

Note: [31 Oct 2025] – Changes to ASC Policy 12-601 arising from ASC Rules (General). Refer to Annex B of the ASC Notice announcing amendments to ASC Rules (General) dated 30 Sep 2025.

**CHANGES TO
ALBERTA SECURITIES COMMISSION POLICY 12-601
*APPLICATIONS SUBMITTED TO THE ALBERTA SECURITIES COMMISSION***

- 1. Alberta Securities Commission Policy 12-601 Applications Submitted to the Alberta Securities Commission is changed by this Instrument.**
- 2. Section 20 is changed by replacing “Freedom of Information and Protection of Privacy Act (Alberta)” with “Access to Information Act”.**
- 3. These changes become effective on October 31, 2025.**