTFOLIO		
FIDELITY GLOBAL BALANCED PORTFOLIO		
FIDELITY GLOBAL BOND CURRENCY NEUTRAL FUND		
FIDELITY GLOBAL BOND FUND		
FIDELITY GLOBAL CLASS		
FIDELITY GLOBAL CONCENTRATED EQUITY CLASS		
FIDELITY GLOBAL CONCENTRATED EQUITY FUND		
FIDELITY GLOBAL CONSUMER INDUSTRIES CLASS		
FIDELITY GLOBAL CONSUMER INDUSTRIES FUND		
FIDELITY GLOBAL DISCIPLINED EQUITY CLASS		
FIDELITY GLOBAL DISCIPLINED EQUITY CURRENCY NEUTRAL CLASS		
FIDELITY GLOBAL DISCIPLINED EQUITY CURRENCY NEUTRAL FUND		
FIDELITY GLOBAL DISCIPLINED EQUITY FUND		
FIDELITY GLOBAL DIVIDEND CLASS		
FIDELITY GLOBAL DIVIDEND FUND		
FIDELITY GLOBAL DIVIDEND INVESTMENT TRUST		
FIDELITY GLOBAL EQUITY CURRENCY NEUTRAL PRIVATE POOL		
FIDELITY GLOBAL EQUITY INVESTMENT TRUST		
FIDELITY GLOBAL EQUITY PRIVATE POOL		
FIDELITY GLOBAL FINANCIAL SERVICES CLASS		
FIDELITY GLOBAL FINANCIAL SERVICES FUND		
FIDELITY GLOBAL FUND		
FIDELITY GLOBAL GROWTH CLASS PORTFOLIO		
FIDELITY GLOBAL GROWTH PORTFOLIO		
FIDELITY GLOBAL HEALTH CARE CLASS		
FIDELITY GLOBAL HEALTH CARE FUND		

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FIDELITY GLOBAL HIGH YIELD INVESTMENT TRUST		
FIDELITY GLOBAL INCOME CLASS PORTFOLIO		
FIDELITY GLOBAL INCOME PORTFOLIO		
FIDELITY GLOBAL INTRINSIC VALUE CLASS		
FIDELITY GLOBAL INTRINSIC VALUE CURRENCY NEUTRAL CLASS		
FIDELITY GLOBAL INTRINSIC VALUE INVESTMENT TRUST		
FIDELITY GLOBAL LARGE CAP CLASS		
FIDELITY GLOBAL LARGE CAP CURRENCY NEUTRAL CLASS		
FIDELITY GLOBAL LARGE CAP FUND		
FIDELITY GLOBAL MONTHLY INCOME CURRENCY NEUTRAL FUND		
FIDELITY GLOBAL MONTHLY INCOME FUND		
FIDELITY GLOBAL NATURAL RESOURCES CLASS		
FIDELITY GLOBAL NATURAL RESOURCES FUND		
FIDELITY GLOBAL REAL ESTATE CLASS		
FIDELITY GLOBAL REAL ESTATE FUND		
FIDELITY GLOBAL SMALL CAP CLASS		
FIDELITY GLOBAL SMALL CAP FUND		
FIDELITY GLOBAL TECHNOLOGY CLASS		
FIDELITY GLOBAL TECHNOLOGY FUND		
FIDELITY GLOBAL TELECOMMUNICATIONS CLASS		
FIDELITY GLOBAL TELECOMMUNICATIONS FUND		
FIDELITY GREATER CANADA CLASS		
FIDELITY GREATER CANADA FUND		
FIDELITY GROWTH CLASS PORTFOLIO		
FIDELITY GROWTH PORTFOLIO		
FIDELITY HIGH INCOME COMMERCIAL REAL ESTATE INVESTMENT TRUST		
FIDELITY INCOME ALLOCATION FUND		
FIDELITY INCOME CLASS PORTFOLIO		
FIDELITY INCOME PORTFOLIO		
FIDELITY INTERNATIONAL CONCENTRATED EQUITY FUND		
FIDELITY INTERNATIONAL DISCIPLINED EQUITY CLASS		
FIDELITY INTERNATIONAL DISCIPLINED EQUITY CURRENCY NEUTRAL CLASS		
FIDELITY INTERNATIONAL DISCIPLINED EQUITY CURRENCY NEUTRAL FUND		
FIDELITY INTERNATIONAL DISCIPLINED EQUITY FUND		
FIDELITY INTERNATIONAL EQUITY CURRENCY NEUTRAL PRIVATE POOL		
FIDELITY INTERNATIONAL EQUITY INVESTMENT TRUST		
FIDELITY INTERNATIONAL EQUITY PRIVATE POOL		

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Name	Cease Traded	Nature of Default
FIDELITY INTERNATIONAL GROWTH CLASS		
FIDELITY INTERNATIONAL GROWTH FUND		
FIDELITY INTERNATIONAL GROWTH INVESTMENT TRUST		
FIDELITY JAPAN CLASS		
FIDELITY JAPAN FUND		
FIDELITY MONTHLY INCOME CLASS		
FIDELITY MONTHLY INCOME FUND		
FIDELITY NORTH AMERICAN EQUITY CLASS		
FIDELITY NORTH AMERICAN EQUITY INVESTMENT TRUST		
FIDELITY NORTHSTAR BALANCED CURRENCY NEUTRAL FUND		
FIDELITY NORTHSTAR BALANCED FUND		
FIDELITY NORTHSTAR CLASS		
FIDELITY NORTHSTAR CURRENCY NEUTRAL CLASS		
FIDELITY NORTHSTAR FUND		
FIDELITY PREMIUM FIXED INCOME PRIVATE POOL		
FIDELITY PREMIUM FIXED INCOME PRIVATE POOL CLASS		
FIDELITY PREMIUM MONEY MARKET PRIVATE POOL		
FIDELITY PREMIUM TACTICAL FIXED INCOME PRIVATE POOL		
FIDELITY SMALL CAP AMERICA CLASS		
FIDELITY SMALL CAP AMERICA CURRENCY NEUTRAL CLASS		
FIDELITY SMALL CAP AMERICA FUND		
FIDELITY SPECIAL SITUATIONS CLASS		
FIDELITY SPECIAL SITUATIONS FUND		
FIDELITY STRATEGIC INCOME CURRENCY NEUTRAL FUND		
FIDELITY STRATEGIC INCOME FUND		
FIDELITY TACTICAL FIXED INCOME FUND		
FIDELITY TACTICAL HIGH INCOME CURRENCY NEUTRAL FUND		
FIDELITY TACTICAL HIGH INCOME FUND		
FIDELITY TACTICAL STRATEGIES FUND		
FIDELITY TRUE NORTH CLASS		
FIDELITY TRUE NORTH FUND		
FIDELITY U.S. ALL CAP CLASS		
FIDELITY U.S. ALL CAP CURRENCY NEUTRAL CLASS		
FIDELITY U.S. ALL CAP FUND		
FIDELITY U.S. BOND INVESTMENT TRUST		
FIDELITY U.S. DIVIDEND CURRENCY NEUTRAL FUND		
FIDELITY U.S. DIVIDEND FUND		
FIDELITY U.S. DIVIDEND INVESTMENT TRUST		
FIDELITY U.S. DIVIDEND PRIVATE POOL		

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FIDELITY U.S. DIVIDEND REGISTERED FUND		
FIDELITY U.S. EQUITY CURRENCY NEUTRAL PRIVATE POOL		
FIDELITY U.S. EQUITY INVESTMENT TRUST		
FIDELITY U.S. EQUITY PRIVATE POOL		
FIDELITY U.S. FOCUSED STOCK CLASS		
FIDELITY U.S. FOCUSED STOCK CURRENCY NEUTRAL CLASS		
FIDELITY U.S. FOCUSED STOCK FUND		
FIDELITY U.S. GROWTH AND INCOME PRIVATE POOL		
FIDELITY U.S. MONEY MARKET FUND		
FIDELITY U.S. MONTHLY INCOME CURRENCY NEUTRAL FUND		
FIDELITY U.S. MONTHLY INCOME FUND		
FIDELITY U.S. MULTI-CAP INVESTMENT TRUST		
FIDELITY U.S. SMALL/MID CAP EQUITY INVESTMENT TRUST		
FIELDDEX EXPLORATION INC.		
FIERA CAPITAL BALANCED FUND		
FIERA CAPITAL BOND FUND		
FIERA CAPITAL CORE CANADIAN EQUITY FUND		
FIERA CAPITAL CORPORATION		
FIERA CAPITAL DEFENSIVE GLOBAL EQUITY FUND		
FIERA CAPITAL DEFENSIVE U.S. EQUITY FUND		
FIERA CAPITAL EQUITY GROWTH FUND		
FIERA CAPITAL GLOBAL EQUITY FUND		
FIERA CAPITAL HIGH INCOME FUND		
FIERA CAPITAL INCOME OPPORTUNITIES FUND		
FIERA CAPITAL U.S. EQUITY FUND		
FINAL ASSIGNMENT	ASC CTO	1a, 1b, 1c, 1e
FINANCIAL 15 SPLIT CORP.		
FINISHING TOUCH	ASC CTO	1a, 1b, 1c, 1e
FINLAY MINERALS LTD.		
FINLINE TECHNOLOGIES LTD.	ASC CTO	1a, 1b, 1c, 1e
FINNING INTERNATIONAL INC.		
FINORE MINING INC.		1a, 1b, 1c, 1e
FIKAN TECHNOLOGY GROUP CORPORATION		
FIRE RIVER GOLD CORP.		
FIREBIRD RESOURCES INC.		
FIRELIGHT CORPORATION	ASC CTO	1a, 1b, 1c, 1e
FIRESTEEL RESOURCES INC.		3
FIRESTONE VENTURES INC.		
FIRESWIRL TECHNOLOGIES INC.		

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FIRM CAPITAL MORTGAGE INVESTMENT CORPORATION		
FIRM CAPITAL PROPERTY TRUST		
FIRST ASSET 1-5 YEAR LADDERED GOVERNMENT STRIP BOND INDEX ETF		
FIRST ASSET ACTIVE CANADIAN DIVIDEND ETF		
FIRST ASSET ACTIVE CREDIT ETF		
FIRST ASSET ACTIVE UTILITY & INFRASTRUCTURE ETF		
FIRST ASSET CANADIAN CONVERTIBLE BOND ETF		
FIRST ASSET CANADIAN CONVERTIBLE BOND FUND		
FIRST ASSET CANADIAN CONVERTIBLE DEBENTURE FUND		
FIRST ASSET CANADIAN CONVERTIBLES FUND		
FIRST ASSET CANADIAN DIVIDEND OPPORTUNITY FUND		
FIRST ASSET CANADIAN DIVIDEND OPPORTUNITY FUND II		
FIRST ASSET CANADIAN ENERGY CONVERTIBLE DEBENTURE FUND		
FIRST ASSET CANADIAN REIT ETF		
FIRST ASSET CANBANC INCOME CLASS ETF		
FIRST ASSET CAN-ENERGY COVERED CALL ETF		
FIRST ASSET CAN-MATERIALS COVERED CALL ETF		
FIRST ASSET CORE BALANCED ETF		
FIRST ASSET CORE CANADIAN EQUITY ETF		
FIRST ASSET CORE CANADIAN EQUITY INCOME CLASS ETF		
FIRST ASSET CORE U.S. EQUITY ETF		
FIRST ASSET DIVERSIFIED CONVERTIBLE DEBENTURE FUND		
FIRST ASSET ENERGY & RESOURCE FUND		
FIRST ASSET ENERGY GIANTS COVERED CALL ETF		
FIRST ASSET GLOBAL DIVIDEND FUND		
FIRST ASSET GLOBAL FINANCIAL SECTOR ETF		
FIRST ASSET GLOBAL MOMENTUM (CAD HEDGED) CLASS ETF		
FIRST ASSET GLOBAL MOMENTUM CLASS ETF		
FIRST ASSET GLOBAL VALUE (CAD HEDGED) CLASS ETF		
FIRST ASSET GLOBAL VALUE CLASS ETF		
FIRST ASSET HAMILTON CAPITAL EUROPEAN BANK ETF		
FIRST ASSET LONG DURATION FIXED INCOME ETF		
FIRST ASSET MORNINGSTAR CANADA DIVIDEND TARGET 30 INDEX ETF		
FIRST ASSET MORNINGSTAR CANADA MOMENTUM INDEX ETF		
FIRST ASSET MORNINGSTAR CANADA VALUE INDEX ETF		
FIRST ASSET MORNINGSTAR INTERNATIONAL MOMENTUM INDEX ETF		
FIRST ASSET MORNINGSTAR INTERNATIONAL VALUE INDEX ETF		
FIRST ASSET MORNINGSTAR NATIONAL BANK QUÉBEC INDEX ETF		

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FIRST ASSET MORNINGSTAR U.S. CONSUMER DEFENSIVE INDEX FUND		
FIRST ASSET MORNINGSTAR US DIVIDEND TARGET 50 INDEX ETF		
FIRST ASSET MORNINGSTAR US MOMENTUM INDEX ETF		
FIRST ASSET MORNINGSTAR US VALUE INDEX ETF		
FIRST ASSET MSCI CANADA LOW RISK WEIGHTED ETF		
FIRST ASSET MSCI CANADA QUALITY INDEX CLASS ETF		
FIRST ASSET MSCI EUROPE LOW RISK WEIGHTED ETF		
FIRST ASSET MSCI USA LOW RISK WEIGHTED ETF		
FIRST ASSET MSCI WORLD LOW RISK WEIGHTED ETF		
FIRST ASSET NORTH AMERICAN CONVERTIBLES FUND		
FIRST ASSET PREFERRED SHARE ETF		
FIRST ASSET PROVINCIAL BOND INDEX ETF		
FIRST ASSET REIT INCOME FUND		
FIRST ASSET RESOURCE FUND INC.		
FIRST ASSET SHORT TERM GOVERNMENT BOND INDEX CLASS ETF		
FIRST ASSET TECH GIANTS COVERED CALL ETF		
FIRST ASSET U.S. & CANADA LIFECO INCOME ETF		
FIRST ASSET UTILITY PLUS FUND		
FIRST BAUXITE CORPORATION		
FIRST CANADIAN ELECTRIC INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
FIRST CAPITAL REALTY INC.		
FIRST CASTLE ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e
FIRST CHOICE PRODUCTS INC.	ASC CTO	1a, 1b, 1c, 1e, 3
FIRST CLASS ENTERTAINMENT & FILMWORKS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
FIRST DYNASTY INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
FIRST GLOBAL DATA LIMITED		
FIRST GROWTH HOLDINGS LTD.		
FIRST HARBOUR ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e
FIRST HOUSTON OIL AND MINERAS LTD.	ASC CTO	1a, 1b, 1c, 1e
FIRST IDAHO RESOURCES INC.		
FIRST LEASIDE PROPERTIES FUND	ASC CTO	1a, 1b, 1c, 1e
FIRST LIBERTY POWER CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
FIRST MAJESTIC SILVER CORP.		
FIRST MEDICAL MANAGEMENT LTD.	ASC CTO	1a, 1b, 1c, 1e
FIRST METALS INC.	ASC CTO	1a, 1b, 1c, 1e
FIRST MEXICAN GOLD CORP.		
FIRST MINING FINANCE CORP.		
FIRST NARROWS RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e
FIRST NATIONAL FINANCIAL CORPORATION		

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FIRST NATIONAL MORTGAGE INVESTMENT FUND		
FIRST NICKEL INC.	Reciprocal CTO	1a, 1b, 1c, 1d, 1e
FIRST POINT MINERALS CORP.		
FIRST POTASH CORP.	ASC CTO	1a, 1b, 1c, 1e
FIRST QUANTUM MINERALS LTD		
FIRST ROYAL FINANCIAL CORP.	ASC CTO	1a, 1b, 1c, 1e
FIRST STAR RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
FIRST STRIKE DIAMONDS INC.	ASC CTO	1a, 1b, 1c, 1e
FIRST TIFFANY RESOURCE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
FIRST TRUST ALPHADEX CANADIAN DIVIDEND ETF		
FIRST TRUST ALPHADEX EMERGING MARKET DIVIDEND ETF (CAD-HEDGED)		
FIRST TRUST ALPHADEX EUROPEAN DIVIDEND INDEX ETF (CAD-HEDGED)		
FIRST TRUST ALPHADEX U.S. CONSUMER DISCRETIONARY SECTOR INDEX ETF		
FIRST TRUST ALPHADEX U.S. CONSUMER STAPLES SECTOR INDEX ETF		
FIRST TRUST ALPHADEX U.S. DIVIDEND ETF (CAD-HEDGED)		
FIRST TRUST ALPHADEX U.S. ENERGY SECTOR INDEX ETF		
FIRST TRUST ALPHADEX U.S. FINANCIAL SECTOR INDEX ETF		
FIRST TRUST ALPHADEX U.S. HEALTH CARE SECTOR INDEX ETF		
FIRST TRUST ALPHADEX U.S. INDUSTRIALS SECTOR INDEX ETF		
FIRST TRUST ALPHADEX U.S. MATERIALS SECTOR INDEX ETF		
FIRST TRUST ALPHADEX U.S. TECHNOLOGY SECTOR INDEX ETF		
FIRST TRUST ALPHADEX U.S. UTILITIES SECTOR INDEX ETF		
FIRST TRUST CANADIAN CAPITAL STRENGTH PORTFOLIO		
FIRST TRUST GLOBAL RISK MANAGED INCOME INDEX ETF		
FIRST TRUST SENIOR LOAN ETF (CAD-HEDGED)		
FIRST TRUST SHORT DURATION HIGH YIELD BOND ETF (CAD-HEDGED)		
FIRST TRUST TACTICAL BOND INDEX ETF		
FIRST UNITED CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
FIRSTSERVICE CORPORATION		
FIRSTWEB INTERNET SOLUTIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
FISHER OIL & GAS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
FISSION 3.0 CORP.		
FISSION URANIUM CORP.		
FITCH STREET CAPITAL CORP.		
FIVE NINES VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e
FJORDLAND EXPLORATION INC.		
FL MASTER SHERMAN, LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g

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FLAG RESOURCES (1985) LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1m, 1n
FLAHERTY & CRUMRINE INVESTMENT GRADE FIXED INCOME FUND		
FLETCHER NICKEL INC.	ASC CTO	1a, 1b, 1c, 1e
FLEX FIRST PLAN		
FLIN FLON MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
FLINDERS RESOURCES LIMITED		
FLOATING RATE INCOME FUND		
FLYHT AEROSPACE SOLUTIONS LTD.		
FLYING A PETROLEUM LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
FLYING MONKEY CAPITAL CORP.		
FMF CAPITAL GROUP LTD.	ASC CTO	1a, 1b, 1c, 1e
FOCUS GOLD CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
FOCUS GRAPHITE INC.		
FOCUS VENTURES LTD.		
FOCUSED CANADIAN EQUITY CLASS (CGOV)		
FOCUSED CAPITAL CORP.		
FOCUSED CAPITAL II CORP.		
FOLKSTONE CAPITAL CORP.		
FOMACK ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
FONTANA PLACE LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
FOOTSOURCE INC.	ASC CTO	1a, 1b, 1c, 1e
FORACO INTERNATIONAL SA		
FORAN MINING CORPORATION		
FORBES ENERGY SERVICES LTD.		
FORD AUTO SECURITIZATION TRUST		
FORD CREDIT CANADA LIMITED		
FORD FLOORPLAN AUTO SECURITIZATION TRUST		
FOREMOST INCOME FUND		
FORENT ENERGY LTD.		
FOREST & MARINE INVESTMENTS LTD.	ASC CTO	1a, 1b, 1c, 1e
FOREST GATE ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k, 3
FORMATION FLUID MANAGEMENT INC.		
FORMATION METALS INC.		
FORSYS METALS CORP.		
FORT ST. JAMES NICKEL CORP.		
FORTALEZA ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
FORTERRA ENVIRONMENTAL CORP.	ASC CTO	1a, 1b, 1c, 1e
FORTIFIED TRUST		
FORTIFY RESOURCES INC.		

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FORTIS INC.		
FORTISALBERTA INC.		
FORTISBC ENERGY INC.		
FORTISBC INC.		
FORTRESS PAPER LTD.		
FORTUNA SILVER MINES INC.		
FORTUNE BAY CORP.		
FORTUNE MINERALS LIMITED		
FORTUNE RESOURCES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
FORUM URANIUM CORP.		
FOUNDER RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
FOUNTAIN ASSET CORP.		
FOUR RIVER VENTURES LTD.		
FOURSEAS ASIALINK CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
FOX RIVER RESOURCES CORPORATION		
FOXGROVE EXPLORATION LTD.	ASC CTO	1a, 1b, 1c, 1e
FP NEWSPAPERS INC.		
FPS PHARMA INC.	Reciprocal CTO	
FRACMASTER LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
FRANCHISE BANCORP INC.		
FRANCHISE SERVICES OF NORTH AMERICA INC.	ASC CTO	1a, 1b, 1c, 1e
FRANCHISEMASTER TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
FRANCO-NEVADA CORPORATION		
FRANCO-NEVADA GLW HOLDINGS CORP.		
FRANKLIN BISSETT ALL CANADIAN FOCUS CORPORATE CLASS		
FRANKLIN BISSETT ALL CANADIAN FOCUS FUND		
FRANKLIN BISSETT CANADIAN ALL CAP BALANCED CORPORATE CLASS		
FRANKLIN BISSETT CANADIAN ALL CAP BALANCED FUND		
FRANKLIN BISSETT CANADIAN BALANCED CORPORATE CLASS		
FRANKLIN BISSETT CANADIAN BALANCED FUND		
FRANKLIN BISSETT CANADIAN DIVIDEND CORPORATE CLASS		
FRANKLIN BISSETT CANADIAN DIVIDEND FUND		
FRANKLIN BISSETT CANADIAN EQUITY CORPORATE CLASS		
FRANKLIN BISSETT CANADIAN EQUITY FUND		
FRANKLIN BISSETT CANADIAN SHORT TERM BOND FUND		
FRANKLIN BISSETT CORE PLUS BOND FUND		
FRANKLIN BISSETT CORPORATE BOND FUND		
FRANKLIN BISSETT DIVIDEND INCOME CORPORATE CLASS		
FRANKLIN BISSETT DIVIDEND INCOME FUND		

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FRANKLIN BISSETT ENERGY CORPORATE CLASS		
FRANKLIN BISSETT MICROCAP FUND		
FRANKLIN BISSETT MONEY MARKET CORPORATE CLASS		
FRANKLIN BISSETT MONEY MARKET FUND		
FRANKLIN BISSETT MONTHLY INCOME AND GROWTH FUND		
FRANKLIN BISSETT SMALL CAP CORPORATE CLASS		
FRANKLIN BISSETT SMALL CAP FUND		
FRANKLIN BISSETT STRATEGIC INCOME CORPORATE CLASS		
FRANKLIN BISSETT STRATEGIC INCOME FUND		
FRANKLIN BISSETT U.S. FOCUS CORPORATE CLASS		
FRANKLIN BISSETT U.S. FOCUS FUND		
FRANKLIN FLEX CAP GROWTH CORPORATE CLASS		
FRANKLIN GLOBAL SMALL-MID CAP FUND		
FRANKLIN HIGH INCOME FUND		
FRANKLIN MUTUAL EUROPEAN FUND		
FRANKLIN MUTUAL GLOBAL DISCOVERY CORPORATE CLASS		
FRANKLIN MUTUAL GLOBAL DISCOVERY FUND		
FRANKLIN MUTUAL U.S. SHARES CORPORATE CLASS		
FRANKLIN MUTUAL U.S. SHARES FUND		
FRANKLIN QUOTENTIAL BALANCED GROWTH CORPORATE CLASS PORTFOLIO		
FRANKLIN QUOTENTIAL BALANCED GROWTH PORTFOLIO		
FRANKLIN QUOTENTIAL BALANCED INCOME CORPORATE CLASS PORTFOLIO		
FRANKLIN QUOTENTIAL BALANCED INCOME PORTFOLIO		
FRANKLIN QUOTENTIAL DIVERSIFIED EQUITY CORPORATE CLASS PORTFOLIO		
FRANKLIN QUOTENTIAL DIVERSIFIED EQUITY PORTFOLIO		
FRANKLIN QUOTENTIAL DIVERSIFIED INCOME CORPORATE CLASS PORTFOLIO		
FRANKLIN QUOTENTIAL DIVERSIFIED INCOME PORTFOLIO		
FRANKLIN QUOTENTIAL GROWTH CORPORATE CLASS PORTFOLIO		
FRANKLIN QUOTENTIAL GROWTH PORTFOLIO		
FRANKLIN STRATEGIC INCOME FUND		
FRANKLIN TEMPLETON CANADIAN LARGE CAP FUND		
FRANKLIN U.S. CORE EQUITY FUND		
FRANKLIN U.S. MONTHLY INCOME CORPORATE CLASS		
FRANKLIN U.S. MONTHLY INCOME FUND		
FRANKLIN U.S. MONTHLY INCOME HEDGED CORPORATE CLASS		
FRANKLIN U.S. OPPORTUNITIES FUND		
FRANKLIN U.S. RISING DIVIDENDS CORPORATE CLASS		

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FRANKLIN U.S. RISING DIVIDENDS FUND		
FRANKLIN U.S. RISING DIVIDENDS HEDGED CORPORATE CLASS		
FRANKLIN WORLD GROWTH CORPORATE CLASS		
FRANKLIN WORLD GROWTH FUND		
FRANKLY INC.		
FRANZ CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
FRASER PAPERS INC.	ASC CTO	1a, 1b, 1c, 1e
FREEDOM MARINE LTD.	ASC CTO	1a, 1b, 1c, 1e
FREEGOLD VENTURES LIMITED		
FREEHOLD ROYALTIES LTD.		
FREEPORT RESOURCES INC.		
FRIEDBERG ASSET ALLOCATION FUND		
FRIEDBERG GLOBAL-MACRO HEDGE FUND		
FRIENDLY FUELS GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
FROACHAN FARM ACQUISITION CORP.		
FRONSAC REAL ESTATE INVESTMENT TRUST		
FRONT STREET BALANCED MONTHLY INCOME CLASS		
FRONT STREET GLOBAL BALANCED INCOME CLASS		
FRONT STREET GLOBAL OPPORTUNITIES CLASS		
FRONT STREET GROWTH AND INCOME CLASS		
FRONT STREET GROWTH CLASS		
FRONT STREET GROWTH FUND		
FRONT STREET MLP AND INFRASTRUCTURE INCOME CLASS		
FRONT STREET MLP BALANCED INCOME CLASS		
FRONT STREET MONEY MARKET CLASS		
FRONT STREET RESOURCE GROWTH AND INCOME CLASS		
FRONT STREET SPECIAL OPPORTUNITIES CLASS		
FRONT STREET TACTICAL BOND CLASS		
FRONT STREET TACTICAL BOND FUND		
FRONT STREET TACTICAL EQUITY CLASS		
FRONT STREET U.S. EQUITY CLASS		
FRONTENAC MORTGAGE INVESTMENT CORPORATION		
FRONTIER MINERALS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
FRONTIER RARE EARTHS LIMITED		
FRONTIERS U.S. EQUITY POOL		
FRONTLINE GOLD CORPORATION		
FRONTLINE TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
FROST RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
FSFC DEVELOPMENTS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g

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Name	Cease Traded	Nature of Default
FSI ENERGY GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
FSPI TECHNOLOGIES CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
FT CAPITAL LTD.	ASC CTO	1a, 1b, 1c, 1e
FTC CARDS INC.		
FTI FOODTECH INTERNATIONAL INC.		
FUEL CELL TECHNOLOGIES LTD.	ASC CTO	1a, 1b, 1c, 1e
FUELCELL ENERGY, INC.		1g, 3
FULCRUM RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
FULL METAL MINERALS LTD.		3
FULUCAI PRODUCTIONS LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
FUN KEY STUDIOS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
FUNCTIONAL TECHNOLOGIES CORP.	ASC CTO	1a, 1b, 1c, 1e
FUNDAMENTAL APPLICATIONS CORP.		
FUNTIME HOSPITALITY CORP.	ASC CTO	1a, 1b, 1c, 1e
FURA EMERALDS INC.		
FUTURE BEACH CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
FUTURE MINERAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
FUTURELINK CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
FUTUREPLAST TECHNOLOGIES LTD.	ASC CTO	1a, 1b, 1c, 1e
FUTUREVIEW INC.	ASC CTO	1a, 1b, 1c, 1e
FW OMNIMEDIA CORP.	ASC CTO	1a, 1b, 1c, 1e
FYTOKEN PRODUCTS INC.	ASC CTO	1a, 1b, 1c, 1e
G.I.E. ENVIRONMENT TECHNOLOGIES LTD.	ASC CTO	1a, 1b, 1c, 1e, 3
G4G CAPITAL CORP.		
GABRIEL RESOURCES LTD.		
GAINEY CAPITAL CORP.		
GAINEY RESOURCES LTD.		
GALA-BARI INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
GALACTIC RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
GALANE GOLD LTD.		
GALANTAS GOLD CORPORATION		
GALAXIWORLD.COM LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e
GALAXY LITHIUM ONE INC.		
GALAXY MINERALS INC.	ASC CTO	1a, 1b, 1c, 1e
GALAXY ONLINE INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
GALAXY RESOURCES LIMITED		
GALAXY SPORTS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
GALENA INTERNATIONAL RESOURCES LTD.		
GALILEO GROWTH AND INCOME FUND		

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GALILEO HIGH INCOME PLUS FUND		
GALILEO PETROLEUM LTD.		
GALILEO WEBTRACK SYSTEMS CORP.	Reciprocal CTO	1b, 1c, 1e
GALLERIA OPPORTUNITIES LTD.		
GALLERY RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e
GALORE RESOURCES INC.		
GALWAY GOLD INC.		
GALWAY METALS INC.		
GAMEHOST INC.		
GAMING NATION INC.		
GAMMA ELECTRONICS SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
GAMMA INDUSTRIAL SCIENCES LTD.	ASC CTO	1a, 1b, 1c, 1e
GAMSAN RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
GANDALF TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
GAR LIMITED		
GARDA WORLD SECURITY CORPORATION		
GARDEN OAKS	ASC CTO	1a, 1b, 1c, 1e
GARIBALDI RESOURCES CORP.		3
GAS THE FRIGHT COMICS	ASC CTO	1a, 1b, 1c, 1e
GASFRAC ENERGY SERVICES INC.		1a, 1b, 1c, 1d, 1e
GASLITE PETROLEUM LTD.	ASC CTO	1a, 1b, 1c, 1e
GASTAR EXPLORATION INC.		
GASTEM INC.	ASC CTO	1a, 1b, 1c, 1e, 1k, 3
GATCO TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
GATEKEEPER SYSTEMS INC.		
GATEWAY LOW VOLATILITY U.S. EQUITY FUND		
GAZ MÉTRO INC.		
GAZIT CANADA FINANCIAL INC.		1g
GAZIT-GLOBE LTD.		
GB MINERALS LTD.		
GBO INC.	ASC CTO	1a, 1b, 1c, 1e
GBS GOLD INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
GC GREYHAWKE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
GDI GLOBAL DATA INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
GDI INTEGRATED FACILITY SERVICES INC.		
GE CAPITAL CANADA FUNDING COMPANY		
GEAR ENERGY LTD.		
GEM INTERNATIONAL RESOURCES INC.		
GEM RIVER CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g

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GEMINI CORPORATION		
GEMOSCAN CANADA, INC.	Reciprocal CTO	1b, 1c, 1e
GENCAN CAPITAL INC.		
GENDIS INC.		
GENENEWS LIMITED		
GENERAL MINERALS CORP.	ASC CTO	1a, 1b, 1c, 1e
GENERAL MINING PROPERTIES LTD.	ASC CTO	1a, 1b, 1c, 1e
GENERAL MOLY, INC.		
GENERAL MOTORS COMPANY		1g
GENERAL SYSTEMS RESEARCH INC.	ASC CTO	1a, 1b, 1c, 1e
GENESIS HYDROGEN SYSTEMS INC.		1a, 1b, 1c, 1e
GENESIS LAND DEVELOPMENT CORP.		
GENESIS METALS CORP		
GENESIS TRUST II		
GENESIS WORLDWIDE INC.	ASC CTO	1a, 1b, 1c, 1e
GENIUS PROPERTIES LTD.		
GENIX PHARMACEUTICAL CORP.		
GENIX PHARMACEUTICALS CORP.		
GENOIL INC.	ASC CTO	1b, 1c, 1e
GENORAY ADVANCED TECHNOLOGIES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
GENOVATION CAPITAL CORP.		
GENSOURCE POTASH CORPORATION		
GENTOR RESOURCES INC.		
GENWORTH MI CANADA INC.		
GEODEX MINERALS LTD.		
GEODRILL LIMITED		
GEOGLOBAL RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k, 4
GEOLOGIX EXPLORATIONS INC.		
GEOMEGA RESOURCES INC.		
GEOPETRO RESOURCES COMPANY	ASC CTO	1a, 1b, 1c, 1d, 1e
GEORGE WESTON LIMITED		
GEORGIAN BANCORP INC	ASC CTO	1a, 1b, 1c, 1e
GEOROX RESOURCES INC.		
GEOSIMM INTEGRATED TECHNOLOGIES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
GEOVENCAP INC.	ASC CTO	1a, 1b, 1c, 1e
GEOVIC MINING CORP.	ASC CTO	1a, 1b, 1c, 1e
GESPEG COPPER RESOURCES INC.		
GETTY COPPER INC.		
GFK RESOURCES INC.		

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GFM RESOURCES LIMITED		
GGL RESOURCES CORP.		
GHI MORTGAGE INVESTORS	ASC CTO	1a, 1b, 1c, 1e
GIANT RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
GIBRALTAR GROWTH CORPORATION		
GIBRALTAR SPRINGS CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
GIBSON ENERGY INC.		
GIGA CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
GILDAN ACTIVEWEAR INC.		
GINGER BEEF CORPORATION		
GINSMS INC.		2c
GITENNES EXPLORATION INC.		
GIYANI GOLD CORP.		
GLACIER CREDIT CARD TRUST		
GLACIER ICE COMPANY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
GLACIER MEDIA INC.		
GLACIER RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
GLEN EAGLE RESOURCES INC.		
GLENBRIAR TECHNOLOGIES INC.		
GLENBROOK PLAZA LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
GLENCORE CANADA CORPORATION		
GLENGROVE MANOR	ASC CTO	1a, 1b, 1c, 1e
GLENMAC CAPITAL INC.		
GLENWOOD ACQUISITIONS CORP.		1b, 1c, 1e
GLG LIFE TECH CORPORATION		
GLOBAL ALPHA WORLDWIDE GROWTH FUND		
GLOBAL CAPITAL SECURITIES TRUST		
GLOBAL CHAMPIONS SPLIT CORP.		
GLOBAL COBALT CORPORATION	Reciprocal CTO	1b, 1c, 1e, 3
GLOBAL CONSUMER TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
GLOBAL COPPER GROUP INC.		
GLOBAL CT & T TELECOMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
GLOBAL DIVERSIFIED INVESTMENT GRADE INCOME TRUST II		
GLOBAL DIVIDEND CLASS (SETANTA)		1d
GLOBAL DIVIDEND FUND		
GLOBAL DIVIDEND FUND (SETANTA)		
GLOBAL DIVIDEND GROWERS INCOME FUND		
GLOBAL EQUITY CLASS (SETANTA)		
GLOBAL FIXED INCOME CORPORATE CLASS		

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GLOBAL FIXED INCOME POOL		
GLOBAL GARDENS GROUP INC.		
GLOBAL HEALTHCARE DIVIDEND FUND		
GLOBAL HEALTHCARE INCOME & GROWTH FUND		
GLOBAL HEMP GROUP INC.		
GLOBAL HUNTER CORP.	Reciprocal CTO	1a, 1c, 1e
GLOBAL IMAN FUND		
GLOBAL INFRASTRUCTURE DIVIDEND FUND		
GLOBAL INFRASTRUCTURE EQUITY FUND (LONDON CAPITAL)		
GLOBAL KEY INVESTMENT LIMITED	ASC CTO	1a, 1b, 1c, 1e
GLOBAL LIGHT TELECOMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
GLOBAL LOW VOLATILITY FUND (ILIM)		
GLOBAL MANAGED VOLATILITY FUND		
GLOBAL MINERALS LTD.		
GLOBAL MONTHLY INCOME FUND (LONDON CAPITAL)		
GLOBAL REAL ESTATE DIVIDEND GROWERS CORP.		
GLOBAL REAL ESTATE FUND (LONDON CAPITAL)		
GLOBAL REMOTE TECHNOLOGIES LTD.		
GLOBAL RESOURCE CHAMPIONS SPLIT CORP.		
GLOBAL RESOURCE ENERGY INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
GLOBAL SEAFARMS CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e
GLOBAL TELECOM & UTILITIES INCOME FUND		
GLOBAL WATER RESOURCES, INC. (FORMERLY GWR GLOBAL WATER RESOURCES CORP.)		
GLOBAL WATER SOLUTIONS FUND		
GLOBALANCE DIVIDEND GROWERS CORP.		
GLOBEECOM INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
GLOBEL DIRECT, INC	ASC CTO	1a, 1b, 1c, 1e
GLOBEVEST CAPITAL SECURED PUT WRITING FUND		
GLOBEVEST CAPITAL TACTICAL COVERED OPTIONS FUND		
GLOBEX MINING ENTERPRISES INC.		
GLOBUS WIRELESS, LTD.	ASC CTO	1a, 1b, 1c, 1e
GLUSKIN SHEFF + ASSOCIATES INC.		
GMP CAPITAL INC.		
GMV MINERALS INC.		
GNP OIL & GAS LTD.	ASC CTO	1a, 1b, 1c, 1e
GO CAPITAL I, INC.	ASC CTO	1a, 1b, 1c, 1e
GO GREEN CAPITAL CORP.		
GO VACATIONS 1985 LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
GO VACATIONS 1986 A LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e

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GO VACATIONS 1986 LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
GO VACATIONS 1987 A LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
GO VACATIONS 1987 B LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
GO VACATIONS 1987 LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
GO VACATIONS 1988 - A LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
GO VACATIONS 1988 C LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
GO VACATIONS 1988-B LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
GO VACATIONS 1989 A LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
GO VACATIONS 1989-B LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
GO VACATIONS 1990-A LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
GO VACATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
GOBIMIN INC.		
GOEASY LTD.		
GOGOLD RESOURCES INC.		
GOLD BAR RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
GOLD BULLION DEVELOPMENT CORP.		
GOLD FINDER EXPLORATIONS LTD.		
GOLD HORN INTERNATIONAL ENTERPRISES GROUP LIMITED		
GOLD JUBILEE CAPITAL CORP.		
GOLD MEDAL GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
GOLD MOUNTAIN MINING CORPORATION		
GOLD REACH RESOURCES LTD.		
GOLD RESERVE INC.		
GOLD RIDGE EXPLORATION CORP.		
GOLD SHIELD EXPLORATION & DEV INC.	ASC CTO	1a, 1b, 1c, 1e
GOLD STANDARD VENTURES CORP.		
GOLD WORLD RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
GOLDBANK MINING CORPORATION		
GOLDBAR RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
GOLDBELT EMPIRES LIMITED		
GOLDBRIDGE MINING LTD.	ASC CTO	1a, 1b, 1c, 1e
GOLDCLIFF RESOURCE CORPORATION		
GOLDCORP INC.		
GOLDEN ARCH RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
GOLDEN ARROW RESOURCES CORPORATION		
GOLDEN BAND RESOURCES INC.		
GOLDEN BRIAR MINES LIMITED	ASC CTO	1a, 1b, 1c, 1e
GOLDEN CARIBOO RESOURCES LTD.		
GOLDEN COAST ENERGY CORP.	Reciprocal CTO	1a, 1b, 1c, 1e, 1k

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GOLDEN CREDIT CARD TRUST		
GOLDEN CRESCENT CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
GOLDEN DAWN MINERALS INC.		
GOLDEN FORTUNE INVESTMENT LIMITED	ASC CTO	1a, 1b, 1c, 1e
GOLDEN GATE RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
GOLDEN GOLIATH RESOURCES LTD.		
GOLDEN HARP RESOURCES INC.		
GOLDEN HOPE MINES LIMITED		
GOLDEN LEAF HOLDINGS LTD.		
GOLDEN MARITIME RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
GOLDEN MINERALS COMPANY		
GOLDEN PACIFIC CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 3
GOLDEN PALM RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
GOLDEN PATRIOT CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e
GOLDEN PEAK MINERALS INC.		
GOLDEN PHOENIX MINERALS, INC.		1a, 1b, 1c, 1e, 1g, 3
GOLDEN PREDATOR MINING CORP.		
GOLDEN QUEEN MINING CO. LTD.		
GOLDEN RAVEN RESOURCES LTD.		
GOLDEN REIGN RESOURCES LTD.		
GOLDEN RIVER MINES INC.	ASC CTO	1a, 1b, 1c, 1e
GOLDEN SECRET VENTURES LTD.		
GOLDEN SHARE MINING CORPORATION		
GOLDEN STAR RESOURCES LTD.		
GOLDEN SUN CAPITAL INC.		
GOLDEN SUN MINING CORP.	ASC CTO	1a, 1b, 1c, 1e
GOLDEN SUNSET TRAIL INC.	ASC CTO	1a, 1b, 1c, 1e
GOLDEN TAG RESOURCES LTD		
GOLDEN TREND PETROLEUM LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
GOLDEN TRIUMPH RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
GOLDEN VALLEY MINES LTD.		
GOLDENEYE RESOURCES CORP.		
GOLDEX RESOURCES CORPORATION		
GOLDEYE EXPLORATIONS LIMITED		
GOLDGROUP MINING INC.		
GOLDLINX INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
GOLDMAN SACHS U.S. INCOME BUILDER TRUST		
GOLDMONEY INC.		
GOLDNEV RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1k

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GOLDON RESOURCES LTD.		
GOLDQUEST MINING CORP.		
GOLDRAY INC.	ASC CTO	1a, 1b, 1c, 1e
GOLDREA RESOURCES CORP.		
GOLDROCK MINES CORP.		
GOLDSOURCE MINES INC.		
GOLDSTAR MINERALS INC.		
GOLDSTREAM MINERALS INC.		
GOLDSTRIKE RESOURCES LTD.		
GOLDTRAIN RESOURCES INC.		
GONZAGA RESOURCES LTD.		
GOODWOOD CAPITAL FUND		
GOPHER MEDIA SERVICES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
GORAN CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
GORDEX MINERALS LIMITED	ASC CTO	1a, 1b, 1c, 1e
GORDON CREEK ENERGY INC.	Reciprocal CTO	1a, 1b, 1c, 1e, 1k
GORILLA MINERALS CORP.		
GOSSAN RESOURCES LIMITED		
GOURMET OCEAN PRODUCTS INC.		
GOWEST GOLD LTD.		3
GPM METALS INC.		
GRAFTON GROUP LIMITED	ASC CTO	1a, 1b, 1c, 1e
GRAM MINERALS CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
GRAN COLOMBIA GOLD CORP.		
GRAN TIERRA ENERGY INC.		
GRAN TIERRA EXCHANGE CO INC.		
GRAND OKANAGAN RESORT II LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e, 1g
GRAND OKANAGAN RESORT LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e, 1g
GRAND PEAK CAPITAL CORP.		
GRAND POWER LOGISTICS GROUP INC.		
GRANDE PORTAGE RESOURCES LTD.		
GRANDE WEST TRANSPORTATION GROUP INC.		
GRANDFIELD PACIFIC INC.		
GRANISKO RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
GRANITE CREEK GOLD LTD.		
GRANITE OIL CORP.		
GRANITE REAL ESTATE INVESTMENT TRUST		
GRANITE REIT HOLDINGS LIMITED PARTNERSHIP		
GRANITE REIT INC.		

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GRANIZ MONDAL INC.		
GRANTHAM RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
GRAPHENE 3D LAB INC.		
GRAPHITE ONE RESOURCES INC.		
GRAVIS ENERGY CORP.		
GRAVITAS FINANCIAL INC.		
GRAY ROCK RESOURCES LTD.		
GRAY WOLF CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
GREAT ATLANTIC RESOURCES CORP.		
GREAT BASIN GOLD LTD.	ASC CTO	1a, 1b, 1c, 1e
GREAT BEAR RESOURCES LTD.		
GREAT CANADIAN GAMING CORPORATION		
GREAT GOLD MINES N.L.	ASC CTO	1a, 1b, 1c
GREAT LAKES GRAPHITE INC.		
GREAT LAKES NICKEL LIMITED	Reciprocal CTO	1a, 1b, 1c, 1e
GREAT LEGENDS MINING INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
GREAT NORTHERN GAS COMPANY	ASC CTO	1a, 1b, 1c, 1e
GREAT PANTHER SILVER LIMITED		
GREAT PLAINS WASTE MANAGEMENT INC.	ASC CTO	1a, 1b, 1c, 1e
GREAT PRAIRIE ENERGY SERVICES INC.		1a, 1b, 1c, 1e
GREAT QUEST FERTILIZER LTD.		
GREAT THUNDER GOLD CORP.		
GREAT VICTORIA GOLD LIMITED	ASC CTO	1a, 1b, 1c, 1e
GREAT WESTERN MINERALS GROUP LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
GREAT WESTERN PETROLEUM CORPORATION	ASC CTO	1a, 1b, 1c, 1e
GREATBANKS RESOURCES LTD.		
GREATER TORONTO AIRPORTS AUTHORITY		
GREATOK GROUP LTD.	ASC CTO	1a, 1b, 1c, 1e
GREAT-WEST LIFECO FINANCE (DELAWARE) LP		
GREAT-WEST LIFECO FINANCE (DELAWARE) LP II		
GREAT-WEST LIFECO INC.		
GRECIAN SPECIALTY FOODS INC.	ASC CTO	1a, 1b, 1c, 1e
GREEN ARROW RESOURCES INC.		
GREEN ENVIRONMENTAL TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
GREEN OASIS ENVIRONMENTAL, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
GREEN STANDARD VANADIUM RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e, 3
GREEN SWAN CAPITAL CORP.		
GREEN VALLEY MINE INCORPORATED		
GREENBANK CAPITAL INC.		

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Name	Cease Traded	Nature of Default
GREENBRIAR CAPITAL CORP.		
GREENCASTLE RESOURCES LTD.		
GREENFIELDS PETROLEUM CORPORATION		
GREENGREEN CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
GREENPOWER MOTOR COMPANY INC.		
GREENSHIELD EXPLORATIONS LIMITED		
GREENSPACE BRANDS INC.		
GREENSTAR AGRICULTURAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
GREENSTONE RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
GREENSTREET EQUITIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
GREENTREE GAS & OIL LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
GREENVALE MINING NL	ASC CTO	1a, 1b, 1c, 1e
GREENWOOD ENVIRONMENTAL INC.	ASC CTO	1a, 1b, 1c, 1e
GRENVILLE GOLD CORP.		
GRENVILLE STRATEGIC ROYALTY CORP.		
GREW VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
GREY FOX THE	ASC CTO	1a, 1b, 1c, 1e
GREYHAWK ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e
GREYSTONE CANADIAN BOND FUND		
GREYSTONE CANADIAN EQUITY INCOME & GROWTH CLASS		
GREYSTONE GLOBAL EQUITY FUND		
GREYSTONE INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
GREYVEST CAPITAL INC	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
GREYWACKE EXPLORATION LTD.		
GRIDIRON RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
GRIFFIN SKYE CORPORATION		
GRIZZLY DISCOVERIES INC.		
GROSVENOR HOUSE	ASC CTO	1a, 1b, 1c, 1e
GROUNDSTAR RESOURCES LIMITED		
GROUP TEN METALS INC.		
GROWPROS CANNABIS VENTURES INC.		
GROWTH & INCOME CLASS		1g
GROWTH 100 FUND		
GROWTH 80/20 FUND		
GROWTH AND INCOME CLASS (GWLIM)		
GROWTH FUND		
GROWTHWORKS ATLANTIC VENTURE FUND LTD.		
GROWTHWORKS CANADIAN FUND LTD.		1a, 1b, 1c, 1d
GROWTHWORKS COMMERCIALIZATION FUND LTD.		

