Citation: Revocation of Blanket Orders, Re, 2011 ABASC 497 Date: 20110922

## **ALBERTA SECURITIES COMMISSION**

## REVOCATION OF CERTAIN BLANKET ORDERS

## **Background**

- 1. Blanket Order #97/11/523 *In the Matter of Postal Service Disruption*, issued on 21 November 1997, as varied by further order #97/11/533 *In the Matter of Postal Service Disruption*, issued on 27 November 1997 (together, the "**1997 Postal Service Order**") permitted reporting issuers and responsible persons to satisfy their obligations to send financial statements and information to securityholders by alternate means during a postal service disruption. The 1997 Postal Service Order is superseded by Blanket Order 51-510 *Exemption from the Requirement to Send Financial Statements and Related Disclosure to Securityholders During a Disruption in Postal Service (Subsections 4.6(3) and 5.6(1) of NI 51-102, Section 5.1 of NI 81-106)*, 2011 ABASC 311.
- 2. Blanket Order 24-501 National Instrument 24-101 Institutional Trade Matching and Settlement Extension of Transitional Phase-in Period, 2008 ABASC 170 ("Order 24-501") extended the phase-in period for certain "trade matching" timelines under National Instrument 24-101 Institutional Trade Matching and Settlement ("NI 24-101"). The subject matter or substantive effect of Order 24-501 is now subsumed in NI 24-101.
- 3. Blanket Order 35-501 *In the Matter of Trades by Certain Dealers In Securities Listed on the Canadian Venture Exchange Inc.*, issued on 26 November 1999 ("**Order 35-501**"), provided certain exemptive relief for "Remote Access Trades" on the Canadian Venture Exchange Inc. The subject matter or substantive effect of Order 35-501 is now subsumed in National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations*.

## **Order**

4. The Alberta Securities Commission, considering that it would not be prejudicial to the public interest to do so, orders under section 214 of the *Securities Act*, R.S.A. 2000, c. S-4, that the 1997 Postal Service Order, Order 24-501 and Order 35-501 are revoked with immediate effect.

"original signed by"	"original signed by"	
Glenda Campbell, QC	Stephen Murison	
Vice-Chair	Vice-Chair	

For the Commission: