

FORM 6

**APPLICATION FOR RENEWAL OF
REGISTRATION AS SALESMAN**

NOTE: Should any space be insufficient for your answer, a statement may be attached and marked as an exhibit cross-referencing each statement to the item to which it pertains provided it is initialled by the applicant and the Commissioner taking the affidavit.

1. Application is made for renewal of registration under the *Securities Act* as a salesman _____
_____.

(a) name of registered dealer _____

(b) name of applicant in full _____

(c) residence address _____

Telephone No. _____ Postal Code _____

(d) mailing address for delivery of renewal certificate

2. There have been no changes to the information provided in Form 4 dated the _____ day of _____, 20__ and attached as Exhibit 1, except as set out in the attached Exhibit 2.

3. If no photograph of the applicant has been supplied within the last 5 years, attach 2 copies, full face, size 2 x 2 inches signed on the reverse side by the applicant and on behalf of the registered dealer or registered adviser in the manner prescribed by section 197 of the *Alberta Securities Commission Rules* as if section 197 of the *Alberta Securities Commission Rules* applied.

Dated at _____ this ____ day of _____, 20 ____.

(Signature of applicant)

AFFIDAVIT

In the Matter of the Securities Act

Province of Alberta) I, _____
) (name in full)
)
 _____ of _____) of the _____
)
) in the _____ of _____
)
)

MAKE OATH AND SAY:

- 1 I am the applicant herein for renewal of registration and I signed the application.
- 2 The statements of fact made in the application are true.

SWORN before me at the _____)
in the _____ of _____)
this _____ day of _____)
20 __)

(A Commissioner, etc.)

(signature)

REQUEST OF EMPLOYER

(To be completed in support of every application made for renewal of registration as a salesman.)

The undersigned employer hereby requests that the registration of the above applicant be renewed.

Dated at _____

(name of Dealer)

this _____ day of _____ 20____

By _____
(Signature of proprietor,
partner, officer or director)

(official capacity)

IT IS AN OFFENCE UNDER THE *SECURITIES ACT* AND THE *ALBERTA SECURITIES COMMISSION RULES* FOR A PERSON OR COMPANY TO FILE OR FURNISH AN APPLICATION CONTAINING A STATEMENT THAT, AT THE TIME AND IN LIGHT OF THE CIRCUMSTANCES IN WHICH IT IS MADE, IS A MISREPRESENTATION.

ANY FEE PAYABLE TO THE ALBERTA SECURITIES COMMISSION UNDER THE *SECURITIES ACT*, *THE SECURITIES REGULATION* AND THE *ALBERTA SECURITIES COMMISSION RULES* SHALL BE PAID TO THE ALBERTA SECURITIES COMMISSION IN ACCORDANCE WITH THE REQUIREMENTS OF THE FEE SCHEDULE TO THE SECURITIES REGULATION. ANY FAILURE TO ACCOMPANY A FORM OR APPLICATION WITH THE PRESCRIBED FEE SHALL RESULT IN THE RETURN OF THAT FORM OR APPLICATION.