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GRYPHON GOLD CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
GSI GROUP INC.		
GSO SOLUTIONS, INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
GST TELECOMMUNICATIONS, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
GSTAAD CAPITAL CORP.		
GT GROUP TELECOM INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
GTA RESOURCES AND MINING INC.		
GUARD INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
GUARDIAN BALANCED FUND		
GUARDIAN BALANCED INCOME FUND		
GUARDIAN CANADIAN BOND FUND		
GUARDIAN CANADIAN EQUITY FUND		
GUARDIAN CANADIAN FOCUSED EQUITY FUND		
GUARDIAN CANADIAN GROWTH EQUITY FUND		
GUARDIAN CANADIAN SHORT-TERM INVESTMENT FUND		
GUARDIAN CANADIAN SMALL/MID CAP EQUITY FUND		
GUARDIAN CAPITAL GROUP LIMITED		
GUARDIAN EMERGING MARKETS EQUITY FUND		
GUARDIAN EQUITY INCOME FUND		
GUARDIAN EXPLORATION INC.		
GUARDIAN FUNDAMENTAL GLOBAL EQUITY FUND		
GUARDIAN GLOBAL DIVIDEND GROWTH FUND		
GUARDIAN GLOBAL EQUITY FUND		
GUARDIAN GROWTH & INCOME FUND		
GUARDIAN HIGH YIELD BOND FUND		
GUARDIAN INTERNATIONAL EQUITY FUND		
GUARDIAN MANAGED INCOME & GROWTH PORTFOLIO		
GUARDIAN MANAGED INCOME PORTFOLIO		
GUARDIAN PRIVATE WEALTH BOND FUND		
GUARDIAN PRIVATE WEALTH EQUITY FUND		
GUARDIAN SHORT DURATION BOND FUND		
GUARDIAN U.S. EQUITY FUND		
GUERRERO EXPLORATION INC.	ASC CTO	1a, 1b, 1c, 1e
GUERRERO VENTURES INC.		
GUESTLOGIX INC.	Reciprocal CTO	1a, 1b, 1c, 1d, 1e
GULF & PACIFIC EQUITIES CORP.		
GULF INTERNATIONAL MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
GULF SHORES RESOURCES LTD.		
GULFSTREAM ACQUISITION 1 CORP.		

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GUNGNIR RESOURCES INC.		
GUNPOINT EXPLORATION LTD.		
GUNPOWDER CAPITAL CORP.		
GUYANA FRONTIER MINING CORP.	ASC CTO	1a, 1b, 1c, 1e
GUYANA GOLDFIELDS INC.		
GVIC COMMUNICATIONS CORP.		
GWEN RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
H W I INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
H&R FINANCE TRUST		
H&R REAL ESTATE INVESTMENT TRUST		
H2O INNOVATION INC.		
HADLEY MINING INC.		
HAEMACURE CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
HALMONT PROPERTIES CORPORATION		
HALOGEN SOFTWARE INC.		
HALTAIN DEVELOPMENTS CORP.		
HAMILTON CAPITAL GLOBAL BANK ETF		
HAMILTON CAPITAL GLOBAL FINANCIALS YIELD ETF		
HAMILTON PARK PLAZA LIMITED PARTNERSHIP		
HAMILTON THORNE LTD.		
HAMMOND MANUFACTURING COMPANY LIMITED		
HAMMOND POWER SOLUTIONS INC.		
HAMPTON COURT RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
HANDA COPPER CORPORATION		
HANFENG EVERGREEN INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
HANKIN WATER TECHNOLOGIES LIMITED	ASC CTO	1a, 1b, 1c, 1e
HANSA RESOURCES LIMITED		
HANWEI ENERGY SERVICES CORP.		
HAPPY CREEK MINERALS LTD.		
HARBOUR CORPORATE CLASS		
HARBOUR FUND		
HARBOUR GLOBAL EQUITY CORPORATE CLASS		
HARBOUR GLOBAL GROWTH & INCOME CORPORATE CLASS		
HARBOUR GROWTH & INCOME CORPORATE CLASS		
HARBOUR GROWTH & INCOME FUND		
HARBOUR PACIFIC MINERALS INC.	ASC CTO	1a, 1b, 1c, 1e
HARBOUR VOYAGEUR CORPORATE CLASS		
HARD CREEK NICKEL CORPORATION		
HARDWOOD PROPERTIES LTD.	ASC CTO	1a, 1b, 1c, 1e

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Name	Cease Traded	Nature of Default
HARDWOODS DISTRIBUTION INC.		
HARMONY BALANCED GROWTH PORTFOLIO		
HARMONY BALANCED GROWTH PORTFOLIO CLASS		
HARMONY BALANCED PORTFOLIO		
HARMONY CANADIAN EQUITY POOL		
HARMONY CANADIAN FIXED INCOME POOL		
HARMONY CONSERVATIVE PORTFOLIO		
HARMONY DIVERSIFIED INCOME POOL		
HARMONY GLOBAL FIXED INCOME POOL		
HARMONY GROWTH PLUS PORTFOLIO		
HARMONY GROWTH PLUS PORTFOLIO CLASS		
HARMONY GROWTH PORTFOLIO		
HARMONY GROWTH PORTFOLIO CLASS		
HARMONY INTEGRATED SOLUTIONS, INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
HARMONY MAXIMUM GROWTH PORTFOLIO		
HARMONY MAXIMUM GROWTH PORTFOLIO CLASS		
HARMONY MONEY MARKET POOL		
HARMONY NON-TRADITIONAL POOL		
HARMONY OVERSEAS EQUITY POOL		
HARMONY U.S. EQUITY POOL		
HARMONY YIELD PORTFOLIO		
HARRY TRACY DESPERADO	ASC CTO	1a, 1c, 1e
HARTE GOLD CORP.		
HARTLAND PIPELINE SERVICES LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
HARVEST BANKS & BUILDINGS INCOME FUND		
HARVEST CANADIAN INCOME & GROWTH FUND		
HARVEST GOLD CORPORATION		
HARVEST ONE CAPITAL INC.		
HARVEST OPERATIONS CORP.		
HATCH INTERACTIVE TECHNOLOGIES CORPORATION		
HAWK EXPLORATION LTD.		
HAWKEYE GOLD & DIAMOND INC.		
HEAD ON	ASC CTO	1a, 1b, 1c, 1e
HEADSUP ENTERTAINMENT INTERNATIONAL, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
HEADWAY PROPERTY INVESTMENT 77 II	ASC CTO	1a, 1b, 1c, 1e
HEADWAY PROPERTY INVESTMENT 77-IV	ASC CTO	1a, 1b, 1c, 1e
HEADWAY PROPERTY INVESTMENT 78 III	ASC CTO	1a, 1b, 1c, 1e
HEADWAY PROPERTY INVESTMENT 78-II	ASC CTO	1a, 1b, 1c, 1e
HEALTHCARE LEADERS INCOME FUND		

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HEALTHCARE SPECIAL OPPORTUNITIES FUND		
HEALTHSCREEN SOLUTIONS INCORPORATED	ASC CTO	1a, 1b, 1c, 1e
HEALTHSPACE DATA SYSTEMS LTD.		
HEARTLINK CANADA (1999) INC.	ASC CTO	1a, 1b, 1c, 1e
HEATHERDALE RESOURCES LTD.		
HEATING OIL PARTNERS INCOME FUND		1a, 1b, 1c, 1e
HEATWURX, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
HEAVY METAL	ASC CTO	1a, 1b, 1c, 1e
HEBRON BAY RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
HECLA MINING COMPANY		1g
HEDMAN RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e
HEGCO CANADA INC.	ASC CTO	1a, 1b, 1c, 1e, 3
HELIJET INTERNATIONAL INC.		
HELIO RESOURCE CORP.		
HELIUS MEDICAL TECHNOLOGIES, INC.		
HELLIX VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
HEMISPHERE ENERGY CORPORATION		
HEMOSTEMIX INC.		
HEMPCO FOOD AND FIBER INC.		
HERITAGE GLOBAL INC.		
HERITAGE PLANS		
HERITAGE SAVINGS & TRUST COMPANY	ASC CTO	1a, 1b, 1c, 1e
HERITAGE VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
HERMES FINANCIAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
HEROUX-DEVTEK INC.		
HERTZ CANADA FINANCE CO., LTD.		
HFI FLOORING INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
HFX HOLDING CORP.		
HI HO SILVER RESOURCES INC.	Reciprocal CTO	
HIGH ARCTIC ENERGY SERVICES INC.		
HIGH HAMPTON HOLDINGS CORP.		
HIGH LINER FOODS INCORPORATED		
HIGH NORTH RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
HIGH PLAINS OIL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
HIGH ROCK CANADIAN HIGH YIELD BOND FUND		
HIGHBANK RESOURCES LTD.		
HIGHBURY PROJECTS INC.		
HIGHLAND COPPER COMPANY INC.		
HIGHMARK TECHNOLOGIES CORP.	Reciprocal CTO	1a, 1b, 1c, 1e

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HIGHPOINT TELECOMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
HIGHVISTA GOLD INC.		
HIGHWAY 50 GOLD CORP.		
HILLCREST PETROLEUM LTD.		
HILLESTAD PHARMACEUTICALS INC.	ASC CTO	1a, 1b, 1c, 1e
HINTERLAND METALS INC.		
HIP ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 3, 4
HIP INTERACTIVE CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e
HIROSE GROUP LTD.	ASC CTO	1a, 1b, 1c, 1e
HIT TECHNOLOGIES INC.		
HLD LAND DEVELOPMENT LIMITED PARTNERSHIP	Reciprocal CTO	1a, 1b, 1c, 1e, 1k
HLT ENERGIES INC.	ASC CTO	1a, 1b, 1c, 1e
HMH CHINA INVESTMENTS LIMITED		
HMZ METALS INC.	ASC CTO	1a, 1b, 1c, 1e
HNZ GROUP INC.		
HOGWILD, DIRTY TRICKS & SCANNERS	ASC CTO	1a, 1b, 1c, 1e
HOLLINGER INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
HOLLIS RECEIVABLES TERM TRUST II		
HOLLOWAY LODGING CORPORATION		
HOMCO INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
HOME CAPITAL GROUP INC.		
HOMELAND ENERGY GROUP LTD.		
HOMEPROJECT.COM INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
HOMESERVE TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
HOMESTAKE RESOURCE CORPORATION		
HOMEXPRESS LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
HONEY BADGER EXPLORATION INC.		
HONEYBEE TECHNOLOGY INC.	ASC CTO	1a, 1b, 1c, 1e
HORIZON HOLSTEINS LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
HORIZON HOLSTEINS LIMITED PARTNERSHIP II	ASC CTO	1a, 1b, 1c, 1e
HORIZON MINERALS CORP.		1g
HORIZON NORTH LOGISTICS INC.		
HORIZON PETROLEUM LTD.		
HORIZONS ABSOLUTE RETURN GLOBAL CURRENCY ETF		
HORIZONS ACTIVE CDN BOND ETF		
HORIZONS ACTIVE CDN DIVIDEND ETF		
HORIZONS ACTIVE CDN MUNICIPAL BOND ETF		
HORIZONS ACTIVE CORPORATE BOND ETF		
HORIZONS ACTIVE EMERGING MARKETS DIVIDEND ETF		

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HORIZONS ACTIVE FLOATING RATE BOND ETF		
HORIZONS ACTIVE FLOATING RATE PREFERRED SHARE ETF		
HORIZONS ACTIVE FLOATING RATE SENIOR LOAN ETF		
HORIZONS ACTIVE GLOBAL DIVIDEND ETF		
HORIZONS ACTIVE GLOBAL FIXED INCOME ETF		
HORIZONS ACTIVE HIGH YIELD BOND ETF		
HORIZONS ACTIVE PREFERRED SHARE ETF		
HORIZONS ACTIVE US DIVIDEND ETF		
HORIZONS ACTIVE US FLOATING RATE BOND (USD) ETF		
HORIZONS AUSPICE MANAGED FUTURES INDEX ETF		
HORIZONS BETAPRO COMEX® GOLD BULLION BEAR PLUS ETF		
HORIZONS BETAPRO COMEX® GOLD BULLION BULL PLUS ETF		
HORIZONS BETAPRO COMEX® SILVER BEAR PLUS ETF		
HORIZONS BETAPRO COMEX® SILVER BULL PLUS ETF		
HORIZONS BETAPRO NASDAQ-100® BEAR PLUS ETF		
HORIZONS BETAPRO NASDAQ-100® BULL PLUS ETF		
HORIZONS BETAPRO NYMEX® CRUDE OIL BEAR PLUS ETF		
HORIZONS BETAPRO NYMEX® CRUDE OIL BULL PLUS ETF		
HORIZONS BETAPRO NYMEX® NATURAL GAS BEAR PLUS ETF		
HORIZONS BETAPRO NYMEX® NATURAL GAS BULL PLUS ETF		
HORIZONS BETAPRO S&P 500 VIX SHORT-TERM FUTURES BULL PLUS ETF		
HORIZONS BETAPRO S&P 500 VIX SHORT-TERM FUTURES ETF		
HORIZONS BETAPRO S&P 500 VIX SHORT-TERM FUTURES INVERSE ETF		
HORIZONS BETAPRO S&P 500® BEAR PLUS ETF		
HORIZONS BETAPRO S&P 500® BULL PLUS ETF		
HORIZONS BETAPRO S&P 500® INVERSE ETF		
HORIZONS BETAPRO S&P/TSX 60 BEAR PLUS ETF		
HORIZONS BETAPRO S&P/TSX 60 BULL PLUS ETF		
HORIZONS BETAPRO S&P/TSX 60 INVERSE ETF		
HORIZONS BETAPRO S&P/TSX CAPPED ENERGY BEAR PLUS ETF		
HORIZONS BETAPRO S&P/TSX CAPPED ENERGY BULL PLUS ETF		
HORIZONS BETAPRO S&P/TSX CAPPED FINANCIALS BEAR PLUS ETF		
HORIZONS BETAPRO S&P/TSX CAPPED FINANCIALS BULL PLUS ETF		
HORIZONS BETAPRO S&P/TSX GLOBAL GOLD BEAR PLUS ETF		
HORIZONS BETAPRO S&P/TSX GLOBAL GOLD BULL PLUS ETF		
HORIZONS BETAPRO US 30-YEAR BOND BEAR PLUS ETF		
HORIZONS CANADIAN DOLLAR CURRENCY ETF		
HORIZONS CANADIAN MIDSTREAM OIL & GAS INDEX ETF		

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HORIZONS CDN EQUITY MANAGED RISK ETF		
HORIZONS CDN HIGH DIVIDEND INDEX ETF		
HORIZONS CDN INSIDER INDEX ETF		
HORIZONS CDN SELECT UNIVERSE BOND ETF		
HORIZONS CHINA HIGH DIVIDEND YIELD INDEX ETF		
HORIZONS COMEX® GOLD ETF		
HORIZONS COMEX® SILVER ETF		
HORIZONS ENHANCED INCOME ENERGY ETF		
HORIZONS ENHANCED INCOME EQUITY ETF		
HORIZONS ENHANCED INCOME FINANCIALS ETF		
HORIZONS ENHANCED INCOME GOLD PRODUCERS ETF		
HORIZONS ENHANCED INCOME INTERNATIONAL EQUITY ETF		
HORIZONS ENHANCED INCOME US EQUITY (USD) ETF		
HORIZONS EURO STOXX 50® INDEX ETF		
HORIZONS GLOBAL RISK PARITY ETF		
HORIZONS GOLD YIELD ETF		
HORIZONS MANAGED GLOBAL OPPORTUNITIES ETF		
HORIZONS MANAGED MULTI-ASSET MOMENTUM ETF		
HORIZONS MORNINGSTAR HEDGE FUND INDEX ETF		
HORIZONS NASDAQ-100® INDEX ETF		
HORIZONS NATURAL GAS YIELD ETF		
HORIZONS NYMEX® CRUDE OIL ETF		
HORIZONS NYMEX® NATURAL GAS ETF		
HORIZONS S&P 500® INDEX ETF		
HORIZONS S&P/TSX 60 EQUAL WEIGHT INDEX ETF		
HORIZONS S&P/TSX 60 INDEX ETF		
HORIZONS S&P/TSX CAPPED ENERGY INDEX ETF		
HORIZONS S&P/TSX CAPPED FINANCIALS INDEX ETF		
HORIZONS SEASONAL ROTATION ETF		
HORIZONS US 7-10 YEAR TREASURY BOND ETF		
HORIZONS US DOLLAR CURRENCY ETF		
HORIZONS US EQUITY MANAGED RISK ETF		
HORIZONTAL PETROLEUM EXPLORATIONS LTD.	ASC CTO	1a, 1b, 1c, 1e
HORNBY BAY MINERAL EXPLORATION LTD.		
HOST INTERNATIONAL HOLDINGS INC.	ASC CTO	1a, 1b, 1c, 1e
HOT TOUCH	ASC CTO	1a, 1b, 1c, 1e
HOTLINE TO HR INC.	ASC CTO	1a, 1b, 1c, 1e
HOUSTON LAKE MINING INC.		
HOW TO WEB TV INC.	ASC CTO	1a, 1b, 1c, 1e

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HPIL RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
HR CAFE, LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
HSBC ASIAPACIFIC FUND		
HSBC BANK CANADA		
HSBC BRIC EQUITY FUND		
HSBC CANADA ASSET TRUST		
HSBC CANADIAN BALANCED FUND		
HSBC CANADIAN BOND FUND		
HSBC CANADIAN BOND POOLED FUND		
HSBC CANADIAN DIVIDEND POOLED FUND		
HSBC CANADIAN EQUITY POOLED FUND		
HSBC CANADIAN MONEY MARKET FUND		
HSBC CANADIAN MONEY MARKET POOLED FUND		
HSBC CANADIAN SMALL CAP EQUITY POOLED FUND		
HSBC CHINESE EQUITY FUND		
HSBC DIVIDEND FUND		
HSBC EMERGING MARKETS DEBT FUND		
HSBC EMERGING MARKETS DEBT POOLED FUND		
HSBC EMERGING MARKETS FUND		
HSBC EMERGING MARKETS POOLED FUND		
HSBC EQUITY FUND		
HSBC EUROPEAN FUND		
HSBC GLOBAL CORPORATE BOND FUND		
HSBC GLOBAL EQUITY FUND		
HSBC GLOBAL EQUITY VOLATILITY FOCUSED FUND		
HSBC GLOBAL HIGH YIELD BOND POOLED FUND		
HSBC GLOBAL INFLATION LINKED BOND POOLED FUND		
HSBC GLOBAL REAL ESTATE EQUITY POOLED FUND		
HSBC INDIAN EQUITY FUND		
HSBC INTERNATIONAL EQUITY POOLED FUND		
HSBC MONTHLY INCOME FUND		
HSBC MORTGAGE FUND		
HSBC MORTGAGE POOLED FUND		
HSBC SMALL CAP GROWTH FUND		
HSBC U.S. DOLLAR MONEY MARKET FUND		
HSBC U.S. DOLLAR MONTHLY INCOME FUND		
HSBC U.S. EQUITY FUND		
HSBC U.S. EQUITY POOLED FUND		
HSBC WORLD SELECTION DIVERSIFIED AGGRESSIVE GROWTH FUND		

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Name	Cease Traded	Nature of Default
HSBC WORLD SELECTION DIVERSIFIED BALANCED FUND		
HSBC WORLD SELECTION DIVERSIFIED CONSERVATIVE FUND		
HSBC WORLD SELECTION DIVERSIFIED GROWTH FUND		
HSBC WORLD SELECTION DIVERSIFIED MODERATE CONSERVATIVE FUND		
H-SOURCE HOLDINGS LTD.		
HTC PUREENERGY INC.		
HTI VENTURES CORP.		3
HUAXING MACHINERY CORP.	ASC CTO	1a, 1b, 1c, 1e
HUBBARD HOLDING INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
HUCAMP MINES LIMITED	ASC CTO	1a, 1b, 1c, 1e
HUDBAY MINERALS INC.		
HUDSON BAY DIECASTING LIMITED	ASC CTO	1a, 1b, 1c, 1e
HUDSON RESOURCES INC.		3
HUDSON RIVER MINERALS LTD.		
HUDSON'S BAY COMPANY		
HUFFINGTON CAPITAL CORP.		
HUMBOLDT CAPITAL CORPORATION		
HUNT CLUB RIDGE	ASC CTO	1a, 1b, 1c, 1e
HUNT CLUB RIDGE II	ASC CTO	1a, 1b, 1c, 1e
HUNT MINING CORP		
HUNTER BAY MINERALS PLC	ASC CTO	1a, 1b, 1c, 1e
HUNTER FINANCIAL GROUP LTD.	ASC CTO	1a, 1b, 1c, 1e
HUNTERS POINT LIMITED PARTNERSHIP	ASC CTO	
HUNTINGTON EXPLORATION INC.		
HUSKY ENERGY INC.		
HYATT FINANCIAL CORPORATION LTD.	ASC CTO	1a, 1b, 1c, 1e
HYDRALOGIC SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
HYDRO ONE INC.		
HYDRO ONE LIMITED		
HYDROGENICS CORPORATION		
HYDROMET ENVIRONMENTAL RECOVERY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
HYDUKE ENERGY SERVICES INC.		
HYMEX DIAMOND CORP.	ASC CTO	1a, 1b, 1c, 1e
I T D INTERNATIONAL TECHNOLOGY DEVELOPMENT INC.	ASC CTO	1a, 1b, 1c, 1e
IA CLARINGTON BALANCED PORTFOLIO		
IA CLARINGTON BOND FUND		
IA CLARINGTON CANADIAN BALANCED CLASS		
IA CLARINGTON CANADIAN BALANCED FUND		

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IA CLARINGTON CANADIAN CONSERVATIVE EQUITY CLASS		
IA CLARINGTON CANADIAN CONSERVATIVE EQUITY FUND		
IA CLARINGTON CANADIAN DIVIDEND FUND		
IA CLARINGTON CANADIAN GROWTH CLASS		
IA CLARINGTON CANADIAN LEADERS CLASS		
IA CLARINGTON CANADIAN SMALL CAP CLASS		
IA CLARINGTON CANADIAN SMALL CAP FUND		
IA CLARINGTON CONSERVATIVE PORTFOLIO		
IA CLARINGTON CORE PLUS BOND FUND		
IA CLARINGTON DIVIDEND GROWTH CLASS		
IA CLARINGTON FLOATING RATE INCOME FUND		
IA CLARINGTON FOCUSED BALANCED CLASS		
IA CLARINGTON FOCUSED BALANCED FUND		
IA CLARINGTON FOCUSED CANADIAN EQUITY CLASS		
IA CLARINGTON FOCUSED U.S. EQUITY CLASS		
IA CLARINGTON GLOBAL EQUITY EXPOSURE FUND		
IA CLARINGTON GLOBAL EQUITY FUND		
IA CLARINGTON GLOBAL GROWTH & INCOME FUND		
IA CLARINGTON GLOBAL OPPORTUNITIES CLASS		
IA CLARINGTON GLOBAL OPPORTUNITIES FUND		
IA CLARINGTON GLOBAL TACTICAL INCOME CLASS		
IA CLARINGTON GLOBAL TACTICAL INCOME FUND		
IA CLARINGTON GLOBAL VALUE FUND		
IA CLARINGTON GROWTH & INCOME FUND		
IA CLARINGTON GROWTH PORTFOLIO		
IA CLARINGTON INHANCE BALANCED SRI PORTFOLIO		
IA CLARINGTON INHANCE CANADIAN EQUITY SRI CLASS		
IA CLARINGTON INHANCE CONSERVATIVE SRI PORTFOLIO		
IA CLARINGTON INHANCE GLOBAL EQUITY SRI CLASS		
IA CLARINGTON INHANCE GROWTH SRI PORTFOLIO		
IA CLARINGTON INHANCE MONTHLY INCOME SRI FUND		
IA CLARINGTON MAXIMUM GROWTH PORTFOLIO		
IA CLARINGTON MODERATE PORTFOLIO		
IA CLARINGTON MONEY MARKET FUND		
IA CLARINGTON MONTHLY INCOME BALANCED FUND		
IA CLARINGTON NORTH AMERICAN OPPORTUNITIES CLASS		
IA CLARINGTON REAL RETURN BOND FUND		
IA CLARINGTON SARBIT ACTIVIST OPPORTUNITIES CLASS		
IA CLARINGTON SARBIT U.S. EQUITY CLASS (UNHEDGED)		

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IA CLARINGTON SARBIT U.S. EQUITY FUND		
IA CLARINGTON SHORT-TERM BOND FUND		
IA CLARINGTON SHORT-TERM INCOME CLASS		
IA CLARINGTON STRATEGIC CORPORATE BOND CLASS		
IA CLARINGTON STRATEGIC CORPORATE BOND FUND		
IA CLARINGTON STRATEGIC EQUITY INCOME CLASS		
IA CLARINGTON STRATEGIC EQUITY INCOME FUND		
IA CLARINGTON STRATEGIC INCOME CLASS		
IA CLARINGTON STRATEGIC INCOME FUND		
IA CLARINGTON STRATEGIC U.S. GROWTH & INCOME FUND		
IA CLARINGTON TACTICAL BOND CLASS		
IA CLARINGTON TACTICAL BOND FUND		
IA CLARINGTON TACTICAL INCOME CLASS		
IA CLARINGTON TACTICAL INCOME FUND		
IA CLARINGTON TARGET CLICK 2020 FUND		
IA CLARINGTON TARGET CLICK 2025 FUND		
IA CLARINGTON TARGET CLICK 2030 FUND		
IA CLARINGTON U.S. DIVIDEND GROWTH FUND		
IA CLARINGTON U.S. DIVIDEND GROWTH REGISTERED FUND		
IA CLARINGTON U.S. DOLLAR FLOATING RATE INCOME FUND		
IA CLARINGTON YIELD OPPORTUNITIES FUND		
IAE HONG KONG EQUITIES INC.	ASC CTO	1a, 1b, 1c, 1e
IALTA INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
IAMGOLD CORPORATION		
IATRA LIFE SCIENCES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
IBC ADVANCED ALLOYS CORP.		
IBERIAN MINERALS LTD.		
IBEX TECHNOLOGIES INC.		
IBI CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 3
IBI GROUP INC.		
IC POTASH CORP.		
ICE DRILLING ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ICE STATION RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
ICEFLOE TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
ICESOFT TECHNOLOGIES CANADA CORP.		
ICO THERAPEUTICS INC.		
ICON EXPLORATION INC.		
ICON LASER EYE CENTERS, INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ICONIC MINERALS LTD.		

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ICOR OIL & GAS COMPANY LTD.	ASC CTO	1a, 1b, 1c, 1e
ID WATCHDOG, INC.		
IDAHO NATURAL RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
IDAHO SILVER INC.	ASC CTO	1a, 1b, 1c, 1e
IDENTILLECT TECHNOLOGIES CORP.		
IDG HOLDINGS INC.		
IDM MINING LTD.		
IDS INTELLIGENT DETECTION SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
IDYIA INNOVATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
IEMR RESOURCES INC.		
IF YOU COULD SEE WHAT I HEAR	ASC CTO	1a, 1b, 1c, 1e
IFABRIC CORP.		
IFM FOOD MANAGEMENT (CANADA) LTD.	ASC CTO	1a, 1b, 1c, 1e
IFORUM FINANCIAL NETWORK INC.	ASC CTO	1a, 1b, 1c, 1e
IG AGF CANADIAN BALANCED FUND		
IG AGF GLOBAL EQUITY CLASS		
IG AGF GLOBAL EQUITY FUND		
IG AGF U.S. GROWTH CLASS		
IG AGF U.S. GROWTH FUND		
IG BEUTEL GOODMAN CANADIAN BALANCED FUND		
IG BEUTEL GOODMAN CANADIAN EQUITY CLASS		
IG BEUTEL GOODMAN CANADIAN EQUITY FUND		
IG BEUTEL GOODMAN CANADIAN SMALL CAP FUND		
IG FI CANADIAN ALLOCATION FUND		
IG FI CANADIAN EQUITY CLASS		
IG FI CANADIAN EQUITY FUND		
IG FI U.S. LARGE CAP EQUITY CLASS		
IG FI U.S. LARGE CAP EQUITY FUND		
IG FIERA CANADIAN SMALL CAP CLASS		
IG FIERA CANADIAN SMALL CAP FUND		
IG FRANKLIN BISSETT CANADIAN EQUITY CLASS		
IG FRANKLIN BISSETT CANADIAN EQUITY FUND		
IG MACKENZIE CANADIAN EQUITY GROWTH CLASS		
IG MACKENZIE CANADIAN EQUITY GROWTH FUND		
IG MACKENZIE CUNDILL GLOBAL VALUE CLASS		
IG MACKENZIE CUNDILL GLOBAL VALUE FUND		
IG MACKENZIE DIVIDEND GROWTH FUND		
IG MACKENZIE EMERGING MARKETS CLASS		
IG MACKENZIE FLOATING RATE INCOME FUND		

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IG MACKENZIE GLOBAL PRECIOUS METALS CLASS		
IG MACKENZIE INCOME FUND		
IG MACKENZIE IVY EUROPEAN CLASS		
IG MACKENZIE IVY EUROPEAN FUND		
IG MACKENZIE IVY FOREIGN EQUITY CLASS		
IG MACKENZIE STRATEGIC INCOME FUND		
IG PUTNAM EMERGING MARKETS INCOME FUND		
IG PUTNAM LOW VOLATILITY U.S. EQUITY CLASS		
IG PUTNAM LOW VOLATILITY U.S. EQUITY FUND		
IG PUTNAM U.S. GROWTH CLASS		
IG PUTNAM U.S. GROWTH FUND		
IG PUTNAM U.S. HIGH YIELD INCOME FUND		
IG TEMPLETON INTERNATIONAL EQUITY CLASS		
IG TEMPLETON INTERNATIONAL EQUITY FUND		
IGC RESOURCES INC.		
IGM FINANCIAL INC.		
IKKUMA RESOURCES CORP.		
ILEDOR EXPLORATION CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ILLUSION SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ILOOKABOUT CORP.		
ILOVETV ENTERTAINMENT INC.	ASC CTO	1a, 1b, 1c, 1e
IMAFLEX INC.		
IMAGE POWER, INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
IMAGE SCULPTING INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
IMAGIN MEDICAL INC.		
IMAGINATION PARK ENTERTAINMENT INC.		
IMAGING DYNAMICS COMPANY LTD.		
IMARKETING SOLUTIONS GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
IMASIGHT CORP.	ASC CTO	1a, 1b, 1c, 1e
IMAX CORPORATION		
IMAXX CANADIAN BOND FUND		
IMAXX CANADIAN DIVIDEND FUND		
IMAXX CANADIAN EQUITY GROWTH FUND		
IMAXX CANADIAN FIXED PAY FUND		
IMAXX GLOBAL EQUITY GROWTH FUND		
IMAXX MONEY MARKET FUND		
IMC INTEGRATED MARKETING COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
IMETAL RESOURCES, INC.		
I-MINERALS INC.		

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IMMUNALL SCIENCE INC.		4
IMMUNE NETWORK LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
IMMUNOTEC INC.		
IMMUNOVACCINE INC.		
IMPACT SILVER CORP.		
IMPAX ENERGY SERVICES INCOME TRUST	ASC CTO	1a, 1b, 1c, 1d, 1e
IMPERIAL CANADIAN BOND POOL		
IMPERIAL CANADIAN DIVERSIFIED INCOME POOL		
IMPERIAL CANADIAN DIVIDEND INCOME POOL		
IMPERIAL CANADIAN EQUITY POOL		
IMPERIAL EMERGING ECONOMIES POOL		
IMPERIAL EQUITIES INC.		
IMPERIAL EQUITY HIGH INCOME POOL		
IMPERIAL GENERAL PROPERTIES LIMITED	ASC CTO	1a, 1b, 1c, 1e
IMPERIAL GINSENG PRODUCTS LTD.		
IMPERIAL GLOBAL EQUITY INCOME POOL		
IMPERIAL INTERNATIONAL BOND POOL		
IMPERIAL INTERNATIONAL EQUITY POOL		
IMPERIAL MONEY MARKET POOL		
IMPERIAL OIL LIMITED		
IMPERIAL OVERSEAS EQUITY POOL		
IMPERIAL SHORT-TERM BOND POOL		
IMPERIAL SQUARE BUSINESS PARK BURNABY	ASC CTO	1a, 1b, 1c, 1e
IMPERIAL SQUARE EDMONTON III	ASC CTO	1a, 1b, 1c, 1e
IMPERIAL SQUARE EDMONTON PHASE II	ASC CTO	1a, 1b, 1c, 1e
IMPERIAL SQUARE WESTCHASE LIMITED	ASC CTO	1a, 1b, 1c, 1e
IMPERIAL U.S. EQUITY POOL		
IMPRESSION PLAN		
IMRIS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
IMVESCOR RESTAURANT GROUP INC.		
INCA ONE GOLD CORP.		
INCOME 100 FUND		
INCOME 20/80 FUND		
INCOME 40/60 FUND		
INCOME FINANCIAL TRUST		
INCOME FUND (PORTICO)		
INCOME TRUSTCO CORPORATION	ASC CTO	1a, 1b, 1c, 1e
INCUBUS	ASC CTO	1a, 1b, 1c, 1e
INDEPENDENCE GOLD CORP.		

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INDEPENDENCE PETROLEUMS INC.	ASC CTO	1a, 1b, 1c, 1e
INDEPENDENT GROWTH FINDERS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
INDEXPLUS INCOME FUND		
INDIANA RESOURCES LIMITED		1g
INDICO RESOURCES LTD.		
INDIGO BOOKS & MUSIC INC.		
INDIGO EXPLORATION INC.		
INDO GLOBAL EXCHANGE(S) PTE, LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
INDO PACIFIC RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
INDUSTRIAL ALLIANCE INSURANCE AND FINANCIAL SERVICES INC.		
INDUSTRIALEX MANUFACTURING CORP.	ASC CTO	1a, 1b, 1c, 1e
INFINITE RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
INFINITO GOLD LTD.	ASC CTO	1a, 1b, 1c, 1e
INFOCORP COMPUTER SOLUTIONS LTD	ASC CTO	1a, 1b, 1c, 1d, 1e, 3
INFO-GROUP VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
INFOR ACQUISITION CORP.		
INFORM RESOURCES CORP.		
INFORMATION SERVICES CORPORATION		
INFOWAVE SOFTWARE, INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
INFRASTRUCTURE MATERIALS CORP.		
INITIAL DEVELOPERS LIMITED	ASC CTO	1a, 1b, 1c, 1e
INMED PHARMACEUTICALS INC.		
INNERGEX RENEWABLE ENERGY INC.		
INNEXUS BIOTECHNOLOGY INC.	ASC CTO	1a, 1b, 1c, 1e, 3
INNOVA GAMING GROUP INC.		
INNOVATIVE COMPOSITES INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
INNOVATIVE ENVIRONMENTAL SERVICES LTD.	ASC CTO	1a, 1b, 1c, 1e
INNOVATIVE PROPERTIES INC.		
INNOVATIVE WIRELINE SOLUTIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 3
INNOVENTE INC.	ASC CTO	1a, 1b, 1c, 1e
INNOVIUM MEDIA PROPERTIES CORP	ASC CTO	1a, 1b, 1c, 1e
INNOVOTECH INC.		
INNVEST REAL ESTATE INVESTMENT TRUST		
INOUE TECHNOLOGIES (CANADA) INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
INOVALIS REAL ESTATE INVESTMENT TRUST		
INOVENT CAPITAL INC.		
INPUT CAPITAL CORP.		
INSCAPE CORPORATION		
INSPIRA FINANCIAL INC.		

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INSPIRATION MINING CORPORATION		
INTACT FINANCIAL CORPORATION		
INTACT GOLD CORP.		
INTEGRA BALANCED FUND		
INTEGRA BOND FUND		
INTEGRA CANADIAN VALUE GROWTH FUND		
INTEGRA EQUITY FUND		
INTEGRA GOLD CORP.		
INTEGRA INTERNATIONAL EQUITY FUND		
INTEGRA NEWTON GLOBAL EQUITY FUND		
INTEGRA U.S. VALUE GROWTH FUND		
INTEGRATED ASSET MANAGEMENT CORP.		
INTEGRATED COMMUNICATIONS INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
INTEGRATED FLIGHT SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
INTEGRATED RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
INTELGENX TECHNOLOGIES CORP.		
INTELLECTUAL CAPITAL GROUP LTD.	ASC CTO	1a, 1b, 1c, 1e
INTELLIGENT WEB TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
INTELLIPHARMACEUTICS INTERNATIONAL INC.		
INTEMA SOLUTIONS INC.		
INTER CABLE COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
INTER PIPELINE LTD.		
INTERACTIVE CAPITAL PARTNERS CORPORATION		
INTER-ASIA EQUITIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
INTERCABLE ICH INC.	ASC CTO	1a, 1b, 1c, 1e, 3
INTERCEPT ENERGY SERVICES INC.		
INTERCONNECT VENTURES CORPORATION		
INTEREX MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1e
INTERFOR CORPORATION		
INTERGULF RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e
INTERLOCK CONSOLIDATED ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
INTERMAP TECHNOLOGIES CORPORATION		
INTERNATIONAL ACCORD INC.	ASC CTO	1a, 1b, 1c, 1e
INTERNATIONAL ANTAREX METALS LTD.	ASC CTO	1a, 1b, 1c, 1e
INTERNATIONAL BARRIER TECHNOLOGY INC.		
INTERNATIONAL BASLEN ENTERPRISES LIMITED	ASC CTO	1a, 1b, 1c, 1e
INTERNATIONAL BETHLEHEM MINING CORP.		
INTERNATIONAL BIGSKY RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
INTERNATIONAL BOND FUND (CLI)		

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INTERNATIONAL CAPTAIN INDUSTRIES CORP.	ASC CTO	1a, 1b, 1c, 1e
INTERNATIONAL DATACASTING CORPORATION		1b, 1c, 1e, 3
INTERNATIONAL DORADO RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
INTERNATIONAL EQUITY ALPHA CORPORATE CLASS		
INTERNATIONAL EQUITY CLASS (PUTNAM)		
INTERNATIONAL EQUITY FUND (PUTNAM)		
INTERNATIONAL EQUITY GROWTH CORPORATE CLASS		
INTERNATIONAL EQUITY GROWTH POOL		
INTERNATIONAL EQUITY VALUE CORPORATE CLASS		
INTERNATIONAL EQUITY VALUE CURRENCY HEDGED CORPORATE CLASS		
INTERNATIONAL EQUITY VALUE POOL		
INTERNATIONAL FAIRHAVEN RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
INTERNATIONAL FALCON RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
INTERNATIONAL FITNESS UNLIMITED CENTRES INC.	ASC CTO	1a, 1b, 1c, 1e
INTERNATIONAL FRONTIER RESOURCES CORPORATION		
INTERNATIONAL GROWTH FUND	ASC CTO	1a, 1b, 1c
INTERNATIONAL HEALTH & BEAUTY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
INTERNATIONAL HI-TECH INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
INTERNATIONAL HOSPITALITY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
INTERNATIONAL ISOTOPE INC.		1g
INTERNATIONAL LITHIUM CORP.		
INTERNATIONAL METHANE COMPANY LIMITED	ASC CTO	1a, 1b, 1c, 1e
INTERNATIONAL MILLENNIUM MINING CORP.		
INTERNATIONAL MINING & INFRASTRUCTURE CORPORATION PLC		
INTERNATIONAL MONTORO RESOURCES INC.		
INTERNATIONAL PARKSIDE PRODUCTS INC.		
INTERNATIONAL PLAYER ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e
INTERNATIONAL ROAD DYNAMICS INC.		
INTERNATIONAL RORAIMA GOLD CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
INTERNATIONAL SAMUEL EXPLORATION CORP.		
INTERNATIONAL SOFTROCK OIL COMPANY LIMITED		
INTERNATIONAL SPIRIT AND BEVERAGE GROUP, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
INTERNATIONAL STARTECK INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
INTERNATIONAL TECHNOLOGIES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
INTERNATIONAL TIKA RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
INTERNATIONAL TOWER HILL MINES LTD.		
INTERNATIONAL TUNGSTEN INC.		
INTERNATIONAL UTILITY STRUCTURES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
INTERNATIONAL WALLCOVERINGS LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g

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Name	Cease Traded	Nature of Default
INTERNATIONAL WASTEWATER SYSTEMS INC.		
INTERNET CARD SECURITY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
INTERNET OF THINGS INC.		
INTEROIL CORPORATION		
INTERQUEST INCORPORATED.	ASC CTO	1a, 1b, 1c, 1e, 1k
INTERRENT REAL ESTATE INVESTMENT TRUST		
INTER-ROCK MINERALS INC.		
INTERSOFT TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
ENTERTAINMENT MEDIA INC.		
INTERTAPE POLYMER GROUP INC.		
INTEX MINING COMPANY LIMITED	ASC CTO	1a, 1b, 1c, 1e
INTIGOLD MINES LTD.		
IN-TOUCH SURVEY SYSTEMS LTD.		
INTRACOASTAL SYSTEM ENGINEERING CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
INTREPID MINES LIMITED		3
INTRINSIC4D INC.		
INTRINSYC TECHNOLOGIES CORPORATION		
INV METALS INC.		
INVENTRONICS LIMITED		
INVENTUS MINING CORP.		
INVESCO ALLOCATION FUND		
INVESCO CANADA MONEY MARKET FUND		
INVESCO CANADIAN BALANCED FUND		
INVESCO CANADIAN PREMIER GROWTH CLASS		
INVESCO CANADIAN PREMIER GROWTH FUND		
INVESCO CORE CANADIAN BALANCED CLASS		
INVESCO EMERGING MARKETS DEBT FUND		
INVESCO EUROPEAN GROWTH CLASS		
INVESCO GLOBAL BOND FUND		
INVESCO GLOBAL GROWTH CLASS		
INVESCO GLOBAL REAL ESTATE FUND		
INVESCO INDO-PACIFIC FUND		
INVESCO INTACTIVE 2023 PORTFOLIO		
INVESCO INTACTIVE 2028 PORTFOLIO		
INVESCO INTACTIVE 2033 PORTFOLIO		
INVESCO INTACTIVE 2038 PORTFOLIO		
INVESCO INTACTIVE BALANCED GROWTH PORTFOLIO		
INVESCO INTACTIVE BALANCED GROWTH PORTFOLIO CLASS		
INVESCO INTACTIVE BALANCED INCOME PORTFOLIO		

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INVESCO INTACTIVE BALANCED INCOME PORTFOLIO CLASS		
INVESCO INTACTIVE DIVERSIFIED INCOME PORTFOLIO		
INVESCO INTACTIVE DIVERSIFIED INCOME PORTFOLIO CLASS		
INVESCO INTACTIVE GROWTH PORTFOLIO		
INVESCO INTACTIVE GROWTH PORTFOLIO CLASS		
INVESCO INTACTIVE MAXIMUM GROWTH PORTFOLIO		
INVESCO INTACTIVE MAXIMUM GROWTH PORTFOLIO CLASS		
INVESCO INTACTIVE STRATEGIC CAPITAL YIELD PORTFOLIO CLASS		
INVESCO INTACTIVE STRATEGIC YIELD PORTFOLIO		
INVESCO INTERNATIONAL GROWTH CLASS		
INVESCO INTERNATIONAL GROWTH FUND		
INVESCO SELECT CANADIAN EQUITY FUND		
INVESCO SHORT-TERM INCOME CLASS		
INVESTISSEMENTS TSPL INC.	ASC CTO	1a, 1b, 1c, 1e
INVESTMENT GRADE INFRASTRUCTURE BOND FUND		
INVESTMENT GRADE MANAGED DURATION INCOME FUND		
INVESTORS CANADIAN BALANCED FUND		
INVESTORS CANADIAN BOND FUND		
INVESTORS CANADIAN CORPORATE BOND FUND		
INVESTORS CANADIAN EQUITY CLASS		
INVESTORS CANADIAN EQUITY FUND		
INVESTORS CANADIAN EQUITY INCOME FUND		
INVESTORS CANADIAN GROWTH CLASS		
INVESTORS CANADIAN GROWTH FUND		
INVESTORS CANADIAN HIGH YIELD INCOME FUND		
INVESTORS CANADIAN LARGE CAP VALUE CLASS		
INVESTORS CANADIAN LARGE CAP VALUE FUND		
INVESTORS CANADIAN MONEY MARKET CLASS		
INVESTORS CANADIAN MONEY MARKET FUND		
INVESTORS CANADIAN NATURAL RESOURCE FUND		
INVESTORS CANADIAN SMALL CAP CLASS		
INVESTORS CANADIAN SMALL CAP FUND		
INVESTORS CANADIAN SMALL CAP GROWTH CLASS		
INVESTORS CANADIAN SMALL CAP GROWTH FUND		
INVESTORS CORE CANADIAN EQUITY CLASS		
INVESTORS CORE CANADIAN EQUITY FUND		
INVESTORS CORE U.S. EQUITY CLASS		
INVESTORS CORE U.S. EQUITY FUND		
INVESTORS CORNERSTONE I PORTFOLIO		

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INVESTORS CORNERSTONE II PORTFOLIO		
INVESTORS CORNERSTONE III PORTFOLIO		
INVESTORS DIVIDEND CLASS		
INVESTORS DIVIDEND FUND		
INVESTORS EUROPEAN EQUITY CLASS		
INVESTORS EUROPEAN EQUITY FUND		
INVESTORS EUROPEAN MID-CAP EQUITY CLASS		
INVESTORS EUROPEAN MID-CAP EQUITY FUND		
INVESTORS FIXED INCOME FLEX PORTFOLIO		
INVESTORS GLOBAL BOND FUND		
INVESTORS GLOBAL CLASS		
INVESTORS GLOBAL CONSUMER COMPANIES CLASS		
INVESTORS GLOBAL FINANCIAL SERVICES CLASS		
INVESTORS GLOBAL FINANCIAL SERVICES FUND		
INVESTORS GLOBAL FIXED INCOME FLEX PORTFOLIO		
INVESTORS GLOBAL FUND		
INVESTORS GLOBAL HEALTH CARE CLASS		
INVESTORS GLOBAL INFRASTRUCTURE CLASS		
INVESTORS GLOBAL NATURAL RESOURCES CLASS		
INVESTORS GLOBAL REAL ESTATE FUND		
INVESTORS GLOBAL SCIENCE & TECHNOLOGY CLASS		
INVESTORS GLOBAL SCIENCE & TECHNOLOGY FUND		
INVESTORS GREATER CHINA CLASS		
INVESTORS GREATER CHINA FUND		
INVESTORS GROUP EQUITY POOL		
INVESTORS GROUP INCOME POOL		
INVESTORS GROWTH PLUS PORTFOLIO		
INVESTORS GROWTH PORTFOLIO		
INVESTORS INCOME PLUS PORTFOLIO		
INVESTORS INTERNATIONAL EQUITY CLASS		
INVESTORS INTERNATIONAL EQUITY FUND		
INVESTORS INTERNATIONAL SMALL CAP CLASS		
INVESTORS LOW VOLATILITY CANADIAN EQUITY CLASS		
INVESTORS LOW VOLATILITY CANADIAN EQUITY FUND		
INVESTORS LOW VOLATILITY GLOBAL EQUITY CLASS		
INVESTORS LOW VOLATILITY GLOBAL EQUITY FUND		
INVESTORS MORTGAGE AND SHORT TERM INCOME FUND		
INVESTORS MUTUAL OF CANADA		
INVESTORS NORTH AMERICAN EQUITY CLASS		

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INVESTORS NORTH AMERICAN EQUITY FUND		
INVESTORS PACIFIC INTERNATIONAL CLASS		
INVESTORS PACIFIC INTERNATIONAL FUND		
INVESTORS PAN ASIAN EQUITY CLASS		
INVESTORS PAN ASIAN EQUITY FUND		
INVESTORS QUÉBEC ENTERPRISE CLASS		
INVESTORS QUEBEC ENTERPRISE FUND		
INVESTORS REAL PROPERTY FUND		
INVESTORS RETIREMENT GROWTH PORTFOLIO		
INVESTORS RETIREMENT PLUS PORTFOLIO		
INVESTORS SUMMA SRI CLASS		
INVESTORS SUMMA SRI FUND		
INVESTORS SYNDICATE LIMITED		
INVESTORS U.S. DIVIDEND GROWTH FUND		
INVESTORS U.S. DIVIDEND REGISTERED FUND		
INVESTORS U.S. LARGE CAP VALUE CLASS		
INVESTORS U.S. LARGE CAP VALUE FUND		
INVESTORS U.S. MONEY MARKET FUND		
INVESTORS U.S. OPPORTUNITIES CLASS		
INVESTORS U.S. OPPORTUNITIES FUND		
INVESTORS U.S. SMALL CAP CLASS		
INVICTUS FINANCIAL INC.		
INVICTUS MD STRATEGIES CORP.		
INVIRO MEDICAL INC.	ASC CTO	1a, 1b, 1c, 1e
INWEST RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
INZINC MINING LTD.		
IONA ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
IOU FINANCIAL INC.		
IPLAYCO CORPORATION LTD.		
IPROFILE CANADIAN EQUITY CLASS		
IPROFILE CANADIAN EQUITY POOL		
IPROFILE EMERGING MARKETS CLASS		
IPROFILE EMERGING MARKETS POOL		
IPROFILE FIXED INCOME POOL		
IPROFILE INTERNATIONAL EQUITY CLASS		
IPROFILE INTERNATIONAL EQUITY POOL		
IPROFILE U.S. EQUITY CLASS		
IPROFILE U.S. EQUITY POOL		
IRI SEPARATION TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e

