

*Note: [09 Jun 2023] – Changes to 51-102CP arising from NI 13-103. Refer to Annex E of the CSA Notice announcing NI 13-103 dated 23 Mar 2023.*

## **CHANGES TO COMPANION POLICY 51-102CP *CONTINUOUS DISCLOSURE OBLIGATIONS***

- 1. *Companion Policy 51-102CP Continuous Disclosure Obligations is changed by this Document.***
- 2. *Subsection 1.2(2) is changed by replacing “SEDAR” wherever it occurs with “SEDAR+”.***
- 3. *The Policy is changed by adding the following section:***

### **1.11 Electronic transmission**

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* prescribes that each document that is required or permitted to be provided to a securities regulatory authority or regulator must be transmitted to the securities regulatory authority or regulator electronically through the System for Electronic Data Analysis and Retrieval + (SEDAR+).

The reference to a document includes any report, form, application, information, material and notice, as well as a copy thereof, and applies to documents that are required or permitted to be filed or deposited with, or delivered, furnished, sent, provided, submitted or otherwise transmitted to, a securities regulatory authority or regulator.

To reflect the phased implementation of SEDAR+, the Appendix of National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* sets out securities legislation under which documents are excluded from being filed or delivered in SEDAR+.

National Instrument 13-103 *System for Electronic Data Analysis and Retrieval + (SEDAR+)* should be consulted when providing any document to a securities regulatory authority or regulator under the Instrument and this Policy..

- 4. *Paragraph 3.9(1)(b) is replaced with “the reporting issuer files the material change report or news release with the securities regulatory authority or regulator under the Change in Corporate Structure category on SEDAR+.”.***
- 5. *Section 6.1 is changed by replacing “SEDAR” wherever it occurs with “SEDAR+”.***
- 6. *Section 9.2 is changed by replacing “SEDAR” with “SEDAR+”.***

7. *Section 9.3 is changed by replacing “SEDAR” with “SEDAR+”.*
8. *Subsection 10.3(5) is changed by replacing “SEDAR” wherever it occurs with “SEDAR+”.*

**Effective Date**

9. These changes become effective on June 9, 2023.