

Note: [31 Oct 2025] – Changes to ASC Rules (General) Form 1. Refer to Annex A.1 of the ASC Notice announcing amendments to ASC Rules (General) dated 30 Sep 2025.

FORM 1

Securities Act

SUMMONS TO A WITNESS PURSUANT TO SECTION 28, 29 OR 42 OF THE SECURITIES ACT

RE:

TO:

You are hereby summoned and required to attend before _____
at an examination to be held at _____ in the _____ of _____ on _____
day the _____ day of _____, 20____ at the hour of _____ o'clock in the _____
noon (local time), and so from day to day until the examination is concluded to give evidence on oath in
connection with an investigation ordered by _____ and to bring with you and
produce at such time and place _____.

Dated this _____ day of _____, 20____.

ALBERTA SECURITIES COMMISSION

(Signature)

Name:

Title:

NOTE: You are entitled to be paid ~~the same~~ personal allowances for your attendance at the examination as ~~are paid for the attendance of a witness summoned to attend before the Court of Queen's Bench. You are entitled to~~ set out in section 4 of these Alberta Securities Commission Rules (General). You may be represented by counsel when you attend for your examination.

If you fail to attend and give evidence at the examination, or to produce the documents or things specified at the time and place specified, without lawful excuse, you are liable to punishment by the Court of ~~Queen's~~ King's Bench in the same manner as for contempt of that court for disobedience to a ~~subpoena~~ notice to attend.