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IRON SOUTH MINING CORP.		
IRONHORSE OIL & GAS INC.		
IRONSIDE RESOURCES INC.		
IRVCO RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
IRVING RESOURCES INC.		
ISCOPE INC.	ASC CTO	1a, 1b, 1c, 1e
ISE LIMITED	ASC CTO	1a, 1b, 1c, 1e
ISEE3D INC.	ASC CTO	1a, 1b, 1c, 1e
ISHARES 1-10 YEAR LADDERED CORPORATE BOND INDEX ETF		
ISHARES 1-10 YEAR LADDERED GOVERNMENT BOND INDEX ETF		
ISHARES 1-5 YEAR LADDERED CORPORATE BOND INDEX ETF		
ISHARES 1-5 YEAR LADDERED GOVERNMENT BOND INDEX ETF		
ISHARES ALTERNATIVES COMPLETION PORTFOLIO BUILDER FUND		
ISHARES BALANCED GROWTH COREPORTFOLIOTM INDEX ETF		
ISHARES BALANCED INCOME COREPORTFOLIOTM INDEX ETF		
ISHARES BRIC INDEX ETF		
ISHARES CANADIAN CORPORATE BOND INDEX ETF		
ISHARES CANADIAN FINANCIAL MONTHLY INCOME ETF		
ISHARES CANADIAN FUNDAMENTAL INDEX ETF		
ISHARES CANADIAN GOVERNMENT BOND INDEX ETF		
ISHARES CANADIAN GROWTH INDEX ETF		
ISHARES CANADIAN HYBRID CORPORATE BOND INDEX ETF		
ISHARES CANADIAN REAL RETURN BOND INDEX ETF		
ISHARES CANADIAN SELECT DIVIDEND INDEX ETF		
ISHARES CANADIAN SHORT TERM BOND INDEX ETF		
ISHARES CANADIAN UNIVERSE BOND INDEX ETF		
ISHARES CANADIAN VALUE INDEX ETF		
ISHARES CHINA INDEX ETF		
ISHARES CONSERVATIVE CORE PORTFOLIO BUILDER FUND		
ISHARES CONSERVATIVE SHORT TERM STRATEGIC FIXED INCOME ETF		
ISHARES CONSERVATIVE STRATEGIC FIXED INCOME ETF		
ISHARES CONVERTIBLE BOND INDEX ETF		
ISHARES CORE CANADIAN LONG TERM BOND INDEX ETF		
ISHARES CORE CANADIAN SHORT TERM CORPORATE + MAPLE BOND INDEX ETF		
ISHARES CORE HIGH QUALITY CANADIAN BOND INDEX ETF		
ISHARES CORE MSCI ALL COUNTRY WORLD EX CANADA INDEX ETF		
ISHARES CORE MSCI EAFE IMI INDEX ETF		
ISHARES CORE MSCI EAFE IMI INDEX ETF (CAD-HEDGED)		

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ISHARES CORE MSCI EMERGING MARKETS IMI INDEX ETF		
ISHARES CORE S&P 500 INDEX ETF		
ISHARES CORE S&P 500 INDEX ETF (CAD-HEDGED)		
ISHARES CORE S&P U.S. TOTAL MARKET INDEX ETF		
ISHARES CORE S&P U.S. TOTAL MARKET INDEX ETF (CAD-HEDGED)		
ISHARES CORE S&P/TSX CAPPED COMPOSITE INDEX ETF		
ISHARES CORE S&P/TSX COMPOSITE HIGH DIVIDEND INDEX ETF		
ISHARES CORE SHORT TERM HIGH QUALITY CANADIAN BOND INDEX ETF		
ISHARES DIVERSIFIED MONTHLY INCOME ETF		
ISHARES EDGE MSCI MIN VOL CANADA INDEX ETF		
ISHARES EDGE MSCI MIN VOL EAFE INDEX ETF		
ISHARES EDGE MSCI MIN VOL EAFE INDEX ETF (CAD-HEDGED)		
ISHARES EDGE MSCI MIN VOL EMERGING MARKETS INDEX ETF		
ISHARES EDGE MSCI MIN VOL GLOBAL INDEX ETF		
ISHARES EDGE MSCI MIN VOL GLOBAL INDEX ETF (CAD-HEDGED)		
ISHARES EDGE MSCI MIN VOL USA INDEX ETF		
ISHARES EDGE MSCI MIN VOL USA INDEX ETF (CAD-HEDGED)		
ISHARES EDGE MSCI MULTIFACTOR CANADA INDEX ETF		
ISHARES EDGE MSCI MULTIFACTOR EAFE INDEX ETF		
ISHARES EDGE MSCI MULTIFACTOR EAFE INDEX ETF (CAD-HEDGED)		
ISHARES EDGE MSCI MULTIFACTOR USA INDEX ETF		
ISHARES EDGE MSCI MULTIFACTOR USA INDEX ETF (CAD-HEDGED)		
ISHARES EMERGING MARKETS FUNDAMENTAL INDEX ETF		
ISHARES EQUAL WEIGHT BANC & LIFECO ETF		
ISHARES FLOATING RATE INDEX ETF		
ISHARES GLOBAL AGRICULTURE INDEX ETF		
ISHARES GLOBAL COMPLETION PORTFOLIO BUILDER FUND		
ISHARES GLOBAL HEALTHCARE INDEX ETF (CAD-HEDGED)		
ISHARES GLOBAL INFRASTRUCTURE INDEX ETF		
ISHARES GLOBAL MONTHLY DIVIDEND INDEX ETF (CAD-HEDGED)		
ISHARES GLOBAL REAL ESTATE INDEX ETF		
ISHARES GLOBAL WATER INDEX ETF		
ISHARES GOLD BULLION ETF		
ISHARES GROWTH CORE PORTFOLIO BUILDER FUND		
ISHARES INDIA INDEX ETF		
ISHARES INTERNATIONAL FUNDAMENTAL INDEX ETF		
ISHARES J.P. MORGAN USD EMERGING MARKETS BOND INDEX ETF (CAD-HEDGED)		
ISHARES JANTZI SOCIAL INDEX ETF		

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ISHARES JAPAN FUNDAMENTAL INDEX ETF (CAD-HEDGED)		
ISHARES MSCI BRAZIL INDEX ETF		
ISHARES MSCI EAFE INDEX ETF (CAD-HEDGED)		
ISHARES MSCI EMERGING MARKETS INDEX ETF		
ISHARES MSCI EUROPE IMI INDEX ETF		
ISHARES MSCI EUROPE IMI INDEX ETF (CAD-HEDGED)		
ISHARES MSCI WORLD INDEX ETF		
ISHARES NASDAQ 100 INDEX ETF (CAD-HEDGED)		
ISHARES PREMIUM MONEY MARKET ETF		
ISHARES S&P GLOBAL CONSUMER DISCRETIONARY INDEX ETF (CAD-HEDGED)		
ISHARES S&P GLOBAL INDUSTRIALS INDEX ETF (CAD-HEDGED)		
ISHARES S&P U.S. MID-CAP INDEX ETF		
ISHARES S&P U.S. MID-CAP INDEX ETF (CAD-HEDGED)		
ISHARES S&P/TSX 60 INDEX ETF		
ISHARES S&P/TSX CANADIAN DIVIDEND ARISTOCRATS INDEX ETF		
ISHARES S&P/TSX CANADIAN PREFERRED SHARE INDEX ETF		
ISHARES S&P/TSX CAPPED CONSUMER STAPLES INDEX ETF		
ISHARES S&P/TSX CAPPED ENERGY INDEX ETF		
ISHARES S&P/TSX CAPPED FINANCIALS INDEX ETF		
ISHARES S&P/TSX CAPPED INFORMATION TECHNOLOGY INDEX ETF		
ISHARES S&P/TSX CAPPED MATERIALS INDEX ETF		
ISHARES S&P/TSX CAPPED REIT INDEX ETF		
ISHARES S&P/TSX CAPPED UTILITIES INDEX ETF		
ISHARES S&P/TSX COMPLETION INDEX ETF		
ISHARES S&P/TSX GLOBAL BASE METALS INDEX ETF		
ISHARES S&P/TSX GLOBAL GOLD INDEX ETF		
ISHARES S&P/TSX NORTH AMERICAN PREFERRED STOCK INDEX ETF (CAD-HEDGED)		
ISHARES S&P/TSX SMALLCAP INDEX ETF		
ISHARES SHORT DURATION HIGH INCOME ETF (CAD-HEDGED)		
ISHARES SHORT TERM STRATEGIC FIXED INCOME ETF		
ISHARES SILVER BULLION ETF		
ISHARES U.S. HIGH DIVIDEND EQUITY INDEX ETF		
ISHARES U.S. HIGH DIVIDEND EQUITY INDEX ETF (CAD-HEDGED)		
ISHARES U.S. HIGH YIELD BOND INDEX ETF (CAD-HEDGED)		
ISHARES U.S. HIGH YIELD FIXED INCOME INDEX ETF (CAD-HEDGED)		
ISHARES U.S. IG CORPORATE BOND INDEX ETF (CAD-HEDGED)		
ISHARES U.S. SMALL CAP INDEX ETF (CAD-HEDGED)		
ISHARES US DIVIDEND GROWERS INDEX ETF (CAD-HEDGED)		

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ISHARES US FUNDAMENTAL INDEX ETF		
ISIGN MEDIA SOLUTIONS INC.		
ISLEINVEST LTD.	ASC CTO	1a, 1b, 1c, 1e
ISP LIMITED	ASC CTO	1a, 1b, 1c, 1e
ITEMUS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
ITHACA ENERGY INC.		
ITOK CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e, 3
ITV GAMES, INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
IVACO INC	ASC CTO	1a, 1b, 1c, 1d, 1e
IVANHOE ENERGY INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
IVANHOE MINES LTD.		
IVEY MEDICAL SYSTEMS LIMITED	ASC CTO	1a, 1b, 1c, 1e
IVG ENTERPRISES LTD.	ASC CTO	1a, 1b, 1c, 1e
IVRNET INC.		
IVS INTELLIGENT VEHICLE SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
IWG TECHNOLOGIES INC.		
J C INTERNATIONAL PETROLEUM LTD.	ASC CTO	1a, 1b, 1c, 1e
J D S INVESTMENTS LIMITED	ASC CTO	1a, 1b, 1c, 1e
JACKAL ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
JACKPOT DIGITAL INC.		
JADE CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
JAFTEK VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
JAGERCOR ENERGY CORP.		
JAGUAR FINANCIAL CORPORATION		
JAGUAR MINING INC.		
JAGUAR RESOURCES INC.		
JAMES BAY RESOURCES LIMITED		
JAMIE FRONTIER RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
JARDIN FINANCIAL GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
JARISLOWSKY FRASER SELECT BALANCED FUND		
JARISLOWSKY FRASER SELECT CANADIAN EQUITY FUND		
JARISLOWSKY FRASER SELECT INCOME FUND		
JASPER MINING CORPORATION		
JAVA JOE'S INTERNATIONAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
JAVELIN ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
JAVELLE CAPITAL CORP.		
JAWZ INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
JAXON MINERALS INC.		
JAYDEN RESOURCES INC.		

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JAZZ RESOURCES INC.		
JCITECH.COM INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
JCP MARKETING INC.	ASC CTO	1a, 1b, 1c, 1e
JDF EXPLORATIONS INC.		
JEFFERSON VALLEY GOLD MINES INC.	ASC CTO	1a, 1b, 1c, 1e
JEMI FIBRE CORP.		
JEMTEC INC.		
JEN TEK ENTERPRISES AND EXPLORATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
JENNIFER PETROLEUMS LTD.	ASC CTO	1a, 1b, 1c, 1e
JENTAN RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
JER ENVIROTECH INTERNATIONAL CORP.	ASC CTO	1a, 1b, 1c, 1e
JEREZ ENERGY INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
JERICO OIL CORPORATION		
JERICO EXPLORATIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 3
JET GOLD CORP.		
JET METAL CORP.		
JET PACIFIC INVESTMENTS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
JETCOM INC.	ASC CTO	1a, 1b, 1c, 1e
JFT STRATEGIES FUND		
JG WEALTH MANAGEMENT CORPORATION		
JIMINEX INC.	ASC CTO	1a, 1b, 1c, 1e
JINHUA CAPITAL CORPORATION		
JIULIAN RESOURCES INC.		
JM CAPITAL II CORP.		
JOB INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
JOFFRE RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
JOHN DEERE CANADA FUNDING INC.		
JONPOL RESOURCES INC.		
JOSEPHINE MINING CORP.	ASC CTO	1a, 1b, 1c, 1e
JOURDAN RESOURCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e, 3
JOURNEY ENERGY INC.		
JOURNEY'S END BATHURST LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
JOURNEY'S END SHERBROOKE AND COMPANY, LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
JPY HOLDINGS LTD.	ASC CTO	1a, 1b, 1c, 1e
JUBILEE GOLD EXPLORATION LTD.		
JUMBO PETROLEUM CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
JUNEX INC.		
JUNIPER INCOME FUND		1a, 1b, 1c, 1d
JUPITER DEVELOPMENT CORPORATION LIMITED	ASC CTO	1a, 1b, 1c, 1e

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Name	Cease Traded	Nature of Default
JURA ENERGY CORPORATION		
JUST ENERGY GROUP INC.		
K T RESOURCES 1981 LIMITED	ASC CTO	1a, 1b, 1c, 1e
K92 MINING INC.		
KAIROS CAPITAL CORPORATION		
KAIYUE INTERNATIONAL INC.		
KAIZEN DISCOVERY INC.		
KALA CANADA LTD.	ASC CTO	1a, 1b, 1c, 1e
KAM CREED MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
KAMINAK GOLD CORPORATION		
KANE BIOTECH INC.		
KANEH BOSM BIOTECHNOLOGY INC.		
KANSAI MINING CORPORATION	ASC CTO	1a, 1b, 1c, 1e
KAP RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
KAPUSKASING GOLD CORP.		
KARMIN EXPLORATION INC.		
KARNALYTE RESOURCES INC.		
KAROO EXPLORATION CORP.		
KARSTEN ENERGY CORP.		
KAST TELECOM EUROPE S.A.	ASC CTO	1a, 1b, 1c, 1e, 1g
KATANA RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
KATANGA MINING LIMITED		
KAYMUS RESOURCES INC.		
KAZAX MINERALS INC.		
KAZZ INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
KBL CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
K-BRO LINEN INC.		
KCP RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
KDR INDUSTRIALS LTD.	ASC CTO	1a, 1b, 1c, 1e
KEC ENVIRONMENTAL CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
KEEK INC.		1k
KELSO TECHNOLOGIES INC.		
KELT EXPLORATION LTD.		
KELVIN ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e
KENDALL VENTURE FUNDING LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
KENNA RESOURCES CORP.		
KENNADY DIAMONDS INC.		
KENORA GOLD OCCURRENCES INC.	ASC CTO	1a, 1b, 1c, 1e
KENSBROOK DEVELOPMENT CORPORATION	ASC CTO	1a, 1b, 1c, 1e

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KERMODE RESOURCES LTD.		
KERR MINES INC.		
KESSELRUN RESOURCES LTD.		
KESTREL GOLD INC.	ASC CTO	1a, 1b, 1c, 1e
KEW MEDIA GROUP INC.		
KEYERA CORP.		
KEYWEST RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
KFG RESOURCES LTD.		
KGIC INC.		
KHALKOS EXPLORATION INC.		
KHAN RESOURCES INC.		
KIDDO TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
KILKENNY CAPITAL CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e
KILLAM APARTMENT LIMITED PARTNERSHIP		
KILLAM APARTMENT REAL ESTATE INVESTMENT TRUST		
KILLDEER MINERALS INC.		
KILO GOLDMINES LTD.		
KIMPEX INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
KINAXIS INC.		
KINBURN TECHNOLOGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e
KINCORA COPPER LIMITED		
KINETEX RESOURCES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
KING COMMUNICATIONS INTERNATIONAL LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
KING GEORGE FINANCIAL CORPORATION		
KING'S BAY GOLD CORPORATION		
KINGSCROSS COMMUNITIES INCORPORATED	ASC CTO	1a, 1b, 1c, 1e
KINGSLAND ENERGY CORP.		
KINGSMEN RESOURCES LTD.		
KINGSMERE CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
KINGSWAY 2007 GENERAL PARTNERSHIP		
KINGSWAY FINANCIAL SERVICES INC.		
KINROSS GOLD CORPORATION		
KINTLA EXPLORATIONS LIMITED	ASC CTO	1a, 1b, 1c, 1e
KIPP & ZONEN INC.	ASC CTO	1a, 1b, 1c, 1e
KIRKLAND LAKE GOLD INC.		
KIRRIN RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
KISKA METALS CORPORATION		
KITRINOR METALS INC.		
KIVALLIQ ENERGY CORPORATION		

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KLONDEX MINES LTD.		
KLONDIKE GOLD CORP.		
KLONDIKE SILVER CORP.		
KMT-HANSA CORP.		3
KNEAT.COM, INC.		
KNICK EXPLORATION INC.		1c
KNIGHT THERAPEUTICS INC.		
KNIGHTHAWK INC.		
KNIGHTSCOVE MEDIA CORP.	ASC CTO	1a, 1b, 1c, 1e, 3
KNIGHTSWOOD FINANCIAL CORP.		
KNOL RESOURCES CORP.		
KNOWLEDGE HOUSE INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
KNOWLEDGE PLUS MULTIMEDIA PUBLISHING LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
KNOWLEDGEMAX INC.	ASC CTO	1a, 1b, 1c, 1e
KNOWLTON CAPITAL INC.		
KNOX WESTERN CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
KOBEX CAPITAL CORP.		
KODIAK ENERGY SERVICES LTD.	ASC CTO	1a, 1b, 1c, 1e
KODIAK ENERGY, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
KOLOMBO TECHNOLOGIES LTD.	ASC CTO	1a, 1b, 1c, 1e
KOMBAT COPPER INC.		
KOMET RESOURCES INC.		
KOMUNIK CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
KONEXUS TECHNOLOGIES LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
KOOSI CREEK VINEYARDS II LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
KOOTENAY SILVER INC.		
KOVAR MANAGEMENT INC.	ASC CTO	1a, 1b, 1c, 1e
KP TISSUE INC.		
KR INVESTMENT LTD.		
KRAKEN SONAR INC.		
KRAMER CAPITAL CORP.		
KREE TECH INTERNATIONAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
KRISTIANSEN CYCLE ENGINES LTD.	ASC CTO	1a, 1b, 1c, 1e
KRYSTAL BOND INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
KT CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
KWG RESOURCES INC.		
LA FOSSE PLATINUM GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
LA JOLLA CAPITAL INC.		
LA TOUR 1990 II LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e

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LAB RESEARCH INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
LABRADOR IRON MINES HOLDINGS LIMITED		
LABRADOR IRON ORE ROYALTY CORPORATION		
LABRADOR TECHNOLOGIES INC.		
LAGO DOURADO MINERALS LTD.		
LAGUNA BLENDS INC.		
LAIDINEACH INVESTMENT ACQUISITION CORP.		1g
LAKE LOUISE LIMITED PARTNERSHIP		
LAKESIDE MINERALS INC.		
LAKEVIEW HOTEL INVESTMENT CORP.		
LAKEWOOD FOREST PRODUCTS LTD.	ASC CTO	1a, 1b, 1c, 1e
LAMBUS ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
LAMÉLÉE IRON ORE LTD.		
LANCASTER CAPITAL CORP.		
LANDDRILL INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
LANDMARK GLOBAL FINANCIAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
LANDMARK OIL & GAS CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
LANDS END RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
LANDSTAR PROPERTIES INC.	ASC CTO	1a, 1b, 1c, 1e
LANESBOROUGH REAL ESTATE INVESTMENT TRUST		
LANPAR TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
LARA EXPLORATION LTD.		
LARAMIDE RESOURCES LTD.		3
LARGO RESOURCES LTD.		
LARIAT ENERGY LTD.		
LARKEN CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
LASER BLADE SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
LASIK VISION CORPORATION	ASC CTO	1a, 1b, 1c, 1e
LATELCO INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
LATERAL GOLD CORP.		
LATIN AMERICAN MINERALS INC.		
LATTICE BIOLOGICS LTD.		
LAUNCH RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
LAURENTIAN BANK OF CANADA		
LAURION MINERAL EXPLORATION INC.		
LAUZON COMPUTER SOFTWARE LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
LAVA SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
LAVALIN INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
LAWRENCE PARK STRATEGIC INCOME FUND		

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LAZARD EMERGING MARKETS MULTI ASSET FUND		
LAZARD GLOBAL BALANCED INCOME FUND		
LAZARD GLOBAL EQUITY INCOME FUND		
LAZARD GLOBAL LOW VOLATILITY FUND		
LCM RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
LCTI LOW CARBON TECHNOLOGIES INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
LDIC NORTH AMERICAN INFRASTRUCTURE FUND		
LDIC NORTH AMERICAN SMALL BUSINESS FUND (CORPORATE CLASS)		
LE CHATEAU INC.		
LE PRINT EXPRESS INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
LEADER ENERGY SERVICES LTD.	ASC CTO	1a, 1b, 1c, 1e
LEADER MINING INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
LEADFX INC.		
LEARNCO INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
LED MEDICAL DIAGNOSTICS INC.		
LEENLIFE PHARMA INTERNATIONAL INC.		
LEETA GOLD CORP.		
LEEWARD CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
LEGACY EDUCATION SAVINGS PLAN		
LEGACY PETROLEUM LTD.	ASC CTO	1a, 1b, 1c, 1e
LEGEND GOLD CORP.		
LEGEND OIL AND GAS, LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
LEGEND POWER SYSTEMS INC.		
LEHMAN TRIKES INC.	ASC CTO	1a, 1b, 1c, 1e
LEHNDORFF CANADIAN PROPERTIES	ASC CTO	1a, 1b, 1c, 1e, 1g
LEHNDORFF PROPERTIES CANADA III	ASC CTO	1a, 1b, 1c, 1e
LEIS INDUSTRIES LIMITED		
LEITAK ENTERPRISES LTD.	ASC CTO	1a, 1b, 1c, 1e
LEITH WHEELER BALANCED FUND		
LEITH WHEELER CANADIAN DIVIDEND FUND		
LEITH WHEELER CANADIAN EQUITY FUND		
LEITH WHEELER CORPORATE FIXED INCOME FUND		
LEITH WHEELER FIXED INCOME FUND		
LEITH WHEELER HIGH YIELD BOND FUND		
LEITH WHEELER INCOME ADVANTAGE FUND		
LEITH WHEELER INTERNATIONAL EQUITY PLUS FUND		
LEITH WHEELER MONEY MARKET FUND		
LEITH WHEELER U.S. EQUITY FUND		
LENOX POLYMERS LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g

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LEO ACQUISITIONS CORP.		
LEO RESOURCES INC.		
LEONOVUS INC.	Reciprocal CTO	1a, 1b, 1c, 1e
LEON'S FURNITURE LIMITED		
LES MINES J.A.G. LTÉE		1a, 1b, 1c, 1e, 1k
LETHO RESOURCES CORP.		
LEUCADIA FINANCE PARTNERS INC.		
LEUCROTTA EXPLORATION INC.		
LEVON RESOURCES LTD.		
LEXAM VG GOLD INC.		
LEXICON BUILDING SYSTEMS LTD.	ASC CTO	1a, 1b, 1c, 1e
LGC SKYROTA WIND ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 3
LGX OIL + GAS INC.		
LIBERO MINING CORPORATION		
LIFE & BANC SPLIT CORP.		
LIFE MEDICAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
LIFESTYLE DELIVERY SYSTEMS INC.		
LIFETIME VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e
LIGHTNING VENTURES INC.		
LIGHTSTONE TECHNOLOGIES LTD.		
LIGHTSTREAM RESOURCES LTD.		
LIGNOL ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e
LIMERICK MINES LIMITED	ASC CTO	1a, 1b, 1c, 1e
LIMITED DURATION INVESTMENT GRADE PREFERRED SECURITIES FUND		
LIMTECH LITHIUM INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
LINAMAR CORPORATION		
LINCLUDEN BALANCED FUND		
LINCLUDEN SHORT TERM INVESTMENT FUND		
LINCOLN MINING CORPORATION		
LINCOLNS HILL 31 LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
LINGERIE FIGHTING CHAMPIONSHIPS, INC.		1g
LINGO MEDIA CORPORATION		
LINQSTER TECHNOLOGIES INC.		
LINUX GOLD CORP.	ASC CTO	1a, 1b, 1c, 1e
LION ONE METALS LIMITED		
LIONS BAY CAPITAL INC.		
LIONS GATE ENTERTAINMENT CORP.		
LIONS GATE METALS INC.		
LIONS PETROLEUM INC.	ASC CTO	1a, 1b, 1c, 1e, 1k

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LIQUID ENTERTAINMENT LTD.		
LIQUID NUTRITION GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
LIQUOR STORES N.A. LTD.		
LITE ACCESS TECHNOLOGIES INC.		
LITE RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
LITHIUM AMERICAS CORP.		
LITHIUM X ENERGY CORP.		
LIVENT INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
LMK ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
LOBELL OIL & GAS LTD.	ASC CTO	1a, 1b, 1c, 1e
LOBLAW COMPANIES LIMITED		
LOCHAIRD ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
LOCHIEL EXPLORATION LTD.	ASC CTO	1a, 1b, 1c, 1e
LOGAN COPPER INC.	ASC CTO	1a, 1b, 1c, 1e
LOGAN INTERNATIONAL INC.		
LOGAN RESOURCES LTD.		
LOGICIEL SYSTEMS LIMITED	ASC CTO	1a, 1b, 1c, 1e
LOGISTEC CORPORATION		
LOHS SINFULLY GOOD ICE CREAM COOKIES INC.	ASC CTO	1a, 1b, 1c, 1e
LOIL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
LOJACK CORPORATION		1b, 1c, 1d, 1e, 1g
LOMA OIL & GAS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
LOMIKO METALS INC.		
LONCOR RESOURCES INC.		
LONESTAR WEST INC.		
LONG DURATION BOND FUND		
LONG DURATION CREDIT BOND FUND		
LONG LAKE GOLD LTD.	ASC CTO	1a, 1b, 1c, 1e
LONG RUN EXPLORATION LTD.		
LONSDALE APARTMENT PROJECT	ASC CTO	1a, 1b, 1c, 1e
LOOMIS SAYLES STRATEGIC MONTHLY INCOME FUND		
LOON ENERGY CORPORATION		1k
LORNE PARK CAPITAL PARTNERS INC.		
LORRAINE COPPER CORP.		
LOS ANDES COPPER LTD.		
LOTUS VENTURES INC.		
LOUDER FINANCIAL GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
LOUISIANA MINING CORPORATION	ASC CTO	1a, 1b, 1c, 1e
LOUNOR EXPLORATION INC.	ASC CTO	1a, 1b, 1c, 1e

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LOUVICOURT GOLD MINES INC.	ASC CTO	1a, 1b, 1c, 1e
LOVITT RESOURCES INC.		1b, 1c, 1e
LOW VOLATILITY CANADIAN EQUITIES INCOME FUND		
LOW VOLATILITY U.S. EQUITY INCOME FUND		
LOWELL COPPER LTD.		
LS LASER SYSTEMS LTD.	ASC CTO	1a, 1b, 1c, 1e
LUCARA DIAMOND CORP.		
LUCID ENTERTAINMENT INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
LUCKY MINERALS INC.		
LULULEMON ATHLETICA INC.		
LUMENPULSE INC.		
LUMINEX CORPORATION		1g
LUMINOR MEDICAL TECHNOLOGIES INC.		
LUNA GOLD CORP.		
LUND ENTERPRISES CORP.		
LUNDIN GOLD INC.		
LUNDIN MINING CORPORATION		
LUPAKA GOLD CORP.		
LUXMAR RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
LUXOR INDUSTRIAL CORPORATION		3
LWP CAPITAL INC.	Reciprocal CTO	1a, 1b, 1c, 1e
LWT SERVICES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
LYDIA DIAMOND EXPLORATION OF CANADA LTD.	ASC CTO	1a, 1b, 1c, 1e
LYDIAN INTERNATIONAL LIMITED		
LYNNGOLD RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
LYNX GEOSYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
LYON LAKE MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
LYRTECH INC.	ASC CTO	1a, 1b, 1c, 1e
LYSANDER TDV FUND		
LYSANDER-18 ASSET MANAGEMENT CANADIAN EQUITY FUND		
LYSANDER-CANSO BALANCED FUND		
LYSANDER-CANSO BOND FUND		
LYSANDER-CANSO CORPORATE VALUE BOND FUND		
LYSANDER-CANSO EQUITY FUND		
LYSANDER-CANSO SHORT TERM AND FLOATING RATE FUND		
LYSANDER-CANSO U.S. CREDIT FUND		
LYSANDER-CRUSADER EQUITY INCOME FUND		
LYSANDER-ROUNDTABLE LOW VOLATILITY EQUITY FUND		
LYSANDER-SEAMARK BALANCED FUND		

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LYSANDER-SEAMARK TOTAL EQUITY FUND		
LYSANDER-SLATER PREFERRED SHARE ACTIVETF		
LYSANDER-SLATER PREFERRED SHARE DIVIDEND FUND		
LYSANDER-TRIASIMA ALL COUNTRY EQUITY FUND		
LYSANDER-TRIASIMA BALANCED INCOME FUND		
M PHARMACEUTICAL INC.		1k
M SPLIT CORP.		
M.I.T. VENTURES CORP.	ASC CTO	1a, 1b, 1c, 1e
M.L. CASS PETROLEUM CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
M8 ENTERTAINMENT INC	ASC CTO	1a, 1b, 1c, 1d, 1e
MACARTHUR MINERALS LIMITED		
MACCABI VENTURES INC.		
MACDONALD MINES EXPLORATION LTD.		
MACDONALD OIL EXPLORATION LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
MACDONALD, DETTWILER AND ASSOCIATES LTD.		
MACKENZIE ALL CAP DIVIDEND CLASS		
MACKENZIE CANADIAN ALL CAP BALANCED CLASS		
MACKENZIE CANADIAN ALL CAP BALANCED FUND		
MACKENZIE CANADIAN ALL CAP DIVIDEND CLASS		
MACKENZIE CANADIAN ALL CAP DIVIDEND FUND		
MACKENZIE CANADIAN ALL CAP VALUE CLASS		
MACKENZIE CANADIAN ALL CAP VALUE FUND		
MACKENZIE CANADIAN BOND FUND		
MACKENZIE CANADIAN CONCENTRATED EQUITY FUND		
MACKENZIE CANADIAN GROWTH BALANCED FUND		
MACKENZIE CANADIAN GROWTH FUND		
MACKENZIE CANADIAN LARGE CAP BALANCED FUND		
MACKENZIE CANADIAN LARGE CAP DIVIDEND & GROWTH FUND		
MACKENZIE CANADIAN LARGE CAP DIVIDEND CLASS		
MACKENZIE CANADIAN LARGE CAP DIVIDEND FUND		
MACKENZIE CANADIAN LARGE CAP GROWTH FUND		
MACKENZIE CANADIAN MONEY MARKET CLASS		
MACKENZIE CANADIAN MONEY MARKET FUND		
MACKENZIE CANADIAN RESOURCE FUND		
MACKENZIE CANADIAN SHORT TERM INCOME FUND		
MACKENZIE CANADIAN SMALL CAP VALUE CLASS		
MACKENZIE CANADIAN SMALL CAP VALUE FUND		
MACKENZIE CORE PLUS CANADIAN FIXED INCOME ETF		
MACKENZIE CORE PLUS GLOBAL FIXED INCOME ETF		

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Name	Cease Traded	Nature of Default
MACKENZIE CORPORATE BOND FUND		
MACKENZIE CUNDILL CANADIAN BALANCED FUND		1c
MACKENZIE CUNDILL CANADIAN SECURITY CLASS		
MACKENZIE CUNDILL CANADIAN SECURITY FUND		
MACKENZIE CUNDILL RECOVERY CLASS		
MACKENZIE CUNDILL RECOVERY FUND		
MACKENZIE CUNDILL US CLASS		
MACKENZIE CUNDILL VALUE CLASS		
MACKENZIE CUNDILL VALUE FUND		
MACKENZIE DIVERSIFIED ALTERNATIVES FUND		
MACKENZIE DIVERSIFIED EQUITY FUND		
MACKENZIE EMERGING MARKETS CLASS		
MACKENZIE EMERGING MARKETS OPPORTUNITIES CLASS		
MACKENZIE EMERGING MARKETS OPPORTUNITIES FUND		
MACKENZIE FLOATING RATE INCOME ETF		
MACKENZIE FLOATING RATE INCOME FUND		
MACKENZIE GLOBAL ASSET STRATEGY FUND		
MACKENZIE GLOBAL BOND FUND		
MACKENZIE GLOBAL CONCENTRATED EQUITY CLASS		
MACKENZIE GLOBAL CONCENTRATED EQUITY FUND		
MACKENZIE GLOBAL DIVERSIFIED BALANCED FUND		
MACKENZIE GLOBAL DIVERSIFIED EQUITY CLASS		
MACKENZIE GLOBAL DIVIDEND FUND		
MACKENZIE GLOBAL EQUITY INCOME FUND		
MACKENZIE GLOBAL GROWTH CLASS		
MACKENZIE GLOBAL LOW VOLATILITY FUND		
MACKENZIE GLOBAL RESOURCE CLASS		
MACKENZIE GLOBAL SMALL CAP GROWTH CLASS		
MACKENZIE GLOBAL SMALL CAP GROWTH FUND		
MACKENZIE GLOBAL STRATEGIC INCOME FUND		
MACKENZIE GLOBAL TACTICAL BOND FUND		
MACKENZIE GLOBAL TACTICAL INVESTMENT GRADE BOND FUND		
MACKENZIE GOLD BULLION CLASS		
MACKENZIE GROWTH FUND		
MACKENZIE HIGH DIVERSIFICATION CANADIAN EQUITY CLASS		
MACKENZIE HIGH DIVERSIFICATION EMERGING MARKETS EQUITY FUND		
MACKENZIE HIGH DIVERSIFICATION EUROPEAN EQUITY FUND		
MACKENZIE HIGH DIVERSIFICATION GLOBAL EQUITY FUND		
MACKENZIE HIGH DIVERSIFICATION INTERNATIONAL EQUITY FUND		

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MACKENZIE HIGH DIVERSIFICATION US EQUITY FUND		
MACKENZIE INCOME FUND		
MACKENZIE INTERNATIONAL GROWTH CLASS		
MACKENZIE INTERNATIONAL GROWTH FUND		
MACKENZIE INVESTMENT GRADE FLOATING RATE FUND		
MACKENZIE IVY CANADIAN BALANCED FUND		
MACKENZIE IVY CANADIAN FUND		
MACKENZIE IVY EUROPEAN CLASS		
MACKENZIE IVY FOREIGN EQUITY CLASS		
MACKENZIE IVY FOREIGN EQUITY CURRENCY NEUTRAL CLASS		
MACKENZIE IVY FOREIGN EQUITY FUND		
MACKENZIE IVY GLOBAL BALANCED FUND		
MACKENZIE IVY INTERNATIONAL EQUITY FUND		
MACKENZIE MASTER LIMITED PARTNERSHIP		
MACKENZIE MAXIMUM DIVERSIFICATION ALL WORLD DEVELOPED EX NORTH AMERICA INDEX ETF		
MACKENZIE MAXIMUM DIVERSIFICATION ALL WORLD DEVELOPED INDEX ETF		
MACKENZIE MAXIMUM DIVERSIFICATION CANADA INDEX ETF		
MACKENZIE MAXIMUM DIVERSIFICATION DEVELOPED EUROPE INDEX ETF		
MACKENZIE MAXIMUM DIVERSIFICATION EMERGING MARKETS INDEX ETF		
MACKENZIE MAXIMUM DIVERSIFICATION US INDEX ETF		
MACKENZIE MONTHLY INCOME BALANCED PORTFOLIO		
MACKENZIE MONTHLY INCOME CONSERVATIVE PORTFOLIO		
MACKENZIE NORTH AMERICAN CORPORATE BOND FUND		
MACKENZIE PRECIOUS METALS CLASS		
MACKENZIE PRIVATE CANADIAN FOCUSED EQUITY POOL		
MACKENZIE PRIVATE CANADIAN FOCUSED EQUITY POOL CLASS		
MACKENZIE PRIVATE CANADIAN MONEY MARKET POOL		
MACKENZIE PRIVATE GLOBAL CONSERVATIVE INCOME BALANCED POOL		
MACKENZIE PRIVATE GLOBAL EQUITY POOL		
MACKENZIE PRIVATE GLOBAL EQUITY POOL CLASS		
MACKENZIE PRIVATE GLOBAL FIXED INCOME POOL		
MACKENZIE PRIVATE GLOBAL INCOME BALANCED POOL		
MACKENZIE PRIVATE INCOME BALANCED POOL		
MACKENZIE PRIVATE INCOME BALANCED POOL CLASS		
MACKENZIE PRIVATE US EQUITY POOL		
MACKENZIE PRIVATE US EQUITY POOL CLASS		
MACKENZIE REAL RETURN BOND FUND		

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MACKENZIE SOVEREIGN BOND FUND		
MACKENZIE STRATEGIC BOND FUND		
MACKENZIE STRATEGIC INCOME CLASS		
MACKENZIE STRATEGIC INCOME FUND		
MACKENZIE UNCONSTRAINED BOND ETF		
MACKENZIE UNCONSTRAINED FIXED INCOME FUND		
MACKENZIE US ALL CAP GROWTH FUND		
MACKENZIE US DIVIDEND FUND		
MACKENZIE US DIVIDEND REGISTERED FUND		
MACKENZIE US GROWTH CLASS		
MACKENZIE US LARGE CAP CLASS		
MACKENZIE US LOW VOLATILITY FUND		
MACKENZIE US MID CAP GROWTH CLASS		
MACKENZIE US MID CAP GROWTH CURRENCY NEUTRAL CLASS		
MACKENZIE USD CONVERTIBLE SECURITIES FUND		
MACKENZIE USD GLOBAL STRATEGIC INCOME FUND		
MACKENZIE USD GLOBAL TACTICAL BOND FUND		
MACKENZIE USD ULTRA SHORT DURATION INCOME FUND		
MACLOS CAPITAL INC.		
MACMILLAN MINERALS INC.		
MACQUARIE EMERGING MARKETS INFRASTRUCTURE INCOME FUND		
MACQUARIE GLOBAL INFRASTRUCTURE INCOME FUND		
MACRO ENTERPRISES INC.		
MAD CATZ INTERACTIVE INC.		1d
MADALENA ENERGY INC.		
MADEIRA MINERALS LTD.		
MADENTA INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
MADISON DEVELOPMENT CORPORATION LTD.	ASC CTO	1a, 1b, 1c, 1e
MADISON PACIFIC PROPERTIES INC.		
MADRONA MINING LIMITED	ASC CTO	1a, 1b, 1c, 1e
MAESTRAL QUÉBEC GROWTH FUND INC.	ASC CTO	1a, 1b, 1c
MAESTRO BALANCED PORTFOLIO		
MAESTRO BALANCED PORTFOLIO CLASS		
MAESTRO CAPITAL CORPORATION		
MAESTRO GROWTH FOCUSED PORTFOLIO		
MAESTRO GROWTH FOCUSED PORTFOLIO CLASS		
MAESTRO INCOME BALANCED PORTFOLIO		
MAESTRO INCOME BALANCED PORTFOLIO CLASS		
MAG ONE PRODUCTS INC.		

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MAG SILVER CORP.		
MAGELLAN AEROSPACE CORPORATION		
MAGELLAN REAL ESTATE INVESTMENT FUND LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e, 1g
MAGIC SHOW THE	ASC CTO	1a, 1b, 1c, 1e
MAGINDUSTRIES CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e
MAGNA INTERNATIONAL INC.		
MAGNUM ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
MAGNUM GOLDCORP INC.		
MAGNUM HUNTER RESOURCES CORPORATION		1b, 1c, 1e, 3
MAGNUM RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
MAGOR CORPORATION		
MAGRA COMPUTER TECHNOLOGIES CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
MAHDIA GOLD CORP.	ASC CTO	1a, 1b, 1c, 1e
MAILBOXCITY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
MAINSTREAM MINERALS CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e
MAINSTREET EQUITY CORP.		
MAINSTREET HEALTH INVESTMENTS INC.		
MAISLIN INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
MAJESCOR RESOURCES INC.		
MAJESTA MINERALS INC.		
MAJESTIC GOLD CORP.		3
MAJOR DRILLING GROUP INTERNATIONAL INC.		
MAKENA RESOURCES INC.		
MALAGA INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
MALBEX RESOURCES INC.		
MALETTE INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
MAMMOTH RESOURCES CORP.		1a, 1c, 1e
MANADO GOLD CORP.		
MANAR CANADA INC.	ASC CTO	1a, 1b, 1c, 1e
MANDALAY RESOURCES CORPORATION		
MANDARIN MINES LIMITED	ASC CTO	1a, 1b, 1c, 1e
MANDATE NATIONAL MORTGAGE CORPORATION		
MANERA CAPITAL CORP.		
MANFREY CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
MANGAZEYA MINING LTD.		
MANITEX CAPITAL INC.		
MANITOBA TELECOM SERVICES INC.		
MANITOK ENERGY INC.		
MANITOU GOLD INC.		

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MANSON CREEK RESOURCES LTD.		
MANULIFE ASIA EQUITY CLASS		
MANULIFE ASIA TOTAL RETURN BOND FUND		
MANULIFE BALANCED EQUITY PRIVATE POOL		
MANULIFE BALANCED INCOME PRIVATE TRUST		
MANULIFE BOND FUND		
MANULIFE CANADIAN BALANCED FUND		
MANULIFE CANADIAN BALANCED PRIVATE POOL		
MANULIFE CANADIAN BOND FUND		
MANULIFE CANADIAN BOND PLUS FUND		
MANULIFE CANADIAN CORPORATE BOND FUND		
MANULIFE CANADIAN DIVIDEND GROWTH CLASS		
MANULIFE CANADIAN DIVIDEND GROWTH FUND		
MANULIFE CANADIAN DIVIDEND INCOME CLASS		
MANULIFE CANADIAN DIVIDEND INCOME FUND		
MANULIFE CANADIAN EQUITY BALANCED CLASS		
MANULIFE CANADIAN EQUITY INDEX FUND		
MANULIFE CANADIAN EQUITY PRIVATE POOL		
MANULIFE CANADIAN FIXED INCOME PRIVATE TRUST		
MANULIFE CANADIAN FOCUSED CLASS		
MANULIFE CANADIAN FOCUSED FUND		
MANULIFE CANADIAN GROWTH AND INCOME PRIVATE TRUST		
MANULIFE CANADIAN GROWTH STOCK FUND		
MANULIFE CANADIAN INVESTMENT CLASS		
MANULIFE CANADIAN INVESTMENT FUND		
MANULIFE CANADIAN MONTHLY INCOME CLASS		
MANULIFE CANADIAN MONTHLY INCOME FUND		
MANULIFE CANADIAN OPPORTUNITIES BALANCED CLASS		
MANULIFE CANADIAN OPPORTUNITIES BALANCED FUND		
MANULIFE CANADIAN OPPORTUNITIES CLASS		
MANULIFE CANADIAN OPPORTUNITIES FUND		
MANULIFE CANADIAN STOCK CLASS		
MANULIFE CANADIAN STOCK FUND		
MANULIFE CANADIAN UNIVERSE BOND FUND		
MANULIFE CHINA CLASS		
MANULIFE CONSERVATIVE INCOME FUND		
MANULIFE CORPORATE BOND FUND		
MANULIFE CORPORATE FIXED INCOME PRIVATE TRUST		
MANULIFE COVERED CALL U.S. EQUITY CLASS		

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MANULIFE COVERED CALL U.S. EQUITY FUND		
MANULIFE DIVERSIFIED INCOME PORTFOLIO		
MANULIFE DIVERSIFIED INVESTMENT FUND		
MANULIFE DIVERSIFIED STRATEGIES FUND		
MANULIFE DIVIDEND INCOME CLASS		
MANULIFE DIVIDEND INCOME FUND		
MANULIFE DIVIDEND INCOME PRIVATE POOL		
MANULIFE DOLLAR-COST AVERAGING FUND		
MANULIFE EMERGING MARKETS CLASS		
MANULIFE EMERGING MARKETS DEBT FUND		
MANULIFE EMERGING MARKETS FUND		
MANULIFE FINANCE (DELAWARE), L.P.		
MANULIFE FINANCIAL CAPITAL TRUST II		
MANULIFE FINANCIAL CORPORATION		
MANULIFE FLOATING RATE INCOME FUND		
MANULIFE FLOATING RATE SENIOR LOAN FUND		
MANULIFE GLOBAL ALL CAP FOCUSED FUND		
MANULIFE GLOBAL BALANCED FUND		
MANULIFE GLOBAL BALANCED PRIVATE TRUST		
MANULIFE GLOBAL DIVIDEND CLASS		
MANULIFE GLOBAL DIVIDEND FUND		
MANULIFE GLOBAL DIVIDEND GROWTH CLASS		
MANULIFE GLOBAL DIVIDEND GROWTH FUND		
MANULIFE GLOBAL EQUITY CLASS		
MANULIFE GLOBAL EQUITY PRIVATE POOL		
MANULIFE GLOBAL EQUITY UNCONSTRAINED CLASS		
MANULIFE GLOBAL EQUITY UNCONSTRAINED FUND		
MANULIFE GLOBAL FIXED INCOME PRIVATE TRUST		
MANULIFE GLOBAL INFRASTRUCTURE CLASS		
MANULIFE GLOBAL INFRASTRUCTURE FUND		
MANULIFE GLOBAL MANAGED VOLATILITY PORTFOLIO		
MANULIFE GLOBAL REAL ESTATE UNCONSTRAINED CLASS		
MANULIFE GLOBAL REAL ESTATE UNCONSTRAINED FUND		
MANULIFE GLOBAL SMALL CAP BALANCED FUND		
MANULIFE GLOBAL SMALL CAP FUND		
MANULIFE GLOBAL STRATEGIC BALANCED YIELD FUND		
MANULIFE GLOBAL TACTICAL CREDIT FUND		
MANULIFE GROWTH OPPORTUNITIES CLASS		
MANULIFE GROWTH OPPORTUNITIES FUND		

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MANULIFE HIGH YIELD BOND FUND		
MANULIFE INTERNATIONAL EQUITY INDEX FUND		
MANULIFE INTERNATIONAL FOCUSED FUND		
MANULIFE INTERNATIONAL VALUE EQUITY FUND		
MANULIFE LEADERS BALANCED GROWTH PORTFOLIO		
MANULIFE LEADERS BALANCED INCOME PORTFOLIO		
MANULIFE LEADERS OPPORTUNITIES PORTFOLIO		
MANULIFE MONEY FUND		
MANULIFE MONEY MARKET PRIVATE TRUST		
MANULIFE MONTHLY HIGH INCOME CLASS		
MANULIFE MONTHLY HIGH INCOME FUND		
MANULIFE PORTRAIT AGGRESSIVE PORTFOLIO		
MANULIFE PORTRAIT CONSERVATIVE PORTFOLIO		
MANULIFE PORTRAIT DIVIDEND GROWTH & INCOME PORTFOLIO		
MANULIFE PORTRAIT DIVIDEND GROWTH & INCOME PORTFOLIO CLASS		
MANULIFE PORTRAIT GROWTH PORTFOLIO		
MANULIFE PORTRAIT GROWTH PORTFOLIO CLASS		
MANULIFE PORTRAIT MODERATE PORTFOLIO		
MANULIFE PREFERRED INCOME CLASS		
MANULIFE SHORT TERM BOND FUND		
MANULIFE SHORT TERM YIELD CLASS		
MANULIFE SIMPLICITY BALANCED PORTFOLIO		
MANULIFE SIMPLICITY CONSERVATIVE PORTFOLIO		
MANULIFE SIMPLICITY GLOBAL BALANCED PORTFOLIO		
MANULIFE SIMPLICITY GROWTH PORTFOLIO		
MANULIFE SIMPLICITY MODERATE PORTFOLIO		
MANULIFE SMALL CAP VALUE FUND		
MANULIFE STRATEGIC BALANCED YIELD FUND		
MANULIFE STRATEGIC DIVIDEND BUNDLE		
MANULIFE STRATEGIC INCOME FUND		
MANULIFE STRATEGIC INVESTMENT GRADE GLOBAL BOND FUND		
MANULIFE TACTICAL INCOME FUND		
MANULIFE TAX-MANAGED GROWTH FUND		
MANULIFE U.S. ALL CAP EQUITY CLASS		
MANULIFE U.S. ALL CAP EQUITY FUND		
MANULIFE U.S. BALANCED PRIVATE TRUST		
MANULIFE U.S. BALANCED VALUE PRIVATE TRUST		
MANULIFE U.S. DIVERSIFIED GROWTH FUND		
MANULIFE U.S. DIVIDEND INCOME CLASS		

