

**SELF-REGULATORY ORGANIZATION MEMBERSHIP
FOR MUTUAL FUND DEALERS
AMENDMENTS TO ALBERTA
SECURITIES COMMISSION RULE SECTION 16**

PART 1 Amendments

1.1 Amendments

(1) Alberta Securities Commission Rule s.16 is amended by

(a) striking out subsection 16(c) and replacing it with the following:

(c) a mutual fund dealer, being a person or company

(i) registered to trade exclusively in the shares or units of mutual funds, and

(ii) that is a member of a self-regulatory organization or exchange that is recognized by the Commission for the purpose of this clause;

PART 2 Effective Date

2.1 Effective Date

This Amendment comes into force on May 1, 2001.