### ALBERTA SECURITIES COMMISSION

## **BLANKET ORDER 45-518**

Citation: Form 45-106F1 – Exemption Regarding Certain Purchaser Information, 2016 ABASC 178 Date: 20160628

### **Definitions**

1. Terms defined in the *Securities Act* (Alberta) (the **Act**), in National Instrument 14-101 *Definitions*, in National Instrument 45-106 *Prospectus Exemptions* or in Form 45-106F1 *Report of Exempt Distribution* (the **Form**) have the same meaning in this Blanket Order.

# **Background**

2. The Form, as revised with effect on 30 June 2016, includes a requirement for disclosure of whether a purchaser in a distribution reported in the Form is a registrant or an insider of the issuer.

## **Order**

- 3. Considering that it would not be prejudicial to the public interest to do so, the Alberta Securities Commission orders under section 213 of the Act that an issuer or an underwriter is exempt from the requirement to furnish the information specified in subsections (f)1 and 2 of Schedule 1 to the Form if one or more of the following apply:
  - (a) the issuer is a foreign public issuer;
  - (b) the issuer is a wholly owned subsidiary (as described in footnote 6 to Item 9 of the Form) of a foreign public issuer;
  - (c) the issuer is distributing eligible foreign securities only to permitted clients (in the distribution being reported as explained in footnote 7 to Item 9 of the Form).
- 4. This Blanket Order takes effect on 30 June 2016.

For the Commission	n:
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"original signed by"	"original signed by"
Tom Cotter	Stephen Murison
Vice-Chair	Vice-Chair