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MANULIFE U.S. DIVIDEND INCOME FUND		
MANULIFE U.S. DIVIDEND INCOME REGISTERED FUND		
MANULIFE U.S. DOLLAR FLOATING RATE INCOME FUND		
MANULIFE U.S. DOLLAR STRATEGIC BALANCED YIELD FUND		
MANULIFE U.S. DOLLAR STRATEGIC INCOME FUND		
MANULIFE U.S. DOLLAR U.S. ALL CAP EQUITY FUND		
MANULIFE U.S. EQUITY FUND		
MANULIFE U.S. EQUITY INDEX FUND		
MANULIFE U.S. EQUITY PRIVATE POOL		
MANULIFE U.S. FIXED INCOME PRIVATE TRUST		
MANULIFE U.S. MONTHLY HIGH INCOME FUND		
MANULIFE U.S. OPPORTUNITIES FUND		
MANULIFE U.S. REGIONAL BANK TRUST		
MANULIFE U.S. TACTICAL CREDIT FUND		
MANULIFE UNHEDGED U.S. MONTHLY HIGH INCOME FUND		
MANULIFE VALUE BALANCED CLASS		
MANULIFE VALUE BALANCED FUND		
MANULIFE WORLD INVESTMENT CLASS		
MANULIFE WORLD INVESTMENT FUND		
MANULIFE YIELD OPPORTUNITIES FUND		
MAPLE LEAF 2013 OIL & GAS INCOME LIMITED PARTNERSHIP		
MAPLE LEAF FOODS INC.		
MAPLE LEAF GREEN WORLD INC.		
MAPLE LEAF INCOME CLASS		
MAPLE LEAF RESOURCE CLASS		
MAPLE LEAF SHORT DURATION 2015-II FLOW-THROUGH LIMITED PARTNERSHIP - NATIONAL CLASS		
MAPLE LEAF SHORT DURATION 2015-II FLOW-THROUGH LIMITED PARTNERSHIP - QUEBEC CLASS		
MAPLE LEAF SHORT DURATION 2016 FLOW-THROUGH LIMITED PARTNERSHIP - NATIONAL CLASS		
MAPLE LEAF SHORT DURATION 2016 FLOW-THROUGH LIMITED PARTNERSHIP - QUEBEC CLASS		
MAPLE PEAK INVESTMENTS INC.		
MAPLEWOOD INTERNATIONAL REAL ESTATE INVESTMENT TRUST		
MARATHON FOODS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
MARATHON GOLD CORPORATION		
MARATHON OIL CORPORATION		1g
MARAUDER RESOURCES EAST COAST INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
MARAZAN PETROLEUMS LTD.	ASC CTO	1a, 1b, 1c, 1e
MARCH RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e

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MARCHING MOOSE CAPITAL CORP.		
MARCHWELL VENTURES LTD		
MARGARET LAKE DIAMONDS INC.		
MARGAUX RED CAPITAL INC.		
MARGAUX RESOURCES LTD.		
MARIAH ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e
MARIANA RESOURCES LIMITED		
MARIFIL MINES LIMITED		
MARITIME RESOURCES CORP.		
MARKSMEN ENERGY INC.		
MARLBORO PETROLEUM INC.	ASC CTO	1a, 1b, 1c, 1e
MARLIN GOLD MINING LTD.		
MARQUEE ENERGY LTD.		
MARQUEST 2015 MINING SUPER FLOW-THROUGH LIMITED PARTNERSHIP - NATIONAL CLASS		
MARQUEST 2015 MINING SUPER FLOW-THROUGH LIMITED PARTNERSHIP - QUÉBEC CLASS		
MARQUEST 2016-1 MINING SUPER FLOW-THROUGH LIMITED PARTNERSHIP - NATIONAL CLASS		1g
MARQUEST 2016-1 MINING SUPER FLOW-THROUGH LIMITED PARTNERSHIP - QUÉBEC CLASS		1g
MARQUEST AMERICAN DIVIDEND GROWTH FUND		
MARQUEST AMERICAN DIVIDEND GROWTH FUND (CORPORATE CLASS)		
MARQUEST CANADIAN BOND FUND		
MARQUEST CANADIAN EQUITY INCOME FUND		
MARQUEST CANADIAN FIXED INCOME FUND		
MARQUEST CANADIAN RESOURCE FUND		
MARQUEST CANADIAN RESOURCE FUND (CORPORATE CLASS)		
MARQUEST COVERED CALL CANADIAN BANKS PLUS FUND		
MARQUEST COVERED CALL CANADIAN BANKS PLUS FUND (CORPORATE CLASS)		
MARQUEST GLOBAL BALANCED FUND		
MARQUEST MONEY MARKET FUND		
MARQUEST MONTHLY PAY FUND		
MARQUEST MONTHLY PAY FUND (CORPORATE CLASS)		
MARQUEST MUTUAL FUNDS INC. - ENERGY SERIES FUND		
MARQUEST MUTUAL FUNDS INC. - EXPLORER SERIES FUND		
MARQUEST MUTUAL FUNDS INC. - FLEX DIVIDEND AND INCOME GROWTH SERIES FUND		
MARQUEST SHORT TERM INCOME FUND (CORPORATE CLASS)		
MARQUEST SMALL COMPANIES FUND		
MARQUIS BALANCED CLASS PORTFOLIO		

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MARQUIS BALANCED GROWTH CLASS PORTFOLIO		
MARQUIS BALANCED GROWTH PORTFOLIO		
MARQUIS BALANCED INCOME PORTFOLIO		
MARQUIS BALANCED PORTFOLIO		
MARQUIS EQUITY PORTFOLIO		
MARQUIS GROWTH PORTFOLIO		
MARQUIS INSTITUTIONAL BALANCED GROWTH PORTFOLIO		
MARQUIS INSTITUTIONAL BALANCED PORTFOLIO		
MARQUIS INSTITUTIONAL BOND PORTFOLIO		
MARQUIS INSTITUTIONAL CANADIAN EQUITY PORTFOLIO		
MARQUIS INSTITUTIONAL EQUITY PORTFOLIO		
MARQUIS INSTITUTIONAL GLOBAL EQUITY PORTFOLIO		
MARQUIS INSTITUTIONAL GROWTH PORTFOLIO		
MARQUIS VENTURES INC.		
MARRET HIGH YIELD BOND FUND		
MARRET HIGH YIELD STRATEGIES FUND		
MARRET INVESTMENT GRADE BOND FUND		
MARRET MULTI-STRATEGY INCOME FUND		
MARRET RESOURCE CORP.		
MARRET SHORT DURATION HIGH YIELD FUND		
MARRET STRATEGIC YIELD FUND		
MARSHALL MINERALS CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
MARTECH TECHNOLOGIES CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
MARTIN HEALTH GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
MARTINA MINERALS CORP.		
MARTINREA INTERNATIONAL INC.		
MARZEN HOLDINGS COMPANY LIMITED		
MASEV COMMUNICATIONS INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
MASKAL ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e
MASON GRAPHITE INC.		
MASSIVE RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e
MASTER CREDIT CARD TRUST II		
MASTT RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
MASUPARIA GOLD CORPORATION		3
MATACHEWAN CONSOLIDATED MINES, LIMITED		
MATAMEC EXPLORATIONS INC.		
MATCH CAPITAL RESOURCES CORPORATION		
MATCO BALANCED FUND		
MATCO CANADIAN EQUITY FUND		

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Name	Cease Traded	Nature of Default
MATCO SMALL CAP FUND		
MATERIALS PROTECTION TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
MATICA ENTERPRISES INC.		1a, 1b, 1c, 1e
MATRIX 2013 SHORT DURATION NATIONAL CLASS		1a, 1b, 1c, 1d
MATRIX 2013 SHORT DURATION QUÉBEC CLASS		1a, 1b, 1c, 1d
MATRIX ASSET MANAGEMENT INC.	ASC CTO	1a, 1b, 1c, 1e
MATRRIX ENERGY TECHNOLOGIES INC.		
MATTAN CORPORATION	ASC CTO	1a, 1b, 1c, 1e
MAUDORE MINERALS LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
MAWER BALANCED FUND		
MAWER CANADIAN BOND FUND		
MAWER CANADIAN EQUITY FUND		
MAWER CANADIAN MONEY MARKET FUND		
MAWER EMERGING MARKETS EQUITY FUND		
MAWER GLOBAL BALANCED FUND		
MAWER GLOBAL BOND FUND		
MAWER GLOBAL EQUITY FUND		
MAWER GLOBAL SMALL CAP FUND		
MAWER INTERNATIONAL EQUITY FUND		
MAWER NEW CANADA FUND		
MAWER TAX EFFECTIVE BALANCED FUND		
MAWER U.S. EQUITY FUND		
MAWSON RESOURCES LIMITED		
MAWSON WEST LIMITED		
MAX RESOURCE CORP.		
MAXIM POWER CORP.		
MAXIM RESOURCES INC.		1k
MAXTECH VENTURES INC.		
MAXXCAPP CORPORATION	ASC CTO	1a, 1b, 1c, 1e
MAYA GOLD & SILVER INC.		
MAYFAIR MEDIA CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
MAZARIN INC.		
MAZARUNI MINERALS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
MBAC FERTILIZER CORP.		
MBMI RESOURCES INC.	ASC CTO	1b, 1c, 1e
MBN CORPORATION		
MCAN MORTGAGE CORPORATION		
MCB INVESTMENTS CORP	ASC CTO	1a, 1b, 1c, 1e, 1g
MCCARNEY TECHNOLOGIES INC	ASC CTO	1a, 1b, 1c, 1e

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MCCARTHY CORPORATION PLC	ASC CTO	1a, 1b, 1c, 1e, 1g
MCCHIP RESOURCES INC.		1k
MCCOY GLOBAL INC.		
MCEWEN MINING - MINERA ANDES ACQUISITION CORP.		
MCEWEN MINING INC.		
MCFINLEY RED LAKE MINES LIMITED	ASC CTO	1a, 1b, 1c, 1e
MCORPCX, INC.		1g
MCP EXCHANGE CO INC.		
MCW ENERGY GROUP LIMITED		
MD AMERICAN GROWTH FUND		
MD AMERICAN VALUE FUND		
MD BALANCED FUND		
MD BOND FUND		
MD DIVIDEND GROWTH FUND		
MD DIVIDEND INCOME FUND		
MD EQUITY FUND		
MD FOSSIL FUEL FREE BOND FUND		
MD FOSSIL FUEL FREE EQUITY FUND		
MD GROWTH INVESTMENTS LIMITED		
MD INTERNATIONAL GROWTH FUND		
MD INTERNATIONAL VALUE FUND		
MD MONEY FUND		
MD MULTIMEDIA INC.	ASC CTO	1a, 1b, 1c, 1e
MD PRECISION BALANCED GROWTH PORTFOLIO		
MD PRECISION BALANCED INCOME PORTFOLIO		
MD PRECISION CONSERVATIVE PORTFOLIO		
MD PRECISION MAXIMUM GROWTH PORTFOLIO		
MD PRECISION MODERATE BALANCED PORTFOLIO		
MD PRECISION MODERATE GROWTH PORTFOLIO		
MD SELECT FUND		
MD SHORT-TERM BOND FUND		
MD STRATEGIC OPPORTUNITIES FUND		
MD STRATEGIC YIELD FUND		
MDC PARTNERS INC.		3
MDN INC.		
MDPIM CANADIAN BOND POOL		
MDPIM CANADIAN EQUITY POOL		
MDPIM CANADIAN LONG TERM BOND POOL		
MDPIM DIVIDEND POOL		

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MDPIM EMERGING MARKETS EQUITY POOL		
MDPIM INTERNATIONAL EQUITY POOL		
MDPIM STRATEGIC OPPORTUNITIES POOL		
MDPIM STRATEGIC YIELD POOL		
MDPIM US EQUITY POOL		
ME RESOURCE CORP.		
MEADOW BAY GOLD CORPORATION		
MEADOWBROOK APARTMENT PROJECT	ASC CTO	1b
MEADOWVALE GARDENS APT PROJECT-PHASE II	ASC CTO	1a, 1b, 1c, 1e
MED BIOGENE INC.		
MEDALLION RESOURCES LTD.		
MED-CHEM HEALTH CARE LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
MEDCOMSOFT INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 3
MEDGOLD RESOURCES CORP.		
MEDIAGRIF INTERACTIVE TECHNOLOGIES INC.		
MEDIANET COMMUNICATIONS CORP.	ASC CTO	1a, 1b, 1c, 1e
MEDIASHIFT, INC	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
MEDIAVALET INC.		
MEDICAL FACILITIES CORPORATION		
MEDICAL INTELLIGENCE TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
MEDICAL PATHWAYS INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
MEDICURE INC.		
MEDIFOCUS INC.		
MEDIPURE HOLDINGS INC.	Reciprocal CTO	1a, 1b, 1c, 1e
MEDITERRANEAN RESOURCES LTD.		1a, 1b, 1c, 1e
MEDIUS COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
MEDMIRA INC.		
MED-TECH SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
MEDX HEALTH CORP.		
MEEKOM NATURAL RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
MEG ENERGY CORP.		
MEGA COPPER LTD.		
MEGA URANIUM LTD.		
MEGA VIEW DIGITAL ENTERTAINMENT CORP.		
MEGASTAR DEVELOPMENT CORP		
MEGAWHEELS TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
MELANESIAN MINERALS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
MELANIE	ASC CTO	1a, 1b, 1c, 1e
MELCOR DEVELOPMENTS LTD.		

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MELCOR REAL ESTATE INVESTMENT TRUST		
MELIOR RESOURCES INC.		
MELKIOR RESOURCES INC.		
MELODIA 100 PERCENT EQUITY GROWTH PORTFOLIO		
MELODIA AGGRESSIVE GROWTH PORTFOLIO		
MELODIA BALANCED GROWTH PORTFOLIO		
MELODIA CONSERVATIVE INCOME PORTFOLIO		
MELODIA DIVERSIFIED GROWTH PORTFOLIO		
MELODIA DIVERSIFIED INCOME PORTFOLIO		
MELODIA MAXIMUM GROWTH PORTFOLIO		
MELODIA MODERATE GROWTH PORTFOLIO		
MELODIA MODERATE INCOME PORTFOLIO		
MELODIA VERY CONSERVATIVE INCOME PORTFOLIO		
MEMEX INC.		
MENA HYDROCARBONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
MERBANK CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
MERCAL CAPITAL CORP.		
MERCANTILE INTERNATIONAL PETROLEUM INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
MERCATOR MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
MERCATOR TRANSPORT GROUP CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 3
MERCER INTERNATIONAL INC.		
MERCH PERFORMANCE INC.	ASC CTO	1a, 1b, 1c, 1e
MERCHANT CAPITAL GROUP INCORPORATED	ASC CTO	1a, 1b, 1c, 1e, 1g
MERCO WHOLESALE LIMITED THE	ASC CTO	1a, 1b, 1c, 1e
MERGE Corp INC.	ASC CTO	1a, 1b, 1c, 1e
MERIT ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
MERITAGE AMERICAN EQUITY PORTFOLIO		
MERITAGE BALANCED INCOME PORTFOLIO		
MERITAGE BALANCED PORTFOLIO		
MERITAGE CANADIAN EQUITY CLASS PORTFOLIO		
MERITAGE CANADIAN EQUITY PORTFOLIO		
MERITAGE CONSERVATIVE INCOME PORTFOLIO		
MERITAGE CONSERVATIVE PORTFOLIO		
MERITAGE DIVERSIFIED FIXED INCOME PORTFOLIO		
MERITAGE EQUITY CLASS PORTFOLIO		
MERITAGE EQUITY INCOME PORTFOLIO		
MERITAGE EQUITY PORTFOLIO		
MERITAGE GLOBAL AGGRESSIVE GROWTH CLASS PORTFOLIO		
MERITAGE GLOBAL AGGRESSIVE GROWTH PORTFOLIO		

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MERITAGE GLOBAL BALANCED PORTFOLIO		
MERITAGE GLOBAL CONSERVATIVE PORTFOLIO		
MERITAGE GLOBAL EQUITY CLASS PORTFOLIO		
MERITAGE GLOBAL EQUITY PORTFOLIO		
MERITAGE GLOBAL GROWTH CLASS PORTFOLIO		
MERITAGE GLOBAL GROWTH PORTFOLIO		
MERITAGE GLOBAL MODERATE PORTFOLIO		
MERITAGE GROWTH CLASS PORTFOLIO		
MERITAGE GROWTH INCOME PORTFOLIO		
MERITAGE GROWTH PORTFOLIO		
MERITAGE INTERNATIONAL EQUITY PORTFOLIO		
MERITAGE MODERATE INCOME PORTFOLIO		
MERITAGE MODERATE PORTFOLIO		
MERITAGE TACTICAL ETF BALANCED PORTFOLIO		
MERITAGE TACTICAL ETF GROWTH PORTFOLIO		
MERITAGE TACTICAL ETF MODERATE PORTFOLIO		
MERITAS BALANCED PORTFOLIO		
MERITAS CANADIAN BOND FUND		
MERITAS GROWTH & INCOME PORTFOLIO		
MERITAS GROWTH PORTFOLIO		
MERITAS INCOME & GROWTH PORTFOLIO		
MERITAS INCOME PORTFOLIO		
MERITAS INTERNATIONAL EQUITY FUND		
MERITAS JANTZI SOCIAL INDEX FUND		
MERITAS MAXIMUM GROWTH PORTFOLIO		
MERITAS MONTHLY DIVIDEND AND INCOME FUND		
MERITAS STRATEGIC INCOME FUND		
MERITAS U.S. EQUITY FUND		
MERITUS MINERALS LIMITED	ASC CTO	1a, 1b, 1c, 1e
MERREX GOLD INC.		
MERRILL LYNCH FINANCIAL ASSETS INC.		
MERRILL LYNCH HEALTHCARE FUND INC.		1a, 1b, 1c, 1d
MERUS LABS INTERNATIONAL INC.		
MERYLLION RESOURCES CORPORATION		
MESA EXPLORATION CORP.		
META (CANADA) HOTELS INC.	ASC CTO	1a, 1b, 1c, 1e
METALCORP LIMITED		
METALEX VENTURES LTD.		
METALLIS RESOURCES INC.		

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METALLUM RESOURCES INC.		
METALO MANUFACTURING INC.		
METALS CREEK RESOURCES CORP.		
METANOR RESOURCES INC.		
METEOR CREEK RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
METHANEX CORPORATION		
METRO INC.		
METRO VANCOUVER PROPERTIES CORP.		
METRON CAPITAL CORP.	ASC CTO	1b, 1c, 1e
METROPOLITAN ENERGY CORP.		
METTRUM HEALTH CORP.		
MEXIGOLD CORP.		
MEXIVADA MINING CORP.	ASC CTO	1a, 1b, 1c, 1e
MEZZI HOLDINGS INC.		
MEZZOTIN MINERALS INC.		
MFC BANCORP LTD.		
MGM RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e
MGX MINERALS INC.		
MHEALTH CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
MICREX DEVELOPMENT CORP.		
MICRO MINERALS RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
MICRO MINING TECHNOLOGIES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
MICROBIX BIOSYSTEMS INC.		
MICROCOMP 83 R & D PROGRAM LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
MICROKEY COMMUNICATION SYSTEMS, INC.	ASC CTO	1a, 1b, 1c, 1e
MICROMEM TECHNOLOGIES INC.		
MICROPLANET TECHNOLOGY CORP.	ASC CTO	1a, 1b, 1c, 1e
MID CAP CANADA FUND (GWLIM)		
MIDAS GOLD CORP.		
MIDASCO CAPITAL CORP.		
MIDDLE AGE CRAZY	ASC CTO	1a, 1b, 1c, 1e
MIDDLEFIELD CANADIAN DIVIDEND GROWERS CLASS		
MIDDLEFIELD CAN-GLOBAL REIT INCOME FUND		
MIDDLEFIELD GLOBAL AGRICULTURE CLASS		
MIDDLEFIELD GLOBAL DIVIDEND GROWERS CLASS		
MIDDLEFIELD GLOBAL ENERGY CLASS		
MIDDLEFIELD GLOBAL HEALTHCARE DIVIDEND FUND		
MIDDLEFIELD GLOBAL INFRASTRUCTURE FUND		
MIDDLEFIELD HIGH YIELD CLASS		

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MIDDLEFIELD INCOME PLUS CLASS		
MIDDLEFIELD REAL ESTATE CLASS		
MIDDLEFIELD RESOURCE CLASS		
MIDDLEFIELD SHORT-TERM INCOME CLASS		
MIDDLEFIELD U.S. DIVIDEND GROWERS CLASS		
MIDLAND EXPLORATION INC.		
MIDNIGHT SUN MINING CORP.		
MIDPOINT HOLDINGS LTD.		
MIDWAY GOLD CORP.		1a, 1b, 1c, 1d, 1e
MIDWAY RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e
MIGAO CORPORATION		
MILES TECHNOLOGY INC.		
MILESTONE APARTMENTS REAL ESTATE INVESTMENT TRUST		
MILITARY INTERNATIONAL LIMITED	ASC CTO	1a, 1b, 1c, 1e
MILLENIUM BIOLOGIX CORPORATION		1a, 1b, 1c, 1d, 1e
MILLENMIN VENTURES INC.		
MILLENNIA FOODS INC.	ASC CTO	1a, 1b, 1c, 1e
MILLERS COVE RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
MILLROCK RESOURCES INC.		
MILLSTREAM MINES LTD.		
MILNER CONSOLIDATED SILVER MINES LTD.		
MILTEC TECHNOLOGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
MINAEAN SP CONSTRUCTION CORP.		
MINAURUM GOLD INC.		
MINCO BASE METALS CORPORATION		
MINCO GOLD CORPORATION		
MINCO PLC		
MINCO SILVER CORPORATION		
MINCOM CAPITAL INC.		
MINCOR RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
MINDORO RESOURCES LTD.		3
MINDREADY SOLUTIONS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
MINECORP ENERGY LTD.		
MINERA ALAMOS INC.		
MINERA IRL LIMITED	Reciprocal CTO	
MINERAL HILL INDUSTRIES LTD.		
MINERAL MOUNTAIN RESOURCES LTD.		
MINERALRITE CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
MINES MANAGEMENT, INC.		

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MINESTAR RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
MINWEST SILVER AND GOLD INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
MINFOCUS EXPLORATION CORP.		
MINICHELLO APPAREL INC.		1g, 1k
MINK INTERNATIONAL RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e
MINNOVA CORP.		
MINSUD RESOURCES CORP.		
MINT INCOME FUND		
MINTERRA RESOURCE CORP.	ASC CTO	1a, 1b, 1c, 1e
MINTRON ENTERPRISES LTD.	ASC CTO	1a, 1b, 1c, 1e
MIRA IX ACQUISITION CORP.		
MIRA VII ACQUISITION CORP.		
MIRABELA NICKEL LIMITED	Reciprocal CTO	1a, 1b, 1d
MIRAMARE CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
MIRANDA GOLD CORP.		
MIRASOL RESOURCES LTD.		
MIRATI THERAPEUTICS, INC.		
MISDEAL	ASC CTO	1a, 1b, 1c, 1e
MISSION READY SERVICES INC.		
MISTANGO RIVER RESOURCES INC.	ASC CTO	1e
MITCHELL RESOURCES LTD.		
MITEC TECHNOLOGIES INC.		
MITEL NETWORKS CORPORATION		
MITRA ENERGY INC.		
MIZA ENTERPRISES INC.		
MJ BIOSCIENCE CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
MJP INTERNATIONAL LTD.		1g
MKANGO RESOURCES LTD.		
MNP PETROLEUM CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e, 1k
MOAG COPPER GOLD RESOURCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
MOBI724 GLOBAL SOLUTIONS INC.		
MOBILE KNOWLEDGE INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
MOBILIFT INC	ASC CTO	1a, 1b, 1c, 1e, 1g
MOBIO TECHNOLOGIES INC.		
MODERATE FOLIO FUND		
MODERATE FUND		
MODERN RECORDS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
MOGO FINANCE TECHNOLOGY INC.		
MOHAVE GOLD INC.	ASC CTO	1a, 1b, 1c, 1e

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MOHAWK INDUSTRIES LIMITED	ASC CTO	1a, 1b, 1c, 1e
MOLI ENERGY LIMITED	ASC CTO	1a, 1b, 1c, 1e
MOLSON COORS BREWING COMPANY		
MOLSON COORS CANADA INC.		
MOLY MINES LIMITED		
MOLYCORP, INC.		1a, 1b, 1c, 1e
MOLYSTAR RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g, 4
MONALTA RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
MONARCH RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
MONARQUES GOLD CORPORATION		
MONDEV SENIOR LIVING INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
MONEDA LATAM CORPORATE BOND FUND		
MONEDA LATAM FIXED INCOME FUND		
MONEDA LATAM GROWTH FUND		
MONETA PORCUPINE MINES INC.		
MONEY MARKET FUND		
MONEY MARKET FUND		
MONGOLIA GROWTH GROUP LTD.		
MONOGEN, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
MONSTER MINING CORP.		
MONSTER URANIUM CORP.		
MONT BLANC APARTMENTS (ISSUER) LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e, 1g
MONTAN MINING CORP.		
MONTANA EXPLORATION CORP.		
MONTAUK RESOURCE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
MONTE CRISTO CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
MONTEGO RESOURCES INC.		
MONTELLO RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
MONTEREY CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
MONTEREY MINERALS INC.		
MONTEREY PETROLEUM CORPORATION 1971 LTD.	ASC CTO	1a, 1b, 1c, 1e
MONTERO MINING AND EXPLORATION LTD.		
MONTHLY INCOME FUND (LONDON CAPITAL)		1a
MONTNEY RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
MONTREUX CAPITAL CORP.		
MONUMENT MINING LIMITED		
MOOD MEDIA CORPORATION		
MOONCOR OIL & GAS CORP.		1k
MORGAN RESOURCES CORP.		

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MORGUARD CORPORATION		
MORGUARD NORTH AMERICAN RESIDENTIAL REAL ESTATE INVESTMENT TRUST		
MORGUARD REAL ESTATE INVESTMENT TRUST		
MORIEN RESOURCES CORP.		
MORNEAU SHEPELL INC.		
MORRO BAY RESOURCES LTD.		
MORUMBI RESOURCES INC.		
MOSAIC CAPITAL CORPORATION		
MOSAIC TECHNOLOGIES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
MOTION WORKS GROUP LIMITED	ASC CTO	1a, 1b, 1c, 1e
MOUNT DAKOTA ENERGY CORP.		
MOUNT REAL CORPORATION		1a, 1b, 1c, 1d, 1e
MOUNTAIN BOY MINERALS LTD.		
MOUNTAIN CHINA RESORTS (HOLDING) LIMITED		3
MOUNTAIN LAKE MINERALS INC.	Reciprocal CTO	1a, 1b, 1c, 1e
MOUNTAIN PROVINCE DIAMONDS INC.		
MOUNTAIN WORLD ENTERTAINMENT LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
MOUNTAINSTAR GOLD INC.	Reciprocal CTO	1b, 1c, 1e
MOUNTAINVIEW ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
MOVARIE CAPITAL LTD.		
MOVIECORP I INC.	ASC CTO	1a, 1b, 1c, 1e
MOVIT MEDIA CORP.		
MPAC INDUSTRIES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
MPL COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
MR. TUBE STEAK CANADA LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
MRF 2015 RESOURCE LIMITED PARTNERSHIP - CDE UNITS		
MRF 2015 RESOURCE LIMITED PARTNERSHIP - CEE UNITS		
MRF 2016 RESOURCE LIMITED PARTNERSHIP		
MRP WASTE MANAGEMENT CORP.	ASC CTO	1a, 1b, 1c, 1e
MTC GROWTH FUND-I INC.		
MTW SOLUTIONS ONLINE INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
MTY FOOD GROUP INC.		
MUKUBA RESOURCES LIMITED		
MULLEN GROUP LTD.		
MULTI-CORP INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
MULTI-MANAGER LIMITED PARTNERSHIP I		
MULTIMEDIA NOVA CORPORATION	ASC CTO	1a, 1b, 1c, 1e
MULTIPLEX TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
MULTIVISION COMMUNICATIONS CORP.		

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Name	Cease Traded	Nature of Default
MUNDORO CAPITAL INC.		3
MUNTZ CANADA LIMITED	ASC CTO	1a, 1b, 1c, 1e
MURCHISON MINERALS LTD.		
MUSGROVE MINERALS CORP.		
MUSTANG MINERALS CORP.		
MX GOLD CORP.		
MYLAN VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e
MYM NUTRACEUTICALS INC.		
N B COOK CORPORATION LTD.	ASC CTO	1a, 1b, 1c, 1e
N1 TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
NABU NETWORK CORPORATION	ASC CTO	1a, 1b, 1c, 1e
NAIKUN WIND ENERGY GROUP INC		
NAMASTE TECHNOLOGIES INC.		
NAMEX EXPLORATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
NAMIBIA RARE EARTHS INC.		
NANO ONE MATERIALS CORP.		
NANOGEN, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
NANOSTRUCK TECHNOLOGIES INC.		
NANOTECH SECURITY CORP.		
NANSCO INDUSTRIES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
NAPA CARNEROS WINEGROWERS LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
NAPEC INC.		
NAPIER VENTURES INC.		
NATAN RESOURCES LTD.		
NATCORE TECHNOLOGY INC.		
NATHAN MINERALS INC.	ASC CTO	1a, 1b, 1c, 1e
NATIONAL BANK ALTA FUND INVESTMENT CORP.		
NATIONAL BANK ASIA PACIFIC FUND		
NATIONAL BANK ASSET ALLOCATION FUND		
NATIONAL BANK BALANCED DIVERSIFIED FUND		
NATIONAL BANK BOND FUND		
NATIONAL BANK CANADIAN ALL CAP EQUITY FUND		
NATIONAL BANK CANADIAN EQUITY FUND		
NATIONAL BANK CANADIAN EQUITY GROWTH FUND		
NATIONAL BANK CANADIAN INDEX FUND		
NATIONAL BANK CONSENSUS AMERICAN EQUITY FUND		
NATIONAL BANK CONSENSUS INTERNATIONAL EQUITY FUND		
NATIONAL BANK CONSERVATIVE DIVERSIFIED FUND		
NATIONAL BANK CORPORATE BOND FUND		

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Name	Cease Traded	Nature of Default
NATIONAL BANK CORPORATE CASH MANAGEMENT FUND		
NATIONAL BANK DIVIDEND FUND		
NATIONAL BANK DIVIDEND INCOME FUND INC.		
NATIONAL BANK ENERGY FUND		
NATIONAL BANK EUROPEAN EQUITY FUND		
NATIONAL BANK FLOATING RATE INCOME FUND		
NATIONAL BANK GLOBAL BOND FUND		
NATIONAL BANK GLOBAL DIVERSIFIED EQUITY FUND		
NATIONAL BANK GLOBAL EQUITY FUND		
NATIONAL BANK GLOBAL SMALL CAP FUND		
NATIONAL BANK GLOBAL TACTICAL BOND FUND		
NATIONAL BANK GROWTH DIVERSIFIED FUND		
NATIONAL BANK HEALTH SCIENCES FUND		
NATIONAL BANK HIGH DIVIDEND FUND		
NATIONAL BANK HIGH YIELD BOND FUND		
NATIONAL BANK INCOME FUND		
NATIONAL BANK INTERNATIONAL CURRENCY NEUTRAL INDEX FUND		
NATIONAL BANK INTERNATIONAL INDEX FUND		
NATIONAL BANK JAPANESE EQUITY FUND		
NATIONAL BANK LONG TERM BOND FUND		
NATIONAL BANK MODERATE DIVERSIFIED FUND		
NATIONAL BANK MONEY MARKET FUND		
NATIONAL BANK MONTHLY BALANCED INCOME FUND		
NATIONAL BANK MONTHLY CONSERVATIVE INCOME FUND		
NATIONAL BANK MONTHLY EQUITY INCOME FUND		
NATIONAL BANK MONTHLY GROWTH INCOME FUND		
NATIONAL BANK MONTHLY MODERATE INCOME FUND		
NATIONAL BANK MONTHLY SECURE INCOME FUND		
NATIONAL BANK MORTGAGE FUND		
NATIONAL BANK OF CANADA		
NATIONAL BANK PRECIOUS METALS FUND		
NATIONAL BANK PREFERRED EQUITY FUND		
NATIONAL BANK PREFERRED EQUITY INCOME FUND		
NATIONAL BANK QUEBEC GROWTH FUND		
NATIONAL BANK RESOURCE FUND		
NATIONAL BANK SCIENCE AND TECHNOLOGY FUND		
NATIONAL BANK SECURE DIVERSIFIED FUND		
NATIONAL BANK SHORT TERM CANADIAN INCOME FUND		
NATIONAL BANK SHORT TERM YIELD CLASS		

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Name	Cease Traded	Nature of Default
NATIONAL BANK SMALL CAP FUND		
NATIONAL BANK STRATEGIC U.S. INCOME AND GROWTH FUND		
NATIONAL BANK TREASURY MANAGEMENT FUND		
NATIONAL BANK U.S. \$ GLOBAL TACTICAL BOND FUND		
NATIONAL BANK U.S. CURRENCY NEUTRAL INDEX FUND		
NATIONAL BANK U.S. EQUITY FUND		
NATIONAL BANK U.S. INDEX FUND		
NATIONAL BANK U.S. MONEY MARKET FUND		
NATIONAL BANK US DIVIDEND FUND		
NATIONAL ELECTRONIC TECHNOLOGIES CORP.	ASC CTO	1a, 1b, 1c, 1e
NATIONAL FIBRETECH INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
NATIONAL HEALTH STORES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
NATIONAL TELCOM SOLUTIONS INC	ASC CTO	1a, 1b, 1c, 1e
NATIONAL TRAINING RINKS CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
NATURAL SPRINGS CANADA INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
NATURALLY SPLENDID ENTERPRISES LTD.		
NATUREBANK ASSET MANAGEMENT INC.		
NAUTILUS MINERALS INC.		
NAUTOR PROGRESSIVE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
NAV CANADA		
NAVA LEISURE USA, INC.	ASC CTO	1a, 1b, 1c, 1e
NAVASOTA RESOURCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
NAVIS RESOURCES CORP.		
NAVITRAK INTERNATIONAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
NAVY RESOURCES CORP.		
NBC ASSET TRUST		
NBC CAPITAL TRUST		
NBI CANADIAN BOND PRIVATE PORTFOLIO		
NBI CANADIAN DIVERSIFIED BOND PRIVATE PORTFOLIO		
NBI CANADIAN EQUITY PRIVATE PORTFOLIO		
NBI CANADIAN HIGH CONVICTION EQUITY PRIVATE PORTFOLIO		
NBI CANADIAN PREFERRED EQUITY PRIVATE PORTFOLIO		
NBI CANADIAN SHORT TERM INCOME PRIVATE PORTFOLIO		
NBI CANADIAN SMALL CAP EQUITY PRIVATE PORTFOLIO		
NBI CORPORATE BOND PRIVATE PORTFOLIO		
NBI CURRENCY-HEDGED INTERNATIONAL HIGH CONVICTION EQUITY PRIVATE PORTFOLIO		
NBI CURRENCY-HEDGED U.S. HIGH CONVICTION EQUITY PRIVATE PORTFOLIO		
NBI EMERGING MARKETS EQUITY PRIVATE PORTFOLIO		

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NBI EQUITY INCOME PRIVATE PORTFOLIO		
NBI GLOBAL REAL ASSETS INCOME FUND		
NBI HIGH YIELD BOND PRIVATE PORTFOLIO		
NBI INTERNATIONAL EQUITY PRIVATE PORTFOLIO		
NBI INTERNATIONAL HIGH CONVICTION EQUITY PRIVATE PORTFOLIO		
NBI MULTIPLE ASSET CLASS PRIVATE PORTFOLIO		
NBI MUNICIPAL BOND PLUS PRIVATE PORTFOLIO		
NBI NON-TRADITIONAL CAPITAL APPRECIATION PRIVATE PORTFOLIO		
NBI NON-TRADITIONAL FIXED INCOME PRIVATE PORTFOLIO		
NBI NORTH AMERICAN DIVIDEND PRIVATE PORTFOLIO		
NBI REAL ASSETS PRIVATE PORTFOLIO		
NBI SMARTBETA CANADIAN EQUITY FUND		
NBI SMARTBETA GLOBAL EQUITY FUND		
NBI U.S. BOND PRIVATE PORTFOLIO		
NBI U.S. EQUITY PRIVATE PORTFOLIO		
NBI U.S. GROWTH & INCOME PRIVATE PORTFOLIO		
NBI U.S. HIGH CONVICTION EQUITY PRIVATE PORTFOLIO		
NCE DIVERSIFIED FLOW-THROUGH (15) LIMITED PARTNERSHIP		
NCE DIVERSIFIED FLOW-THROUGH (16) LIMITED PARTNERSHIP		
NDX GROWTH & INCOME FUND		
NEARCTIC NICKEL MINES INC.	ASC CTO	1a, 1b, 1c, 1e
NEATT CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
NEBEX RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
NEBO CAPITAL CORP.		
NEBU RESOURCES INC.		
NEI CANADIAN BOND FUND		
NEI CONSERVATIVE YIELD PORTFOLIO		
NEI ENVIRONMENTAL LEADERS FUND		
NEI ETHICAL AMERICAN MULTI-STRATEGY FUND		
NEI ETHICAL BALANCED FUND		
NEI ETHICAL CANADIAN EQUITY FUND		
NEI ETHICAL GLOBAL DIVIDEND FUND		
NEI ETHICAL GLOBAL EQUITY FUND		
NEI ETHICAL INTERNATIONAL EQUITY FUND		
NEI ETHICAL SELECT BALANCED PORTFOLIO		
NEI ETHICAL SELECT CONSERVATIVE PORTFOLIO		
NEI ETHICAL SELECT GROWTH PORTFOLIO		
NEI ETHICAL SELECT INCOME PORTFOLIO		
NEI ETHICAL SPECIAL EQUITY FUND		

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NEI GLOBAL STRATEGIC YIELD FUND		
NEI GLOBAL TOTAL RETURN BOND FUND		
NEI MONEY MARKET FUND		
NEI NORTHWEST CANADIAN DIVIDEND CORPORATE CLASS		
NEI NORTHWEST CANADIAN DIVIDEND FUND		
NEI NORTHWEST CANADIAN EQUITY CORPORATE CLASS		
NEI NORTHWEST CANADIAN EQUITY FUND		
NEI NORTHWEST EMERGING MARKETS CORPORATE CLASS		
NEI NORTHWEST EMERGING MARKETS FUND		
NEI NORTHWEST ENHANCED YIELD EQUITY CORPORATE CLASS		
NEI NORTHWEST GLOBAL EQUITY CORPORATE CLASS		
NEI NORTHWEST GLOBAL EQUITY FUND		
NEI NORTHWEST GROWTH AND INCOME CORPORATE CLASS		
NEI NORTHWEST GROWTH AND INCOME FUND		
NEI NORTHWEST MACRO CANADIAN ASSET ALLOCATION CORPORATE CLASS		
NEI NORTHWEST MACRO CANADIAN ASSET ALLOCATION FUND		
NEI NORTHWEST SHORT TERM CORPORATE CLASS		
NEI NORTHWEST SPECIALTY EQUITY CORPORATE CLASS		
NEI NORTHWEST SPECIALTY EQUITY FUND		
NEI NORTHWEST SPECIALTY GLOBAL HIGH YIELD BOND FUND		
NEI NORTHWEST TACTICAL YIELD CORPORATE CLASS		
NEI NORTHWEST TACTICAL YIELD FUND		
NEI NORTHWEST U.S. DIVIDEND CORPORATE CLASS		
NEI NORTHWEST U.S. DIVIDEND FUND		
NEI SELECT BALANCED CORPORATE CLASS PORTFOLIO		
NEI SELECT BALANCED PORTFOLIO		
NEI SELECT CONSERVATIVE CORPORATE CLASS PORTFOLIO		
NEI SELECT CONSERVATIVE PORTFOLIO		
NEI SELECT GLOBAL MAXIMUM GROWTH CORPORATE CLASS PORTFOLIO		
NEI SELECT GLOBAL MAXIMUM GROWTH PORTFOLIO		
NEI SELECT GROWTH CORPORATE CLASS PORTFOLIO		
NEI SELECT GROWTH PORTFOLIO		
NEMASKA LITHIUM INC.		
NEMI NORTHERN ENERGY & MINING INC.		
NEOTECK SOLUTIONS INC.		
NEOVASC INC.		
NEPTUNE TECHNOLOGIES & BIORESSOURCES INC.		
NESSCAP ENERGY INC.		

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NET NANNY SOFTWARE INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
NETCENTS TECHNOLOGY INC.		
NETRUE COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
NETT-WORKK INC.	ASC CTO	1a, 1b, 1c, 1e
NETWORK EXPLORATION LTD.		
NETWORK LIFE SCIENCES INC.		
NETWORK MEDIA GROUP INC.		
NETWORK TECHNOLOGY PROFESSIONALS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
NEULION, INC.		
NEUTRISCI INTERNATIONAL INC.		
NEVADA BOB'S GOLF INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
NEVADA CLEAN MAGNESIUM INC.		3
NEVADA COPPER CORP.		
NEVADA ENERGY METALS INC.		
NEVADA EXPLORATION INC.		
NEVADA SUNRISE GOLD CORPORATION		
NEVADA ZINC CORPORATION		
NEVADO RESOURCES CORPORATION		
NEVARO CAPITAL CORPORATION		
NEVER TRUST AN HONEST THIEF	ASC CTO	1a, 1b, 1c, 1e
NEVIS CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
NEVSUN RESOURCES LTD.		
NEW AGE FARM INC.		
NEW ARCADIA RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
NEW CAROLIN GOLD CORP.		3
NEW COMMERCE SPLIT FUND		
NEW DESTINY MINING CORP.		
NEW DIMENSION RESOURCES LTD.		
NEW ERA MINERALS INC.		
NEW FLYER INDUSTRIES INC.		
NEW GLOBAL ACREAGE RESOURCES LTD.		
NEW GOLD INC.		
NEW GUINEA GOLD CORPORATION	ASC CTO	1a, 1b, 1c, 1e
NEW HORIZON URANIUM CORPORATION	ASC CTO	1a, 1b, 1c, 1e
NEW ISLAND RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
NEW KLONDIKE EXPLORATION LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
NEW LEADERS CLASS		1g
NEW LINTEX MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1e
NEW LOOK VISION GROUP INC.		

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NEW MILLENNIUM IRON CORP.		
NEW NADINA EXPLORATIONS LIMITED		
NEW OROPERU RESOURCES INC.		
NEW PACIFIC METALS CORP.		
NEW SAGE ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
NEW TEXMONT EXPLORATIONS LTD.	ASC CTO	1a, 1b, 1c, 1e
NEW WEST ENERGY SERVICES INC.		
NEW WORLD RESOURCE CORP.		
NEW ZEALAND ENERGY CORP.		
NEWALTA CORPORATION		
NEWCASTLE ENERGY CORP.		1k
NEWCASTLE GOLD LTD.		
NEWCHILD INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
NEWFIELDS MINERALS INC.	ASC CTO	1a, 1b, 1c, 1e
NEWFOUNDLAND CAPITAL CORPORATION LIMITED		
NEWFOUNDLAND GOLDBAR RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
NEWFOUNDLAND POWER INC.		
NEWGEN ENVIRONMENTAL SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
NEWGROWTH CORP.		
NEWHAVEN MEDIA INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
NEWKIDCO INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
NEWLOOK INDUSTRIES CORP	ASC CTO	1a, 1b, 1c, 1e
NEWLOX GOLD VENTURES CORP.		
NEWMAC RESOURCES INC.		
NEWMARKET GOLD INC.		
NEWMONT MINING CORPORATION		
NEWNORTH PROJECTS LTD.		
NEWNOTE FINANCIAL CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
NEWPORT EXPLORATION LTD.		
NEWSTAR RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
NEWSTRIKE RESOURCES LTD.		
NEWTON ENERGY CORPORATION		
NEXC PARTNERS CORP.		
NEXGEN CANADIAN BOND FUND		
NEXGEN CANADIAN BOND TAX MANAGED FUND		
NEXGEN CANADIAN CASH FUND		
NEXGEN CANADIAN CASH TAX MANAGED FUND		
NEXGEN CANADIAN DIVERSIFIED INCOME REGISTERED FUND		
NEXGEN CANADIAN DIVERSIFIED INCOME TAX MANAGED FUND		

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NEXGEN CANADIAN DIVIDEND REGISTERED FUND		
NEXGEN CANADIAN DIVIDEND TAX MANAGED FUND		
NEXGEN CANADIAN PREFERRED SHARE REGISTERED FUND		
NEXGEN CANADIAN PREFERRED SHARE TAX MANAGED FUND		
NEXGEN CORPORATE BOND FUND		
NEXGEN CORPORATE BOND TAX MANAGED FUND		
NEXGEN ENERGY LTD.		
NEXGEN GLOBAL EQUITY REGISTERED FUND		
NEXGEN GLOBAL EQUITY TAX MANAGED FUND		
NEXGEN INTRINSIC BALANCED REGISTERED FUND		
NEXGEN INTRINSIC BALANCED TAX MANAGED FUND		
NEXGEN INTRINSIC GROWTH REGISTERED FUND		
NEXGEN INTRINSIC GROWTH TAX MANAGED FUND		
NEXGEN TURTLE CANADIAN BALANCED REGISTERED FUND		
NEXGEN TURTLE CANADIAN BALANCED TAX MANAGED FUND		
NEXGEN U.S. DIVIDEND PLUS REGISTERED FUND		
NEXGEN U.S. DIVIDEND PLUS TAX MANAGED FUND		
NEXGEN U.S. GROWTH REGISTERED FUND		
NEXGEN U.S. GROWTH TAX MANAGED FUND		
NEXGENRX INC.		
NEXIENT LEARNING INC.	ASC CTO	1a, 1b, 1c, 1e
NEXJ HEALTH HOLDINGS INC.		
NEXJ SYSTEMS INC.		
NEXMEDIA TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
NEXOPTIC TECHNOLOGY CORP.		
NEXT EDGE AHL FUND		
NEXT EDGE BIO-TECH PLUS FUND		
NEXT EDGE THETA YIELD FUND		
NEXTRACTION ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
NEXUS GOLD CORP.		
NEXUS GROUP INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
NGEX RESOURCES INC.		
NHC COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
NIAGARA VENTURES CORPORATION		
NICER CANADA CORP.	ASC CTO	1a, 1b, 1c, 1e
NICKEL NORTH EXPLORATION CORP.		
NICKEL ONE RESOURCES INC.		
NICKEL PETROLEUM RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
NICKELODEON INDUSTRIES CORP.	ASC CTO	1a, 1b, 1c, 1e

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NICOLA MINING INC.		
NIGHTHAWK GOLD CORP.		
NIGHTINGALE INFORMATIX CORPORATION		
NIGHTWIND RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
NIK VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
NIKO RESOURCES LTD.		
NIKOS EXPLORATIONS LTD.		
NILE INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
NIOCAN INC.		
NIOCORP DEVELOPMENTS LTD.		
NIPPON DRAGON RESOURCES INC.		
NIR DIAGNOSTICS INC.	ASC CTO	1a, 1b, 1c, 1e
NISHAL CAPITAL INC.		
NITINAT MINERALS CORPORATION		
NOBEL REAL ESTATE INVESTMENT TRUST		
NOBEL REIT LIMITED PARTNERSHIP		1g
NOBELIUM TECH CORP.		
NOBILIS HEALTH CORP.		1d
NOBLE CHINA INC.	ASC CTO	1a, 1b, 1c, 1e
NOBLE IRON INC.		
NOBLE METAL GROUP INCORPORATED		3
NOBLE MINERAL EXPLORATION INC.		
NOKA RESOURCES INC.		
NOMAD VENTURES INC.	Reciprocal CTO	1a, 1c, 1e
NORAD RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
NORAM VENTURES INC.		
NORANDA INCOME FUND		
NORANT RESOURCES LTD.		
NORBORD INC.		
NORDEX EXPLOSIVES LTD.		
NORDIC OIL AND GAS LTD.	Reciprocal CTO	1a, 1b, 1c, 1e, 1k, 3
NORLANA RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
NORON TECH LTD.	ASC CTO	1a, 1b, 1c, 1e
NORREP CORE CANADIAN POOL		
NORREP CORE GLOBAL POOL		
NORREP ENERGY CLASS OF NORREP OPPORTUNITIES CORP.		
NORREP ENTREPRENEURS CLASS OF NORREP OPPORTUNITIES CORP.		
NORREP FUND		

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Name	Cease Traded	Nature of Default
NORREP GLOBAL INCOME GROWTH CLASS OF NORREP OPPORTUNITIES CORP.		
NORREP HIGH YIELD CLASS OF NORREP OPPORTUNITIES CORP.		
NORREP II CLASS OF NORREP OPPORTUNITIES CORP		
NORREP INCOME GROWTH CLASS OF NORREP OPPORTUNITIES CORP.		
NORREP PREMIUM GROWTH CLASS OF NORREP PREMIUM PORTOFOLIOS LTD.		
NORREP SHORT DURATION 2016 FLOW-THROUGH LIMITED PARTNERSHIP		
NORREP SHORT TERM INCOME FUND		
NORREP TACTICAL OPPORTUNITIES CLASS		
NORREP US DIVIDEND PLUS CLASS OF NORREP OPPORTUNITIES CORP.		
NORSEMONT CAPITAL INC.		
NORSUL OIL & MINING LTD.	ASC CTO	1a, 1b, 1c, 1e
NORTEC MINERALS CORP		1a, 1b, 1c, 1e
NORTECH GEOMATICS INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
NORTEL NETWORKS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
NORTEL NETWORKS LIMITED	ASC CTO	1a, 1b, 1c, 1e
NORTH AMERICA FRAC SAND, INC.	ASC CTO	1g, 2a
NORTH AMERICAN BUSINESS INTELLIGENCE SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
NORTH AMERICAN DETECTORS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
NORTH AMERICAN ENERGY PARTNERS INC.		
NORTH AMERICAN FINANCIAL 15 SPLIT CORP.		
NORTH AMERICAN HIGH YIELD BOND FUND (PUTNAM)		
NORTH AMERICAN NICKEL INC.		
NORTH AMERICAN PALLADIUM LTD.		
NORTH AMERICAN POTASH DEVELOPMENTS INC.		
NORTH AMERICAN PREFERRED SHARE FUND		
NORTH AMERICAN REIT INCOME FUND		
NORTH AMERICAN RESOURCE CAPITAL LIMITED	ASC CTO	1a, 1b, 1c, 1e
NORTH AMERICAN SPECIALTY CLASS		
NORTH AMERICAN SYSTEMS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
NORTH AMERICAN TUNGSTEN CORPORATION LTD.		1a, 1b, 1c, 1e
NORTH ARROW MINERALS INC.		
NORTH BLUFF CAPITAL CORP.		
NORTH CONTINENTAL ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e
NORTH GROUP FINANCE LIMITED		
NORTH GROWTH CANADIAN EQUITY FUND		
NORTH GROWTH U.S. EQUITY ADVISOR FUND		
NORTH SEA ENERGY INC.		

