

Note: [31 Dec 2003] – Amendments to NI 23-101. Refer to ASC Notice announcing amendments to NI 23-101 and NI 21-101 dated 17 Nov 2003.

**AMENDMENTS TO
NATIONAL INSTRUMENT 23-101
TRADING RULES**

PART 1 AMENDMENTS

1.1 Amendments

- (1) This Instrument amends National Instrument 23-101 Trading Rules.
- (2) Section 2.1 is amended by striking out “the rules, policies and other similar instruments” and substituting “similar requirements”.
- (3) Part 8 is amended
 - (a) in paragraph 8.4(c) by adding “in its capacity as a regulation services provider” after “directions made by the regulation services provider”; and
 - (b) by repealing section 8.5.
- (4) Subsection 9.3(2) is repealed.
- (5) Section 10.3 is repealed.
- (6) Part 11 is amended
 - (a) in paragraph 11.2(1)(p) by striking out “and” ;
 - (b) in paragraph 11.2(1)(q) by striking out “.” and substituting “; and”;
 - (c) in subsection 11.2(1) by adding “(r) an insider marker.”;
 - (d) in subsection 11.2(5) by adding “a securities regulatory authority or” before “a regulation services provider”;
 - (e) in subsection 11.2(5) by adding “the securities regulatory authority or” before each reference to “the regulation services provider”;
 - (f) in subsection 11.2(6) by striking out “After December 31, 2003, the” and substituting “The”;
 - (g) in subsection 11.2(6) by adding “a securities regulatory authority or” before “a regulation services provider”; and

- (h) in subsection 11.2(6) by adding “by the earlier of January 1, 2007 and the date on which a self-regulatory entity or a regulation services provider implements a rule, policy or other similar instrument to which the dealer or inter-dealer bond broker is subject that requires the maintenance of the record and the transmission of the record in electronic form” at the end.

PART 2 EFFECTIVE DATE

2.1 Effective Date – This Instrument comes into force on December 31, 2003.