

*Note: [01 Jun 2022] – Changes to 31-103CP (arising from NI 81-105). Refer to Annex F of the CSA Notice announcing amendments to NI 81-105 dated 20 Jan 2022.*

**LOCAL CHANGES TO  
COMPANION POLICY 31-103 *REGISTRATION REQUIREMENTS, EXEMPTIONS AND  
ONGOING REGISTRANT OBLIGATIONS*  
IN ALBERTA**

- 1. *Companion Policy 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations is changed by this document.***
- 2. *Section 14.2.1 is changed:***
  - (a) *by replacing “purchase” with “redemption” in the second paragraph,***
  - (b) *by deleting “upon the redemption of the security” in the second paragraph, and***
  - (c) *by replacing the second bullet in the fourth paragraph with the following:***
    - the sales charge options available to the client and an explanation as to how such charges work. Any redemption fees or short-term trading fees that may apply should also be discussed
- 3. These changes become effective in Alberta on June 1, 2022.**