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NORTH SHORE MERCANTILE CORP.	ASC CTO	1a, 1b, 1c, 1e
NORTH SOUTH PETROLEUM CORP.		
NORTH SUR RESOURCES INC.		
NORTHAVEN RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e
NORTHCLIFF RESOURCES LTD.		
NORTHCORE RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
NORTHCORE TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
NORTHERN ABITIBI MINING CORP.		
NORTHERN BLIZZARD RESOURCES INC.		
NORTHERN DYNASTY MINERALS LTD.		
NORTHERN EMPIRE RESOURCES CORP.		
NORTHERN FREEGOLD RESOURCES LTD.		
NORTHERN FRONTIER CORP.		
NORTHERN GRAPHITE CORPORATION		
NORTHERN GREENS LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
NORTHERN IRON CORP.		
NORTHERN LIGHTS RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e
NORTHERN LION GOLD CORP.		
NORTHERN MOUNTAIN HELICOPTERS GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
NORTHERN POWER SYSTEMS CORP.		1a, 1b, 1c, 1d, 1e
NORTHERN SHIELD RESOURCES INC.		
NORTHERN SPHERE MINING CORP.		
NORTHERN STAR MINING CORP.	ASC CTO	1a, 1b, 1c, 1e
NORTHERN SUPERIOR RESOURCES INC.		
NORTHERN URANIUM CORP.		
NORTHERN VERTEX MINING CORP.		
NORTHFIELD CAPITAL CORPORATION		
NORTHGATE LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
NORTHISLE COPPER AND GOLD INC.		
NORTHLAND BANK	ASC CTO	1a, 1b, 1c, 1e
NORTHLAND MERCANTILE CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
NORTHLAND POWER INC.		
NORTHLAND RESOURCES SE	ASC CTO	1a, 1b, 1c, 1d, 1e
NORTHLAND SYSTEMS TRAINING INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
NORTHLINE ENERGY SERVICES INC.	ASC CTO	1a, 1b, 1c, 1e
NORTHQUEST LTD.		
NORTHQUEST VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
NORTHRIM MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
NORTHSIDE MINERALS INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g

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NORTHSTAR AEROSPACE INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
NORTHVIEW APARTMENT REAL ESTATE INVESTMENT TRUST		
NORTHWEST HEALTHCARE PROPERTIES REAL ESTATE INVESTMENT TRUST		
NORVISTA CAPITAL CORPORATION		
NORWICH VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e
NORWOOD RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
NORZAN ENTERPRISES LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
NOTHING PERSONAL	ASC CTO	1a, 1b, 1c, 1e
NOUVEAU MONDE MINING ENTERPRISES INC.		
NOVA GAS TRANSMISSION LTD.		
NOVA LEAP HEALTH CORP.		
NOVA SCOTIA POWER INCORPORATED		
NOVABAY PHARMACEUTICALS, INC.		
NOVACOPPER INC.		
NOVADAQ TECHNOLOGIES INC.		
NOVADX VENTURES CORP.	ASC CTO	1a, 1b, 1c, 1e
NOVAGOLD RESOURCES INC.		
NOVATECH POWER CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
NOVATEQNI CORPORATION		
NOVATERRA CORP.	ASC CTO	1a, 1b, 1c, 1e
NOVATION HOLDINGS, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
NOVEKO INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
NOVO RESOURCES CORP.		
NOVRA TECHNOLOGIES INC.		
NOVX21 INC.		1a, 1b, 1c, 1e
NQ EXPLORATION INC.		
NRCI NORTHERN RESOURCE CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
NRG METALS INC.		
NRP STONE INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
NSGOLD CORPORATION		
NSI GLOBAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
NSI STRATEGIC INVESTMENTS LTD.		
NSP PHARMA CORP.	ASC CTO	1a, 1b, 1c, 1e
NSR RESOURCES INC.		
NSX SILVER INC.		1a, 1b, 1c, 1e
NTEX INCORPORATED	ASC CTO	1a, 1b, 1c, 1d, 1e
NTG CLARITY NETWORKS INC.		
NTI RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
NU CROWN RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e

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NU2U RESOURCES CORP.		
NUANCE COMMUNICATIONS, INC.		
NUBIAN RESOURCES LTD.		
NUCONTEX CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
NUINSCO RESOURCES LIMITED	Reciprocal CTO	1a, 1b, 1c, 1e
NULEGACY GOLD CORPORATION		
NU-LIFE CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e
NUNAVIK NICKEL MINES LTD.		
NURAN WIRELESS INC. (FORMERLY 1014372 B.C. LTD.)		
NURCAPITAL CORPORATION LTD.		
NUTRITIONAL HIGH INTERNATIONAL INC. (FORMERLY, SONOMA CAPITAL INC.)		
NUVISTA ENERGY LTD.		
NUVO PHARMACEUTICALS INC.		
NV GOLD CORPORATION		
NWEST ENERGY CORP.		
NWT URANIUM CORP.		
NX PHASE CAPITAL INC.	Reciprocal CTO	1a, 1b, 1c, 1e
NXT ENERGY SOLUTIONS INC.		
NYX GAMING GROUP LIMITED		
O A GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
OAK CATALYST CORPORATION	ASC CTO	1a, 1b, 1c, 1e
OAKHAM CAPITAL CORP.		
OAKHILL COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
OAKMARK INTERNATIONAL NATIXIS REGISTERED FUND		
OAKMARK INTERNATIONAL NATIXIS TAX MANAGED FUND		
OAKMARK NATIXIS REGISTERED FUND		
OAKMARK NATIXIS TAX MANAGED FUND		
OCEANAGOLD CORPORATION		
OCEANIC IRON ORE CORP.		
OCEANLAKE COMMERCE INC.	ASC CTO	1a, 1b, 1c, 1e
OCEANROCK BALANCED PORTFOLIO		
OCEANROCK CANADIAN EQUITY FUND		
OCEANROCK GROWTH & INCOME PORTFOLIO		
OCEANROCK GROWTH PORTFOLIO		
OCEANROCK INCOME & GROWTH PORTFOLIO		
OCEANROCK INCOME PORTFOLIO		
OCEANROCK INTERNATIONAL EQUITY FUND		
OCEANROCK MAXIMUM GROWTH PORTFOLIO		

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OCEANROCK U.S. EQUITY FUND		
OCEANSIDE CAPITAL CORP.		
OCEANUS RESOURCES CORPORATION		
OCP CREDIT STRATEGY FUND		
OCP SENIOR CREDIT FUND		
OCS TECHNOLOGIES CORP.	ASC CTO	1a, 1b, 1c, 1e
OCTAGON 88 RESOURCES, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
OCTAGON INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
OCTAN RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
OCTANT ENERGY CORP.		
OCULUS VISIONTECH INC.		
ODESIA GROUP INC.	Reciprocal CTO	1a, 1b, 1c, 1e
ODESSA INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ODIN INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
ODIN MINING AND EXPLORATION LTD.		
ODYSSEY ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
ODYSSEY INDUSTRIES INCORPORATED	ASC CTO	1a, 1b, 1c, 1e
ODYSSEY RESOURCES LIMITED		
OIL OPTIMIZATION INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
OIL PATCH GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
OIL SANDS SECTOR FUND		
OILSANDS QUEST INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k, 4
OKAK BAY RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
OLIVUT RESOURCES LTD.		
OLYMPIA & YORK FIRST CANADIAN PLACE LIMITED	ASC CTO	1a, 1b, 1c, 1e
OLYMPIA FINANCIAL GROUP INC.		
OMEGA WORLD INCORPORATED	ASC CTO	1a, 1b, 1c, 1e, 1g
OMINECA MINING AND METALS LTD.		
OMNIBUS COMPUTER GRAPHICS INC.	ASC CTO	1a, 1b, 1c, 1e
OMNI-LITE INDUSTRIES CANADA INC.		
OMNITECH CONSULTANT GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
OMNITEX RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e
ONCOLYTICS BIOTECH INC.		
ONE FINANCIAL REAL PROPERTY DEVELOPMENT TRUST (2008-1)	ASC CTO	1a, 1b, 1c, 1e, 4
ONE FINANCIAL REAL PROPERTY INCOME FUND (2008-1)	ASC CTO	1a, 1b, 1c, 1e
ONE WORLD INVESTMENTS INC.		
ONECAP INVESTMENT CORPORATION		
ONENERGY INC.		
ONEREIT		

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ONEROOF ENERGY GROUP, INC.		3
ONESOFT SOLUTIONS INC.		
ONEX CORPORATION		
ONLINE DIRECT INC.	ASC CTO	1a, 1b, 1c, 1e, 3
ONSAT.NET CANADA INC.	ASC CTO	1a, 1b, 1c, 1e
ONTARIO POWER GENERATION INC.		
OPAL ENERGY CORP.		
OPAWICA EXPLORATIONS INC.		
OPEN GOLD CORP.		
OPEN SOURCE HEALTH INC.		
OPEN TEXT CORPORATION		
OPIMIAN CALIFORNIA VINEYARDS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
OPSENS INC.		
OPTIMA STRATEGY FUND		
OPTIMA STRATEGY INTERNATIONAL FUND		
OPTIMARK DATA SYSTEMS, INC.	ASC CTO	1a, 1b, 1c, 1e
ORACLE ENERGY CORP.		1k
ORACLE MINING CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
ORAGENICS, INC.		3
ORANGE		1g
ORBIT GARANT DRILLING INC.		
ORBITE TECHNOLOGIES INC.		
ORBUS PHARMA INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
ORCA EXPLORATION GROUP INC.		
ORCA GOLD INC.		
ORCA TOUCHSCREEN TECHNOLOGIES LTD.		
ORCANA RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
ORCATRON COMMUNICATIONS LTD.	ASC CTO	1a, 1b, 1c, 1e
ORD MOUNTAIN RESOURCES CORP.		
OREFINDERS RESOURCES INC.		
OREMEX GOLD INC.	ASC CTO	1a, 1b, 1c, 1e
OREMEX SILVER INC.		
ORESTONE MINING CORP.		
OREX EXPLORATION INC.		
OREX MINERALS INC.		
OREZONE GOLD CORPORATION		
ORGANIC POTASH CORPORATION		
ORGANIGRAM HOLDINGS INC.		
ORGANTO FOODS INC.		

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ORIANA RESOURCES CORPORATION		
ORIOLE SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ORION RESOURCE CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
ORLA MINING LTD.		
ORLETTO CAPITAL INC.		
ORMONDE MINING PLC	ASC CTO	1a, 1b, 1c, 1e
OROCO RESOURCE CORP.		
OROCOBRE LIMITED		
OROFINO MINERALS INC.		
ORONOVA RESOURCE CORP.		
OROSUR MINING INC.		
ORSU METALS CORPORATION		
ORTHO REGENERATIVE TECHNOLOGIES INC.		
ORVANA MINERALS CORP.		
ORYX PETROLEUM CORPORATION LIMITED		
OSISKO GOLD ROYALTIES LTD		
OSISKO MINING INC.		
OSPREY ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e
OSTA BIOTECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 3
OTI TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
OTIS GOLD CORP.		
OUTDOORPARTNER MEDIA CORPORATION		
OUTLOOK RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
OUTRIDER MERCHANT EQUITIES INC.	ASC CTO	1a, 1b, 1c, 1e
OVIVO INC.		
OXFORD RESOURCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
OYSTER OIL AND GAS LTD.		
P S M TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
P&P VENTURES INC.		
P2P INFO INC.		
PACGEN LIFE SCIENCE CORPORATION		
PACIFIC ARC RESOURCES LTD.		
PACIFIC ASIA CHINA ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
PACIFIC BAY MINERALS LTD.		
PACIFIC BOOKER MINERALS INC.		
PACIFIC CASCADE MINERALS INC.	Reciprocal CTO	1a, 1b, 1c, 1e
PACIFIC COMOX RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
PACIFIC CONCORDE CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
PACIFIC CONSOLIDATED RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e

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PACIFIC E-LINK CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PACIFIC ENERGY RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k, 3
PACIFIC EXPLORATION & PRODUCTION CORPORATION		
PACIFIC GEOINFO CORP.		
PACIFIC IMPERIAL MINES INC.		
PACIFIC INSIGHT ELECTRONICS CORP.		
PACIFIC IRON ORE CORPORATION		
PACIFIC LINK MINING CORP.		
PACIFIC LOTTERY CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PACIFIC NATIONAL FINANCIAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PACIFIC NORTH WEST CAPITAL CORP.		
PACIFIC OIL COMPANY	ASC CTO	1a, 1b, 1c, 1d, 1e
PACIFIC ORIENT CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
PACIFIC PARADYM ENERGY INC.		1k
PACIFIC POTASH CORPORATION		
PACIFIC RIDGE EXPLORATION LTD.		
PACIFIC SAFETY PRODUCTS INC.		
PACIFIC STANDARD PROPERTIES INC.	ASC CTO	1a, 1b, 1c, 1e
PACIFIC TOPAZ RESOURCES LTD.		
PACIFIC VECTOR HOLDINGS INC.	ASC CTO	1a, 1b, 1c, 1e
PACIFIC VIDEO VENDING LIMITED PARTNERSHIP ONE	ASC CTO	1a, 1b, 1c, 1e
PACIFIC WILDCAT RESOURCES CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
PACRIM RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
PAGE PETROLEUM LTD.	ASC CTO	1a, 1b, 1c, 1e
PAGET MINERALS CORP.		
PAINTED PONY PETROLEUM LTD.		
PALAMINA CORP.		
PALCAN POWER SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
PALISADES VENTURES INC.		
PALLADIUM RESOURCE CORP.	ASC CTO	1a, 1b, 1c, 1e
PALLADON VENTURES LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
PALLAUM MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
PALLISER OIL & GAS CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
PALM SPRINGS SQUARE LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
PALM SPRINGS SQUARE TWO LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
PALOMA RESOURCES INC.		1k
PALOS EQUITY INCOME FUND		
PAMLICO RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
PAN AMERICAN FERTILIZER CORP.	ASC CTO	1a, 1b, 1c, 1e

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PAN AMERICAN SILVER CORP.		
PAN GLOBAL RESOURCES INC.		
PAN OCEAN EXPLORATIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PAN ORIENT ENERGY CORP.		
PAN SMAK PIZZA INC.	ASC CTO	1a, 1b, 1c, 1e
PANCONTINENTAL URANIUM CORPORATION		
PANDA CAPITAL INC.		
PANGOLIN DIAMONDS CORP.		
PANNEX INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
PANORAMA PETROLEUM INC.		
PANORO MINERALS LTD.		
PAN-PACIFIC TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PANTERRA GOLD LIMITED		
PANTHEON VENTURES LTD.		
PANTHER MINES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
PAR MOR II	ASC CTO	1a, 1b, 1c
PARA RESOURCES INC.		
PARACORP LTD.	ASC CTO	1a, 1b, 1c, 1e
PARADISE	ASC CTO	1a, 1c, 1e
PARADISE CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PARADISE PLAZA LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
PARAGON I	ASC CTO	1a, 1b, 1c, 1e
PARALLEL ENERGY TRUST	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
PARALLEL MINING CORP.		
PARAMOUNT LIFE INSURANCE COMPANY	ASC CTO	1a, 1b, 1c, 1e
PARAMOUNT RESOURCES LTD.		
PARAMOUNT VENTURES AND FINANCE INC.	ASC CTO	1a, 1b, 1c, 1e
PARA-TECH ENERGY SERVICES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PARCELPAL TECHNOLOGY INC.		
PAREX RESOURCES INC.		
PARK ESTATES	ASC CTO	1a, 1b, 1c, 1e
PARK LAWN COMPANY LIMITED		
PARK LAWN CORPORATION		
PARK PLACE	ASC CTO	1a, 1b, 1c, 1e
PARK SQUARE PROPERTIES LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
PARKIT ENTERPRISE INC.		
PARKLAND ENERGY SERVICES INC.	ASC CTO	1a, 1b, 1c, 1e
PARKLAND FUEL CORPORATION		
PARKSIDE RESOURCES CORPORATION	ASC CTO	1a, 1b, 1c, 1e

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Name	Cease Traded	Nature of Default
PARLANE RESOURCE CORP.		
PARON RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PARTHENON BUILDING SYSTEMS LIMITED	ASC CTO	1a, 1b, 1c, 1e
PARTNER JET CORP.		
PARTNERS REAL ESTATE INVESTMENT TRUST		
PARTNERS VALUE INVESTMENTS INC.		
PARTNERS VALUE SPLIT CORP.		
PARTON CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PARTYCO HOLDINGS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
PASINEX RESOURCES LIMITED		
PASON SYSTEMS INC.		
PASSPORT POTASH INC.	Reciprocal CTO	1a, 1b, 1c, 1e
PATCH INTERNATIONAL INC.		
PATCHGEAR.COM INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PATHFINDER INCOME FUND		
PATIENT HOME MONITORING CORP.		
PATRIA RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
PATRIOT PETROLEUM CORP.		
PATTERN ENERGY GROUP INC.		
PAXTON INTERNATIONAL RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
PAY LINX FINANCIAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PBB VENTURE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PC CHIPS CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
PC VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e
PCL INDUSTRIES LIMITED	ASC CTO	1a, 1b, 1c, 1e
PDC BIOLOGICAL HEALTH GROUP CORPORATION	Reciprocal CTO	1b, 1c, 1e, 3
PEACHTREE NETWORK INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PEAK BREWING GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PEAK POSITIONING TECHNOLOGIES INC.		
PEAKSOFT MULTINET CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g, 3
PEARL RIVER HOLDINGS LIMITED		
PEAT RESOURCES LIMITED		
PEBBLE CREEK MINING LTD.	ASC CTO	1a, 1b, 1c, 1e
PEDIAPHARM INC.		
PEDRO RESOURCES LTD.		
PEGASUS GOLD INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
PELANGIO EXPLORATION INC.		
PELE MOUNTAIN RESOURCES INC.		
PELHAM GOLD 'N' GRAIN INC.	ASC CTO	1a, 1b, 1c, 1e

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PEMBINA PIPELINE CORPORATION		
PENDER CANADIAN OPPORTUNITIES FUND		
PENDER CORPORATE BOND FUND		
PENDER GROWTH FUND (VCC) INC.		
PENDER SMALL CAP OPPORTUNITIES FUND		
PENDER STRATEGIC GROWTH AND INCOME FUND		
PENDER US ALL CAP EQUITY FUND		
PENDER VALUE FUND		
PENGROWTH ENERGY CORPORATION		
PENN WEST PETROLEUM LTD.		
PENNANT PACIFIC RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
PENNCAN RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
PENNINE PETROLEUM CORPORATION		3
PENNINGTONS STORES LIMITED	ASC CTO	1a, 1b, 1c, 1e
PENWAY EXPLORERS LTD.	ASC CTO	1a, 1b, 1c, 1e
PEOPLE CORPORATION		
PEOPLES JEWELLERS LIMITED	ASC CTO	1a, 1b, 1c, 1e
PEPCAP RESOURCES, INC.		
PERAGIS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PERCY STREET CAPITAL CORPORATION		
PEREGRINE DIAMONDS LTD.		
PERFORMANCE OPTICIAN SOFTWARE CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
PERFORMANCE SPORTS GROUP LTD.		
PERISCOPE INVESTMENTS LIMITED	ASC CTO	1a, 1b, 1c, 1e
PERISSON PETROLEUM CORPORATION		
PERK.COM INC.		
PERLITE CANADA INC.		
PERPETUAL ENERGY INC.		
PERPETUAL INDUSTRIES INC.	ASC CTO	1a, 1c, 1d, 1e
PERREX RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
PERSEUS MINING LIMITED		
PERSHIMCO RESOURCES INC.		
PERTACAL ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g, 1k
PERUVIAN PRECIOUS METALS CORP.		
PESA CORPORATION		
PETRICHOR ENERGY INC.		
PETRO BASIN ENERGY CORP.		
PETRO RIO S.A.		
PETRO RIVER OIL CORP.		1b, 1c, 1e

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PETRO VIKING ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
PETRO VISTA ENERGY CORP.		
PETROCAPITA INCOME TRUST		
PETROCORP GROUP INC.		
PETRODORADO ENERGY LTD.		
PETROFLOW ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
PETROFRONTIER CORP.		
PETROGLOBE INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
PETROL ONE CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
PETROLEX ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
PETROLIA INC.		
PETROLYMPIC LTD.		
PETROMAC ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e
PETROMAROC CORPORATION PLC		
PETROMIN RESOURCES LTD.		
PETROREAL ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1j, 1k
PETROSHALE INC.		
PETROSONIC ENERGY, INC.		
PETROSPEC TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PETRO-VICTORY ENERGY CORP.		
PETROWEST CORPORATION		
PETROX RESOURCES CORP.		
PETRUS RESOURCES LTD.		
PEYTO EXPLORATION & DEVELOPMENT CORP.		
PFB CORPORATION		
PGE RESOURCE CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
PHARLAP RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
PHARMACAN CAPITAL CORP.		
PHARMAGAP INC.	ASC CTO	1a, 1b, 1c, 1e
PHARMAGLOBE INC.	ASC CTO	1a, 1b, 1c, 1e
PHARMENG INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
PHILIPPINE METALS INC.		
PHILLIPS, HAGER & NORTH \$U.S. MONEY MARKET FUND		
PHILLIPS, HAGER & NORTH BALANCED FUND		
PHILLIPS, HAGER & NORTH BALANCED PENSION TRUST		
PHILLIPS, HAGER & NORTH BOND FUND		
PHILLIPS, HAGER & NORTH CANADIAN EQUITY FUND		
PHILLIPS, HAGER & NORTH CANADIAN EQUITY PENSION TRUST		
PHILLIPS, HAGER & NORTH CANADIAN EQUITY PLUS PENSION TRUST		

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PHILLIPS, HAGER & NORTH CANADIAN EQUITY UNDERLYING FUND		
PHILLIPS, HAGER & NORTH CANADIAN EQUITY UNDERLYING FUND II		
PHILLIPS, HAGER & NORTH CANADIAN EQUITY VALUE CLASS		
PHILLIPS, HAGER & NORTH CANADIAN EQUITY VALUE FUND		
PHILLIPS, HAGER & NORTH CANADIAN GROWTH FUND		
PHILLIPS, HAGER & NORTH CANADIAN INCOME FUND		
PHILLIPS, HAGER & NORTH CANADIAN MONEY MARKET FUND		
PHILLIPS, HAGER & NORTH COMMUNITY VALUES BALANCED FUND		
PHILLIPS, HAGER & NORTH COMMUNITY VALUES BOND FUND		
PHILLIPS, HAGER & NORTH COMMUNITY VALUES CANADIAN EQUITY FUND		
PHILLIPS, HAGER & NORTH COMMUNITY VALUES GLOBAL EQUITY FUND		
PHILLIPS, HAGER & NORTH CONSERVATIVE EQUITY INCOME FUND		
PHILLIPS, HAGER & NORTH CURRENCY-HEDGED OVERSEAS EQUITY FUND		
PHILLIPS, HAGER & NORTH CURRENCY-HEDGED U.S. EQUITY FUND		
PHILLIPS, HAGER & NORTH DIVIDEND INCOME FUND		
PHILLIPS, HAGER & NORTH GLOBAL EQUITY FUND		
PHILLIPS, HAGER & NORTH HIGH YIELD BOND FUND		
PHILLIPS, HAGER & NORTH INFLATION-LINKED BOND FUND		
PHILLIPS, HAGER & NORTH LIFETIME 2015 FUND		
PHILLIPS, HAGER & NORTH LIFETIME 2020 FUND		
PHILLIPS, HAGER & NORTH LIFETIME 2025 FUND		
PHILLIPS, HAGER & NORTH LIFETIME 2030 FUND		
PHILLIPS, HAGER & NORTH LIFETIME 2035 FUND		
PHILLIPS, HAGER & NORTH LIFETIME 2040 FUND		
PHILLIPS, HAGER & NORTH LIFETIME 2045 FUND		
PHILLIPS, HAGER & NORTH LIFETIME 2050 FUND		
PHILLIPS, HAGER & NORTH LONG INFLATION-LINKED BOND FUND		
PHILLIPS, HAGER & NORTH MONTHLY INCOME CLASS		
PHILLIPS, HAGER & NORTH MONTHLY INCOME FUND		
PHILLIPS, HAGER & NORTH OVERSEAS EQUITY CLASS		
PHILLIPS, HAGER & NORTH OVERSEAS EQUITY FUND		
PHILLIPS, HAGER & NORTH OVERSEAS EQUITY PENSION TRUST		
PHILLIPS, HAGER & NORTH SHORT TERM BOND & MORTGAGE FUND		
PHILLIPS, HAGER & NORTH SMALL FLOAT FUND		
PHILLIPS, HAGER & NORTH TOTAL RETURN BOND FUND		
PHILLIPS, HAGER & NORTH U.S. DIVIDEND INCOME FUND		
PHILLIPS, HAGER & NORTH U.S. EQUITY FUND		
PHILLIPS, HAGER & NORTH U.S. GROWTH FUND		

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PHILLIPS, HAGER & NORTH U.S. MULTI-STYLE ALL-CAP EQUITY CLASS		
PHILLIPS, HAGER & NORTH U.S. MULTI-STYLE ALL-CAP EQUITY FUND		
PHILLIPS, HAGER & NORTH VINTAGE FUND		
PHOENIX CANADA OIL COMPANY LIMITED		
PHOENIX CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
PHOENIX CAPITAL INCOME TRUST	ASC CTO	1a, 1b, 1c, 1e
PHOENIX GOLD RESOURCES CORP.		
PHOENIX HEALTH GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PHOENIX LEISURE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PHOENIX METALS CORPORATION		3
PHOTON CONTROL INC.		
PHX ENERGY SERVICES CORP.		
PICOSEC TECHNOLOGY LTD.	ASC CTO	1a, 1b, 1c, 1e
PICTON MAHONEY FORTIFIED EQUITY FUND		
PICTON MAHONEY FORTIFIED INCOME FUND		
PICTON MAHONEY FORTIFIED MULTI-ASSET FUND		
PICTON MAHONEY TACTICAL INCOME FUND		
PIGBOSS FOLLOW-UP GROWTH INC.	ASC CTO	1a, 1b, 1c, 1e
PIH PACIFIC INTERNATIONAL HARDWOODS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PILOT GOLD INC.		
PIMCO BALANCED INCOME FUND (CANADA)		
PIMCO CANADIAN SHORT TERM BOND FUND		
PIMCO CANADIAN TOTAL RETURN BOND FUND		
PIMCO GLOBAL ADVANTAGE STRATEGY BOND FUND (CANADA)		
PIMCO GLOBAL INCOME OPPORTUNITIES FUND		
PIMCO INVESTMENT GRADE CREDIT FUND (CANADA)		
PIMCO MONTHLY INCOME FUND (CANADA)		
PIMCO UNCONSTRAINED BOND FUND (CANADA)		
PINE CLIFF ENERGY LTD.		
PINE RIDGE	ASC CTO	1a, 1b, 1c, 1e
PINE VALLEY MINING CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
PINEBRIDGE INVESTMENT GRADE PREFERRED SECURITIES FUND		
PINECREST RESOURCES LTD.		
PINESTAR GOLD INC.	ASC CTO	1a, 1b, 1c, 1e
PINETREE CAPITAL LTD.		
PINEWOOD RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
PINGIFY INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
PINNACLE BALANCED PORTFOLIO		
PINNACLE GROWTH PORTFOLIO		

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PINNACLE INCOME PORTFOLIO		
PINPOINT RETAIL SOLUTIONS INC.	ASC CTO	1a, 1b, 1c, 1e
PIONEER PACIFIC FINANCE CORP.		
PIONEERING TECHNOLOGY CORP.		
PIPER RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
PISTOL BAY MINING INC.		
PITCHBLACK RESOURCES LTD.		
PIVOT TECHNOLOGY SOLUTIONS, INC.		
PIVOTAL THERAPEUTICS INC.	Reciprocal CTO	1a, 1b, 1c, 1e
PIXMAN NOMADIC MEDIA INC.	ASC CTO	1a, 1b, 1c, 1e
PIZZA PIZZA ROYALTY CORP.		
PJX RESOURCES INC.		
PLACE CONCORDE EAST LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
PLAINTREE SYSTEMS INC.		
PLANET HEALTH CORP.		
PLANET MINING EXPLORATION INC.		
PLANETSAFE ENVIRO CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 3
PLASTIC ENGINE TECHNOLOGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PLASTICYCLE INC.	ASC CTO	1a, 1b, 1c, 1e
PLATA LATINA MINERALS CORPORATION		
PLATA MINING LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
PLATA-PERU RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PLATE RESOURCES INC.		
PLATEAU URANIUM INC.		
PLATINEX INC.		
PLATINOVA A/S	ASC CTO	1a, 1b, 1c, 1d, 1e
PLATINUM GROUP METALS LTD.		
PLATO GOLD CORP.		
PLAYFAIR MINING LTD.		
PLAZA RETAIL REIT		
PLENUS INVESTMENT INC.		
PLICIT CAPITAL CORP.		
PLOUFFE FAMILY THE	ASC CTO	1a, 1b, 1c, 1e
PLUS INTERNATIONAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PLYMOUTH REALTY CAPITAL CORP.		
PMI RESOURCES LTD.		
PNG GOLD CORPORATION		
POCML 3 INC.		
POET TECHNOLOGIES INC.		3

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POINT LOMA RESOURCES LTD.		
POINTS INTERNATIONAL LTD.		
POINTS OF CALL AIRLINES LTD.	ASC CTO	1a, 1b, 1c, 1e
POLAR RESOURCES CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e
POLARIS INFRASTRUCTURE INC.		
POLARIS MATERIALS CORPORATION		
POLLARD BANKNOTE LIMITED		
POLYMET MINING CORP.		
POLYPHALT INC.	ASC CTO	1a, 1b, 1c, 1e
POLYSOURCE HOLDINGS PLC	ASC CTO	1a, 1b, 1c, 1e
PONDEROSA FUND	ASC CTO	1a, 1b, 1c, 1e
POP SHOPPES INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
POPLAR CREEK RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
PORT O'CALL HOTEL INC.	ASC CTO	1a, 1b, 1c, 1e
PORTEX MINERALS INC.		
PORTFOLIO SERIES BALANCED FUND		
PORTFOLIO SERIES BALANCED GROWTH FUND		
PORTFOLIO SERIES CONSERVATIVE BALANCED FUND		
PORTFOLIO SERIES CONSERVATIVE FUND		
PORTFOLIO SERIES GROWTH FUND		
PORTFOLIO SERIES INCOME FUND		
PORTFOLIO SERIES MAXIMUM GROWTH FUND		
PORTIA EXPLORATION LTD.	ASC CTO	1a, 1b, 1c, 1e
PORTLAND ADVANTAGE FUND		
PORTLAND CANADIAN BALANCED FUND		
PORTLAND CANADIAN FOCUSED FUND		
PORTLAND GLOBAL BANKS FUND		
PORTLAND GLOBAL DIVIDEND FUND		
PORTLAND GLOBAL INCOME FUND		
PORTLAND VALUE FUND		
PORTO ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
PORTOFINO RESOURCES INC.		
PORTOLA RESOURCES INC.		
PORTUS CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
POSEIDON CONCEPTS CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e, 2a, 2b, 4
POSERA LTD.		
POSITION INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
POSTEC SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
POSTMEDIA NETWORK CANADA CORP.		

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POTASH CORPORATION OF SASKATCHEWAN INC.		
POTASH RIDGE CORPORATION		
POUNDER VENTURE CAPITAL CORP.		
POWER CORPORATION OF CANADA		
POWER FINANCIAL CORPORATION		
POWER RESOURCE EXPLORATION INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
POWER TECH CORPORATION INC.	ASC CTO	1a, 1b, 1c, 1e
POWERNOVA TECHNOLOGIES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
POWERSHARES 1-3 YEAR LADDERED FLOATING RATE NOTE INDEX ETF		
POWERSHARES 1-5 YEAR LADDERED CORPORATE BOND INDEX FUND		
POWERSHARES 1-5 YEAR LADDERED INVESTMENT GRADE CORPORATE BOND INDEX ETF		
POWERSHARES CANADIAN DIVIDEND INDEX CLASS		
POWERSHARES CANADIAN DIVIDEND INDEX ETF		
POWERSHARES CANADIAN LOW VOLATILITY INDEX CLASS		
POWERSHARES CANADIAN PREFERRED SHARE INDEX CLASS		
POWERSHARES CANADIAN PREFERRED SHARE INDEX ETF		
POWERSHARES DWA GLOBAL MOMENTUM INDEX ETF		
POWERSHARES FTSE RAFI CANADIAN FUNDAMENTAL INDEX ETF		
POWERSHARES FTSE RAFI CANADIAN SMALL-MID FUNDAMENTAL INDEX ETF		
POWERSHARES FTSE RAFI GLOBAL SMALL-MID FUNDAMENTAL ETF		
POWERSHARES FTSE RAFI GLOBAL+ FUNDAMENTAL INDEX ETF		
POWERSHARES FTSE RAFI U.S. FUNDAMENTAL (CAD HEDGED) INDEX ETF		
POWERSHARES FTSE RAFI U.S. FUNDAMENTAL INDEX ETF		
POWERSHARES FTSE RAFI® CANADIAN FUNDAMENTAL INDEX CLASS		
POWERSHARES FTSE RAFI® EMERGING MARKETS FUNDAMENTAL CLASS		
POWERSHARES FTSE RAFI® GLOBAL+ FUNDAMENTAL FUND		
POWERSHARES FTSE RAFI® U.S. FUNDAMENTAL FUND		
POWERSHARES FUNDAMENTAL HIGH YIELD CORPORATE BOND (CAD HEDGED) INDEX ETF		
POWERSHARES GLOBAL DIVIDEND ACHIEVERS FUND		
POWERSHARES GLOBAL SHAREHOLDER YIELD ETF		
POWERSHARES HIGH YIELD CORPORATE BOND INDEX FUND		
POWERSHARES LADDERRITE U.S. 0-5 YEAR CORPORATE BOND INDEX ETF		
POWERSHARES LOW VOLATILITY PORTFOLIO ETF		
POWERSHARES MONTHLY INCOME FUND		
POWERSHARES QQQ (CAD HEDGED) INDEX ETF		
POWERSHARES REAL RETURN BOND INDEX FUND		

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POWERSHARES S&P 500 LOW VOLATILITY (CAD HEDGED) INDEX ETF		
POWERSHARES S&P EMERGING MARKETS LOW VOLATILITY INDEX ETF		
POWERSHARES S&P INTERNATIONAL DEVELOPED LOW VOLATILITY INDEX ETF		
POWERSHARES S&P/TSX COMPOSITE LOW VOLATILITY INDEX ETF		
POWERSHARES SENIOR LOAN (CAD HEDGED) INDEX ETF		
POWERSHARES TACTICAL BOND ETF		
POWERSHARES TACTICAL BOND FUND		
POWERSHARES U.S. LOW VOLATILITY INDEX FUND		
POWERSHARES ULTRA LIQUID LONG TERM GOVERNMENT BOND INDEX ETF		
POWERWORKS CANADA INC.	ASC CTO	1a, 1b, 1c, 1e
POYDRAS GAMING FINANCE CORP.		
POYNT CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PPC CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
PPF INTERNATIONAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
PPOA HOLDING, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
PRAIRIESKY ROYALTY LTD.		
PRD ENERGY INC.		
PREAXIA HEALTH CARE PAYMENT SYSTEMS, INC.		4
PRECIOUS METALS AND MINING TRUST		
PRECIOUS METALS BULLION TRUST		
PRECIPITATE GOLD CORP.		
PRECISION ASSESSMENT TECHNOLOGY CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
PRECISION DRILLING CORPORATION		
PREFCO ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e
PREFERRED ENTERPRISES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
PREFERRED SHARE INVESTMENT TRUST		
PREMIER DIVERSIFIED HOLDINGS INC.		
PREMIER GOLD MINES LIMITED		
PREMIER HEALTH GROUP INC.		
PREMIUM BRANDS HOLDINGS CORPORATION		
PREMIUM EQUITY CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PREMIUM EXPLORATION INC.	ASC CTO	1a, 1b, 1c, 1e
PREMIUM INCOME CORPORATION		
PRENOR FINANCIAL LTD.	ASC CTO	1a, 1b, 1c, 1e
PREO SOFTWARE INC.	ASC CTO	1a, 1b, 1c, 1e
PRETIUM RESOURCES INC.		
PRIMARIA CAPITAL (CANADA) LTD.		
PRIME CITY ONE CAPITAL CORP.		

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PRIME DIVIDEND CORP.		
PRIME MERIDIAN RESOURCES CORP.		
PRIME MINERALS CORP.		
PRIMELINE ENERGY HOLDINGS INC.		
PRIMENET COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
PRIMERICA BALANCED YIELD FUND		
PRIMERICA CANADIAN BALANCED GROWTH FUND		
PRIMERICA CANADIAN MONEY MARKET FUND		
PRIMERICA GLOBAL BALANCED GROWTH FUND		
PRIMERICA GLOBAL EQUITY FUND		
PRIMERICA INCOME FUND		
PRIMERO MINING CORP.		
PRIMEWEST MORTGAGE INVESTMENT CORPORATION		
PRINCE RESOURCE CORPORATION		
PRINCESS RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
PRINCETON MEDIA GROUP, INC.	ASC CTO	1a, 1b, 1c, 1e
PRINT VENTURES INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
PRINTERA CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PRIORITY VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e
PRISM MEDICAL LTD.		
PRISM RESOURCES INC.		
PRISZM INCOME FUND	ASC CTO	1a, 1b, 1c, 1e
PRIVITI OIL & GAS OPPORTUNITIES LIMITED PARTNERSHIP 2013		
PRIYANKA CAPITAL INC.		
PRIZE MINING CORPORATION		
PRIZE PUZZLE INC.	ASC CTO	1a, 1b, 1c, 1e
PRO MINERALS INC.	ASC CTO	1a, 1b, 1c, 1e
PRO REAL ESTATE INVESTMENT TRUST		
PRO TECH VENTURE CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
PROAM EXPLORATIONS CORPORATION		
PROBE EXPLORATION INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
PROBE METALS INC.		
PROCESS CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
PRODIGY VENTURES INC.		
PRODUCTION ENHANCEMENT GROUP, INC.	ASC CTO	1a, 1b, 1c, 1e
PROFAB ENERGY SERVICES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
PROFIRE ENERGY, INC.		1g
PROFLEX LIMITED	ASC CTO	1a, 1b, 1c, 1e
PROFOUND MEDICAL CORP.		

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PROMAX ENERGY INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
PROMETIC LIFE SCIENCES INC.		
PROMINEX RESOURCE CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
PROMIS NEUROSCIENCES INC.		
PRONTOFORMS CORPORATION		
PROPHECY DEVELOPMENT CORP.		
PROPHECY ENTERTAINMENT INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PROPHOTONIX LIMITED	ASC CTO	1a, 1b, 1c, 1e
PROSALUTIS HOLDINGS INC.	Reciprocal CTO	1a, 1b, 1c, 1e
PROSEP INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
PROSPECT PARK CAPITAL CORP.		
PROSPECTOR RESOURCES CORP.		
PROSPER GOLD CORP.		
PROSPERO SILVER CORP.		
PROSYS TECH CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PROTEUS ENVIRONMENTAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
PRO-TRANS VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
PROVEST RESOURCES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PROVIDENT VENTURES CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
PROVIGO INC.		
PSINAPTIC INC.	ASC CTO	1a, 1b, 1c, 1e
PUDO INC.		
PUF VENTURES INC.		
PULSE SEISMIC INC.		
PUMA EXPLORATION INC.		
PUNDATA GOLD CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PURA VIDA INTERNATIONAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
PURE ENERGY MINERALS LIMITED		
PURE ENERGY VISIONS CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 3
PURE GOLD MINING INC.		
PURE INDUSTRIAL REAL ESTATE TRUST		
PURE LEAN INCORPORATED	ASC CTO	1a, 1b, 1c, 1e
PURE MULTI-FAMILY REIT LP		
PURE NICKEL INC.		
PURE PIONEER VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e
PURE TECHNOLOGIES LTD.		
PUREPOINT URANIUM GROUP INC.		
PURPOSE BEST IDEAS FUND		
PURPOSE CORE DIVIDEND FUND		

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PURPOSE DIVERSIFIED REAL ASSET FUND		
PURPOSE DURATION HEDGED REAL ESTATE FUND		
PURPOSE ENHANCED US EQUITY FUND		
PURPOSE HIGH INTEREST SAVINGS ETF		
PURPOSE INTERNATIONAL DIVIDEND FUND		
PURPOSE INTERNATIONAL TACTICAL HEDGED EQUITY FUND		
PURPOSE MONTHLY INCOME FUND		
PURPOSE MULTI-STRATEGY MARKET NEUTRAL FUND		
PURPOSE PREMIUM MONEY MARKET FUND		
PURPOSE PREMIUM YIELD FUND		
PURPOSE SHORT DURATION EMERGING MARKETS BOND FUND		
PURPOSE SHORT DURATION GLOBAL BOND FUND		
PURPOSE TACTICAL HEDGED EQUITY FUND		
PURPOSE TACTICAL INVESTMENT GRADE BOND FUND		
PURPOSE TOTAL RETURN BOND FUND		
PURPOSE US CASH ETF		
PURPOSE US DIVIDEND FUND		
PURSUIT AMERICAN FUND	ASC CTO	1a, 1b, 1c
PURSUIT CANADIAN EQUITY FUND	ASC CTO	1a, 1b, 1c
PURSUIT INCOME FUND	ASC CTO	1a, 1b, 1c
PURSUIT MONEY MARKET FUND	ASC CTO	1a, 1b, 1c
PWC CAPITAL INC.		
PYNG MEDICAL CORP.		
PYRAMID PETROLEUM INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
PYROGENESIS CANADA INC.		
Q INVESTMENTS LTD.		
Q/MEDIA SERVICES CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
Q2 GOLD RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
Q-ENTERTAINMENT INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
Q-GOLD RESOURCES LTD.		
QHR CORPORATION		
QI SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
QLT INC.		
QMC QUANTUM MINERALS CORP.		
QMX GOLD CORPORATION		
QNETIX INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
QSOLAR LIMITED	ASC CTO	1a, 1b, 1c, 1e
QSOUND LABS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
Q-TEL WIRELESS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g

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QUAD ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
QUAD/GRAPHICS, INC.		1g
QUADRIGA FINTECH SOLUTIONS CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
QUADRO RESOURCES LTD.		
QUADRON CAPITAL CORPORATION		
QUADRUS TRIMARK BALANCED FUND		
QUAIL RIDGE	ASC CTO	1a, 1b, 1c, 1e
QUANTA RESOURCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
QUANTITATIVE ALPHA TRADING INC.	ASC CTO	1a, 1b, 1c, 1e
QUANTUM FUEL SYSTEMS TECHNOLOGIES WORLDWIDE, INC.		1a, 1c, 1d, 1e
QUANTUM INTERNATIONAL INCOME CORP.	Reciprocal CTO	1a, 1c, 1e
QUARTZ MOUNTAIN RESOURCES LTD.		
QUATERRA RESOURCES INC.		
QUATTRO EXPLORATION AND PRODUCTION LTD.		1j
QUEBECOR INC.		
QUEEN STREET CAMERA INC.	ASC CTO	1a, 1b, 1c, 1e
QUEENSWAY FINANCIAL HOLDINGS LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
QUEST ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
QUEST PHARMATECH INC.		
QUEST RARE MINERALS LTD.		
QUESTERRE ENERGY CORPORATION		
QUESTFIRE ENERGY CORP.		
QUESTOR TECHNOLOGY INC.		
QUESTRADE FIXED INCOME CORE PLUS ETF		
QUESTRADE GLOBAL TOTAL EQUITY ETF		
QUESTRADE INTERNATIONAL EQUITY ETF		
QUESTRADE RUSSELL 1000 EQUAL WEIGHT US CONSUMER DISCRETIONARY INDEX ETF HEDGED TO CAD		
QUESTRADE RUSSELL 1000 EQUAL WEIGHT US HEALTH CARE INDEX ETF HEDGED TO CAD		
QUESTRADE RUSSELL 1000 EQUAL WEIGHT US INDUSTRIALS INDEX ETF HEDGED TO CAD		
QUESTRADE RUSSELL 1000 EQUAL WEIGHT US TECHNOLOGY INDEX ETF HEDGED TO CAD		
QUESTRADE RUSSELL US MIDCAP GROWTH INDEX ETF HEDGED TO CAD		
QUESTRADE RUSSELL US MIDCAP VALUE INDEX ETF HEDGED TO CAD		
QUESTRADE WORLD GROWTH AND INCOME ETF		
QUIKFLO HEALTH INC.		
QUINSAM CAPITAL CORPORATION		
QUINSAM OPPORTUNITIES I INC.		
QUINTE BAY NO. 3 LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e, 1g

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QUINTO REAL CAPITAL CORPORATION		
QUIZAM MEDIA CORP.		
QUMANA SOFTWARE INC.	ASC CTO	1a, 1b, 1c, 1e
QUORUM EXPANSION CAPITAL FUND INC.		1a, 1b, 1c, 1d
QUORUM INFORMATION TECHNOLOGIES INC.		
QWEST DIVERSIFIED CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
QWEST ENERGY CANADIAN RESOURCE CLASS		
R F OIL INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
R SPLIT III CORP.		
R T TOWER LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
R&R REAL ESTATE INVESTMENT TRUST		
R.M.P. DEVELOPPEMENTS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
R.R. DONNELLEY & SONS COMPANY		
RA MINERALS INC.		1a, 1b, 1c, 1e, 4
RACKLA METALS INC.		
RADIANT ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e
RADICAL ELASTOMERS INC.	ASC CTO	1a, 1b, 1c, 1e
RADIANT TECHNOLOGIES INC.		
RADISSON MINING RESOURCES INC.		
RADIUS GOLD INC.		
RAE-WALLACE MINING COMPANY		
RAGE ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
RAGING RIVER EXPLORATION INC.		
RAILTOWN CAPITAL CORP.		
RAIMOUNT ENERGY INC.		
RAINMAKER ENTERTAINMENT INC.		
RAINMAKER RESOURCES LTD.		
RAINTREE PROPERTIES	ASC CTO	1a, 1c, 1e
RAINY MOUNTAIN ROYALTY CORP.		
RAISE PRODUCTION INC.		
RAM PETROLEUMS LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
RAMBLER METALS AND MINING PLC		
RAMPART ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
RANDGOLD RESOURCES LIMITED		
RANDBURG INTERNATIONAL GOLD CORP.		
RANGE ENERGY INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
RANGE ENERGY RESOURCES INC.		
RANGE GOLD CORP.	ASC CTO	1a, 1b, 1c, 1e
RANGER CANYON ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k

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RAPID RESOURCE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
RAPID SOLUTIONS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
RAPIDFIRE RESOURCES LTD	ASC CTO	1a, 1b, 1c, 1e, 1g, 1k
RAPIER GOLD INC.		
RARE ELEMENT RESOURCES LTD.		
RARE METHOD INTERACTIVE CORP.	ASC CTO	1a, 1b, 1c, 1e
RAT INTERNATIONAL (MKT) LTD.	ASC CTO	1a, 1b, 1c, 1e
RATHDOWNEY RESOURCES LTD.		
RAUDIN EXPLORATION INC.	ASC CTO	1a, 1b, 1c, 1e
RAVENCREST CONDOMINIUM HOTEL LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
RAVENHEAD RECOVERY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
RAVENSDEN CAPITAL INC.		
RAVENSOURCE FUND		
RB ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e
RBC \$U.S. HIGH YIELD BOND FUND		
RBC \$U.S. INVESTMENT GRADE CORPORATE BOND FUND		
RBC \$U.S. MONEY MARKET FUND		
RBC \$U.S. SHORT TERM INCOME CLASS		
RBC 1-5 YEAR LADDERED CANADIAN BOND ETF		
RBC 1-5 YEAR LADDERED CORPORATE BOND ETF		
RBC ADVISOR CANADIAN BOND FUND		
RBC ASIA PACIFIC EX-JAPAN EQUITY FUND		
RBC ASIAN EQUITY FUND		
RBC BALANCED FUND		
RBC BALANCED GROWTH & INCOME CLASS		
RBC BALANCED GROWTH & INCOME FUND		
RBC BOND FUND		
RBC CANADIAN DIVIDEND CLASS		
RBC CANADIAN DIVIDEND FUND		
RBC CANADIAN EQUITY CLASS		
RBC CANADIAN EQUITY FUND		
RBC CANADIAN EQUITY INCOME CLASS		
RBC CANADIAN EQUITY INCOME FUND		
RBC CANADIAN GOVERNMENT BOND INDEX FUND		
RBC CANADIAN INDEX FUND		
RBC CANADIAN MID-CAP EQUITY CLASS		
RBC CANADIAN MONEY MARKET FUND		
RBC CANADIAN SHORT-TERM INCOME FUND		
RBC CANADIAN SMALL & MID-CAP RESOURCES FUND		

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RBC CANADIAN T-BILL FUND		
RBC CAPITAL TRUST		
RBC CONSERVATIVE GROWTH & INCOME FUND		
RBC EMERGING MARKETS BOND FUND		
RBC EMERGING MARKETS BOND FUND (CAD HEDGED)		
RBC EMERGING MARKETS DIVIDEND FUND		
RBC EMERGING MARKETS EQUITY CLASS		
RBC EMERGING MARKETS EQUITY FUND		
RBC EMERGING MARKETS FOREIGN EXCHANGE FUND		
RBC EMERGING MARKETS MULTI-STRATEGY EQUITY FUND		
RBC EMERGING MARKETS SMALL-CAP EQUITY FUND		
RBC EUROPEAN DIVIDEND FUND		
RBC EUROPEAN EQUITY CLASS		
RBC EUROPEAN EQUITY FUND		
RBC EUROPEAN MID-CAP EQUITY FUND		
RBC GLOBAL BALANCED FUND		
RBC GLOBAL BOND FUND		
RBC GLOBAL CORPORATE BOND FUND		
RBC GLOBAL DIVIDEND GROWTH CURRENCY NEUTRAL FUND		
RBC GLOBAL DIVIDEND GROWTH FUND		
RBC GLOBAL ENERGY FUND		
RBC GLOBAL EQUITY CLASS		
RBC GLOBAL EQUITY FOCUS FUND		
RBC GLOBAL EQUITY FUND		
RBC GLOBAL GROWTH & INCOME FUND		
RBC GLOBAL HIGH YIELD BOND FUND		
RBC GLOBAL PRECIOUS METALS FUND		
RBC GLOBAL RESOURCES CLASS		
RBC GLOBAL RESOURCES FUND		
RBC GLOBAL TECHNOLOGY FUND		
RBC HIGH YIELD BOND FUND		
RBC INTERNATIONAL DIVIDEND GROWTH FUND		
RBC INTERNATIONAL EQUITY CLASS		
RBC INTERNATIONAL EQUITY CURRENCY NEUTRAL FUND		
RBC INTERNATIONAL EQUITY FUND		
RBC INTERNATIONAL INDEX CURRENCY NEUTRAL FUND		
RBC JANTZI BALANCED FUND		
RBC JANTZI CANADIAN EQUITY FUND		
RBC JANTZI GLOBAL EQUITY FUND		

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RBC JAPANESE EQUITY FUND		
RBC LIFE SCIENCE AND TECHNOLOGY FUND		
RBC MANAGED PAYOUT SOLUTION		
RBC MANAGED PAYOUT SOLUTION - ENHANCED		
RBC MANAGED PAYOUT SOLUTION - ENHANCED PLUS		
RBC MONTHLY INCOME BOND FUND		
RBC MONTHLY INCOME FUND		
RBC NORTH AMERICAN GROWTH FUND		
RBC NORTH AMERICAN VALUE CLASS		
RBC NORTH AMERICAN VALUE FUND		
RBC O'SHAUGHNESSY ALL-CANADIAN EQUITY FUND		
RBC O'SHAUGHNESSY CANADIAN EQUITY FUND		
RBC O'SHAUGHNESSY GLOBAL EQUITY FUND		
RBC O'SHAUGHNESSY INTERNATIONAL EQUITY FUND		
RBC O'SHAUGHNESSY U.S. GROWTH FUND		
RBC O'SHAUGHNESSY U.S. GROWTH FUND II		
RBC O'SHAUGHNESSY U.S. VALUE FUND		
RBC PREMIUM \$U.S. MONEY MARKET FUND		
RBC PREMIUM MONEY MARKET FUND		
RBC PRIVATE CANADIAN CORPORATE BOND POOL		
RBC PRIVATE CANADIAN DIVIDEND POOL		
RBC PRIVATE CANADIAN EQUITY POOL		
RBC PRIVATE CANADIAN GROWTH AND INCOME EQUITY POOL		
RBC PRIVATE CANADIAN GROWTH EQUITY POOL		
RBC PRIVATE CANADIAN MID-CAP EQUITY POOL		
RBC PRIVATE EAFE EQUITY POOL		
RBC PRIVATE INCOME POOL		
RBC PRIVATE OVERSEAS EQUITY POOL		
RBC PRIVATE SHORT-TERM INCOME POOL		
RBC PRIVATE U.S. GROWTH EQUITY POOL		
RBC PRIVATE U.S. LARGE-CAP CORE EQUITY CURRENCY NEUTRAL POOL		
RBC PRIVATE U.S. LARGE-CAP CORE EQUITY POOL		
RBC PRIVATE U.S. LARGE-CAP VALUE EQUITY CURRENCY NEUTRAL POOL		
RBC PRIVATE U.S. LARGE-CAP VALUE EQUITY POOL		
RBC PRIVATE U.S. SMALL-CAP EQUITY POOL		
RBC PRIVATE WORLD EQUITY POOL		
RBC QUANT CANADIAN DIVIDEND LEADERS ETF		
RBC QUANT CANADIAN EQUITY LEADERS ETF		

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RBC QUANT EAFE DIVIDEND LEADERS (CAD HEDGED) ETF		
RBC QUANT EAFE DIVIDEND LEADERS ETF		
RBC QUANT EAFE EQUITY LEADERS (CAD HEDGED) ETF		
RBC QUANT EAFE EQUITY LEADERS ETF		
RBC QUANT EMERGING MARKETS DIVIDEND LEADERS ETF		
RBC QUANT EMERGING MARKETS EQUITY LEADERS ETF		
RBC QUANT EUROPEAN DIVIDEND LEADERS (CAD HEDGED) ETF		
RBC QUANT EUROPEAN DIVIDEND LEADERS ETF		
RBC QUANT U.S. DIVIDEND LEADERS (CAD HEDGED) ETF		
RBC QUANT U.S. DIVIDEND LEADERS ETF		
RBC QUANT U.S. EQUITY LEADERS (CAD HEDGED) ETF		
RBC QUANT U.S. EQUITY LEADERS ETF		
RBC QUBE ALL COUNTRY WORLD EQUITY FUND		
RBC QUBE CANADIAN EQUITY FUND		
RBC QUBE GLOBAL EQUITY FUND		
RBC QUBE LOW VOLATILITY ALL COUNTRY WORLD EQUITY FUND		
RBC QUBE LOW VOLATILITY CANADIAN EQUITY CLASS		
RBC QUBE LOW VOLATILITY CANADIAN EQUITY FUND		
RBC QUBE LOW VOLATILITY GLOBAL EQUITY CLASS		
RBC QUBE LOW VOLATILITY GLOBAL EQUITY FUND		
RBC QUBE LOW VOLATILITY U.S. EQUITY CLASS		
RBC QUBE LOW VOLATILITY U.S. EQUITY CURRENCY NEUTRAL FUND		
RBC QUBE LOW VOLATILITY U.S. EQUITY FUND		
RBC QUBE U.S. EQUITY FUND		
RBC SELECT AGGRESSIVE GROWTH PORTFOLIO		
RBC SELECT BALANCED PORTFOLIO		
RBC SELECT CHOICES AGGRESSIVE GROWTH PORTFOLIO		
RBC SELECT CHOICES BALANCED PORTFOLIO		
RBC SELECT CHOICES CONSERVATIVE PORTFOLIO		
RBC SELECT CHOICES GROWTH PORTFOLIO		
RBC SELECT CONSERVATIVE PORTFOLIO		
RBC SELECT GROWTH PORTFOLIO		
RBC SELECT VERY CONSERVATIVE PORTFOLIO		
RBC SHORT TERM INCOME CLASS		
RBC STRATEGIC GLOBAL DIVIDEND LEADERS ETF		
RBC STRATEGIC GLOBAL EQUITY LEADERS ETF		
RBC STRATEGIC INCOME BOND FUND		
RBC TARGET 2016 CORPORATE BOND INDEX ETF		
RBC TARGET 2017 CORPORATE BOND INDEX ETF		

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Name	Cease Traded	Nature of Default
RBC TARGET 2018 CORPORATE BOND INDEX ETF		
RBC TARGET 2019 CORPORATE BOND INDEX ETF		
RBC TARGET 2020 CORPORATE BOND INDEX ETF		
RBC TARGET 2020 EDUCATION FUND		
RBC TARGET 2021 CORPORATE BOND INDEX ETF		
RBC TARGET 2025 EDUCATION FUND		
RBC TARGET 2030 EDUCATION FUND		
RBC U.S. DIVIDEND CLASS		
RBC U.S. DIVIDEND CURRENCY NEUTRAL FUND		
RBC U.S. DIVIDEND FUND		
RBC U.S. EQUITY CLASS		
RBC U.S. EQUITY CURRENCY NEUTRAL FUND		
RBC U.S. EQUITY FUND		
RBC U.S. EQUITY VALUE CLASS		
RBC U.S. EQUITY VALUE FUND		
RBC U.S. INDEX CURRENCY NEUTRAL FUND		
RBC U.S. INDEX FUND		
RBC U.S. MID-CAP EQUITY CURRENCY NEUTRAL FUND		
RBC U.S. MID-CAP EQUITY FUND		
RBC U.S. MID-CAP VALUE EQUITY CLASS		
RBC U.S. MID-CAP VALUE EQUITY FUND		
RBC U.S. MONTHLY INCOME FUND		
RBC U.S. SMALL-CAP CORE EQUITY CLASS		
RBC U.S. SMALL-CAP CORE EQUITY FUND		
RBC U.S. SMALL-CAP VALUE EQUITY FUND		
RCI CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
RDG MINERALS INC.	ASC CTO	1a, 1b, 1c, 1e
RDM CORPORATION		
RDX TECHNOLOGIES CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 2a
REA GOLD CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
REAL ASSET INCOME AND GROWTH FUND		
REAL DEL MONTE MINING CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
REAL DIFFERENCE CAPITAL INC.		
REAL ESTATE ASSET LIQUIDITY TRUST		
REAL ESTATE INVESTMENT CORPORATE CLASS		
REAL ESTATE INVESTMENT POOL		
REAL RETURN BOND FUND		
REAL RETURN BOND FUND (PORTICO)		
REAL TIME MEASUREMENTS INC.	ASC CTO	1a, 1b, 1c, 1e

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REALM GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
REALNORTH OPPORTUNITIES FUND		
RECEPTAGEN LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
RECO INTERNATIONAL GROUP INC.		
RED CRESCENT RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e
RED EAGLE MINING CORPORATION		
RED HUT METALS INC.		
RED MOON POTASH INC.		
RED OAK MINING CORP.		
RED ORE GOLD INC.		
RED PINE EXPLORATION INC.		
RED PINE PETROLEUM LTD.		
RED ROCK CAPITAL CORP.		
RED ROCK ENERGY INC.		
RED SKY CANADIAN EQUITY CORPORATE CLASS		
RED TIGER MINING INC.		
REDCORP VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
REDECO ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
REDEKOP PROPERTIES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
REDEX INC.	ASC CTO	1a, 1b, 1c, 1e
REDHAWK RESOURCES, INC.		
REDHILL RESOURCES CORP.		
REDISHRED CAPITAL CORP.		
REDKNEE SOLUTIONS INC.		
REDLINE COMMUNICATIONS GROUP INC.		
REDQUEST CAPITAL CORP.		
REDSTAR GOLD CORP.		
REDWATER ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
REDWOOD DIVERSIFIED EQUITY FUND		
REDWOOD EMERGING MARKETS DIVIDEND FUND		
REDWOOD EQUITY GROWTH CLASS		
REDWOOD FLOATING RATE PREFERRED FUND		
REDWOOD GLOBAL EQUITY STRATEGY CLASS		
REDWOOD GLOBAL INNOVATIONS CLASS		
REDWOOD GLOBAL SMALL CAP FUND		
REDWOOD GLOBAL TOTAL RETURN BOND PORTFOLIO		
REDWOOD INCOME GROWTH CLASS		
REDWOOD PENSION CLASS		
REDWOOD UNCONSTRAINED BOND CLASS		

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REDWOOD UNCONSTRAINED BOND FUND		
REDZONE RESOURCES LTD.		
REED LEISURE LIBRARY	ASC CTO	1a, 1b, 1c, 1e
REED TRAVEL LIBRARY	ASC CTO	1a, 1b, 1c, 1e
REEF RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
REEFLEX PETROLEUM TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
REG TECHNOLOGIES INC.		
REGAL CONSOLIDATED VENTURES LIMITED	ASC CTO	1a, 1b, 1c, 1e
REGAL RESOURCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
REGENCY GOLD CORP.		
REGENT PACIFIC PROPERTIES INC.		
REGENT VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e
REGULUS RESOURCES INC.		
REIGATE INC.	ASC CTO	1a, 1b, 1c, 1e
REIT INDEXPLUS INCOME FUND		
REKO INTERNATIONAL GROUP INC.		
RELAX HOTELS WINDSOR 1988 LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
RELAX INNS BURLINGTON WINDSOR PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
RELENTLESS RESOURCES LTD.		
RELEVIM TECHNOLOGIES INC.		
RELIANT GOLD CORP.		
RELIQ HEALTH TECHNOLOGIES INC.		
REMBRANDT GOLD MINES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
REMINGTON RESOURCES INC.		
REMO RESOURCES INC.		
REMWORKS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
RENAISSANCE CANADIAN ALL-CAP EQUITY FUND		
RENAISSANCE CANADIAN BALANCED FUND		
RENAISSANCE CANADIAN BOND FUND		
RENAISSANCE CANADIAN CORE VALUE FUND		
RENAISSANCE CANADIAN DIVIDEND FUND		
RENAISSANCE CANADIAN EQUITY PRIVATE POOL		
RENAISSANCE CANADIAN EQUITY PRIVATE POOL CLASS		
RENAISSANCE CANADIAN FIXED INCOME PRIVATE POOL		
RENAISSANCE CANADIAN GROWTH FUND		
RENAISSANCE CANADIAN MONTHLY INCOME FUND		
RENAISSANCE CANADIAN SMALL-CAP FUND		
RENAISSANCE CANADIAN T-BILL FUND		
RENAISSANCE CHINA PLUS FUND		

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RENAISSANCE DIVERSIFIED INCOME FUND		
RENAISSANCE EMERGING MARKETS EQUITY PRIVATE POOL		
RENAISSANCE EMERGING MARKETS FUND		
RENAISSANCE EQUITY INCOME PRIVATE POOL		
RENAISSANCE FLEXIBLE YIELD FUND		
RENAISSANCE FLOATING RATE INCOME FUND		
RENAISSANCE GLOBAL BOND FUND		
RENAISSANCE GLOBAL BOND PRIVATE POOL		
RENAISSANCE GLOBAL EQUITY PRIVATE POOL		
RENAISSANCE GLOBAL FOCUS CURRENCY NEUTRAL FUND		
RENAISSANCE GLOBAL FOCUS FUND		
RENAISSANCE GLOBAL GROWTH CURRENCY NEUTRAL FUND		
RENAISSANCE GLOBAL GROWTH FUND		
RENAISSANCE GLOBAL HEALTH CARE FUND		
RENAISSANCE GLOBAL INFRASTRUCTURE CURRENCY NEUTRAL FUND		
RENAISSANCE GLOBAL INFRASTRUCTURE FUND		
RENAISSANCE GLOBAL MARKETS FUND		
RENAISSANCE GLOBAL REAL ESTATE CURRENCY NEUTRAL FUND		
RENAISSANCE GLOBAL REAL ESTATE FUND		
RENAISSANCE GLOBAL RESOURCE FUND		
RENAISSANCE GLOBAL SCIENCE & TECHNOLOGY FUND		
RENAISSANCE GLOBAL SMALL-CAP FUND		
RENAISSANCE GLOBAL VALUE FUND		
RENAISSANCE GOLD INC.		
RENAISSANCE HIGH INCOME FUND		
RENAISSANCE HIGH-YIELD BOND FUND		
RENAISSANCE INTERNATIONAL DIVIDEND FUND		
RENAISSANCE INTERNATIONAL EQUITY CURRENCY NEUTRAL FUND		
RENAISSANCE INTERNATIONAL EQUITY FUND		
RENAISSANCE INTERNATIONAL EQUITY PRIVATE POOL		
RENAISSANCE MONEY MARKET FUND		
RENAISSANCE MULTI-ASSET GLOBAL BALANCED INCOME PRIVATE POOL		
RENAISSANCE MULTI-ASSET GLOBAL BALANCED PRIVATE POOL		
RENAISSANCE MULTI-SECTOR FIXED INCOME PRIVATE POOL		
RENAISSANCE OIL CORP.		
RENAISSANCE OPTIMAL CONSERVATIVE INCOME PORTFOLIO		
RENAISSANCE OPTIMAL GLOBAL EQUITY CURRENCY NEUTRAL PORTFOLIO		
RENAISSANCE OPTIMAL GLOBAL EQUITY PORTFOLIO		

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RENAISSANCE OPTIMAL GROWTH & INCOME PORTFOLIO		
RENAISSANCE OPTIMAL INCOME PORTFOLIO		
RENAISSANCE OPTIMAL INFLATION OPPORTUNITIES PORTFOLIO		
RENAISSANCE REAL ASSETS PRIVATE POOL		
RENAISSANCE REAL RETURN BOND FUND		
RENAISSANCE SHORT-TERM INCOME FUND		
RENAISSANCE U.S. DOLLAR CORPORATE BOND FUND		
RENAISSANCE U.S. DOLLAR DIVERSIFIED INCOME FUND		
RENAISSANCE U.S. EQUITY CURRENCY NEUTRAL PRIVATE POOL		
RENAISSANCE U.S. EQUITY FUND		
RENAISSANCE U.S. EQUITY GROWTH CURRENCY NEUTRAL FUND		
RENAISSANCE U.S. EQUITY GROWTH FUND		
RENAISSANCE U.S. EQUITY INCOME FUND		
RENAISSANCE U.S. EQUITY VALUE FUND		
RENAISSANCE U.S. MONEY MARKET FUND		
RENAISSANCE ULTRA SHORT-TERM INCOME PRIVATE POOL		
RENCO RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
RENFIELD ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
RENOWORKS SOFTWARE INC.		
RENTOWN ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e
REOCITO CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e, 3
REPARO ENERGY PARTNERS CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k, 3
REPEATSEAT LTD.	ASC CTO	1a, 1b, 1c, 1e
REPLICEL LIFE SCIENCES INC.		
REPSOL OIL & GAS CANADA INC.		
REPUBLIC GOLDFIELDS INC.	ASC CTO	1a, 1b, 1c, 1e
RESAAS SERVICES INC.		3
RESERVOIR CAPITAL CORP.		
RESERVOIR MINERALS INC.		
RESINCO CAPITAL PARTNERS INC.		
RESOLUTE FOREST PRODUCTS INC.		1g
RESOLVE VENTURES INC.		
RESORTS UNLIMITED MANAGEMENT INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
RESOURCE CAPITAL GOLD CORP.		
RESPONSE BIOMEDICAL CORP.		
RESSOURCES APPALACHES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
RESTAURANT BRANDS INTERNATIONAL INC.		
RESTAURANT BRANDS INTERNATIONAL LIMITED PARTNERSHIP		
RESVERLOGIX CORP.		

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REUNION GOLD CORPORATION		
REVA RESOURCES CORP.		
REVELO RESOURCES CORP.		
REVELSTOKE EQUITY INC.		
REVERE COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
REVIVE THERAPEUTICS LTD.		
REVOLVER RESOURCES INC.		
REX FURS INC.	ASC CTO	1a, 1b, 1c, 1e
REX SILVER MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
RG ONE CORP.		
RHAND INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
RHEINGOLD EXPLORATION CORP.		3
RHN RECREATIONAL ENTERPRISES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
RHONDA CORPORATION	ASC CTO	1a, 1b, 1c, 1e
RHYOLITE RESOURCES LTD.		
RHYS RESOURCES LTD.		
RICHARDS PACKAGING INCOME FUND		
RICHCO INVESTORS INC.		
RICHELIEU HARDWARE LTD.		
RICHFIELD EXPLORATIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
RICHMARK RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
RICHMOND MINERALS INC.		
RICHMOND ROAD CAPITAL CORP.		
RICHMONT MINES INC.		
RICHTREE INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
RICHWEST HOLDINGS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
RIDGE LAND PROPERTIES LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
RIDGEWOOD CANADIAN BOND FUND		
RIDGEWOOD CANADIAN INVESTMENT GRADE BOND FUND		
RIDGEWOOD GARDENS	ASC CTO	1a, 1b, 1c, 1e
RIDGEWOOD TACTICAL YIELD FUND		
RIFCO INC.		
RIFT RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
RIFT VALLEY RESOURCES CORP.		
RIGHTSCORP, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
RILEY RESOURCES CORP.		
RING SIGHTS WORLDWIDE INC.	ASC CTO	1a, 1b, 1c, 1e
RIO NEVADA ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
RIO NOVO GOLD INC.		

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RIO SILVER INC.		
RIO VERDE INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
RIOCAN REAL ESTATE INVESTMENT TRUST		
RIOSUN RESOURCES CORP	ASC CTO	1a, 1b, 1c, 1e
RIPPER OIL AND GAS INC.		
RISE RESOURCES INC.		1g
RISKE CAPITAL CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
RITCHIE BROS. AUCTIONEERS INCORPORATED		
RIVER PARK ESTATES PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
RIVERSIDE RESOURCES INC.		
RJK EXPLORATIONS LTD.		
RJP ELECTRONICS LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
RKW STANDARD BRED LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
RM TRACKING CANADA LTD.		
RMP ENERGY INC.		
ROADOR INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
ROBEX RESOURCES INC.		
ROBINSON LITTLE & COMPANY LIMITED	ASC CTO	1a, 1b, 1c, 1e
ROBIX ENVIRONMENTAL TECHNOLOGIES, INC.		
ROBSON PETROLEUM LTD.	ASC CTO	1a, 1b, 1c, 1e
ROCA MINES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
ROCHESTER RESOURCES LTD.		
ROCK ENERGY INC.		
ROCK TECH LITHIUM INC.		
ROCKBRIDGE RESOURCES INC.		
ROCKCLIFF COPPER CORPORATION		
ROCKEFELLER HUGHES CORPORATION		
ROCKEX MINING CORPORATION		
ROCKFORD TECHNOLOGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
ROCKHAVEN RESOURCES LTD.		
ROCKLAND MINERALS CORP.		
ROCKRIDGE CAPITAL CORP.		
ROCKSHIELD CAPITAL CORP.		
ROCKVALE RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
ROCKWELL DIAMONDS INC.		
ROCKY MOUNTAIN BRANDS, INC.	ASC CTO	1a, 1b, 1c, 1e
ROCKY MOUNTAIN DEALERSHIPS INC.		
ROCKY MOUNTAIN INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
ROCKY MOUNTAIN LIQUOR INC.		

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ROCKY VALLEY RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
RODIN COMMUNICATIONS CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
RODINIA LITHIUM INC.		
RODINIA OIL CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
ROGERS ASSOCIATE FINANCIAL PARTNERS INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
ROGERS COMMUNICATIONS INC.		
ROGERS SUGAR INC.		
ROGUE RESOURCES INC.		
ROJO RESOURCES LTD.		
ROKMASTER RESOURCES CORP.		
ROLL-UP CAPITAL CORP.		
ROMAN CORPORATION LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e
ROMC TRUST		
ROME RESOURCES LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
ROMIOS GOLD RESOURCES INC.		
ROMULUS RESOURCES LTD.		
RONA INC.		
RONIN RESOURCE CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
ROOSTER ENERGY LTD.		
ROREX EXPLORATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
ROSCAN MINERALS CORPORATION		
ROSEHEARTY ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e
ROSITA MINING CORPORATION		
ROSS RIVER MINERALS INC.		
ROTATION MINERALS LTD.		
ROTONDA VENTURES CORP.(FORMERLY 1001876 B.C. LTD.)		
ROUGE RESOURCES LTD.		
ROUGH RIDER EXPLORATION LIMITED		
ROUTE1 INC.		
ROXGOLD INC.		
ROXWELL GOLD MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
ROYAL BANK OF CANADA		
ROYAL COAL CORP.	ASC CTO	1a, 1b, 1c, 1e
ROYAL GOLD, INC.		
ROYAL LIFESCIENCE CORP.		
ROYAL NICKEL CORPORATION		
ROYAL ROAD MINERALS LIMITED		
ROYAL SAPPHIRE CORP.		
ROYAL STANDARD MINERALS INC.		

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ROYAL VIEW APARTMENTS	ASC CTO	1a, 1b, 1c, 1e
ROYALTY NORTH PARTNERS LTD.		
ROYCEFIELD RESOURCES LTD	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
RP STRATEGIC INCOME PLUS FUND		
RPV INDUSTRIES (CANADA) INC.	ASC CTO	1a, 1b, 1c, 1e
RSI INTERNATIONAL SYSTEMS INC		
RT MINERALS CORP.		
RTG MINING INC.		
RTICA CORPORATION	ASC CTO	1a, 1b, 1c, 1e
RUBICON MINERALS CORPORATION		
RUGBY MINING LIMITED		
RUNNING	ASC CTO	1a, 1b, 1c, 1e
RUNNING FOX RESOURCE CORP.		
RUPERT RESOURCES LTD.		
RURALCOM NETWORKS CORP.	Reciprocal CTO	1b, 1c, 1e
RUSH EXPLORATION INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
RUSORO MINING LTD.		
RUSSEL METALS INC.		
RUSSELL BREWERIES INC.		3
RUSSELL CANADIAN CASH FUND		
RUSSELL CANADIAN DIVIDEND CLASS		
RUSSELL CANADIAN DIVIDEND POOL		
RUSSELL CANADIAN EQUITY CLASS		
RUSSELL CANADIAN EQUITY FUND		
RUSSELL CANADIAN EQUITY POOL		
RUSSELL CANADIAN FIXED INCOME FUND		
RUSSELL DIVERSIFIED MONTHLY INCOME CLASS PORTFOLIO		
RUSSELL DIVERSIFIED MONTHLY INCOME PORTFOLIO		
RUSSELL EMERGING MARKETS EQUITY CLASS		
RUSSELL EMERGING MARKETS EQUITY POOL		
RUSSELL FIXED INCOME CLASS		
RUSSELL FIXED INCOME POOL		
RUSSELL FOCUSED CANADIAN EQUITY CLASS		
RUSSELL FOCUSED CANADIAN EQUITY POOL		
RUSSELL FOCUSED GLOBAL EQUITY CLASS		
RUSSELL FOCUSED GLOBAL EQUITY POOL		
RUSSELL FOCUSED US EQUITY CLASS		
RUSSELL FOCUSED US EQUITY POOL		
RUSSELL GLOBAL EQUITY CLASS		

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RUSSELL GLOBAL EQUITY FUND		
RUSSELL GLOBAL EQUITY POOL		
RUSSELL GLOBAL HIGH INCOME BOND CLASS		
RUSSELL GLOBAL HIGH INCOME BOND POOL		
RUSSELL GLOBAL INFRASTRUCTURE CLASS		
RUSSELL GLOBAL INFRASTRUCTURE POOL		
RUSSELL GLOBAL REAL ESTATE POOL		
RUSSELL GLOBAL SMALLER COMPANIES CLASS		
RUSSELL GLOBAL SMALLER COMPANIES POOL		
RUSSELL GLOBAL UNCONSTRAINED BOND CLASS		
RUSSELL GLOBAL UNCONSTRAINED BOND POOL		
RUSSELL INCOME ESSENTIALS CLASS PORTFOLIO		
RUSSELL INCOME ESSENTIALS PORTFOLIO		
RUSSELL INFLATION LINKED BOND FUND		
RUSSELL LIFEPOINTS BALANCED CLASS PORTFOLIO		
RUSSELL LIFEPOINTS BALANCED GROWTH CLASS PORTFOLIO		
RUSSELL LIFEPOINTS BALANCED GROWTH PORTFOLIO		
RUSSELL LIFEPOINTS BALANCED INCOME CLASS PORTFOLIO		
RUSSELL LIFEPOINTS BALANCED INCOME PORTFOLIO		
RUSSELL LIFEPOINTS BALANCED PORTFOLIO		
RUSSELL LIFEPOINTS CONSERVATIVE INCOME CLASS PORTFOLIO		
RUSSELL LIFEPOINTS CONSERVATIVE INCOME PORTFOLIO		
RUSSELL LIFEPOINTS LONG-TERM GROWTH CLASS PORTFOLIO		
RUSSELL LIFEPOINTS LONG-TERM GROWTH PORTFOLIO		
RUSSELL MONEY MARKET CLASS		
RUSSELL MONEY MARKET POOL		
RUSSELL MULTI-ASSET FIXED INCOME		
RUSSELL MULTI-ASSET FIXED INCOME CLASS		
RUSSELL MULTI-ASSET GROWTH & INCOME		
RUSSELL MULTI-ASSET GROWTH & INCOME CLASS		
RUSSELL MULTI-ASSET GROWTH STRATEGY		
RUSSELL MULTI-ASSET GROWTH STRATEGY CLASS		
RUSSELL OVERSEAS EQUITY CLASS		
RUSSELL OVERSEAS EQUITY FUND		
RUSSELL OVERSEAS EQUITY POOL		
RUSSELL REAL ASSETS PORTFOLIO		
RUSSELL SHORT TERM INCOME CLASS		
RUSSELL SHORT TERM INCOME POOL		
RUSSELL US EQUITY CLASS		

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RUSSELL US EQUITY FUND		
RUSSELL US EQUITY POOL		
RUTEL NETWORKS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
RYE PATCH GOLD CORP.		
RYM CAPITAL CORP.	Reciprocal CTO	
RYSTAR COMMUNICATIONS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
RYU APPAREL INC.		
S & M PHOTOLABELS INC.	ASC CTO	1a, 1b, 1c, 1e
S M A SOCIETE DE MATHEMATIQUES APPLIQU	ASC CTO	1a, 1b, 1c, 1e
S SPLIT CORP.		
S.C.O. MEDALLION HEALTHY HOMES LTD.	ASC CTO	1a, 1b, 1c, 1e
SABER CAPITAL CORP.		
SABINA GOLD & SILVER CORP.		
SABLE RESOURCES LTD.		
SACCHARUM ENERGY CORP.		
SACKPORT VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
SACO SMARTVISION INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
SACRE-COEUR MINERALS, LTD.	ASC CTO	1a, 1b, 1c, 1e
SADDLEBACK RIDGE MANOR PHASE I	ASC CTO	1a, 1b, 1c, 1e
SAFE ENVIRONMENT ENGINEERING CANADA INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SAFEBRAIN SYSTEMS, INC.	ASC CTO	1d, 4
SAFEGUARD SECURITY HOLDINGS, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
SAGE GOLD INC.		
SAGITTARIUS CAPITAL CORPORATION		
SAGRES ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
SAGUARO HOLDINGS CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e
SAHARA ENERGY LTD.		
SAINT JEAN CARBON INC.		
SALAZAR RESOURCES LIMITED		
SALISH TRAIL VINEYARDS LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
SALMON RIVER RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
SAMA RESOURCES INC.		
SAMCO GOLD LIMITED		
SAMENA RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e
SAMEX MINING CORP.	ASC CTO	1a, 1b, 1c, 1e
SAMOTH OILFIELD INC.		
SAMSPORTS.COM INC	ASC CTO	1a, 1b, 1c, 1e, 1g
SAMSYS TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
SAN ANGELO OIL LIMITED		

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SAN GOLD CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e
SAN MARCO RESOURCES INC.		
SANATANA RESOURCES INC.		
SANDHURST RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e
SANDOIL RECOVERY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
SANDSPRING RESOURCES LTD.		
SANDSTORM GOLD LTD.		
SANDVINE CORPORATION		
SANGOMA TECHNOLOGIES CORPORATION		
SANTA FE METALS CORPORATION		
SANTA MARIA PETROLEUM INC.		
SANTA ROSA RESOURCES CORP.		
SANTACRUZ SILVER MINING LTD.		
SAPUTO INC.		
SARAMA RESOURCES LTD.		
SARCEE TRAIL PLACE	ASC CTO	1a, 1b, 1c, 1e
SASKATCHEWAN TRUST COMPANY	ASC CTO	1a, 1b, 1c, 1e
SASKATOON SQUARE LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e, 1g
SASKATOON TOWER	ASC CTO	1a, 1b, 1c, 1e
SASTEX PETRO MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1e
SATORI RESOURCES INC.		3
SATURN (SOLUTIONS) INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
SATURN MINERALS INC.		
SAVANNA ENERGY SERVICES CORP.		
SAVANT EXPLORATIONS LTD.		
SAVARIA CORPORATION		
SAVARY GOLD CORP.		
SAVILLE RESOURCES INC.		
SAVOY MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1e
SAVOY VENTURES INC.		
SAXON OIL COMPANY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
SCAFFOLD CONNECTION CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
SCANDIUM INTERNATIONAL MINING CORP.		
SCENE II HEALTH CARE FILMS 1981	ASC CTO	1a, 1b, 1c, 1e
SCEPTRE VENTURES INC.	Reciprocal CTO	
SCHEER ENERGY DEVELOPMENT CORPORATION	ASC CTO	1a, 1b, 1c, 1e
SCHOMBURG INDUSTRIES (CANADA) INC.	ASC CTO	1a, 1b, 1c, 1e
SCHOONER TRUST		
SCHWABO CAPITAL CORPORATION		

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SCIENTIFIC METALS CORP.		
SCIMITAR RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
SCITI ROCS TRUST		1a, 1b, 1c, 1d
SCITI TRUST		
SCORPIO GOLD CORPORATION		
SCORPION RESOURCES INC.		
SCOTIA ARIA CONSERVATIVE BUILD PORTFOLIO		
SCOTIA ARIA CONSERVATIVE CORE PORTFOLIO		
SCOTIA ARIA CONSERVATIVE PAY PORTFOLIO		
SCOTIA ARIA MODERATE BUILD PORTFOLIO		
SCOTIA ARIA MODERATE CORE PORTFOLIO		
SCOTIA ARIA MODERATE PAY PORTFOLIO		
SCOTIA ARIA PROGRESSIVE BUILD PORTFOLIO		
SCOTIA ARIA PROGRESSIVE CORE PORTFOLIO		
SCOTIA ARIA PROGRESSIVE PAY PORTFOLIO		
SCOTIA BALANCED OPPORTUNITIES FUND		
SCOTIA BOND FUND		
SCOTIA CANADIAN BALANCED FUND		
SCOTIA CANADIAN BLUE CHIP FUND		
SCOTIA CANADIAN BOND INDEX FUND		
SCOTIA CANADIAN DIVIDEND CLASS		
SCOTIA CANADIAN DIVIDEND FUND		
SCOTIA CANADIAN EQUITY BLEND CLASS		
SCOTIA CANADIAN GROWTH FUND		
SCOTIA CANADIAN INCOME FUND		
SCOTIA CANADIAN INDEX FUND		
SCOTIA CANADIAN SMALL CAP FUND		
SCOTIA CANAM INDEX FUND		
SCOTIA CONSERVATIVE GOVERNMENT BOND CAPITAL YIELD CLASS		
SCOTIA CONSERVATIVE INCOME FUND		
SCOTIA DIVERSIFIED MONTHLY INCOME FUND		
SCOTIA DIVIDEND BALANCED FUND		
SCOTIA EUROPEAN FUND		
SCOTIA FIXED INCOME BLEND CLASS		
SCOTIA FLOATING RATE INCOME FUND		
SCOTIA GLOBAL BALANCED FUND		
SCOTIA GLOBAL BOND FUND		
SCOTIA GLOBAL DIVIDEND CLASS		
SCOTIA GLOBAL DIVIDEND FUND		

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SCOTIA GLOBAL GROWTH FUND		
SCOTIA GLOBAL OPPORTUNITIES FUND		
SCOTIA GLOBAL SMALL CAP FUND		
SCOTIA INCOME ADVANTAGE FUND		
SCOTIA INNOVA BALANCED GROWTH PORTFOLIO		
SCOTIA INNOVA BALANCED GROWTH PORTFOLIO CLASS		
SCOTIA INNOVA BALANCED INCOME PORTFOLIO		
SCOTIA INNOVA BALANCED INCOME PORTFOLIO CLASS		
SCOTIA INNOVA GROWTH PORTFOLIO		
SCOTIA INNOVA GROWTH PORTFOLIO CLASS		
SCOTIA INNOVA INCOME PORTFOLIO		
SCOTIA INNOVA INCOME PORTFOLIO CLASS		
SCOTIA INNOVA MAXIMUM GROWTH PORTFOLIO		
SCOTIA INNOVA MAXIMUM GROWTH PORTFOLIO CLASS		
SCOTIA INTERNATIONAL EQUITY BLEND CLASS		
SCOTIA INTERNATIONAL INDEX FUND		
SCOTIA INTERNATIONAL VALUE FUND		
SCOTIA LATIN AMERICAN FUND		
SCOTIA MONEY MARKET FUND		
SCOTIA MORTGAGE INCOME FUND		
SCOTIA NASDAQ INDEX FUND		
SCOTIA PACIFIC RIM FUND		
SCOTIA PARTNERS BALANCED GROWTH PORTFOLIO		
SCOTIA PARTNERS BALANCED GROWTH PORTFOLIO CLASS		
SCOTIA PARTNERS BALANCED INCOME PORTFOLIO		
SCOTIA PARTNERS BALANCED INCOME PORTFOLIO CLASS		
SCOTIA PARTNERS GROWTH PORTFOLIO		
SCOTIA PARTNERS GROWTH PORTFOLIO CLASS		
SCOTIA PARTNERS INCOME PORTFOLIO		
SCOTIA PARTNERS MAXIMUM GROWTH PORTFOLIO		
SCOTIA PARTNERS MAXIMUM GROWTH PORTFOLIO CLASS		
SCOTIA PREMIUM T-BILL FUND		
SCOTIA PRIVATE AMERICAN CORE-PLUS BOND POOL		
SCOTIA PRIVATE CANADIAN CORPORATE BOND POOL		
SCOTIA PRIVATE CANADIAN EQUITY POOL		
SCOTIA PRIVATE CANADIAN GROWTH POOL		
SCOTIA PRIVATE CANADIAN MID CAP POOL		
SCOTIA PRIVATE CANADIAN PREFERRED SHARE POOL		
SCOTIA PRIVATE CANADIAN SMALL CAP POOL		

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SCOTIA PRIVATE CANADIAN VALUE POOL		
SCOTIA PRIVATE EMERGING MARKETS POOL		
SCOTIA PRIVATE GLOBAL EQUITY POOL		
SCOTIA PRIVATE GLOBAL REAL ESTATE POOL		
SCOTIA PRIVATE HIGH YIELD INCOME POOL		
SCOTIA PRIVATE INCOME POOL		
SCOTIA PRIVATE INTERNATIONAL CORE EQUITY POOL		
SCOTIA PRIVATE INTERNATIONAL EQUITY POOL		
SCOTIA PRIVATE INTERNATIONAL SMALL TO MID CAP VALUE POOL		
SCOTIA PRIVATE NORTH AMERICAN DIVIDEND POOL		
SCOTIA PRIVATE OPTIONS INCOME POOL		
SCOTIA PRIVATE REAL ESTATE INCOME POOL		
SCOTIA PRIVATE SHORT TERM INCOME POOL		
SCOTIA PRIVATE SHORT-MID GOVERNMENT BOND POOL		
SCOTIA PRIVATE STRATEGIC BALANCED POOL		
SCOTIA PRIVATE U.S. DIVIDEND POOL		
SCOTIA PRIVATE U.S. EQUITY POOL		
SCOTIA PRIVATE U.S. LARGE CAP GROWTH POOL		
SCOTIA PRIVATE U.S. MID CAP GROWTH POOL		
SCOTIA PRIVATE U.S. MID CAP VALUE POOL		
SCOTIA PRIVATE U.S. VALUE POOL		
SCOTIA RESOURCE FUND		
SCOTIA SCHOOLS TRUST	Reciprocal CTO	1a, 1c, 1e
SCOTIA SELECTED BALANCED GROWTH PORTFOLIO		
SCOTIA SELECTED BALANCED INCOME PORTFOLIO		
SCOTIA SELECTED GROWTH PORTFOLIO		
SCOTIA SELECTED INCOME PORTFOLIO		
SCOTIA SELECTED MAXIMUM GROWTH PORTFOLIO		
SCOTIA SHORT TERM BOND FUND		
SCOTIA T-BILL FUND		
SCOTIA U.S. \$ BALANCED FUND		
SCOTIA U.S. \$ BOND FUND		
SCOTIA U.S. \$ MONEY MARKET FUND		
SCOTIA U.S. BLUE CHIP FUND		
SCOTIA U.S. DIVIDEND FUND		
SCOTIA U.S. EQUITY BLEND CLASS		
SCOTIA U.S. INDEX FUND		
SCOTIA U.S. OPPORTUNITIES FUND		
SCOTIABANK CAPITAL TRUST		

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SCOTIABANK TIER 1 TRUST		
SCOTSMAN GOLD INC.	ASC CTO	1a, 1b, 1c, 1e
SCOUT NEW MEDIA GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
SCOZINC MINING LTD.		
SCS SOLARS COMPUTING SYSTEMS INC	ASC CTO	1a, 1b, 1c, 1e
SDC SYDNEY DEVELOPMENT CORPORATION	ASC CTO	1a, 1b, 1c, 1e
SDX ENERGY INC.		3
SEA BREEZE POWER CORP.		
SEABRIDGE GOLD INC.		
SEAFIELD RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
SEAGULL RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e
SEAHAWK MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
SEAHAWK VENTURES INC.		
SEAIR INC.		
SEAL COVE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
SEARCH MINERALS INC.		
SEARS CANADA INC.		3
SEAWAY ENERGY SERVICES INC.		
SECOND CHANCE CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
SECOVA METALS CORP.		
SECTION ROUGE MEDIA INC		
SECURE ENERGY SERVICES INC.		
SECURECOM MOBILE INC.		
SECUREX LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
SECURITY DEVICES INTERNATIONAL INC.		
SECURITY HOME MORTGAGE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
SEDEX MINING CORP.	ASC CTO	1a, 1b, 1c, 1e
SEDIBELO PLATINUM MINES LIMITED	ASC CTO	
SEDNA GEOTECH INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
SEGAMI IMAGES INCORPORATED	ASC CTO	1a, 1b, 1c, 1e, 1g
SEGO RESOURCES INC.		
SEL EXCHANGE INC.	ASC CTO	1a, 1b, 1c, 1e
SELECT 100E MANAGED PORTFOLIO CORPORATE CLASS		
SELECT 20I80E MANAGED PORTFOLIO CORPORATE CLASS		
SELECT 30I70E MANAGED PORTFOLIO CORPORATE CLASS		
SELECT 40I60E MANAGED PORTFOLIO CORPORATE CLASS		
SELECT 50I50E MANAGED PORTFOLIO CORPORATE CLASS		
SELECT 60I40E MANAGED PORTFOLIO CORPORATE CLASS		
SELECT 70I30E MANAGED PORTFOLIO CORPORATE CLASS		

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SELECT 80I20E MANAGED PORTFOLIO CORPORATE CLASS		
SELECT CANADIAN EQUITY MANAGED CORPORATE CLASS		
SELECT CANADIAN EQUITY MANAGED FUND		
SELECT INCOME MANAGED CORPORATE CLASS		
SELECT INTERNATIONAL EQUITY MANAGED CORPORATE CLASS		
SELECT INTERNATIONAL EQUITY MANAGED FUND		
SELECT SANDS CORP.		
SELECT STAGING FUND		
SELECT U.S EQUITY MANAGED FUND		
SELECT U.S. EQUITY MANAGED CORPORATE CLASS		
SELECTCORE LTD.		
SEMAFO INC.		
SEMBIOSYS GENETICS INC.	ASC CTO	1a, 1b, 1c, 1e
SEMI-TECH CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
SENATOR MINERALS INC.		
SENCO SENSORS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SENDERO MINING CORP.	ASC CTO	1a, 1b, 1c, 1e
SENIOR SECURED FLOATING RATE LOAN FUND		
SENNEN POTASH CORP.		
SENSIO TECHNOLOGIES INC.	Reciprocal CTO	1b, 1c, 1e
SENSORSTAT SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
SENTRY ALL CAP INCOME FUND		
SENTRY ALTERNATIVE ASSET INCOME FUND		
SENTRY BALANCED INCOME PORTFOLIO		
SENTRY BALANCED YIELD PRIVATE POOL CLASS		
SENTRY CANADIAN BOND FUND		
SENTRY CANADIAN CORE FIXED INCOME PRIVATE TRUST		
SENTRY CANADIAN EQUITY INCOME PRIVATE POOL CLASS		
SENTRY CANADIAN EQUITY INCOME PRIVATE TRUST		
SENTRY CANADIAN FIXED INCOME PRIVATE POOL		
SENTRY CANADIAN INCOME CLASS		
SENTRY CANADIAN INCOME FUND		
SENTRY CANADIAN RESOURCE CLASS		
SENTRY CONSERVATIVE BALANCED INCOME CLASS		
SENTRY CONSERVATIVE BALANCED INCOME FUND		
SENTRY CONSERVATIVE INCOME PORTFOLIO		
SENTRY CONSERVATIVE MONTHLY INCOME FUND		
SENTRY CORPORATE BOND CLASS		
SENTRY CORPORATE BOND FUND		

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SENTRY DIVERSIFIED EQUITY CLASS		
SENTRY DIVERSIFIED EQUITY FUND		
SENTRY ENERGY FUND		
SENTRY ENERGY PRIVATE TRUST		
SENTRY GLOBAL BALANCED YIELD PRIVATE POOL CLASS		
SENTRY GLOBAL CORE FIXED INCOME PRIVATE TRUST		
SENTRY GLOBAL EQUITY INCOME PRIVATE POOL CLASS		
SENTRY GLOBAL GROWTH AND INCOME CLASS		
SENTRY GLOBAL GROWTH AND INCOME FUND		
SENTRY GLOBAL HIGH YIELD BOND CLASS		
SENTRY GLOBAL HIGH YIELD BOND FUND		
SENTRY GLOBAL HIGH YIELD FIXED INCOME PRIVATE TRUST		
SENTRY GLOBAL INFRASTRUCTURE PRIVATE TRUST		
SENTRY GLOBAL INVESTMENT GRADE PRIVATE POOL CLASS		
SENTRY GLOBAL MID CAP INCOME FUND		
SENTRY GLOBAL MONTHLY INCOME FUND		
SENTRY GLOBAL REAL ESTATE PRIVATE TRUST		
SENTRY GLOBAL REIT CLASS		
SENTRY GLOBAL REIT FUND		
SENTRY GLOBAL TACTICAL FIXED INCOME PRIVATE POOL		
SENTRY GROWTH AND INCOME FUND		
SENTRY GROWTH AND INCOME PORTFOLIO		
SENTRY GROWTH PORTFOLIO		
SENTRY INTERNATIONAL EQUITY INCOME PRIVATE POOL CLASS		
SENTRY INTERNATIONAL EQUITY INCOME PRIVATE TRUST		
SENTRY MONEY MARKET CLASS		
SENTRY MONEY MARKET FUND		
SENTRY PRECIOUS METALS CLASS		
SENTRY PRECIOUS METALS FUND		
SENTRY PRECIOUS METALS PRIVATE TRUST		
SENTRY REAL GROWTH POOL CLASS		
SENTRY REAL INCOME 1941-45 CLASS		
SENTRY REAL INCOME 1946-50 CLASS		
SENTRY REAL INCOME 1951-55 CLASS		
SENTRY REAL LONG TERM INCOME POOL CLASS		
SENTRY REAL LONG TERM INCOME TRUST		
SENTRY REAL MID TERM INCOME POOL CLASS		
SENTRY REAL MID TERM INCOME TRUST		
SENTRY REAL SHORT TERM INCOME POOL CLASS		

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SENTRY REAL SHORT TERM INCOME TRUST		
SENTRY RESOURCES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
SENTRY SELECT PRIMARY METALS CORP.		
SENTRY SMALL/MID CAP INCOME CLASS		
SENTRY SMALL/MID CAP INCOME FUND		
SENTRY U.S. EQUITY INCOME CURRENCY NEUTRAL PRIVATE POOL CLASS		
SENTRY U.S. EQUITY INCOME PRIVATE POOL CLASS		
SENTRY U.S. EQUITY INCOME PRIVATE TRUST		
SENTRY U.S. GROWTH AND INCOME CLASS		
SENTRY U.S. GROWTH AND INCOME CURRENCY NEUTRAL CLASS		
SENTRY U.S. GROWTH AND INCOME FUND		
SENTRY U.S. MONTHLY INCOME FUND		
SEPIK GOLD CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
SERABI GOLD PLC		
SERAC SPORTS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
SERENGETI RESOURCES INC.		
SERICA ENERGY PLC		
SERINUS ENERGY INC.		
SERNOVA CORP.		
SERRANO RESOURCES LTD.		1k
SERVAL INTEGRATED ENERGY SERVICES	ASC CTO	1a, 1b, 1c, 1g
SERVICE TRACK ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SERVOMARIN INDUSTRIES CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
SETANTA VENTURES INCORPORATED	ASC CTO	1a, 1b, 1c, 1e, 1g
SEVEN ARTS 1980	ASC CTO	1a, 1b, 1c, 1e
SEVEN EVERGREEN APARTMENT PROJECT	ASC CTO	
SEVEN GENERATIONS ENERGY LTD.		
SEVEN SEAS PETROLEUM INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
SEXTANT ENTERTAINMENT GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SFP INTERNATIONAL LTD.	ASC CTO	1a, 1b, 1c, 1e
SG SPIRIT GOLD INC.		
SGX RESOURCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
SHAKWAK EXPLORATION COMPANY LIMITED	ASC CTO	1a, 1b, 1c, 1e
SHAMARAN PETROLEUM CORP.		
SHAMROCK ENTERPRISES INC.		
SHANELL PETROCHEMICAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
SHANNON ENVIRONMENTAL LTD.	ASC CTO	1a, 1b, 1c, 1e
SHARE RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SHARPE RESOURCES CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k

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Name	Cease Traded	Nature of Default
SHAUGHNESSY HOUSE LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
SHAW COMMUNICATIONS INC.		
SHAWCOR LTD.		
SHEAR DIAMONDS LTD.	ASC CTO	1a, 1b, 1c, 1e
SHEEN RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
SHELBY VENTURES INC.		
SHELTER PRODUCTS INC.	ASC CTO	1a, 1b, 1c, 1e
SHENANDOAH RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
SHERBROOK SBK SPORT CORP.	ASC CTO	1a, 1b, 1c, 1e
SHEROBEE GLEN LIMITED PARTNERSHIP		
SHERRITT INTERNATIONAL CORPORATION		
SHIFT NETWORKS INC.	ASC CTO	1a, 1b, 1c, 1e
SHILLING RESOURCES INCORPORATED	ASC CTO	1a, 1b, 1c, 1e
SHININGTREE RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
SHOAL GAMES LTD.		
SHOGUN CAPITAL CORP.		
SHOPIFY INC.		
SHOPPERS DRUG MART CORPORATION		
SHORE GOLD INC.		
SHORELINE ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
SHORT TERM BOND FUND		
SHORT TERM BOND FUND (PORTICO)		
SHORT TERM INCOME CORPORATE CLASS		
SHORT TERM INCOME POOL		
SHORT TERM INVESTMENT FUND		
SHOSHONI GOLD LTD.		
SIBERIAN PACIFIC RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
SIENNA RESOURCES INC.		
SIENNA SENIOR LIVING INC.		
SIERRA CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
SIERRA IRON ORE CORPORATION		
SIERRA MADRE DEVELOPMENTS INC.	ASC CTO	1a, 1b, 1c, 1e
SIERRA METALS INC.		
SIERRA NEVADA GOLD LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
SIERRA WIRELESS, INC.		
SIGHTUS INC.	ASC CTO	1a, 1b, 1c, 1e
SIGMA INDUSTRIES INC.		
SIGMACOR, INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SIGNAL EXPLORATION INC.		

