

ALBERTA SECURITIES COMMISSION

IN THE MATTER OF the *Securities Act*
(R.S.A. 2000, c. S-4) (the "Act")

- and -

IN THE MATTER OF Certain Exemptions Under
Multilateral Instrument 31-102 *National Registration Database* ("MI 31-102") and Multilateral
Instrument 33-109 *Registration Information* ("MI 33-109")

ORDER

(Under s. 6.1 of MI 31-102 and s. 7. 1 of MI 33-109)

1. WHEREAS the National Registration Database ("NRD") was launched on March 31, 2003;
2. AND WHEREAS MI 31-102 and MI 33-109 contain a number of provisions that establish timelines for the correction and provision of information about registered firms, registered individuals, and non-registered individuals (the "transition requirements");
3. AND WHEREAS data downloaded onto NRD from the Commission's prior registration information system is less consistent with current information about registered firms, registered individuals and non-registered individuals than expected;
4. AND WHEREAS the Commission has decided to issue this order providing for an extension of the time period during which the transition requirements must be fulfilled to ensure that registered firms, registered individuals, and non-registered individuals have sufficient time to fulfil the transition requirements;
5. AND WHEREAS the Commission has determined that it is in the public interest to make this order;
6. IT IS HEREBY ORDERED pursuant to section 6.1 of MI 31-102 that:
 - 6.1 a transition firm, as defined in section 7.1 of MI 31-102, is exempt from the requirement under section 7.4 of MI 31-102 to submit a completed Form 33-109F3 in the time required under section 7.4 provided that the completed Form 33-109F3 is submitted by September 30, 2003;

- 6.2 a transition firm, as defined in section 7.1 of MI 31-102, is exempt from the requirement under section 7.6 of MI 31-102 to submit a completed Form 33-109F4 in the time required under section 7.6 provided that the completed Form 33-109F4 is submitted by September 30, 2003;
- 6.3 a registered individual is exempt from the requirement under section 7.9(1)(a) of MI 31-102 to submit a completed Form 33-109F4 in the time required under section 7.9(1)(a) provided that the completed Form 33-109F4 is submitted by September 30, 2003;
- 7. IT IS HEREBY ORDERED pursuant to section 7.1 of MI 33-109 that:
 - 7.1 a registered firm is exempt from the requirement under sections 8.2(a) and 8.4 of MI 33-109 to submit a completed Form 33-109F4 in the time required under sections 8.2(a) and 8.4 provided that the completed Form 33-109F4 is submitted by September 30, 2003;
 - 7.2 a registered firm is exempt from the requirement under section 8.2(c) of MI 33-109 to submit a completed Form 33-109F3 in the time required under section 8.2(c) provided that the completed Form 33-109F3 is submitted by September 30, 2003;
 - 7.3 a registered firm is exempt from the requirement under section 8.3 of MI 33-109 to submit a completed Form 33-109F3 in the time required under section 8.3 provided that the completed Form 33-109F3 is submitted by September 30, 2003.

DATED at the City of Calgary)
 in the Province of Alberta)
 this 2nd day of May, 2003.)
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 "original signed by"
 Glenda A. Campbell, Q.C., Vice-Chair

 "original signed by"
 Stephen R. Murison, Vice-Chair