

**AMENDMENT TO  
ALBERTA SECURITIES COMMISSION RULE  
SECTION 39(1)**

**PART 1      Amendment**

Section 39(1) is amended by deleting the reference to “portfolio manager or investment counsel, as the case may be” and shall read as follows:

**39(1)** Subject to subsection (5), a dealer shall promptly send a statement of account to each client as at the end of each month in which a transaction has been effected by or on behalf of the client.

**PART 2      Effective Date**

This amendment comes into force on January 1, 2002.