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SIGNATURE CANADIAN BALANCED FUND		
SIGNATURE CANADIAN BOND CORPORATE CLASS		
SIGNATURE CANADIAN BOND FUND		
SIGNATURE CASH MANAGEMENT FUND		
SIGNATURE CORPORATE BOND CORPORATE CLASS		
SIGNATURE CORPORATE BOND FUND		
SIGNATURE DIVERSIFIED YIELD CORPORATE CLASS		
SIGNATURE DIVERSIFIED YIELD FUND		
SIGNATURE DIVERSIFIED YIELD II FUND		
SIGNATURE DIVIDEND CORPORATE CLASS		
SIGNATURE DIVIDEND FUND		
SIGNATURE EMERGING MARKETS CORPORATE CLASS		
SIGNATURE EMERGING MARKETS FUND		
SIGNATURE FINANCE LTD.	ASC CTO	1a, 1b, 1c, 1e
SIGNATURE GLOBAL BOND CORPORATE CLASS		
SIGNATURE GLOBAL BOND FUND		
SIGNATURE GLOBAL DIVIDEND CORPORATE CLASS		
SIGNATURE GLOBAL DIVIDEND FUND		
SIGNATURE GLOBAL ENERGY CORPORATE CLASS		
SIGNATURE GLOBAL INCOME & GROWTH CORPORATE CLASS		
SIGNATURE GLOBAL INCOME & GROWTH FUND		
SIGNATURE GLOBAL RESOURCE CORPORATE CLASS		
SIGNATURE GLOBAL RESOURCE FUND		
SIGNATURE GLOBAL SCIENCE & TECHNOLOGY CORPORATE CLASS		
SIGNATURE GOLD CORPORATE CLASS		
SIGNATURE HIGH INCOME CORPORATE CLASS		
SIGNATURE HIGH INCOME FUND		
SIGNATURE HIGH YIELD BOND CORPORATE CLASS		
SIGNATURE HIGH YIELD BOND FUND		
SIGNATURE HIGH YIELD BOND II FUND		
SIGNATURE INCOME & GROWTH CORPORATE CLASS		
SIGNATURE INCOME & GROWTH FUND		
SIGNATURE INTERNATIONAL CORPORATE CLASS		
SIGNATURE INTERNATIONAL FUND		
SIGNATURE PREFERRED SHARE POOL		
SIGNATURE REAL ESTATE POOL		
SIGNATURE RESOURCES LTD.		
SIGNATURE SELECT CANADIAN CORPORATE CLASS		
SIGNATURE SELECT CANADIAN FUND		

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SIGNATURE SELECT GLOBAL CORPORATE CLASS		
SIGNATURE SELECT GLOBAL FUND		
SIGNATURE SHORT-TERM BOND FUND		
SIGNATURE TACTICAL BOND POOL		
SIGNET INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
SIGNTECH INC.	ASC CTO	1a, 1b, 1c, 1e
SILCOM SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
SILK ROAD ENERGY INC.		
SILK ROAD FINANCE INC.		
SILTRONICS LTD.	ASC CTO	1a, 1b, 1c, 1e
SILVER BEAR RESOURCES INC.		
SILVER BULL RESOURCES, INC.		
SILVER BULLION TRUST		
SILVER BULLION TRUST		1b, 1c, 1d, 1e
SILVER FIELDS RESOURCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
SILVER GRAIL RESOURCES LTD.		
SILVER HART MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
SILVER MOUNTAIN MINES INC.		
SILVER PEAK RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
SILVER PHOENIX RESOURCES INC.		
SILVER PREDATOR CORP.		
SILVER PURSUIT RESOURCES LTD.		
SILVER RANGE RESOURCES LTD.		
SILVER SPRUCE RESOURCES INC.		
SILVER STANDARD RESOURCES INC.		
SILVER STREAM MINING CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
SILVER WHEATON CORP.		
SILVERA RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
SILVERBIRCH INC.	ASC CTO	1a, 1b, 1c, 1e
SILVERCORP METALS INC.		
SILVERCREST METALS INC.		
SILVERMET INC.		
SIMAVITA LIMITED		
SIMAX OIL & GAS INC.	ASC CTO	1a, 1b, 1c, 1e
SIMBA ENERGY INC.		1k, 3
SIMBA GOLD CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
SIMMONDS CAPITAL LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
SIMSMART INC.	ASC CTO	1a, 1b, 1c, 1e
SINETEC HOLDINGS CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g

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SINO RISE GROUP HOLDING CORP.		
SINORANK PETROLEUM RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
SINTANA ENERGY INC.		
SIONNA CANADIAN BALANCED FUND		
SIONNA CANADIAN EQUITY FUND		
SIONNA CANADIAN EQUITY PRIVATE POOL		
SIONNA CANADIAN SMALL CAP EQUITY FUND		
SIONNA DIVERSIFIED INCOME FUND		
SIONNA MONTHLY INCOME FUND		
SIONNA OPPORTUNITIES FUND		
SIR ROYALTY INCOME FUND		
SIRIOS RESOURCES INC.		
SIRIUS XM CANADA HOLDINGS INC.		
SIRONA BIOCHEM CORP.		
SIYATA MOBILE INC.		
SKEENA RESOURCES LIMITED		
SKG INTERACTIVE INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
SKI FREE MARINE INC.	ASC CTO	1a, 1b, 1c, 1e
SKY RIDGE RESOURCES LTD.		
SKYGATE TOWERS APARTMENTS	ASC CTO	1a, 1b, 1c, 1e
SKYHARBOUR RESOURCES LTD.		
SKYLINK COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
SKYLON GROWTH & INCOME TRUST		
SLAM EXPLORATION LTD.		
SLATE OFFICE REIT		
SLATE RETAIL REIT		
SLATER STEEL INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
SLEEP COUNTRY CANADA HOLDINGS INC.		
SLMSOFT INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
SLYCE INC.		
SMART CANADIAN INDEX FUND		
SMART EMERGING MARKETS INDEX FUND		
SMART EMPLOYEE BENEFITS INC.		
SMART GLOBAL INDEX FUND		
SMART HONG KONG CHINA INDEX FUND		
SMART NA DIVIDEND INDEX FUND		
SMART REAL ESTATE INVESTMENT TRUST		
SMART TECHNOLOGIES INC.		
SMART US INDEX FUND		

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SMART VENTURES, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
SMARTCARDESOLUTIONS.COM LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
SMARTCOOL SYSTEMS INC.		
SMARTOR PRODUCTS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SMARTSALES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SMC VENTURES INC.		
SNC-LAVALIN GROUP INC.		
SNEAKERS	ASC CTO	1a, 1b, 1c, 1e
SNG TELECOM INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SNIPER RESOURCES LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
SNIPGOLD CORP.		
SNIPP INTERACTIVE INC.		
SNOW EAGLE RESOURCES LTD.		
SNOW LEOPARD RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
SNOWBIRD INVESTMENTS LTD.	ASC CTO	1a, 1b, 1c, 1e
SNOWFIELD DEVELOPMENT CORP.	ASC CTO	1a, 1b, 1c, 1e
SNV GROUP LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
SOBEYS INC.		
SOCIAL HOUSING CANADIAN BOND FUND		
SOCIAL HOUSING CANADIAN EQUITY FUND		
SOCIAL HOUSING CANADIAN SHORT-TERM BOND FUND		
SOCIÉTÉ D'EXPLORATION MINIÈRE VIOR INC.		
SOCIETE MINIERE SPHINX INC.	ASC CTO	1a, 1b, 1c, 1e
SOCIETERRA BALANCED PORTFOLIO		
SOCIETERRA CONSERVATIVE PORTFOLIO		
SOCIETERRA GROWTH PORTFOLIO		
SOCIETERRA MAXIMUM GROWTH PORTFOLIO		
SOFAME TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 3
SOFICAP ACQUISITIONS INC.	ASC CTO	1a, 1b, 1c, 1e
SOFIMINES 1988 AND COMPANY, LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
SOFIMINES INVESTMENT FUND II INC.	ASC CTO	1a, 1b, 1c
SOFTROCK MINERALS LTD.		
SOFTWARE CONTROL SYSTEMS INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SOFTWEX TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SOGO CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
SOJOURN VENTURES INC.		
SOKOMAN IRON CORP.		
SOLAR ALLIANCE ENERGY INC.		
SOLAR ENERGY INITIATIVES, INC.	ASC CTO	4

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SOLARA EXPLORATION LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
SOLARVEST BIOENERGY INC.		
SOLEGEAR BIOPLASTIC TECHNOLOGIES INC.		
SOLID GOLD RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e
SOLIDUSGOLD INC.		
SOLIGEN TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
SOLIMAR ENERGY LIMITED	ASC CTO	1b, 1c, 1e
SOLITARIO EXPLORATION & ROYALTY CORP.		
SOLIUM CAPITAL INC.		
SOLOMON EQUITIES CORP.	ASC CTO	1a, 1b, 1c, 1e
SOLUTIONINC TECHNOLOGIES LIMITED	ASC CTO	1a, 1b, 1c, 1e, 3
SOLUTREA CORP.	ASC CTO	1a, 1b, 1c, 1e
SONA RESOURCES CORP.		
SONDE RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
SONNENENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e
SONOMAX TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
SONOR INVESTMENTS LIMITED		
SONORA DIAMOND CORP. LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
SONORA GOLD & SILVER CORP.		
SONORO ENERGY LTD.		
SONORO METALS CORP.		
SOPHIRIS BIO INC.		
SOR BAROOT RESOURCES CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
SORA CAPITAL CORP.		
SOREL VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e
SORREL RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
SOUND COMMUNICATION CORP.	ASC CTO	1a, 1b, 1c, 1e
SOURCE EXPLORATION CORP.		
SOURCE LIFE SCIENCES INC.	ASC CTO	1a, 1b, 1c, 1e
SOURCESMITH INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
SOUTHEAST ASIA MINING CORP.		
SOUTHERN ARC MINERALS INC.		
SOUTHERN PACIFIC RESOURCE CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
SOUTHERN REEF VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SOUTHERN SILVER EXPLORATION CORP.		
SOUTHERN TIER GAS PRODUCERS LTD.	ASC CTO	1a, 1b, 1c, 1e
SOUTHGOBI RESOURCES LTD.		
SOUTHLAND TOWER 1 LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
SOUTHLAND TOWER III LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e

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SOUTHWIND RESOURCE EXPLORATIONS LIMITED	ASC CTO	1a, 1b, 1c, 1e
SPACKMAN EQUITIES GROUP INC.		
SPADA GOLD LTD.		
SPANISH MOUNTAIN GOLD LTD.		
SPARROW RESOURCES LTD N P L	ASC CTO	1a, 1b, 1c, 1e
SPARROW VENTURES CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
SPARTA CAPITAL LTD.		
SPARTAN ENERGY CORP.		
SPARTON RESOURCES INC.		
SPARX ENERGY CORP.		
SPEARMINT RESOURCES INC.		
SPECIALTY FOODS GROUP INCOME FUND	ASC CTO	1a, 1b, 1c, 1e
SPECTRA INC.		
SPECTRA7 MICROSYSTEMS INC.		
SPECTRAL MEDICAL INC.		
SPECTRUM GAMES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
SPHERE 3D CORP.		
SPHERE FTSE ASIA SUSTAINABLE YIELD INDEX ETF		
SPHERE FTSE CANADA SUSTAINABLE YIELD INDEX ETF		
SPHERE FTSE EMERGING MARKETS SUSTAINABLE YIELD INDEX ETF		
SPHERE FTSE EUROPE SUSTAINABLE YIELD INDEX ETF		
SPHERE FTSE US SUSTAINABLE YIELD INDEX ETF		
SPHERE RESOURCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
SPHINX RESOURCES LTD.		
SPIN MASTER CORP.		
SPINLOGIC TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
SPINNAKER 111	ASC CTO	1a, 1b, 1c, 1e
SPINNAKER DEVELOPMENT CORPORATION	ASC CTO	1a, 1b, 1c, 1e
SPIRIT BEAR CAPITAL CORP.		
SPORT ACTIVE TELEVISION NETWORK INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SPORT SPECIFIC INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
SPORTSCENE GROUP INC.		
SPORTSClick INC.	ASC CTO	1a, 1b, 1c, 1e
SPORTSMATE INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
SPOT COFFEE (CANADA) LTD.	Reciprocal CTO	
SPRIZA MEDIA INC.		
SPROTT 2014-II FLOW-THROUGH LIMITED PARTNERSHIP		
SPROTT 2015 FLOW-THROUGH LIMITED PARTNERSHIP - CEE UNITS		
SPROTT 2016 SHORT DURATION FLOW-THROUGH LIMITED PARTNERSHIP		

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SPROTT CANADIAN EQUITY CLASS		
SPROTT CANADIAN EQUITY FUND		
SPROTT DIVERSIFIED BOND CLASS		
SPROTT DIVERSIFIED BOND FUND		
SPROTT ENERGY FUND		
SPROTT ENHANCED BALANCED CLASS		
SPROTT ENHANCED BALANCED FUND		
SPROTT ENHANCED EQUITY CLASS		
SPROTT ENHANCED U.S. EQUITY CLASS		
SPROTT FOCUSED GLOBAL BALANCED CLASS		
SPROTT FOCUSED GLOBAL DIVIDEND CLASS		
SPROTT FOCUSED U.S. BALANCED CLASS		
SPROTT FOCUSED U.S. DIVIDEND CLASS		
SPROTT GLOBAL AGRICULTURE FUND		
SPROTT GLOBAL INFRASTRUCTURE FUND		
SPROTT GLOBAL REIT & PROPERTY EQUITY FUND		
SPROTT GOLD AND PRECIOUS MINERALS CLASS		
SPROTT GOLD AND PRECIOUS MINERALS FUND		
SPROTT GOLD BULLION CLASS		
SPROTT GOLD BULLION FUND		
SPROTT INC.		
SPROTT PHYSICAL GOLD TRUST		
SPROTT PHYSICAL PLATINUM AND PALLADIUM TRUST		
SPROTT PHYSICAL SILVER TRUST		
SPROTT REAL ASSET CLASS		
SPROTT RESOURCE CLASS		
SPROTT RESOURCE CORP.		
SPROTT SHORT-TERM BOND CLASS		
SPROTT SHORT-TERM BOND FUND		
SPROTT SILVER BULLION CLASS		
SPROTT SILVER BULLION FUND		
SPROTT SILVER EQUITIES CLASS		
SPROTT SMALL CAP EQUITY FUND		
SPROTT TACTICAL BALANCED CLASS		
SPROTT TACTICAL BALANCED FUND		
SPROTT TIMBER FUND		
SPRUCE RIDGE RESOURCES LTD.		
SPRUCELAND PROPERTIES INC.		
SPX CORPORATION		

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SPYGLASS RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
SQI DIAGNOSTICS INC.		
SQUIRE MINING LTD.		
SRAI CAPITAL CORP.		
SRR MERCANTILE INC.	ASC CTO	1a, 1b, 1c, 1e
ST. AUGUSTINE GOLD AND COPPER LIMITED		
ST. CLAIR PAINT & WALLPAPER CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ST. ELIAS MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
ST. LUCIE EXPLORATION COMPANY LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
STAKEHOLDER GOLD CORP.		
STALL LAKE MINES LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
STAMPEDE OILS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
STANDARD EXPLORATION LTD.		
STANDARD GRAPHITE CORPORATION		
STANDARD MODERN TECHNOLOGIES CORP.	ASC CTO	1a, 1b, 1c, 1e
STANDARD TOLLING CORP.		
STANDARD TRUSTCO LIMITED	ASC CTO	1a, 1b, 1c, 1e
STANLEY PARK PLACE APARTMENTS	ASC CTO	1a, 1b, 1c, 1e
STANS ENERGY CORP.		
STANTEC INC.		
STAR NAVIGATION SYSTEMS GROUP LTD.		
STAR PROPERTIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
STAR YIELD MANAGERS TRUST		
STARCORE INTERNATIONAL MINES LTD.		
STARFIELD RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
STARFIRE MINERALS INC.	ASC CTO	1a, 1b, 1c, 1e
STARFIRE TECHNOLOGIES INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
STARLIGHT U.S. MULTI-FAMILY (NO. 2) CORE FUND		
STARLIGHT U.S. MULTI-FAMILY (NO. 3) CORE FUND		
STARLIGHT U.S. MULTI-FAMILY (NO. 4) CORE FUND		
STARLIGHT U.S. MULTI-FAMILY CORE FUND		
STARONT TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
STARPOINT GOLDFIELDS INC.	ASC CTO	1a, 1b, 1c, 1e
STARR PEAK EXPLORATION LTD.		
STARREX INTERNATIONAL LTD.		
STATESMAN RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
STEADFAST VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
STEADYHAND EQUITY FUND		
STEADYHAND FOUNDERS FUND		

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STEADYHAND GLOBAL EQUITY FUND		
STEADYHAND INCOME FUND		
STEADYHAND SAVINGS FUND		
STEADYHAND SMALL-CAP EQUITY FUND		
STEALTH MINERALS LIMITED	ASC CTO	1a, 1b, 1c, 1e
STEALTH VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
STEED VENTURES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
STEELY GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
STELLA-JONES INC.		
STELLAR AFRICAGOLD INC.		
STELLAR BIOTECHNOLOGIES, INC.		
STELMINE CANADA LTD.		
STEM 7 CAPITAL INC.		
STEPPE GOLD RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
STERLING LEAF INCOME TRUST	ASC CTO	1a, 1b, 1c, 1e
STERLING MINING COMPANY	ASC CTO	1a, 1b, 1c, 1d, 1e
STERLING RESOURCES LTD.		
STERLING SHOES INC.	ASC CTO	1a, 1b, 1c, 1e
STETSON OIL & GAS LTD.		
ST-GEORGES PLATINUM & BASE METALS LTD.		
STIKINE ENERGY CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
STILLWATER MINING COMPANY		
STINA RESOURCES LTD.		
STINGRAY DIGITAL GROUP INC.		
STN INCORPORATED	ASC CTO	1a, 1b, 1c, 1e
STOCKGUARD CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
STOCKPORT EXPLORATION INC.		
STONE & CO. DIVIDEND GROWTH CLASS CANADA		
STONE & CO. EUROPLUS DIVIDEND GROWTH FUND		
STONE & CO. FLAGSHIP GLOBAL GROWTH FUND		
STONE & CO. FLAGSHIP GROWTH & INCOME FUND CANADA		
STONE & CO. FLAGSHIP STOCK FUND CANADA		1a, 1c
STONE & CO. GROWTH INDUSTRIES FUND		
STONE & CO. RESOURCE PLUS CLASS		
STONE INVESTMENT GROUP LIMITED		
STONE MOUNTAIN HOLDINGS INC.	ASC CTO	1a, 1b, 1c, 1e
STONE RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e
STONE RIDGE EXPLORATION CORP.		
STONEGATE AGRICOM LTD.		

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STONEHAVEN EXPLORATION LTD.		
STONEPOINT GLOBAL BRANDS INC.	ASC CTO	1a, 1b, 1c, 1e
STONESET EQUITY DEVELOPMENT CORP.	ASC CTO	1a, 1b, 1c, 1e
STORAGEVAULT CANADA INC.		
STORM CAT ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
STORM RESOURCES LTD.		
STORNOWAY DIAMOND CORPORATION		
STOX.COM INC.	ASC CTO	1a, 1b, 1c, 1e
STRAD ENERGY SERVICES LTD.		
STRALAK RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
STRATA MINERALS INC.		
STRATA OIL & GAS INC.		2d, 4
STRATABOUND MINERALS CORP.		
STRATAS CORPORATION LTD., THE	ASC CTO	1a, 1b, 1c, 1e
STRATA-X ENERGY LTD.		
STRATECO RESOURCES INC.	Reciprocal CTO	1a, 1b, 1c, 1e
STRATEGEM CAPITAL CORPORATION		
STRATEGIC INCOME ALLOCATION FUND		
STRATEGIC INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
STRATEGIC METALS LTD.		
STRATEGIC OIL & GAS LTD.		
STRATEGIC RESOURCES INC.		
STRATEGYWEB COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
STRATHCONA BREWING INVESTMENTS INC.	ASC CTO	1a, 1b, 1c, 1e
STRATTON CAPITAL CORP.		
STRATTON RESOURCES INC.		
STRATUM RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
STREAM COMMUNICATIONS NETWORK & MEDIA INC.	ASC CTO	1a, 1b, 1c, 1e, 3
STREET CAPITAL GROUP INC.		
STREETLIGHT INTELLIGENCE INC.	ASC CTO	1a, 1b, 1c, 1e
STRIA LITHIUM INC.		
STRIKE MINERALS INC.	ASC CTO	1a, 1b, 1c, 1e
STRIKEPOINT GOLD INC.		
STRIKER EXPLORATION CORP.		
STRIKEWELL ENERGY CORP.		
STRONGBOW EXPLORATION INC.		
STRONGBOW RESOURCES INC.		1g
STRONGCO CORPORATION		
STROUD RESOURCES LTD.		

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STT ENVIRO CORP.		
STUART OLSON INC.		
STUDEBAKERS RESOURCE DEVELOPMENT LTD.	ASC CTO	1a, 1b, 1c, 1e
STUDENT TRANSPORTATION INC.		
SUFFOLK RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
SULLIDEN MINING CAPITAL INC.		
SULPETRO LIMITED	ASC CTO	1a, 1b, 1c, 1e
SULTAN CORP. LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
SULTAN MINERALS INC.		
SUMACH RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
SUMMIT INDUSTRIAL INCOME REIT		
SUMMUS SOLUTIONS N.V.		
SUMTRA DIVERSIFIED INC.		
SUN CREEK	ASC CTO	1a, 1b, 1c, 1e
SUN LIFE ASSURANCE COMPANY OF CANADA		
SUN LIFE BLACKROCK CANADIAN BALANCED CLASS		
SUN LIFE BLACKROCK CANADIAN BALANCED FUND		
SUN LIFE BLACKROCK CANADIAN COMPOSITE EQUITY CLASS		
SUN LIFE BLACKROCK CANADIAN COMPOSITE EQUITY FUND		
SUN LIFE BLACKROCK CANADIAN EQUITY CLASS		
SUN LIFE BLACKROCK CANADIAN EQUITY FUND		
SUN LIFE BLACKROCK CANADIAN UNIVERSE BOND FUND		
SUN LIFE CAPITAL TRUST		
SUN LIFE CAPITAL TRUST II		
SUN LIFE DYNAMIC AMERICAN VALUE FUND		
SUN LIFE DYNAMIC ENERGY FUND		
SUN LIFE DYNAMIC EQUITY INCOME CLASS		
SUN LIFE DYNAMIC EQUITY INCOME FUND		
SUN LIFE DYNAMIC STRATEGIC YIELD CLASS		
SUN LIFE DYNAMIC STRATEGIC YIELD FUND		
SUN LIFE FINANCIAL INC.		
SUN LIFE FRANKLIN BISSETT CANADIAN EQUITY CLASS		
SUN LIFE GRANITE BALANCED CLASS		
SUN LIFE GRANITE BALANCED GROWTH CLASS		
SUN LIFE GRANITE BALANCED GROWTH PORTFOLIO		
SUN LIFE GRANITE BALANCED PORTFOLIO		
SUN LIFE GRANITE CONSERVATIVE CLASS		
SUN LIFE GRANITE CONSERVATIVE PORTFOLIO		
SUN LIFE GRANITE ENHANCED INCOME PORTFOLIO		

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SUN LIFE GRANITE GROWTH CLASS		
SUN LIFE GRANITE GROWTH PORTFOLIO		
SUN LIFE GRANITE INCOME PORTFOLIO		
SUN LIFE GRANITE MODERATE CLASS		
SUN LIFE GRANITE MODERATE PORTFOLIO		
SUN LIFE GRANITE TACTICAL COMPLETION FUND		
SUN LIFE MFS BALANCED GROWTH FUND		
SUN LIFE MFS BALANCED VALUE FUND		
SUN LIFE MFS CANADIAN BOND FUND		
SUN LIFE MFS CANADIAN EQUITY CLASS		
SUN LIFE MFS CANADIAN EQUITY FUND		
SUN LIFE MFS CANADIAN EQUITY GROWTH FUND		
SUN LIFE MFS CANADIAN EQUITY VALUE FUND		
SUN LIFE MFS DIVIDEND INCOME CLASS		
SUN LIFE MFS DIVIDEND INCOME FUND		
SUN LIFE MFS GLOBAL GROWTH CLASS		
SUN LIFE MFS GLOBAL GROWTH FUND		
SUN LIFE MFS GLOBAL TOTAL RETURN FUND		
SUN LIFE MFS GLOBAL VALUE FUND		
SUN LIFE MFS INTERNATIONAL GROWTH CLASS		
SUN LIFE MFS INTERNATIONAL GROWTH FUND		
SUN LIFE MFS INTERNATIONAL VALUE FUND		
SUN LIFE MFS LOW VOLATILITY GLOBAL EQUITY FUND		
SUN LIFE MFS LOW VOLATILITY INTERNATIONAL EQUITY FUND		
SUN LIFE MFS MONTHLY INCOME FUND		
SUN LIFE MFS U.S. EQUITY FUND		
SUN LIFE MFS U.S. GROWTH CLASS		
SUN LIFE MFS U.S. GROWTH FUND		
SUN LIFE MFS U.S. VALUE FUND		
SUN LIFE MILESTONE 2020 FUND		
SUN LIFE MILESTONE 2025 FUND		
SUN LIFE MILESTONE 2030 FUND		
SUN LIFE MILESTONE 2035 FUND		
SUN LIFE MILESTONE GLOBAL EQUITY FUND		
SUN LIFE MONEY MARKET CLASS		
SUN LIFE MONEY MARKET FUND		
SUN LIFE MULTI-STRATEGY BOND FUND		
SUN LIFE MULTI-STRATEGY TARGET RETURN FUND		
SUN LIFE MULTI-STRATEGY TARGET RETURN FUND		

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SUN LIFE NWQ FLEXIBLE INCOME FUND		
SUN LIFE SCHRODER EMERGING MARKETS FUND		
SUN LIFE SENTRY CONSERVATIVE BALANCED FUND		
SUN LIFE SENTRY GLOBAL MID CAP FUND		
SUN LIFE SENTRY INFRASTRUCTURE FUND		
SUN LIFE SENTRY VALUE CLASS		
SUN LIFE SENTRY VALUE FUND		
SUN LIFE SIONNA CANADIAN SMALL CAP EQUITY CLASS		
SUN LIFE TEMPLETON GLOBAL BOND FUND		
SUN LIFE TRIMARK CANADIAN CLASS		
SUNBURST OIL & GAS INC.	ASC CTO	1a, 1b, 1c, 1e
SUNCOR ENERGY INC.		
SUNMIST ENERGY 86 INC.	ASC CTO	1a, 1b, 1c, 1e
SUNOCO, INC.		
SUNOIL LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
SUNOPTA INC.		
SUNORA FOODS INC.		
SUNRAY RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
SUNRIDGE GOLD CORP.		
SUNRIDGE VILLAGE	ASC CTO	1a, 1b, 1c, 1e
SUNRISE RESOURCES LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
SUNSET COVE MINING INC.		1b
SUNSET PACIFIC PETROLEUM LTD.		
SUNSHINE AGRI-TECH INC.		
SUNSHINE OILSANDS LTD.		
SUNSTONE OPPORTUNITY (2006) DEBENTURE FUND		
SUNSTONE OPPORTUNITY (2006) REALTY TRUST		
SUNSTONE OPPORTUNITY (2007) MORTGAGE FUND		
SUNSTONE OPPORTUNITY (2007) REALTY TRUST		
SUNSTONE OPPORTUNITY FUND (2005) LIMITED PARTNERSHIP		
SUNSTONE U.S. OPPORTUNITY (NO. 3) REALTY TRUST		1g
SUNSTONE U.S. OPPORTUNITY (NO. 4) REALTY TRUST		1g
SUN-TIMES MEDIA GROUP, INC.		1a, 1b, 1c, 1d, 1e
SUNTREE INVESTMENTS INTERNATIONAL CORP.	ASC CTO	1a, 1b, 1c, 1e
SUNVAULT ENERGY, INC.	ASC CTO	1a, 1b, 1c, 1e
SUNVEST MINERALS CORP.		
SUNWAH INTERNATIONAL LIMITED		
SUPER NOVA PETROLEUM CORP.		1k
SUPERIOR CANADIAN RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 1k

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SUPERIOR COPPER CORPORATION		
SUPERIOR ELECTRONICS INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
SUPERIOR MINING INTERNATIONAL CORPORATION		
SUPERIOR PLUS CORP.		
SUPREME PHARMACEUTICALS INC.		
SUPREMEX INC.		
SUPREX ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
SURE PRINT AND COPY CENTRES, INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SURGE ENERGY INC.		
SURREY CAPITAL CORP.		
SUSTAINCO INC.		
SUTTER GOLD MINING INC.		
SWEANY GOLD CORPORATION	ASC CTO	1a, 1b, 1c, 1e
SWEEPRIE MFG. INC.	ASC CTO	1a, 1b, 1c, 1e
SWEETRLIFE TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SWI STEELWORKS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SWIFT RESOURCES INC.		
SWISHER HYGIENE INC.		
SWISSLINK FINANCIAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
SYD FINANCIAL INC.		
SYLOGIST LTD.		
SYLVAN PARK ESTATES LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
SYMBILITY SOLUTIONS INC.		
SYMMETRY BALANCED PORTFOLIO		
SYMMETRY BALANCED PORTFOLIO CLASS		
SYMMETRY CANADIAN BOND FUND		
SYMMETRY CANADIAN EQUITY FUND		
SYMMETRY COMPREHENSIVE EQUITY FUND		
SYMMETRY CONSERVATIVE INCOME PORTFOLIO		
SYMMETRY CONSERVATIVE INCOME PORTFOLIO CLASS		
SYMMETRY CONSERVATIVE PORTFOLIO		
SYMMETRY CONSERVATIVE PORTFOLIO CLASS		
SYMMETRY EAFE EQUITY FUND		
SYMMETRY EMERGING MARKETS EQUITY CORPORATE CLASS		
SYMMETRY EQUITY PORTFOLIO CLASS		
SYMMETRY FIXED INCOME PORTFOLIO		
SYMMETRY GLOBAL BOND FUND		
SYMMETRY GROWTH PORTFOLIO		
SYMMETRY GROWTH PORTFOLIO CLASS		

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SYMMETRY LOW VOLATILITY FUND		
SYMMETRY MODERATE GROWTH PORTFOLIO		
SYMMETRY MODERATE GROWTH PORTFOLIO CLASS		
SYMMETRY US EQUITY FUND		
SYMMETRY US SMALL CAP EQUITY FUND		
SYMPHONY FLOATING RATE SENIOR LOAN FUND		
SYMPLEX COMMUNICATIONS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
SYNAPSE SOFTWARE INC.	ASC CTO	1a, 1b, 1c, 1e
SYNCOM IMAGING SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
SYNCORDIA TECHNOLOGIES AND HEALTHCARE SOLUTIONS, CORP.		
SYNCRETE TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
SYNERGEX CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
SYNERGY AMERICAN CORPORATE CLASS		
SYNERGY AMERICAN FUND		
SYNERGY CANADIAN CORPORATE CLASS		
SYNERGY CANADIAN FUND INC.		
SYNERGY GLOBAL CORPORATE CLASS		
SYNERGY TACTICAL ASSET ALLOCATION FUND		
SYNODON INC.		
SYSCAN INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e, 3
T & W FINANCIAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
T B R GAS & OIL PRODUCTION FUND	ASC CTO	1a, 1b, 1c, 1e
T.M.T. RESOURCES INC.		
TAC GOLD CORPORATION	ASC CTO	1a, 1b, 1c, 1e
TACTEX CONTROLS INC.	ASC CTO	1a, 1b, 1c, 1e
TAG OIL LTD.		
TAHERA DIAMOND CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
TAHOE RESOURCES INC.		
TAIGA BUILDING PRODUCTS LTD.		
TAIPAN RESOURCES INC.		1k
TAJAC CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
TAJIRI RESOURCES CORP.		
TAJZHA VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
TAKARA RESOURCES INC.		3
TAKU GOLD CORP.		
TALBORNE CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
TALKTRAN COMMUNICATIONS CORP.		1a, 1b, 1c, 1e
TALLAGIUM CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1o, 2a, 2b
TALLGRASS ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k

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TALMORA DIAMOND INC.		
TALON METALS CORP.		
TALWARE NETWORKX INC.	ASC CTO	1a, 1b, 1c, 1e
TAMAN CORPORATION	ASC CTO	1a, 1b, 1c, 1e
TAMARACK VALLEY ENERGY LTD.		
TAMERLANE VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
TAMM OIL & GAS CORP.	ASC CTO	
TANAGER ENERGY INC.		
TANGELO GAMES CORP.		
TANGERINE BALANCED GROWTH PORTFOLIO		
TANGERINE BALANCED INCOME PORTFOLIO		
TANGERINE BALANCED PORTFOLIO		
TANGERINE EQUITY GROWTH PORTFOLIO		
TANGO MINING LIMITED		
TANQUERAY EXPLORATION LTD.		
TANTALEX RESOURCES CORPORATION		
TANZANIA MINERALS CORP.		1a, 1c, 1e
TANZANIAN ROYALTY EXPLORATION CORPORATION		
TAP CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
TARANIS RESOURCES INC.		
TARGA RESOURCE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
TARGET CAPITAL INC.		
TARGET TEN INC.	ASC CTO	1a, 1b, 1c, 1e
TARGETED MICROWAVE SOLUTIONS INC.		
TARKU RESOURCES LTD.		
TARQUIN GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
TARTISAN RESOURCES CORP.		
TASCA RESOURCES LTD.		
TASEKO MINES LIMITED		
TASMAN METALS LTD.		
TAUREX RESOURCES PLC	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g, 1k
TAURUS FOOTWEAR INC.	ASC CTO	1a, 1b, 1c, 1e
TAYLOR NORTH AMERICAN EQUITY OPPORTUNITIES FUND		
TBM NT CORPORATION	ASC CTO	1a, 1b, 1c, 1e
TCR ENVIRONMENTAL CORP	ASC CTO	1a, 1b, 1c, 1e, 1g
TCT LOGISTICS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
TD ADVANTAGE AGGRESSIVE GROWTH PORTFOLIO		
TD ADVANTAGE BALANCED GROWTH PORTFOLIO		
TD ADVANTAGE BALANCED INCOME PORTFOLIO		

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TD ADVANTAGE BALANCED PORTFOLIO		
TD ADVANTAGE GROWTH PORTFOLIO		
TD ASIAN GROWTH CLASS		
TD ASIAN GROWTH FUND		
TD BALANCED GROWTH FUND		
TD BALANCED INCOME FUND		
TD BALANCED INDEX FUND		
TD CANADIAN AGGREGATE BOND INDEX ETF		
TD CANADIAN BLUE CHIP DIVIDEND FUND		
TD CANADIAN BOND FUND		
TD CANADIAN BOND INDEX FUND		
TD CANADIAN CORE PLUS BOND FUND		
TD CANADIAN CORPORATE BOND FUND		
TD CANADIAN DIVERSIFIED YIELD FUND		
TD CANADIAN EQUITY CLASS		
TD CANADIAN EQUITY FUND		
TD CANADIAN EQUITY POOL		
TD CANADIAN EQUITY POOL CLASS		
TD CANADIAN INDEX FUND		
TD CANADIAN LARGE-CAP EQUITY FUND		
TD CANADIAN LOW VOLATILITY CLASS		
TD CANADIAN LOW VOLATILITY FUND		
TD CANADIAN LOW VOLATILITY POOL		
TD CANADIAN MONEY MARKET FUND		
TD CANADIAN SMALL-CAP EQUITY CLASS		
TD CANADIAN SMALL-CAP EQUITY FUND		
TD CANADIAN VALUE CLASS		
TD CANADIAN VALUE FUND		
TD CAPITAL TRUST III		
TD CAPITAL TRUST IV		
TD COMFORT AGGRESSIVE GROWTH PORTFOLIO		
TD COMFORT BALANCED GROWTH PORTFOLIO		
TD COMFORT BALANCED INCOME PORTFOLIO		
TD COMFORT BALANCED PORTFOLIO		
TD COMFORT CONSERVATIVE INCOME PORTFOLIO		
TD COMFORT GROWTH PORTFOLIO		
TD CORE CANADIAN VALUE FUND		
TD CORPORATE BOND CAPITAL YIELD FUND		
TD CORPORATE BOND POOL		

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TD DIVERSIFIED MONTHLY INCOME FUND		
TD DIVIDEND GROWTH CLASS		
TD DIVIDEND GROWTH FUND		
TD DIVIDEND INCOME CLASS		
TD DIVIDEND INCOME FUND		
TD DOW JONES INDUSTRIAL AVERAGE INDEX FUND		
TD EMERALD BALANCED FUND		
TD EMERALD CANADIAN BOND INDEX FUND		
TD EMERALD CANADIAN EQUITY INDEX FUND		
TD EMERALD CANADIAN SHORT TERM INVESTMENT FUND		
TD EMERALD CANADIAN TREASURY MANAGEMENT - GOVERNMENT OF CANADA FUND		
TD EMERALD CANADIAN TREASURY MANAGEMENT FUND		
TD EMERALD INTERNATIONAL EQUITY INDEX FUND		
TD EMERALD U.S. MARKET INDEX FUND		
TD EMERGING MARKETS CLASS		
TD EMERGING MARKETS FUND		
TD EMERGING MARKETS LOW VOLATILITY FUND		
TD ENTERTAINMENT & COMMUNICATIONS FUND		
TD EUROPEAN INDEX FUND		
TD FIXED INCOME POOL		
TD FUNDSMART MANAGED AGGRESSIVE GROWTH PORTFOLIO		
TD FUNDSMART MANAGED BALANCED GROWTH PORTFOLIO		
TD FUNDSMART MANAGED INCOME & MODERATE GROWTH PORTFOLIO		
TD GLOBAL BOND FUND		
TD GLOBAL EQUITY POOL		
TD GLOBAL EQUITY POOL CLASS		
TD GLOBAL LOW VOLATILITY CLASS		
TD GLOBAL LOW VOLATILITY FUND		
TD GLOBAL RISK MANAGED EQUITY CLASS		
TD GLOBAL RISK MANAGED EQUITY FUND		
TD HEALTH SCIENCES FUND		
TD HIGH YIELD BOND FUND		
TD INCOME ADVANTAGE PORTFOLIO		
TD INCOME OPPORTUNITIES POOL		
TD INTERNATIONAL EQUITY CAD HEDGED INDEX ETF		
TD INTERNATIONAL EQUITY INDEX ETF		
TD INTERNATIONAL GROWTH CLASS		
TD INTERNATIONAL GROWTH FUND		

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Name	Cease Traded	Nature of Default
TD INTERNATIONAL INDEX CURRENCY NEUTRAL FUND		
TD INTERNATIONAL INDEX FUND		
TD INTERNATIONAL STOCK FUND		
TD MANAGED AGGRESSIVE GROWTH PORTFOLIO		
TD MANAGED BALANCED GROWTH PORTFOLIO		
TD MANAGED INCOME & MODERATE GROWTH PORTFOLIO		
TD MANAGED INCOME PORTFOLIO		
TD MANAGED INDEX AGGRESSIVE GROWTH PORTFOLIO		
TD MANAGED INDEX BALANCED GROWTH PORTFOLIO		
TD MANAGED INDEX INCOME & MODERATE GROWTH PORTFOLIO		
TD MANAGED INDEX INCOME PORTFOLIO		
TD MANAGED INDEX MAXIMUM EQUITY GROWTH PORTFOLIO		
TD MANAGED MAXIMUM EQUITY GROWTH PORTFOLIO		
TD MONTHLY INCOME FUND		
TD NASDAQ INDEX FUND		
TD NORTH AMERICAN DIVIDEND FUND		
TD OPPORTUNITIES POOL		
TD PRECIOUS METALS FUND		
TD PREMIUM MONEY MARKET FUND		
TD REAL RETURN BOND FUND		
TD RESOURCE FUND		
TD RETIREMENT BALANCED PORTFOLIO		
TD RETIREMENT CONSERVATIVE PORTFOLIO		
TD RISK MANAGEMENT POOL		
TD RISK REDUCTION POOL		
TD RISK REDUCTION POOL - US\$		
TD S&P 500 CAD HEDGED INDEX ETF		
TD S&P 500 INDEX ETF		
TD S&P/TSX CAPPED COMPOSITE INDEX ETF		
TD SCIENCE & TECHNOLOGY FUND		
TD SHORT TERM BOND FUND		
TD SHORT TERM INVESTMENT CLASS		
TD STRATEGIC YIELD FUND		
TD TACTICAL MONTHLY INCOME CLASS		
TD TACTICAL MONTHLY INCOME FUND		
TD TACTICAL POOL		
TD TACTICAL POOL CLASS		
TD TARGET RETURN BALANCED FUND		
TD TARGET RETURN CONSERVATIVE FUND		

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TD U.S. BLUE CHIP EQUITY FUND		
TD U.S. CORPORATE BOND FUND		
TD U.S. EQUITY PORTFOLIO		
TD U.S. INDEX CURRENCY NEUTRAL FUND		
TD U.S. INDEX FUND		
TD U.S. LOW VOLATILITY FUND		
TD U.S. MID-CAP GROWTH CLASS		
TD U.S. MID-CAP GROWTH FUND		
TD U.S. MONEY MARKET FUND		
TD U.S. MONTHLY INCOME FUND		
TD U.S. MONTHLY INCOME FUND - C\$		
TD U.S. QUANTITATIVE EQUITY FUND		
TD U.S. RISK MANAGED EQUITY CLASS		
TD U.S. RISK MANAGED EQUITY FUND		
TD U.S. SMALL-CAP EQUITY FUND		
TD ULTRA SHORT TERM BOND FUND		
TD US\$ RETIREMENT PORTFOLIO		
TDB SPLIT CORP.		
TEAL CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
TEARLAB CORPORATION		
TEARLACH RESOURCES LIMITED		
TECH ACHIEVERS GROWTH & INCOME FUND		
TECH LEADERS INCOME FUND		
TECHCANA INC.	ASC CTO	1a, 1b, 1c, 1e
TECHCORE CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
TECHMIN CANADA LTD.	ASC CTO	1a, 1b, 1c, 1e
TECK RESOURCES LIMITED		
TECK WORLD INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
TECNECON GOLD INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e
TECSYS INC.		
TEE-COMM ELECTRONICS INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
TELDAR RESOURCES CORP.		
TELE RADIO SYSTEMS LIMITED	ASC CTO	1a, 1b, 1c, 1e
TELECOM ITALIA S.P.A.		
TELE-FIND TECHNOLOGIES CORP.	ASC CTO	1a, 1b, 1c, 1e
TELEGLOBE INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
TELEHOP COMMUNICATIONS INC.		
TELEPHONY COMMUNICATIONS INTERNATIONAL INC.	ASC CTO	1a, 1b, 1c, 1e
TELEPOST COMMUNICATIONS INC	ASC CTO	1a, 1b, 1c, 1e, 1g

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TELESCENE FILM GROUP INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
TELESIS NORTH COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
TELESTA THERAPEUTICS INC.		
TELFERSCOT RESOURCES INC.		
TELLZA COMMUNICATIONS INC.		
TELSON RESOURCES INC.		
TELUM INTERNATIONAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
TELUS COMMUNICATIONS INC.		
TELUS CORPORATION		
TEMBEC INC.		
TEMBO GOLD CORP.		1b, 1c, 1e
TEMPLAR ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
TEMPLE HOTELS INC.		
TEMPLETON ASIAN GROWTH CORPORATE CLASS		
TEMPLETON ASIAN GROWTH FUND		
TEMPLETON BRIC CORPORATE CLASS		
TEMPLETON EAFE DEVELOPED MARKETS FUND		
TEMPLETON EMERGING MARKETS CORPORATE CLASS		
TEMPLETON EMERGING MARKETS FUND		
TEMPLETON FRONTIER MARKETS CORPORATE CLASS		
TEMPLETON FRONTIER MARKETS FUND		
TEMPLETON GLOBAL BALANCED FUND		
TEMPLETON GLOBAL BOND FUND		
TEMPLETON GLOBAL BOND FUND (HEDGED)		
TEMPLETON GLOBAL SMALLER COMPANIES CORPORATE CLASS		
TEMPLETON GLOBAL SMALLER COMPANIES FUND		
TEMPLETON GROWTH CORPORATE CLASS		
TEMPLETON GROWTH FUND, LTD.		
TEMPLETON INTERNATIONAL STOCK CORPORATE CLASS		
TEMPLETON INTERNATIONAL STOCK FUND		
TEMPUS CAPITAL INC.		
TEN PEAKS COFFEE COMPANY INC.		
TENACITY RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e
TENTH AVENUE PETROLEUM CORP.		3
TER THERMAL RETRIEVAL SYSTEMS LTD.	ASC CTO	1a, 1b, 1c, 1e
TERAGO INC.		
TERANGA GOLD CORPORATION		
TERAS RESOURCES INC.		
TERATO RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e

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TERMINAL CITY CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e
TERRA ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
TERRA FIRMA CAPITAL CORPORATION		
TERRA MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
TERRA NOVA ENERGY LTD.		
TERRABIOGEN TECHNOLOGIES INC.		
TERRACE ENERGY CORP.		
TERRACO GOLD CORP.		
TERRADYNE ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
TERRAMIN MINING INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
TERRATECH RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
TERRAVEST CAPITAL INC.		
TERRAWEST INDUSTRIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
TERRAX MINERALS INC.		
TERRENO RESOURCES CORP.		
TERYL RESOURCES CORP.	Reciprocal CTO	1a, 1b, 1c, 1e
TESCO CORPORATION		
TESLA EXPLORATION LTD.		
TESORO MINERALS CORP.		
TETHYS PETROLEUM LIMITED		
TETON ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
TETRATEL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
TEUTON RESOURCES CORP.		
TG INCOME TRUST II		
TG RESIDENTIAL VALUE PROPERTIES LTD.	ASC CTO	1a, 1b, 1c, 1e
THC BIOMED INTL LTD.		
THE ART VAULT INTERNATIONAL LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
THE AZTERRA CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
THE CALDWELL PARTNERS INTERNATIONAL INC.		
THE CANADIAN BIOCEUTICAL CORPORATION		
THE CANFIBRE GROUP LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
THE CASH STORE AUSTRALIA HOLDINGS INC.	ASC CTO	1a, 1b, 1c, 1e
THE CASH STORE FINANCIAL SERVICES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
THE CHANCELLOR GROUP LTD.	ASC CTO	1a, 1b, 1c, 1e
THE CHILDREN'S EDUCATION TRUST OF CANADA		
THE CHILDREN'S EDUCATIONAL FOUNDATION OF CANADA		
THE CROSS WINDS APARTMENT PROJECT		
THE DESCARTES SYSTEMS GROUP INC.		
THE EMPIRE LIFE INSURANCE COMPANY		

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THE ENERCAN GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
THE FOLLGARD GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
THE GAMBOL GROUP INCORPORATED	ASC CTO	1a, 1b, 1c, 1e, 1g
THE GBC AMERICAN GROWTH FUND INC.		
THE GBC CANADIAN BOND FUND		
THE GBC CANADIAN GROWTH FUND		
THE GBC GROWTH AND INCOME FUND		
THE GBC INTERNATIONAL GROWTH FUND		
THE GBC MONEY MARKET FUND		
THE HELICAL CORPORATION INC.	ASC CTO	1a, 1b, 1c, 1e
THE HEWLYN CORPORATION	ASC CTO	1a, 1b, 1c, 1e
THE HIGH COUNTRY	ASC CTO	1a, 1c, 1e
THE INTERTAIN GROUP LIMITED		
THE JEAN COUTU GROUP (PJC) INC.		
THE JENEX CORPORATION		
THE KEG ROYALTIES INCOME FUND		
THE LODGE AT KANANASKIS LIMITED PARTNERSHIP	Reciprocal CTO	1a, 1b, 1c, 1e
THE LOEWEN GROUP INC	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
THE LUCKY STAR	ASC CTO	1a, 1b, 1c, 1e
THE MADISON COMPANIES LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
THE MANUFACTURERS LIFE INSURANCE COMPANY		
THE MEDIPATTERN CORPORATION	ASC CTO	1a, 1b, 1c, 1e
THE MILLENNIUM NETWORK CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
THE MINT CORPORATION		
THE MOUNTAIN INN AT RIBBON CREEK LIMITED PARTNERSHIP	Reciprocal CTO	1a, 1b, 1c, 1e
THE NORTH WEST COMPANY INC.		
THE PACE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
THE PHOENICIAN FUND CORPORATION I	ASC CTO	1a, 1b, 1c, 1e
THE PHOENIX HEDGE FUND LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1d, 1e
THE SARATOGA LIMITED PARTNERSHIP	ASC CTO	
THE SAUCY BREAD COMPANY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
THE SECOND CUP LTD.		
THE SILVER RECYCLING COMPANY INC.	ASC CTO	1a, 1b, 1c, 1e
THE SONG CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
THE SURREY PLACE LIMITED PARTNERSHIP	ASC CTO	
THE TDL GROUP CORP.		
THE TINLEY BEVERAGE COMPANY INC.		
THE TORONTO-DOMINION BANK		
THE VERSATECH GROUP INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g

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THE VIVANT GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
THE WESTAIM CORPORATION		
THE WESTERN INVESTMENT COMPANY OF CANADA LIMITED		
THE WINCHESTER GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
THE ZONE ENTERTAINMENT GROUP, INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
THEIA RESOURCES LTD.		
THELON DIAMONDS LTD.		
THEMAC RESOURCES GROUP LIMITED		
THERALASE TECHNOLOGIES INC.		
THERATECHNOLOGIES INC.		
THERMAL CONTROL TECHNOLOGIES CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
THERMAL ENERGY INTERNATIONAL INC.		
THERMAX INTERNATIONAL CORP.	ASC CTO	1a, 1b, 1c, 1e
THERMOCERAMIX CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e
THESCORE, INC.		
THIRD CGI MUTUALIZATION CORPORATION	ASC CTO	1a, 1b, 1c, 1e
THISTLE MINING INC.	ASC CTO	1a, 1b, 1c, 1e
THOMPSON CREEK METALS COMPANY INC.		
THOMSON REUTERS CORPORATION		
THOR EXPLORATIONS LTD.		
THREED CAPITAL INC.		1k
THREEGOLD RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
THRESHOLD	ASC CTO	1a, 1b, 1c, 1e
THUNDER BAY CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
THUNDER MOUNTAIN GOLD, INC.		
THUNDERSPIKE RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
THUNDERSTRUCK RESOURCES LTD.		
TICKET TO HEAVEN	ASC CTO	1a, 1c, 1e
TIDEWATER MIDSTREAM AND INFRASTRUCTURE LTD.		
TIGER INTERNATIONAL RESOURCES INC.		
TILL CAPITAL LTD.		
TILLEBROOK HOLDINGS LTD.	ASC CTO	1a, 1b, 1c, 1e
TILLER RESOURCES LTD.		
TILTING CAPITAL CORP.		
TIMBERCREEK FINANCIAL CORP.		
TIMBERCREEK GLOBAL REAL ESTATE FUND		
TIMBERCREEK GLOBAL REAL ESTATE INCOME FUND		
TIMBERCREEK U.S. MULTI-RESIDENTIAL OPPORTUNITY FUND #1		
TIMBERLINE RESOURCES CORPORATION		

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TIMEBEAT.COM ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
TIMES TELECOM INC.	ASC CTO	1a, 1b, 1c, 1e
TIMES THREE WIRELESS INC.	ASC CTO	1a, 1b, 1c, 1e
TIMIA CAPITAL CORP.		
TIMMINCO LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e
TIMMINS GOLD CORP.		
TIMMINS NICKEL INC.	ASC CTO	1a, 1b, 1c, 1e
TINKA RESOURCES LIMITED		
TINKERINE STUDIOS LTD.		
TINTINA MINES LIMITED		
TINTINA RESOURCES INC.		
TIO NETWORKS CORP.		
TIREX RESOURCES LTD.		
TITAN DIGITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
TITAN LOGIX CORP.		
TITAN MEDICAL INC.		
TITAN OIL & GAS, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g, 1k
TITAN TRADING ANALYTICS INC.	ASC CTO	1a, 1b, 1c, 1e
TITANIUM CORPORATION INC.		
TITANIUM TRANSPORTATION GROUP INC.		
TITANSTAR PROPERTIES INC.		
TITUS ENERGY CORP.	ASC CTO	3
TLC VISION CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e
TMAC RESOURCES INC.		
TMI TECHNOLOGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
TMI-LEARNIX INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
TMX GROUP LIMITED		
TNR GOLD CORP.		
TNX MAVERICK RESOURCES INC.		
TOACHI MINING INC.		
TOBURN GOLD MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
TOLIMA GOLD INC.		
TOMAGOLD CORPORATION		
TOMAHAWK CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
TOMCO DEVELOPMENTS INC.	ASC CTO	1a, 1b, 1c, 1e
TOP 10 CANADIAN FINANCIAL TRUST		
TOP 10 SPLIT TRUST		
TOP 20 DIVIDEND TRUST		
TOP 20 EUROPE DIVIDEND TRUST		

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TOP 20 U.S. DIVIDEND TRUST		
TOP STRIKE RESOURCES CORP.		
TOPTENT INC.	ASC CTO	1a, 1b, 1c, 1e
TORC OIL & GAS LTD.		
TOREX GOLD RESOURCES INC.		
TORINO OIL & GAS LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
TORINO POWER SOLUTIONS INC.		
TORMEX RESOURCES LTD.	ASC CTO	
TORNADO GLOBAL HYDROVACS LTD.		
TORNADO RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
TORO OIL & GAS LTD.		
TOROMONT INDUSTRIES LTD.		
TORONTO HYDRO CORPORATION		
TORRENT CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
TORSTAR CORPORATION		
TOSCANA ENERGY INCOME CORPORATION		
TOTAL ENERGY SERVICES INC.		
TOTAL IMAGE CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
TOTAL TELCOM INC.		
TOTALLY HIP TECHNOLOGIES INC.		
TOUCHSTONE EXPLORATION INC.		
TOUCHSTONE GOLD LIMITED	ASC CTO	1a, 1b, 1c, 1e
TOURMALINE OIL CORP.		
TOWER MORTGAGE LTD.	ASC CTO	1a, 1b, 1c, 1e
TOWER RESOURCES LTD.		
TOXIN ALERT INC.	ASC CTO	1a, 1b, 1c, 1e, 3
TRACEABILITY SOLUTIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
TRACKX HOLDINGS INC.		
TRADE WIND COMMUNICATIONS LIMITED	ASC CTO	1a, 1b, 1c, 1e
TRADEX BOND FUND		
TRADEX EQUITY FUND LIMITED		
TRADEX GLOBAL EQUITY FUND		
TRAFINA ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
TRANSAKT LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
TRANSALTA CORPORATION		
TRANSALTA RENEWABLES INC.		
TRANSAMERICAN ENERGY INC.		
TRANSAT A.T. INC.		
TRANSATLANTIC MINING CORP.		

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TRANSATLANTIC PETROLEUM LTD.		
TRANSCANADA CORPORATION		
TRANSCANADA PIPELINES LIMITED		
TRANSCANADA TRUST		
TRANSCONTINENTAL INC.		
TRANSEASTERN POWER TRUST		
TRANSEURO ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
TRANSFORCE INC.		
TRANSGAMING INC.		
TRANSGLOBE APARTMENT REAL ESTATE INVESTMENT TRUST		
TRANSGLOBE ENERGY CORPORATION		
TRANSGLOBE INTERNET AND TELECOM CO LTD	ASC CTO	1a, 1b, 1c, 1e
TRANSITION METALS CORP.		
TRANSITION THERAPEUTICS INC.		
TRANSPACIFIC RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
TRAPEZE VALUE CLASS		
TRAPPER RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
TRAVEL PLAZA DEVELOPMENTS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
TRAVELBYUS.COM LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
TRAVERSE ENERGY LTD.		
TREE BREWING COMPANY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
TREE ISLAND STEEL LTD.		
TREK ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e
TRENCHANT CAPITAL CORP.		
TRES-OR RESOURCES LTD.		
TREVALI MINING CORPORATION		
TREZ CAPITAL MORTGAGE INVESTMENT CORPORATION		
TREZ CAPITAL SENIOR MORTGAGE INVESTMENT CORPORATION		
TRI CITY MORTGAGE CORPORATION LTD.	ASC CTO	1a, 1b, 1c, 1e
TRI COMMUNICATION SOLUTIONS, INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
TRI ORIGIN EXPLORATION LTD.		
TRIANGLE INDUSTRIES LTD.		
TRIANGULUM CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
TRIARX GOLD CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
TRIAX DIVERSIFIED HIGH-YIELD TRUST		
TRIBUTE PHARMACEUTICALS CANADA INC.		1a, 1b, 1c, 1e
TRIBUTE RESOURCES INC.		
TRICAN WELL SERVICE LTD.		
TRICON CAPITAL GROUP INC.		

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TRI-D AUTOMOTIVE LIMITED	ASC CTO	1a, 1b, 1c, 1e
TRIDENT GOLD CORP.		
TRIDENT PERFORMANCE CORP.		
TRIDENT PERFORMANCE CORP. II		
TRIGEN RESOURCES INC.		
TRILLIUM CREDIT CARD TRUST II		
TRILLIUM THERAPEUTICS INC.		
TRILOGY ENERGY CORP.		
TRIMAC TRANSPORTATION LTD.		
TRIMARK ADVANTAGE BOND FUND		
TRIMARK CANADIAN BOND CLASS		
TRIMARK CANADIAN BOND FUND		
TRIMARK CANADIAN CLASS		
TRIMARK CANADIAN ENDEAVOUR FUND		
TRIMARK CANADIAN FUND		
TRIMARK CANADIAN OPPORTUNITY CLASS		
TRIMARK CANADIAN OPPORTUNITY FUND		
TRIMARK CANADIAN PLUS DIVIDEND CLASS		
TRIMARK CANADIAN SMALL COMPANIES FUND		
TRIMARK DIVERSIFIED YIELD CLASS		
TRIMARK EMERGING MARKETS CLASS		
TRIMARK ENERGY CLASS		
TRIMARK EUROPLUS FUND		
TRIMARK FLOATING RATE INCOME FUND		
TRIMARK FUND		
TRIMARK GLOBAL BALANCED CLASS		
TRIMARK GLOBAL BALANCED FUND		
TRIMARK GLOBAL DIVERSIFIED INCOME FUND		
TRIMARK GLOBAL DIVIDEND CLASS		
TRIMARK GLOBAL ENDEAVOUR CLASS		
TRIMARK GLOBAL ENDEAVOUR FUND		
TRIMARK GLOBAL FUNDAMENTAL EQUITY CLASS		
TRIMARK GLOBAL FUNDAMENTAL EQUITY FUND		
TRIMARK GLOBAL HIGH YIELD BOND FUND		
TRIMARK GLOBAL SMALL COMPANIES CLASS		
TRIMARK INCOME GROWTH FUND		
TRIMARK INTEREST FUND		
TRIMARK INTERNATIONAL COMPANIES CLASS		
TRIMARK INTERNATIONAL COMPANIES FUND		

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Name	Cease Traded	Nature of Default
TRIMARK RESOURCES FUND		
TRIMARK SELECT BALANCED FUND		
TRIMARK SHORT-TERM INCOME FUND		
TRIMARK U.S. COMPANIES CLASS		
TRIMARK U.S. COMPANIES FUND		
TRIMARK U.S. MONEY MARKET FUND		
TRIMARK U.S. SMALL COMPANIES CLASS		
TRIMETALS MINING INC.		
TRINCAN CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
TRINEXUS HOLDINGS LTD.	ASC CTO	1a, 1b, 1c, 1e
TRINIDAD DRILLING LTD.		
TRINITY VALLEY ENERGY CORP.		
TRINITY VENTURES CORP.		1g
TRI-NORTHERN RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
TRIO GOLD CORP.		
TRIQUANTA INVESTMENTS LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e
TRIRAK INDUSTRIES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
TRI-RIVER VENTURES INC.		
TRI-STAR GOLD CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
TRISTAR GOLD INC.		
TRI-STAR RESOURCES PLC		
TRITEC POWER SYSTEMS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
TRITON MINING CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
TRIUMPH VENTURES II CORPORATION	ASC CTO	1a, 1b, 1c, 1e
TRIUUS INVESTMENTS INC.		
TRI-X INTERNATIONAL LTD.	ASC CTO	1a, 1b, 1c, 1e
TROPIC TRADING COMPANY LIMITED	ASC CTO	1a, 1b, 1c, 1e
TROPIKA INTERNATIONAL LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
TROY ENERGY CORP.		
TROY GOLD INDUSTRIES LTD.	ASC CTO	1a, 1b, 1c, 1e
TROY RESOURCES LIMITED		
TROYMET EXPLORATION CORP.		
TRUE GRIT RESOURCES LTD.		
TRUE LEAF MEDICINE INTERNATIONAL LTD.		
TRUE NORTH COMMERCIAL REAL ESTATE INVESTMENT TRUST		
TRUE NORTH GEMS INC.		
TRUECLAIM EXPLORATION INC.		
TRUESTAR PETROLEUM CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
TRUSTED BRAND 2016 INC.		

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Name	Cease Traded	Nature of Default
TSI TELSIS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
TSO3 INC.		
TSODILO RESOURCES LIMITED		
TTM RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
TUCKAMORE CAPITAL MANAGEMENT INC.		
TUCOWS INC.		
TUDOR CORPORATION LTD.		3
TUDOR GOLD CORP.		
TUNDRA ENVIRONMENTAL CORPORATION LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
TURBO POWER SYSTEMS INC.		
TURNKEY E&P INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
TURQUOISE CAPITAL CORP		
TURQUOISE HILL RESOURCES LTD.		
TUSCANY ENERGY LTD.		
TVA GROUP INC.		
TVI PACIFIC INC.		
TWC ENTERPRISES LIMITED		
TWIN BUTTE ENERGY LTD.		
TWIN GOLD MINES LTD.	ASC CTO	1a, 1b, 1c, 1e
TWIN RICHFIELD OILS LTD.	ASC CTO	1a, 1b, 1c, 1e
TWOCO PETROLEUMS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
TWYFORD VENTURES INC.		
TYCO INTERNATIONAL PLC		
TYHEE GOLD CORP.	ASC CTO	1a, 1b, 1c, 1e
TYNER RESOURCES LTD.		
TYPHOON EXPLORATION INC.		
U.F.X. ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
U.S. AGENCY MORTGAGE-BACKED REIT ADVANTAGED FUND		
U.S. AND INTERNATIONAL EQUITY CLASS		
U.S. AND INTERNATIONAL SPECIALTY CLASS		
U.S. BANKS INCOME & GROWTH FUND		
U.S. DIAMOND CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
U.S. DIVIDEND CLASS (GWLIM)		
U.S. DIVIDEND FUND (GWLIM)		
U.S. DIVIDEND GROWERS INCOME CORP.		
U.S. DOLLAR CASH MANAGEMENT FUND		
U.S. FINANCIALS INCOME FUND		
U.S. GEOTHERMAL INC.		
U.S. HIGH YIELD BOND FUND		

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U.S. HOUSING RECOVERY FUND		
U.S. LARGE CAP INDEX FUND		
U.S. LARGE COMPANY EQUITY FUND		
U.S. LOW VOLATILITY FUND (PUTNAM)		
U.S. SMALL COMPANY EQUITY FUND		
U.S. TACTICAL ALLOCATION FUND		
U.S. TACTICAL ALLOCATION FUND		
U.S. VALUE CLASS (PUTNAM)		
U.S. VALUE FUND (LONDON CAPITAL)		
U.S. VALUE FUND (PUTNAM)		
U308 CORP.		
UC RESOURCES LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
UC'NWIN SYSTEMS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
UCORE RARE METALS INC.		
UEX CORPORATION		
UGE INTERNATIONAL LTD.		
ULTRA LITHIUM INC.		
ULTRA PETROLEUM CORP.		
ULTRA PURE WATER SYSTEMS (CANADA) INC.	ASC CTO	1a, 1b, 1c, 1e
ULTRAVISION CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
ULTREX LIMITED	ASC CTO	1a, 1b, 1c, 1e
UMBRAL ENERGY CORP.		
UNDERGROUND ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
UNI GLOBE INTERNATIONAL ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e
UNICORN CORPORATION THE	ASC CTO	1a, 1b, 1c, 1e
UNIGLOBE.COM INC.	ASC CTO	1a, 1b, 1c, 1e
UNIGOLD INC.		
UNILENS VISION INC.		1a, 1b, 1c, 1e
UNILINK TELE.COM INC.	ASC CTO	1a, 1b, 1c, 1e
UNION GAS LIMITED		
UNIQUE BROADBAND SYSTEMS, INC.		
UNIREX CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
UNIROM TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
UNI-SELECT INC		
UNISERVE COMMUNICATIONS CORPORATION		
UNISPHERE WASTE CONVERSION LTD.	ASC CTO	1a, 1b, 1c, 1e
UNISYNC CORP.		
UNITEC INTERNATIONAL CONTROLS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
UNITED AMERICA EHEALTH TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e

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UNITED CANSO OIL & GAS LTD.	ASC CTO	1a, 1b, 1c, 1e
UNITED CHINA INTERNATIONAL ENTERPRISES GROUP LTD.	ASC CTO	1a, 1b, 1c, 1e
UNITED COAL HOLDINGS LIMITED	Reciprocal CTO	1a, 1b, 1c, 1e
UNITED GUNN RESOURCES LTD	ASC CTO	1a, 1b, 1c, 1e, 1g
UNITED HEARNE RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
UNITED HUNTER OIL & GAS CORP.		
UNITED INDUSTRIAL SERVICES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
UNITED KENO HILL MINES LIMITED	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
UNITED MEDIA LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
UNITED PACIFIC CAPITAL RESOURCES CORP.	ASC CTO	1a, 1b, 1c, 1e, 1g
UNITED PROTECTION SECURITY GROUP INC.	ASC CTO	1a, 1b, 1c, 1e, 4
UNITED SILVER CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e
UNITED SOUTHERN MINERALS CORP.	ASC CTO	1a, 1b, 1c, 1e
UNITY ENERGY CORP.		
UNITY WIRELESS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
UNIVERSAL FUELS COMPANY	ASC CTO	1a, 1b, 1c, 1e
UNIVERSAL VENTURES INC.		
UPLAND GLOBAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
UPPER CANYON MINERALS CORP.	ASC CTO	1a, 1b, 1c, 1e
UPSNAPE, INC.		
URACAN RESOURCES LTD.		
URAGOLD BAY RESOURCES INC.		3
URANIUM ENERGY CORP.		
URANIUM PARTICIPATION CORPORATION		
URANIUM VALLEY MINES LTD.		
URANUS EXPLORATIONS LIMITED	ASC CTO	1a, 1b, 1c, 1e
URAVAN MINERALS INC.		
URBAN COMMUNICATIONS INC.		
URBAN RESOURCE TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
URBAN SELECT CAPITAL CORPORATION		
URBANA CORPORATION		
URBANFUND CORP.		
URBANIMMERSIVE INC.		
UR-ENERGY INC.		
URTHECAST CORP.		
US BUYBACK LEADERS FUND		
US EQUITY ALPHA CORPORATE CLASS		
US EQUITY GROWTH CORPORATE CLASS		
US EQUITY GROWTH POOL		

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US EQUITY SMALL CAP CORPORATE CLASS		
US EQUITY SMALL CAP POOL		
US EQUITY VALUE CORPORATE CLASS		
US EQUITY VALUE CURRENCY HEDGED CORPORATE CLASS		
US EQUITY VALUE POOL		
US FINANCIAL 15 SPLIT CORP.		
US OIL SANDS INC.		
UTILITY CORP.		3
UTILITY SPLIT TRUST		
UWO CONSULTING LTD.		
V. FUND INVESTMENTS LIMITED	ASC CTO	1a, 1b, 1c, 1e
V.S.V. RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e
VALDOR TECHNOLOGY INTERNATIONAL INC.		
VALEANT PHARMACEUTICALS INTERNATIONAL, INC.		
VALENCIA VENTURES INC.		
VALENER INC.		
VALERO ENERGY CORPORATION		
VALEURA ENERGY INC.		
VALGOLD RESOURCES LTD.		3
VALHALLA RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
VALIANT ENTERPRISES LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
VALMEC CAPITAL INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
VALPARAISO ENERGY INC.		
VALTERRA RESOURCE CORPORATION		
VALUCAP INVESTMENTS INC.	ASC CTO	1a, 1b, 1c, 1e
VANADIUMCORP RESOURCE INC.		
VANC PHARMACEUTICALS INC.		
VANGOLD RESOURCES LTD.	Reciprocal CTO	1a, 1b, 1c, 1e
VANGUARD AVIATION CORP.	ASC CTO	1a, 1b, 1c, 1e
VANGUARD CANADIAN AGGREGATE BOND INDEX ETF		
VANGUARD CANADIAN SHORT-TERM BOND INDEX ETF		
VANGUARD CANADIAN SHORT-TERM CORPORATE BOND INDEX ETF		
VANGUARD FTSE CANADA ALL CAP INDEX ETF		
VANGUARD FTSE CANADA INDEX ETF		
VANGUARD FTSE CANADIAN CAPPED REIT INDEX ETF		
VANGUARD FTSE CANADIAN HIGH DIVIDEND YIELD INDEX ETF		
VANGUARD FTSE DEVELOPED ALL CAP EX NORTH AMERICA INDEX ETF		
VANGUARD FTSE DEVELOPED ALL CAP EX NORTH AMERICA INDEX ETF (CAD-HEDGED)		

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VANGUARD FTSE DEVELOPED ALL CAP EX U.S. INDEX ETF		
VANGUARD FTSE DEVELOPED ALL CAP EX U.S. INDEX ETF (CAD-HEDGED)		
VANGUARD FTSE DEVELOPED ASIA PACIFIC ALL CAP INDEX ETF		
VANGUARD FTSE DEVELOPED ASIA PACIFIC ALL CAP INDEX ETF (CAD-HEDGED)		
VANGUARD FTSE DEVELOPED EUROPE ALL CAP INDEX ETF		
VANGUARD FTSE DEVELOPED EUROPE ALL CAP INDEX ETF (CAD-HEDGED)		
VANGUARD FTSE EMERGING MARKETS ALL CAP INDEX ETF		
VANGUARD FTSE GLOBAL ALL CAP EX CANADA INDEX ETF		
VANGUARD FUND	ASC CTO	1a, 1b, 1c
VANGUARD GLOBAL EX-U.S. AGGREGATE BOND INDEX ETF (CAD-HEDGED)		
VANGUARD GLOBAL LIQUIDITY FACTOR ETF		
VANGUARD GLOBAL MINIMUM VOLATILITY ETF		
VANGUARD GLOBAL MOMENTUM FACTOR ETF		
VANGUARD GLOBAL VALUE FACTOR ETF		
VANGUARD S&P 500 INDEX ETF		
VANGUARD S&P 500 INDEX ETF (CAD-HEDGED)		
VANGUARD U.S. AGGREGATE BOND INDEX ETF (CAD-HEDGED)		
VANGUARD U.S. DIVIDEND APPRECIATION INDEX ETF		
VANGUARD U.S. DIVIDEND APPRECIATION INDEX ETF (CAD-HEDGED)		
VANGUARD U.S. TOTAL MARKET INDEX ETF		
VANGUARD U.S. TOTAL MARKET INDEX ETF (CAD-HEDGED)		
VANGUARD VENTURE CORPORATION	ASC CTO	1a, 1b, 1c, 1e
VANITY CAPITAL INC.		
VANOIL ENERGY LTD.		
VANSTAR MINING RESOURCES INC.		
VANTAGE POINT II	ASC CTO	1a, 1b, 1c, 1e
VANTEC VENTURES CORPORATION	ASC CTO	1a, 1b, 1c, 1e
VANTEX RESOURCES LTD.		
VARIETY VIDEO ENTERPRISES INC.	ASC CTO	1a, 1b, 1c, 1e
VATIC VENTURES CORP.		
VAXIL BIO LTD.		
VBI VACCINES INC.		
VECIMA NETWORKS INC.		
VECTA ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
VECTOR INTERMEDIARIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
VECTOR RESOURCES INC.		
VEGA MINING INC.	ASC CTO	1a, 1b, 1c, 1e

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VELA MINERALS LTD.		
VELAN INC.		
VELOCITY DATA INC.		
VELOCITY MINERALS LTD.		
VENA RESOURCES INC.		
VENDETTA MINING CORP.		
VENDOME RESOURCES CORP.		
VENDTEK SYSTEMS INC.	Reciprocal CTO	1a, 1b, 1c, 1e
VENERABLE VENTURES LTD.		
VENGA AEROSPACE SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
VENSTAR INC.	ASC CTO	1a, 1b, 1c, 1e
VENTEX MINERALS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
VENTRIPOINT DIAGNOSTICS LTD.		
VENTURE SEISMIC LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
VENTURECOM COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
VERAZ PETROLEUM LTD.		
VERDANT FINANCIAL PARTNERS I INC.		
VERDE POTASH PLC		
VERDX MINERALS CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
VERESEN INC.		
VERIS GOLD CORP.	Reciprocal CTO	1a, 1b, 1c, 1d, 1e
VERISANTE TECHNOLOGY, INC.		3
VERITAS PHARMA INC.		
VERMILION ENERGY INC.		
VERONA DEVELOPMENT CORP.		
VERSABANK		
VERSAPAY CORPORATION		
VERSATILE SYSTEMS INC.		
VERTEX ENHANCED INCOME FUND		
VERTEX GROWTH FUND		
VERTEX PROPERTIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
VERTEX VALUE FUND		
VIACORP TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e
VICTORIA GOLD CORP.		
VICTORY CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
VICTORY MOUNTAIN VENTURES LTD.	ASC CTO	1a, 1b, 1c, 1e
VICTORY NICKEL INC.		
VICTORY RESOURCES CORPORATION		
VICTORY VENTURES INC.		

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VIDEODROME AND COVERGIRL	ASC CTO	1a, 1b, 1c, 1e
VIDWRX INC.	Reciprocal CTO	1a, 1b, 1c, 1e
VIER CAPITAL CORP.		
VIETNAM: THE TEN THOUSAND DAY WAR	ASC CTO	1a, 1b, 1c, 1e
VIGIL HEALTH SOLUTIONS INC.		
VIGIL LOCATING SYSTEMS CORPORATION	ASC CTO	1a, 1b, 1c, 1e
VIKING GOLD EXPLORATION INC.	Reciprocal CTO	1a, 1b, 1c, 1e, 3
VILLAGE FARMS INTERNATIONAL, INC.		
VINCOMPASS CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e
VINERGY RESOURCES LTD.		1k
VIOSOLAR INC.	ASC CTO	1a, 1b, 1c, 1d, 1e
VIPER WIRELESS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
VIQ SOLUTIONS INC.		
VIRGINIA ENERGY RESOURCES INC.		
VIRGINIA HILLS OIL CORP.		
VIRIDIS ENERGY INC.	Reciprocal CTO	1a, 1b, 1c, 1e
VIRTUALARMOR INTERNATIONAL INC.		
VIRTUTONE NETWORKS INC.	ASC CTO	1a, 1b, 1c, 1e
VISA GOLD EXPLORATIONS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
VISA INC.		1g
VISCOUNT MINING CORP.		
VISIBLE GOLD MINES INC.		
VISION COATINGS GROUP LIMITED	ASC CTO	1a, 1b, 1c, 1e
VISION INCORPORATED	ASC CTO	1a, 1b, 1c, 1e, 1g
VISION SCMS INC.	ASC CTO	1a, 1b, 1c, 1e
VISIONQUEST ENERGY GROUP INC.	ASC CTO	1a, 1b, 1c, 1e
VISIONSTATE CORP.		
VISIONWALL INCORPORATED	ASC CTO	1a, 1b, 1c, 1e
VISIPHOR CORPORATION	ASC CTO	1a, 1b, 1c, 1e
VISTA GOLD CORP.		
VISTA MINES INC.	ASC CTO	1a, 1b, 1c, 1e
VITAL ENERGY INC.		
VITAL RETIREMENT LIVING INC.	ASC CTO	1a, 1b, 1c, 1e
VITALITY PRODUCTS INC.		
VITREOUS GLASS INC.		
VIVIONE BIOSCIENCES INC.		
VOGOGO INC.		
VOGUE INVESTMENTS 1987 LTD.	ASC CTO	1a, 1b, 1c, 1e
VOICE MOBILITY INTERNATIONAL, INC.		

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VOLCANIC METALS CORP.		
VOLTAIC MINERALS CORP.		
VOLTAIRE SERVICES CORP.	Reciprocal CTO	1b, 1c, 1e
VOSTOK MINERALS INC.	ASC CTO	1a, 1b, 1c, 1e
VOYA DIVERSIFIED FLOATING RATE SENIOR LOAN FUND		
VOYA FLOATING RATE SENIOR LOAN FUND		
VOYA GLOBAL INCOME SOLUTIONS FUND		
VOYA HIGH INCOME FLOATING RATE FUND		
VOYUS LTD.	ASC CTO	1a, 1b, 1c, 1e
VPI CANADIAN BALANCED POOL		
VPI CANADIAN EQUITY POOL		
VPI FOREIGN EQUITY POOL		
VPI INCOME POOL		
VPI MORTGAGE POOL		
VPI VALUE POOL		
VSM MEDTECH LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
VULCAN MINERALS INC.		
VUZIX CORPORATION		
VVC EXPLORATION CORPORATION		
WAI CAPITAL INVESTMENTS CORP.		
WAJAX CORPORATION		
WALDRON ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1k
WALKER RIVER RESOURCES CORP.		
WALKER'S CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
WALKING BEAR RESOURCES, INC.	ASC CTO	1a, 1b, 1c, 1e
WALMER CAPITAL CORP.		
WALTER ENERGY, INC.		1a, 1b, 1c, 1d, 1e, 1g
WALTON BIG LAKE DEVELOPMENT L.P.		
WALTON EDMONTON DEVELOPMENT CORPORATION		
WALTON ONTARIO LAND L.P. 1		
WALTON WESTPHALIA DEVELOPMENT CORPORATION		
WAMCO TECHNOLOGY GROUP LTD.		
WANGTON CAPITAL CORP.		
WAR EAGLE MINING COMPANY INC.		
WARWICK COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
WASECO RESOURCES INC.		3
WASTE CONNECTIONS, INC.		
WASTECORP. INTERNATIONAL INVESTMENTS INC.	ASC CTO	1a, 1b, 1c, 1e
WATERFORD HOUSE	ASC CTO	1a, 1b, 1c, 1e

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WATERFRONT CAPITAL CORPORATION		
WATSON LAKE MINES LIMITED	ASC CTO	1a, 1b, 1c, 1e
WATUSI CAPITAL CORP.		
WAVEFRONT TECHNOLOGY SOLUTIONS INC.		
WAVEPOINT SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
WAVERIDER COMMUNICATIONS INC.	ASC CTO	1a, 1b, 1c, 1e
WAVVE TELECOMMUNICATIONS, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
WAYZATA FINANCE INC.		
WCB RESOURCES LTD.		
WE X.L. HOLDINGS CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
WEALTH MINERALS LTD.		
WEIHAO INTERNATIONAL ALUMINUM CORP.	ASC CTO	1a, 1b, 1c, 1e
WELL POWER INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
WELLGREEN PLATINUM LTD.		
WELLPOINT SYSTEMS INC.	ASC CTO	1a, 1b, 1c, 1e
WELLS FARGO CANADA CORPORATION		
WELLSTAR ENERGY CORP.		1k
WERNER DAHNZ COMPANY LIMITED	ASC CTO	1a, 1b, 1c, 1e
WESCAN ENERGY CORP.		
WESCAN GOLDFIELDS INC.		
WESCORP ENERGY INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 4
WESDOME GOLD MINES LTD.		
WEST AFRICAN RESOURCES LIMITED		
WEST BAY CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
WEST COAST FOREST PRODUCTS LTD.	ASC CTO	1a, 1b, 1c, 1e
WEST DYNAMIC TOLL ROAD LTD.	ASC CTO	1a, 1b, 1c, 1e
WEST FRASER TIMBER CO. LTD.		
WEST HAWK DEVELOPMENT CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
WEST HIGH YIELD (W.H.Y.) RESOURCES LTD.		
WEST ISLE ENERGY INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
WEST KIRKLAND MINING INC.		
WEST MELVILLE METALS INC.		
WEST MOUNTAIN ENVIRONMENTAL CORP.		
WEST PACIFIC VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
WEST RED LAKE GOLD MINES INC.		
WEST TRUST UNITS		
WESTAR MINING LTD.	ASC CTO	1a, 1b, 1c, 1e
WESTBOND ENTERPRISES CORPORATION		
WESTBRIDGE ENERGY CORPORATION		

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WESTBRIDGE LAND DEVELOPMENTS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
WESTCAN URANIUM CORP.	ASC CTO	1a, 1b, 1c, 1e
WESTCOAST ENERGY INC.		
WESTCORE ENERGY LTD.		
WESTERN AREAS NL		
WESTERN CANADA ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
WESTERN COPPER AND GOLD CORPORATION		
WESTERN ENERGY SERVICES CORP.		
WESTERN FOREST PRODUCTS INC.		
WESTERN LOGIC RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
WESTERN PACIFIC OILS LTD.	ASC CTO	1a, 1b, 1c, 1e
WESTERN PACIFIC RESOURCES CORP.		
WESTERN PACIFIC TRUST COMPANY		
WESTERN PLAINS PETROLEUM LTD.	ASC CTO	1a, 1b, 1c, 1e, 1k
WESTERN POTASH CORP.		
WESTERN POWER CORP.	ASC CTO	1a, 1b, 1c, 1e
WESTERN RESOURCES MINERALS LIMITED	ASC CTO	1a, 1b, 1c, 1e
WESTERN STRATEGIC MINERALS INC.	ASC CTO	1a, 1b, 1c, 1e
WESTERN TROY CAPITAL RESOURCES INC.		
WESTERN URANIUM CORPORATION		
WESTERN WARNER OILS LTD.	ASC CTO	1a, 1b, 1c, 1e
WESTERN WORLD ENTERTAINMENT CORPORATION	ASC CTO	1a, 1b, 1c, 1e
WESTERNONE INC.		
WESTERNZAGROS RESOURCES LTD.		
WESTFORT ENERGY LTD.	ASC CTO	1a, 1b, 1c, 1d, 1e
WESTHAMPTON COURT LIMITED PARTNERSHIP	ASC CTO	1a, 1b, 1c, 1e
WESTHAVEN VENTURES INC.		
WESTJET AIRLINES LTD.		
WESTKAM GOLD CORP.		
WESTLINE RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
WESTMILLS CANADA INC.	ASC CTO	1a, 1b, 1c, 1e
WESTMINSTER RESOURCES LTD.		
WESTPORT	ASC CTO	1a, 1b, 1c, 1e
WESTPORT FUEL SYSTEMS INC.		
WESTRIDGE RESOURCES INC.		
WESTSHIRE CAPITAL II CORP.		
WESTSHORE TERMINALS INVESTMENT CORPORATION		
WESTSTAR RESOURCES CORP.		
WESTWIND ENERGY CORPORATION LTD.	ASC CTO	1a, 1b, 1c, 1e

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WESTWOOD EMERGING MARKETS FUND		
WESTWOOD GLOBAL DIVIDEND FUND		
WESTWOOD GLOBAL EQUITY FUND		
WHISTLER BLACKCOMB HOLDINGS INC.		
WHISTLER GOLD EXPLORATION INC.		
WHITE METAL RESOURCES CORP.		
WHITE PINE RESOURCES INC.		
WHITE ROSE CRAFTS AND NURSERY SALES LIMITED	ASC CTO	1a, 1b, 1c, 1e, 1g
WHITECAP RESOURCES INC.		
WHITEHORN RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
WHITEMUD RESOURCES INC.		
WHITEROCK REAL ESTATE INVESTMENT TRUST		
WHITEWATER CAPITAL CORP.		
WHITING PETROLEUM CORPORATION		1g, 1k
WHITTIER ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g, 1k
WI2WI CORPORATION		
WI-LAN INC.		
WILDCARD TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
WILDCAT EXPLORATION LTD.		
WILDFLOWER MARIJUANA INC.		
WILDROSE VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
WILLIAMS CREEK GOLD LIMITED		
WILLISTON WILDCATTERS OIL CORPORATION	ASC CTO	1a, 1b, 1c, 1e
WILLOUGHBY INVESTMENT POOL		
WILMINGTON CAPITAL MANAGEMENT INC.		
WILSHIRE ENERGY RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
WILTON RESOURCES INC.		
WINCHESTER MINERALS AND GOLD EXPLORATION LTD.	ASC CTO	1a, 1b, 1c, 1e, 3
WIND RIVER ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e, 1k
WINDFIRE CAPITAL CORP.		
WINDSOR ENERGY CORPORATION	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
WINDSOR POINT	ASC CTO	1a, 1b, 1c, 1e
WINDY MOUNTAIN EXPLORATIONS LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
WIN-ELDRICH MINES LIMITED	ASC CTO	1a, 1b, 1c, 1e
WINFIELD RESOURCES LIMITED	ASC CTO	1a, 1b, 1c, 1e
WINPAK LTD.		
WINSTON GOLD MINING CORP.		
WINSTON RESOURCES INC.		
WINTERBURN OIL AND GAS LTD.	ASC CTO	1a, 1b, 1c, 1e

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WINTON OIL N L	ASC CTO	1a, 1b, 1c, 1e
WINWELL VENTURES INC.		
WINZEN PROPERTIES INC.		1a, 1b, 1c, 1e
WIRELESS DATASCAN INC.	ASC CTO	1a, 1b, 1c, 1e
WISDOMTREE EMERGING MARKETS DIVIDEND FUND		
WISDOMTREE EUROPE HEDGED EQUITY FUND		
WISDOMTREE INTERNATIONAL QUALITY DIVIDEND GROWTH DYNAMIC HEDGED INDEX ETF		
WISDOMTREE INTERNATIONAL QUALITY DIVIDEND GROWTH INDEX ETF		
WISDOMTREE U.S. EARNINGS 500 INDEX ETF		
WISDOMTREE U.S. HIGH DIVIDEND DYNAMIC HEDGED INDEX ETF		
WISDOMTREE U.S. HIGH DIVIDEND INDEX ETF		
WISDOMTREE U.S. MIDCAP DIVIDEND INDEX ETF		
WISDOMTREE U.S. QUALITY DIVIDEND GROWTH DYNAMIC HEDGED INDEX ETF		
WISDOMTREE U.S. QUALITY DIVIDEND GROWTH INDEX ETF		
WISDOMTREE U.S. SMALLCAP DIVIDEND INDEX ETF		
WISE CARD CONSOLIDATED INC.	ASC CTO	1a, 1b, 1c, 1e
WODEN VENTURE CAPITAL CORPORATION		
WOLFDEN RESOURCES CORPORATION		
WOLFYE RESOURCE CORP.		
WOLFPACK CAPITAL CORP.		
WOLVERINE MINERALS CORP.		
WOLVERINE TECHNOLOGIES CORP.		
WOOD COMPOSITE TECHNOLOGIES INC.		
WOODFINE PROFESSIONAL CENTRES LIMITED PARTNERSHIP		
WOODROSE CORPORATION		
WORKGROUP DESIGNS LTD.	ASC CTO	1a, 1b, 1c, 1e
WORLD ENVIRONMENTAL INC.	ASC CTO	1a, 1b, 1c, 1e
WORLD FAMOUS PIZZA COMPANY LTD.	ASC CTO	1a, 1b, 1c, 1e
WORLD FINANCIAL SPLIT CORP.		
WORLD ORGANICS INC.	ASC CTO	1a, 1b, 1c, 1e
WORLD OUTFITTERS CORPORATION SAFARI NORDIK	ASC CTO	1a, 1b, 1c, 1e
WORLD SALES & MERCHANDISING INC.	ASC CTO	1a, 1b, 1c, 1e
WORLDS GREATEST ATHLETES PART 11	ASC CTO	1a, 1b, 1c, 1e
WORLDTEK (CANADA) LTD.	ASC CTO	1a, 1b, 1c, 1e, 1g
WORLDWIDE GINSENG CORPORATION	ASC CTO	1a, 1b, 1c, 1e
WORLDWIDE RESOURCES CORP.		
WPC RESOURCES INC.		
WPT INDUSTRIAL REAL ESTATE INVESTMENT TRUST		

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WSP GLOBAL INC.		
WTE RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
WYSDOM INC.	ASC CTO	1a, 1b, 1c, 1e
X CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
XANDER RESOURCES INC.		
XAVIER CORPORATION	ASC CTO	1a, 1b, 1c, 1e
XCEL CONSOLIDATED LTD.	ASC CTO	1a, 1b, 1c, 1e
XEBEC ADSORPTION INC.		
XEMPLAR ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1e
XENEX INNOVATIONS LTD.	ASC CTO	1a, 1b, 1c, 1e
XENEX MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1e
XENON PHARMACEUTICALS INC.		
XEROX CORPORATION		
XFUELS, INC.	ASC CTO	1b, 1c, 1e
XGEN VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e, 1k
XIANA MINING INC.		
XIANBURG DATA SYSTEMS CANADA CORPORATION	ASC CTO	1a, 1b, 1c, 1e
XIMEN MINING CORP.		3
XINERGY LTD.		1a, 1b, 1c, 1d, 1e
XINEX NETWORKS INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
XMET INC.		
XPEL TECHNOLOGIES CORP.		
XPLORE TECHNOLOGIES CORP.		
XRG INTERNATIONAL HIGHWAY	ASC CTO	1a, 1b, 1c, 1e
XRM GLOBAL INC.	ASC CTO	1a, 1b, 1c, 1e
XS TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
X-TERRA RESOURCES INC.		
XTIERRA INC.		
XTRA ENERGY CORP.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1k
XTRA-GOLD RESOURCES CORP.		
XTREME DRILLING AND COIL SERVICES CORP.		
XXL ENERGY CORP.		
XYLITOL CANADA INC.		
YALETOWN CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e
YALETOWN ENERGY CAPITAL CORP.		
YALETOWN ENTERTAINMENT CORPORATION	ASC CTO	1a, 1b, 1c, 1e
YAMANA GOLD INC.		
YANGAROO INC.		
YANGARRA RESOURCES LTD.		

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YANGTZE TELECOM CORP.	ASC CTO	1a, 1b, 1c, 1e
YANKEE HAT MINERALS LTD.	ASC CTO	1a, 1b, 1c, 1e
YBM MAGNEX INTERNATIONAL, INC.	ASC CTO	1a, 1b, 1c, 1d, 1e, 1g
YELLOW PAGES DIGITAL & MEDIA SOLUTIONS LIMITED		
YELLOW PAGES LIMITED		
YELLOWHEAD MINING INC.		
YIELDPLUS INCOME FUND		
YOHO RESOURCES INC.		
YONGSHENG CAPITAL INC.		
YORKTON VENTURES INC.		
YORKVILLE AMERICAN QVR ENHANCED PROTECTION CLASS		
YORKVILLE CANADIAN QVR ENHANCED PROTECTION CLASS		
YORKVILLE EAFE QVR ENHANCED PROTECTION CLASS		
YORKVILLE ENHANCED PROTECTION CLASS		
YORKVILLE GLOBAL OPPORTUNITIES CLASS		
YORKVILLE HEALTH CARE OPPORTUNITIES CLASS		
YORKVILLE OPTIMAL RETURN BOND CLASS		
YRI YORK LIMITED	ASC CTO	1a, 1b, 1c, 1e
YSV VENTURES INC.	ASC CTO	1a, 1b, 1c, 1e
YUNTONE CAPITAL CORP.	Reciprocal CTO	1b, 1c, 1e
ZADAR VENTURES LTD.		
ZAIO CORPORATION		
ZARA RESOURCES INC.		
ZARGON OIL & GAS LTD.		
ZAURAK CAPITAL CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
ZAZA ENERGY CORPORATION	ASC CTO	1b, 1c, 1e
ZAZU METALS CORPORATION		
ZCL COMPOSITES INC.		
ZCONNEXX CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
ZECOTEK PHOTONICS INC.		
ZENA MINING CORP.		
ZENITH ENERGY LTD.		
ZENITH EPIGENETICS CORP.		
ZENMAC ZINC LTD.	ASC CTO	1a, 1b, 1c, 1e
ZENYATTA VENTURES LTD.		
ZEOX CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ZEPHYR MINERALS LTD.		
ZIDANE CAPITAL CORP.		
ZIGOLD RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e

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ZIMTU CAPITAL CORP.		
ZINCCORP RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e, 3
ZINCO MINING CORPORATION	Reciprocal CTO	1a, 1b, 1c, 1e
ZINCORE METALS INC.		
ZINNIAN TECHNOLOGIES INC.	ASC CTO	1a, 1b, 1c, 1e, 1g
ZIPLOCAL INC.		
ZLIN AEROSPACE INC.	ASC CTO	1a, 1b, 1c, 1e
ZOLOTO RESOURCES LTD.	ASC CTO	1a, 1b, 1c, 1e
ZOMEDICA PHARMACEUTICALS CORP.		
ZONE RESOURCES INC.	ASC CTO	1a, 1b, 1c, 1e
ZONNE INVESTMENT CORP.	ASC CTO	1a, 1b, 1c, 1e
ZONTE METALS INC.		
ZOOMERMEDIA LIMITED		
ZOOMMED INC.		
ZTEST ELECTRONICS INC.		
ZUNGUI HAIXI CORPORATION	ASC CTO	1a, 1b, 1c, 1e
ZUPINTRA CORPORATION, INC.	ASC CTO	1a, 1b, 1c, 1e
ZYCOM CORPORATION	ASC CTO	1a, 1b, 1c, 1e, 1g
ZZZ CAPITAL CORP.	ASC CTO	1a, 1b, 1c, 1e

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